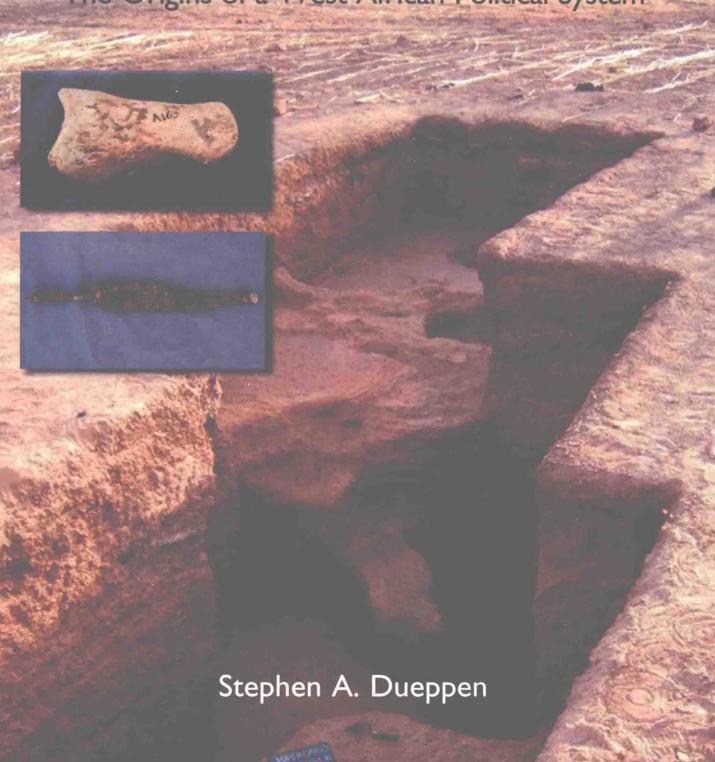
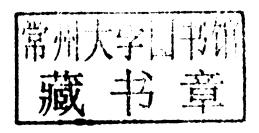
The Origins of a West African Political System



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Stephen A. Dueppen





Published by Equinox Publishing Ltd. UK: Unit S3, Kelham House, 3 Lancaster Street, Sheffield, S3 8AF USA: ISD, 70 Enterprise Drive, Bristol, CT 06010

www.equinoxpub.com

First published 2012

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British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

ISBN: 978-1-908049-20-9 (hardback)

Library of Congress Cataloging-in-Publication Data

Dueppen, Stephen A.

Egalitarian revolution in the savanna: the origins of a West African political system / Stephen A. Dueppen.

p. cm.—(Approaches to anthropological archaeology)

Includes bibliographical references and index.

ISBN 978-1-908049-20-9 (hb)

1. Political customs and rites—Africa, West. 2. Excavations (Archaeology—Africa, West. 3. Human settlements—Africa, West—History. 4. Archaeology and history—Africa, West. 5. Africa, West—Antiquities. I. Title. II. Series: Approaches to anthropological archaeology.

GN492.3.D84 2012 306.209662—dc23

2011016471

Typeset by S.J.I. Services, New Delhi Printed and bound in the UK by CPI Group (UK) Ltd, Croydon

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Stephen A. Dueppen



To my parents, Kenneth and Mary Ann, and to Daphne

Acknowledgments

I am deeply grateful to numerous individuals and institutions in Burkina Faso and the United States who have supported my archaeological investigations, analyses, and the composition of this book. Fieldwork at Kirikongo was enabled through the generous support of a National Science Foundation Dissertation Improvement Grant (BCS-0520614) as well as University of Michigan grants from the Rackham Graduate School, Center for African and Afro-American Studies, Department of Anthropology, and Museum of Anthropology Griffin Fund. Analyses of animal bones were made possible by a Smithsonian Institution Pre-Doctoral Fellowship at the National Museum of Natural History (Archaeobiology Division). Additional funds for radiocarbon dating were provided by the Rackham Graduate School (University of Michigan) and the Douglas Bridges Memorial Education Fund. The book manuscript was finalized while on an ACLS New Faculty Fellows award, with support of The Andrew W. Mellon Foundation.

In Burkina Faso my research was made possible through the hospitality, encouragement and assistance of both the scientific research community and the inhabitants of the Mouhoun Bend. Research permits were arranged through the CNRST (National Center for Science and Technology), with the support of Drs Vincent Sedogo and Eloi Bambara, while export permits for both faunal and botanical samples were provided by Dr Oumarou Nao at the Ministry of Culture. In the Mouhoun Bend, I thank the villages of Douroula, Kirikongo, and Tora for their hospitality, and in particular Drissa Koté, Abdoulaye Koita, Amadou Koté, Miriam Koté, Aminata Koté, and Haruna Sankara for their tireless support. I was fortunate to have Léonce Ki, a student at the University of Ouagadougou, participate in excavations. Bakary Soiré provided reliable transportation between Ouagadougou and the Mouhoun Bend.

Archaeologists are often difficult guests owing to the dust and equipment, and I express my gratitude to Christoph Pelzer, Cecile Tassin-Pelzer, Scott Sommers, and Liz Bernard for lodging and logistical support in Ouagadougou. The American Embassy in Burkina Faso was both welcoming and interested in our work, and I thank Ambassador Jeanine Jackson and the embassy community for accepting my invitation to visit the archaeological site of Kirikongo and the modern villages of the Mouhoun Bend. I also thank Thomas and Jennifer LaDonne for a series of stimulating discussions on cultural practices in Voltaic societies while in Burkina Faso.

Most importantly, Dr Lassina Koté of the University of Ouagadougou, who introduced me to the archaeology of the Mouhoun Bend, has facilitated my archaeological research program and encouraged my ethnographic interests. Dr Koté has devoted himself to the preservation and study of sites in the Mouhoun Bend, and the region is fortunate that its archaeology has such a strong local advocate.

At the University of Michigan, I thank Kent Flannery, Joyce Marcus, Raymond Silverman, Carla Sinopoli, John Speth, and Henry Wright for essential advice and guidance throughout the fieldwork, analysis, and write-up. In particular I wish to acknowledge the contributions of Kent Flannery, who provided extensive editorial suggestions on early versions

of the manuscript, and also provided me with space in the Museum of Anthropology's Zooarchaeology Laboratory. Joyce Marcus encouraged me to turn the dissertation into a proper book, and I am grateful for her confidence in me. Faunal remains are an important line of evidence in my research, and I appreciate the many hours that Richard Redding spent teaching me zooarchaeology, and the extensive support and advice provided by Melinda Zeder during my fellowship at the NMNH. Thanks are also due to the Field Museum of Chicago for use of their fauna comparative collections, and to the Laboratory of Archaeology at IFAN, Dakar for allowing me to study their Burkinabe collections. The manuscript was completed on my ACLS New Faculty Fellowship at the University of Oregon, and I thank my mentors, Madonna Moss and Stephen Wooten, as well as the rest of the Anthropology Department for their hospitality and introduction to a wonderful new intellectual community.

Numerous colleagues have influenced this work intellectually and methodologically, including Alice Yao, Severin Fowles, Chapurukha and Sibel Kusimba, John O'Shea, Richard Ford, Jeffrey Parsons, Susan McIntosh, Guillermo Algaze, Thomas Levy, and Brian Byrd. I appreciate the support of the community of Africanists in Michigan, including Amy Lawson, Cameron Gokee, Amanda Logan, and Anne Compton. A big thanks goes to Sunday Eiselt, Andy Darling, the Dueppen family in California (Kenneth and Mary Ann, John, Brian, Anne Marie, Timothy, Matthew, Abigail, Monica, and Lara), and the Gallagher family in Wisconsin (Jay, Mary, and Julia) for their hospitality and encouragement during difficult academic times. I also note the influence of my parents' experiences as Peace Corps Volunteers in the development of my interests, with my father stationed in southeastern Nigeria (with Igbo communities), and my mother in Guatemala and Bolivia. Many cats have had their paws on this manuscript, and I thank Lester, Jack, Mickey, Mugsycat, and Miss Kit for their contributions. Lastly, the ideas presented have been influenced by friends—Simon Ravona, Patrick Lousuebsakul, Jeffrey Sun, James Fujitani, and the late Jimmy Cheatham.

I am very thankful for the hard work of Thomas Levy, Janet Joyce, and Valerie Hall of Equinox Press that made the publication of this manuscript possible, and to George Moore for his professional editing.

Finally, this book would not have been possible without Daphne Gallagher, whose support has been extraordinary. She participated in the fieldwork at Kirikongo, in the laboratory in Burkina and in the United States, and at home patiently read many drafts of the book manuscript. Her encouragement (and sense of humor) helped me to maintain a reasonable perspective on the project, for which I am most appreciative.

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Decentralization and the Evolution of Egalitarian Behaviors in Sedentary Societies

West Africa is home to myriad small and large-scale societies with non-centralized political systems. While a substantial ethnographic record describes the intricate balances of power and authority in such systems, their developmental trajectories are largely unknown owing to the lack of historical documentation and archaeological investigation. Given this gap in historical context, a great many assumptions and doubts exist in regards to the utility of the region's ethnographic observations to world-wide archaeological and anthropological models. In this book I explore the long-term developmental trajectory of an ancient community (Kirikongo (Figure 1.1)) ancestral to the modern Bwa, who inhabit remarkably complex autonomous villages with extensive social differentiation despite a strong ethos of egalitarianism. Through the presentation of empirical data I argue that many of the characteristics of modern and colonial period African societies that have been seen by some as

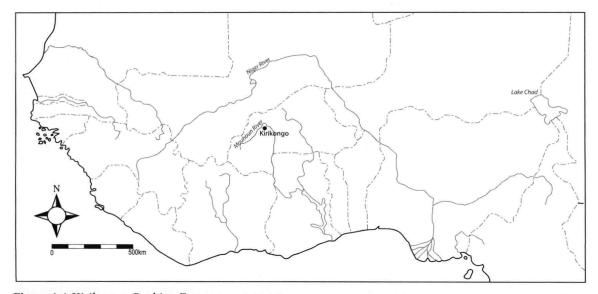


Figure 1.1 Kirikongo, Burkina Faso

devolved social systems disrupted by the slave trade and colonial practices may in fact be the end results of highly complex political histories. In particular, I suggest that the political organizations of many West African societies are derived from long histories of negotiations between social segments (including popular collective actions and revolutions), which have led to the development of diverse social contracts. At Kirikongo, the political actions of several constituencies over time resulted in a trajectory where inequalities were rejected altogether in favor of a reinvented egalitarianism, but similar processes have likely contributed to the formation of the myriad ethnographic and historically known polities in the region where the power of elites was constrained.

Political Strategies

2

In the western political trajectory (documented since classical Greece), polities have ranged greatly from time to time and place to place in the degree to which political power was centralized in the hands of the few, or more widely distributed throughout society. Consequently, an enduring debate in western political philosophy, and one that lies under the surface of many anthropological theories, is whether legitimate leaders rule based upon the consent of the governed, or rather impose order upon the people. Political philosophers and social evolutionary theorists since the enlightenment have themselves varied greatly in the relative weight they have given to centralization in the hands of the few (including despotism) and majority rule in explaining social change. However, in modern anthropological discourses on social evolutionism, primarily building from data on non-western societies, scholars have tended (though not exclusively) to view increasing complexity as the result of processes of domination rather than consent. Consequently, while decentralized political systems are historically common throughout the globe, there is a tendency to view more representational forms of government as a largely western phenomenon, with peaks during certain time periods (e.g. Classical Greece, Republican Rome, the Enlightenment).

Modern concepts of majority rule were heavily influenced by enlightenment scholars such as Hobbes, Locke, and Rousseau. While significantly differing in the relative attribution of power and authority accorded to leaders, including the legitimate use of force, these philosophers all viewed government (the state) as ultimately derivative of the consent of the governed. Hobbes (1985 [1651]) advocated for a highly centralized form of leadership in the state (sovereign—the Leviathan) that would help individuals to avoid reverting to their brutish tendencies (the state of nature). However, even his somewhat despotic model for civil society allowed for flexibility in the nature of leadership, including either a monarchy or permanent assembly government. Locke (1967 [1698]) agreed with Hobbes that government (civil society) must be established by individuals within a society to mediate their selfishness, but he differed in believing that human nature was characterized by a more optimistic concept of reason. Unlike Hobbes' view of the dangers of questioning the sovereign after the people have ceded power to the state, Locke advocated for revolution as a mechanism of social change, and a fundamental right (even an obligation) when leaders fail to ensure an individual's life, health, liberty or possessions. Also unlike Hobbes who feared religion and alternative nodes of power when not controlled by the state, Locke believed in a separation of powers (or decentralization), because of the potential for abuse. Rousseau (1997 [1755], 1962 [1762]) largely rejected Hobbes claim that mankind must choose between being free and

being ruled, and focused his works upon legitimacy in government, that is a balance between maintenance of individual freedom and citizenship (obligation). He claimed that majority rule could enable freedom, since humanity can be free if it rules itself (if the population constructs the laws that they obey). He differed from both Locke and Hobbes in asserting that sovereignty comes from the people and must stay there, while the others suggest that a transfer of sovereignty must take place, from the people to the ruler.

Perhaps because majority rule has been difficult to maintain even in the western political trajectory, much of evolutionary theory in anthropology is within an intellectual tradition that shares a common assumption that political complexity is derived from histories of dominance and manipulation of the masses by elites, and not derived from the consent of the governed. The evolutionary theories of Spencer (1893, 1896), Morgan (1985 [1877]), White (1959), and Fried (1967—particularly regarding the rise of stratification), while differing in significant ways, can generally be grouped within this intellectual tradition. Marx and Engels' (e.g. Engels 1942 [1884]) models of social evolution prior to the projected post-capitalist revolution are similarly based upon the increasing dominance of the ruling class in exploitation of material wealth (derived from surplus production) and motivated by greed and ambition (self-interest). Archaeological models have historically tended to view increasing complexity as derived from political manipulation and domination, from the groundbreaking work of Childe (1936), and continuing today (e.g.; Hayden 1995b). The widespread application of Melanesian big-man and aggrandizement models (whether entrepreneurial or despotic—see Lemonnier 1990) is generally representative of this stance.

Models based upon the consent of the governed have been historically less influential in the archaeological study of social complexity, despite their foundational role in relation to modern democracy, and heavy influence in western political history. However, the evolutionary theories of Service (1975) better fit within this intellectual tradition, as he focused upon leveling mechanisms and sodalities in egalitarian societies, and had great difficulty understanding how leaders in chiefdoms and states could dominate their subjects unless they provided important returns to them (see for example, pp. xi-xix; see also critiques of Service's stance by Fried 1978). In other words, the populace allowed elites to rule because they were necessary to the communal well-being, and Service viewed the repressive states described by Morgan, Marx, and Engels as unrealistic. As will be seen below, a very recent trend in archaeological research has been the inclusion of models taking into account processes of corporate political strategies, communalism, egalitarian behaviors, and agency of more diverse social segments and constituencies. These generally fit better within a stance incorporating a political philosophy based upon consent of the governed, and provide a basis for studying the origins and development of societies with more dispersed arrangements of power, and/or more representational political systems.

While a wide variety of highly centralized, sometimes despotic political systems have clearly existed historically and prehistorically, social trajectories have likely varied significantly due to social movements advocating more representational governmental forms. Throughout this chapter I will explore how models of centralization based upon self-aggrandizement and despotic power arrangements have generally provided a poor explanatory framework for many African social systems. In particular, I wish to call attention to the transformative power of the consent of the governed to the political organization of past societies. I suggest that both historically and in our archaeological case study, leaders and