

# EUROPEAN UNION PUBLIC HEALTH POLICY

Regional and global trends

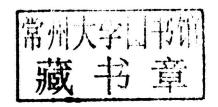




# **European Union Public Health Policy**

Regional and global trends

**Edited by Scott L. Greer and Paulette Kurzer** 





First published 2013 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon, OX14 4RN

Simultaneously published in the USA and Canada by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2013 selection and editorial material, Scott L. Greer and Paulette Kurzer; individual chapters, the contributors

The right of the editors to be identified as the authors of the editorial material, and of the authors for their individual chapters, has been asserted in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data A catalogue record for this book is available from the British Library

Library of Congress Cataloging in Publication Data European Union public health policy: regional and global trends / edited by Scott L. Greer and Paulette Kurzer.

p.; cm. — (Routledge advances in European politics; 90)

Includes bibliographical references.

I. Greer, Scott L. II. Kurzer, Paulette, 1957- III. Series: Routledge advances in European politics; 90.

[DNLM: 1. European Union. 2. Health Policy—trends—Europe. 3. International Cooperation—Europe. 4. Public Health Administration—Europe. 5. Public Health Practice—Europe. WA 530 GA11

362.1094-dc23

2012024222

ISBN: 978-0-415-51664-8 (hbk) ISBN: 978-0-203-07724-5 (ebk)

Typeset in Times New Roman by Swales & Willis Ltd, Exeter, Devon



### **European Union Public Health Policy**

Ranging from influence over world trade laws affecting health to population health issues such as obesity to the use of comparative data to affect policy, the EU's public health policies are increasingly important, visible, expensive and effective. They also provide an invaluable case study for those who want to understand the growth and impact of the EU as well as how states can affect their populations' lives and health.

European Union Public Health Policy capitalizes on extensive new research, providing an introduction to the topic and indicating new intellectual directions surrounding the topic. An introductory section and extended conclusion explore the meaning of public health, the relationship of EU public health policy to health care policy, and the place of public health in the study of European integration and Europeanization. Focusing on health system transformation, global health governance and population health, the chapters address:

- relevant policy issues and EU policies;
- · effects of the EU policies on practice or outcomes;
- an explanation of the policy trajectory;
- current issues and likely future directions or conflicts.

Drawing together an international and multidisciplinary selection of experts, this volume is an important contribution for all those interested in public health policy, EU health policy and EU governance.

**Scott L. Greer** is Associate Professor of Health Management and Policy at the University of Michigan's School of Public Health, USA, and Honorary Senior Research Fellow at LSE Health, London School of Economics and Political Science, UK.

**Paulette Kurzer** is Professor of Political Science in the School of Government and Public Policy at the University of Arizona, USA.

### **Routledge Advances in European Politics**

#### 1. Russian Messianism

Third Rome, revolution, Communism and after Peter J.S. Duncan

### 2. European Integration and the Postmodern Condition

Governance, democracy, identity Peter van Ham

#### 3. Nationalism in Italian Politics

The stories of the Northern League, 1980–2000 Damian Tambini

### 4. International Intervention in the Balkans since 1995

Edited by Peter Siani-Davies

#### 5. Widening the European Union

The politics of institutional change and reform Edited by Bernard Steunenberg

# 6. Institutional Challenges in the European Union

Edited by Madeleine Hosli, Adrian van Deemen and Mika Widgrén

#### 7. Europe Unbound

Enlarging and reshaping the boundaries of the European Union Edited by Jan Zielonka

#### 8. Ethnic Cleansing in the Balkans

Nationalism and the destruction of tradition Cathie Carmichael

#### 9. Democracy and Enlargement in Post-Communist Europe

The democratisation of the general public in fifteen Central and Eastern European countries, 1991–1998 Christian W. Haerpfer

#### 10. Private Sector Involvement in the Euro

The power of ideas Stefan Collignon and Daniela Schwarzer

#### 11. Europe

A Nietzschean perspective Stefan Elbe

#### 12. European Union and E-Voting

Addressing the European Parliament's internet voting challenge

Edited by Alexander H. Trechsel and Fernando

Mendez

#### 13. European Union Council Presidencies

A comparative perspective Edited by Ole Elgström

### 14. European Governance and Supranational Institutions

Making states comply Jonas Tallberg

### 15. European Union, NATO and Russia

Martin Smith and Graham Timmins

#### 16. Business, The State and Economic Policy

The case of Italy G, Grant Amyot

#### 17. Europeanization and Transnational States

Comparing Nordic central governments Bengt Jacobsson, Per Lægreid and Ove K. Pedersen

#### 18. European Union Enlargement

A comparative history

Edited by Wolfram Kaiser and Jürgen Elvert

#### 19. Gibraltar

British or Spanish? Peter Gold

#### 20. Gendering Spanish Democracy

Monica Threlfall, Christine Cousins and Celia Valiente

#### 21. European Union Negotiations

Processes, networks and negotiations Edited by Ole Elgström and Christer Jönsson

### 22. Evaluating Euro-Mediterranean Relations

Stephen C. Calleya

#### 23. The Changing Face of European Identity

A seven-nation study of (supra)national attachments

Edited by Richard Robyn

#### 24. Governing Europe

Discourse, governmentality and European integration

William Walters and Jens Henrik Haahr

#### 25. Territory and Terror

Conflicting nationalisms in the Basque country Jan Mansvelt Beck

### 26. Multilateralism, German Foreign Policy and Central Europe

Claus Hofhansel

### 27. Popular Protest in East Germany

Gareth Dale

# 28. Germany's Foreign Policy Towards Poland and the

Czech Republic

Ostpolitik revisited

Karl Cordell and Stefan Wolff

#### 29. Kosovo

The politics of identity and space Denisa Kostovicova

#### 30. The Politics of European Union Enlargement

Theoretical approaches
Edited by Frank Schimmelfennig and Ulrich
Sedelmeier

#### 31. Europeanizing Social Democracy?

The rise of the party of European socialists Simon Lightfoot

### 32. Conflict and Change in EU Budgetary Politics

Johannes Lindner

### 33. Gibraltar, Identity and Empire

E.G. Archer

#### 34. Governance Stories

Mark Bevir and R.A.W Rhodes

#### 35. Britain and the Balkans

1991 until the present Carole Hodge

### 36. The Eastern Enlargement of the European Union

John O'Brennan

# 37. Values and Principles in European Union Foreign Policy

Edited by Sonia Lucarelli and Ian Manners

### 38. European Union and the Making of a Wider Northern Europe

Pami Aalto

#### 39. Democracy in the European Union

Towards the emergence of a public sphere Edited by Liana Giorgi, Ingmar Von Homeyer and Wayne Parsons

### 40. European Union Peacebuilding and Policing

Michael Merlingen with Rasa Ostrauskaite

### 41. The Conservative Party and European Integration since 1945

At the heart of Europe? *N.J. Crowson* 

#### 42. E-Government in Europe

Re-booting the state

Edited by Paul G. Nixon and Vassiliki N.

Koutrakou

#### 43. EU Foreign and Interior Policies

Cross-pillar politics and the social construction of sovereignty

Stephan Stetter

### 44. Policy Transfer in European Union Governance

Regulating the utilities Simon Bulmer, David Dolowitz, Peter Humphreys and Stephen Padgett

### 45. The Europeanization of National Political Parties

Power and organizational adaptation Edited by Thomas Poguntke, Nicholas Aylott, Elisabeth Carter, Robert Ladrech and Kurt Richard Luther

#### 46. Citizenship in Nordic Welfare States

Dynamics of choice, duties and participation in a changing Europe

Edited by Bjorn Hvinden and Håkan Johansson

# 47. National Parliaments within the Enlarged European Union

From victims of integration to competitive actors?

Edited by John O'Brennan and Tapio Raunio

### 48. Britain, Ireland and Northern Ireland since 1980

The totality of relationships Eamonn O'Kane

# 49. The EU and the European Security Strategy

Forging a global Europe

Edited by Sven Biscop and Jan Joel Andersson

### 50. European Security and Defence Policy

An implementation perspective

Edited by Michael Merlingen and Rasa

Ostrauskaitė

#### 51. Women and British Party Politics

Descriptive, substantive and symbolic representation Sarah Childs

### **52.** The Selection of Ministers in Europe Hiring and firing

Edited by Keith Dowding and Patrick Dumont

#### 53. Energy Security

Europe's new foreign policy challenge Richard Youngs

#### 54. Institutional Challenges in Post-Constitutional Europe

Governing change Edited by Catherine Moury and Luís de Sousa

# 55. The Struggle for the European Constitution

A past and future history Michael O'Neill

#### 56. Transnational Labour Solidarity

Mechanisms of commitment to cooperation within the European Trade Union movement Katarzyna Gajewska

# 57. The Illusion of Accountability in the European Union

Edited by Sverker Gustavsson, Christer Karlsson and Thomas Persson

# 58. The European Union and Global Social Change

A critical geopolitical-economic analysis József Böröcz

# 59. Citizenship and Collective Identity in Europe

Ireneusz Pawel Karolewski

#### 60. EU Enlargement and Socialization

Turkey and Cyprus Stefan Engert

#### 61. The Politics of EU Accession

Turkish challenges and Central European experiences

Edited by Lucie Tunkrová and Pavel Šaradín

### **62.** The Political History of European Integration

The hypocrisy of democracy-through-market Hagen Schulz-Forberg and Bo Strath

### 63. The Spatialities of Europeanization

Power, governance and territory in Europe Alun Jones and Julian Clark

### 64. European Union Sanctions and Foreign Policy

When and why do they work? Clara Portela

#### 65. The EU's Role in World Politics

A retreat from liberal internationalism *Richard Youngs* 

# 66. Social Democracy and European Integration

The politics of preference formation Edited by Dionyssis Dimitrakopoulos

### 67. The EU Presence in International Organizations

Edited by Spyros Blavoukos and Dimitris Bourantonis

### 68. Sustainability in European Environmental Policy

Challenge of governance and knowledge
Edited by Rob Atkinson, Georgios Terizakis and
Karsten Zimmermann

#### 69. Fifty Years of EU-Turkey Relations

A Sisyphean story

Edited by Armagan Emre Çakir

#### 70. Europeanization and Foreign Policy

State diversity in Finland and Britain Juha Jokela

### 71. EU Foreign Policy and Post-Soviet Conflicts

Stealth intervention Nicu Popescu

#### 72. Switzerland in Europe

Continuity and change in the Swiss political economy

Edited by Christine Trampusch and André Mach

### 73. The Political Economy of Noncompliance

Adjusting to the Single European Market Scott Nicholas Siegel

### 74. National and European Foreign Policy

Towards Europeanization

Edited by Reuben Wong and Christopher Hill

#### 75. The European Union Diplomatic Service

Ideas, preferences and identities

Caterina Carta

#### 76. Poland within the European Union

New awkward partner or new heart of Europe? Aleks Szczerbiak

### 77. A Political Theory of Identity in European Integration

Memory and policies Catherine Guisan

#### 78. EU Foreign Policy and the Europeanization of Neutral States

Comparing Irish and Austrian foreign policy *Nicole Alecu de Flers* 

### 79. Party System Change in Western Europe

Gemma Loomes

# 80. The Second Tier of Local Government in Europe

Provinces, counties, départements and Landkreise in comparison Hubert Heinelt and Xavier Bertrana Horta

# 81. Learning from the EU Constitutional Treaty

Democratic Constitutionalism beyond the Nation-state Ben Crum

### 82. Human Rights and Democracy in EU Foreign Policy

The cases of Ukraine and Egypt Rosa Balfour

#### 83. Europeanization, Integration and Identity

A social constructivist fusion perspective on Norway

Gamze Tanil

### 84. The Impact of European Integration on Political Parties

Beyond the permissive consensus Dimitri Almeida

## 85. Civic Resources and the Future of the European Union

Victoria Kaina and Ireneusz Pawel Karolewski

# 86. The Europeanization of National Foreign Policies towards Latin America

Lorena Ruano

### 87. The EU and Multilateral Security Governance

Sonia Lucarelli, Luk Van Langenhove and Jan Wouters

### 88. Security Challenges in the Euro-Med Area in the 21st Century

Mare Nostrum Stephen Calleya

### 89. Society and Democracy in Europe

Oscar W. Gabriel and Silke Keil

#### 90. European Union Public Health Policy

Regional and global trends

Edited by Scott L. Greer and Paulette Kurzer

### **Contributors**

- François Briatte, University of Grenoble, France.
- Elize Massard da Fonseca, Center for Metropolitan Studies, Brazilian Center for Analysis and Planning (CEM/CEBRAP), São Paulo, Brazil.
- **Heather Elliott**, Department of Health Management and Policy, School of Public Health, University of Michigan, USA.
- **Scott L. Greer**, Department of Health Management and Policy, School of Public Health, University of Michigan, USA.
- **Sébastien Guigner**, Institute of Political Science, Centre Emile Durkheim, University of Bordeaux, France.
- Boris Hauray, CNRS-INSERM-EHESS, Université Paris 13, France.
- **Holly Jarman**, Department of Health Management and Policy, School of Public Health, University of Michigan, USA.
- Loes Knaapen, Social Studies of Medicine Department, McGill University, Canada.
- **Paulette Kurzer**, School of Government and Public Policy, University of Arizona, USA.
- **Wolfram Lamping**, Institute for Political Science, Technical University of Darmstadt, Germany.
- **Jenny Cisneros Örnberg**, Centre for Social Research on Alcohol and Drugs (SoRAD), Stockholm University, Sweden.
- **Donley T. Studlar**, Department of Political Science, West Virginia University, USA.

# **Contents**

	List of figures	X1
	List of tables	xii
	List of contributors	xiii
1	Introduction: What is European Union public health policy?	1
PA	RT I	
Th	ne EU and health systems	17
2	European Union health care policy WOLFRAM LAMPING	19
3	European Union health information infrastructure and policy HEATHER ELLIOTT	36
4	The politics of European public health data FRANÇOIS BRIATTE	51
5	European regulation and harmonization of clinical practice guidelines LOES KNAAPEN	64
6	The European regulation of medicines BORIS HAURAY	81

PAI	RT II	
Th	e EU and global health governance	95
7	The EU as a global health actor: Myth or reality? SÉBASTIEN GUIGNER	97
8	Trade in services and the public's health: A "Fortress Europe" for health?  HOLLY JARMAN	110
9	Intellectual property enforcement in the European Union ELIZE MASSARD DA FONSECA	126
DAI	RT III	
	The EU and population health	
10	Catch me if you can: Communicable disease control SCOTT L. GREER	141
11	Non-communicable diseases: The EU declares war on "fat" $\mbox{\sc paulette}$ kurzer	155
12	Alcohol policy in the European Union Jenny Cisneros örnberg	168
13	Tobacco control: The end of Europe's love affair with smoking?  DONLEY T. STUDLAR	181
14	Conclusion PAULETTE KURZER	194
	Bibliography	207

# **Figures**

5.1	Benchmarking guideline development programs (vertical axis)	
	along AGREE criteria (horizontal axis)	74
5.2	J. Miller and G. Ollenschläger, slide 17 from presentation:	
	"Globalisation of CPGs: Do we need an international	
	guidelines network?" Berlin, June 2002	76
9.1	Médecins Sans Frontières' international campaign (access to	
	medicines).	132

# **Tables**

5.1	The AGREE collaboration led to many other international	
	guideline projects (co-)funded by the EU	66
8.1	Dimensions of EU power in trade and health services	112
8.2	EU health-related commitments under the GATS	114
8.3	The opportunities and costs of increased patient mobility	117
8.4	The opportunities and costs of increased professional mobility	120
13.1	Eras of tobacco control in Europe	183
13.2	Chronology of tobacco policy in the EU	187

### 1 Introduction

What is European Union public health policy?

Scott L. Greer

Defining European Union public health policy is hard: because the place of public health in the EU is new and still under debate; and because the borders and meaning of public health are less agreed than its scholars and practitioners might think. This introductory chapter first addresses the problem of defining public health. The second section addresses the issue of public health policy in the European Union, identifying it and separating it from the very substantial economic policies associated with the EU that also have economic effects. It argues that the public health policies of the EU are concentrated in three areas: the EU as a global actor (with repercussions inward on the EU itself); the EU as a supporter of health systems; and the EU as a public health regulator in its own right. The third section presents the chapters in the book.

### What is public health policy? A plea for empirical definitions

At its most abstract, public health is the health of the population: their freedom from disease, incapacity, and avoidable death. Public health policy is that range of policies that contribute to such an end—from injury prevention to anti-smoking campaigns, to health systems strengthening, to microbiological research. Public health stands in relation to society like the doctor in relation to the patient: charged with diagnosing, prescribing, and treating its ills. In other words, public health is affected by almost every policy in government, and almost every policy in government could potentially be seen as more or less successful public health policy. And just like medical diagnoses, prescription, and influence over patients are highly imperfect, the influence of public health diagnoses, advice, and influence over states and peoples are highly imperfect.

We see the confusion in the endless definitional conversations that afflict public health. They are sparked by practical organizational requirements (such as degree accreditation or reorganization of a ministry), by professional self-definition (such as the border wars between medicine, nursing, and public health), by disagreement about whether a public health policymaker should be attending a given government meeting, or by simple disagreement about what topics are fit for public health scholars. The particularly neuralgic definitional divide is between public health and medicine; stances range from outright opposition (the argument

that prevention and public health, rather than clinical medicine, save lives) to a variety of tangled syntheses that mirror the tangled relationships between public health and medically trained people in governments, practice, and scholarships.

The first problem for scholarship in particular is conceptual overreach. It arises when the impact of every policy on public health becomes a warrant for public health advocates to intervene in any and all areas of public health. On one hand, the odds are good that their expertise is either irrelevant (as with many discussions of social and health care services policy within public health) or very partial (epidemiology can say something about almost any topic in society, but not necessarily something other scholars and policymakers will find useful or want to hear). On the other hand, basic politics suggests that the expertise, connections, and coalitions of public health policymakers, like any other policymakers, have limits. The problem of integrating public health into the thinking of other policymakers, aka "Health in all policies," has scarcely been solved (Ståhl *et al.* 2006; Geyer and Lightfoot 2010; Greer 2012b).

The second problem arises when the difficulty of discussing public health's nature and borders leads to unreflective definitions that mix the quintessence of public health with the organization and preoccupations of any one country. It is a basic methodological mistake to confuse the field of action (public health) with the people acting on the field (whatever corps of people have "public health" in their job description) (Bourdieu 2012, p. 334). Public health's definitional debates are particularly prone to go wrong when participants cross borders; every one of the small number of studies comparing public health in Europe reveals major differences in the form and content of public health organization and activity (Mereckiene *et al.* 2010; MacLehose *et al.* 2001; Reintjes *et al.* 2007; Reintjes 2012; Elliott *et al.* 2012; Mounier-Jack and Coker 2006; Coker and McKee 2008; Brand 2012).

It stands to reason that the starting point of a conversation in the UK, where public health is dominated by highly trained medical specialists, will take a different course from conversations in the United States, where the two-year Masters of Public Health is the dominant degree, or from the numerous countries of continental Europe where there is no particularly prestigious degree or profession associated with the field. Furthermore, the big differences between polities matter and might not be easy to explain. Historical research, by far the dominant kind of scholarly research into public health politics and organization, has so far found path-dependent and otherwise patternless variation, a challenge to social scientists of all kinds (Baldwin 2005a,b). It has also made it clear just how different "public health" looks from different standpoints (Solomon *et al.* 2008)—and how often a writer's assumptions about "how it is done" turn out to actually mean "how it is done in my country."

The way out of these two problems—overreach and parochialism—is to abandon such "legislative" definitions, in which the academic observer determines the nature and scope of the topic. Instead, we should examine the scope of the policies—and the definitional debates—in different jurisdictions. Searching for the true nature of public health, or public health policy, is an activity best

left to philosophers and advocates. Those from the social sciences who wish to understand the dynamics of public health policymaking would probably be better off starting with the policies, and understandings, of the participants in the system they are studying. In the case of the European Union, this means understanding two separable issues: the effects of the EU on public health, which are mostly from its role as an economic actor, and the set of policies that, as a practical question of EU politics, *do* involve the justifications and people associated with public health. The former might constitute a challenge to public health systems and advocates, and something they can use their participation in government to attack; the latter is what they are accustomed to doing.

### What is the European Union? A public health policy approach

The European Union is a distinctive organization in world politics. Its institutions and politics are like those of many other polities, but as a whole it resembles little else. It began as a club of six countries that agreed to pool sovereignty over coal and steel, and later share atomic energy—and, fatefully, then, to form a common market with supranational institutions. Over decades it grew in both territorial expanse and the power of its law and institutions. Sometimes extension was due to great interstate bargains such as the ones that relaunched the internal market for 1992, admitted the post communist states of central Europe, or founded the currency union. Often, though, the deepening of integration was the work of the institutions: the entrepreneurial executive Commission and the European Court of Justice, which between them created many a *fait accompli* of integration that states found they could only accept. Later, the European Parliament would start to become a credible voice, heard in many of this book's chapters.

The EU might have distinctively powerful and entrepreneurial institutions, but its powers are theoretically enumerated in treaties, the most recent of which is the Lisbon Treaty. Like the constitutions of most federations, the treaties enumerate the powers of the EU. Where there is no "treaty base," there is no basis for EU action. But like the constitutions of many federations, this restriction has proven more able to channel than to prevent the expansion of EU power. The mechanism is simple: the EU might not have treaty bases authorizing significant action in the area of health care, for example, but it is firmly within its rights (as defined and expanded over the years by the Court of Justice) when it regulates the internal market that includes the patients, doctors, providers, devices, and money of a health system.

The activity of these institutions then drives further European integration in a process known as spillover, in which integration essentially begets integration. Sometimes integration creates a demand for integration—if European integration allows farmers to buy and sell cattle across borders, it creates demands, come a crisis, for somebody to certify the quality of meat. Such spillover creates pressure for integration in the form of a nexus for cross-border coordination, and in the geopolitical and institutional environment of Europe it works (Knab 2011). Sometimes integration operates in a largely political sense: once the Court has

#### 4 Scott L. Greer

created a right to health care across borders, everybody with an interest in health care has a reason to flock to Brussels and populate a new and once-unthinkable European Union health policy community (Greer 2006b). Both kinds of integration process are at work in this book.

The logic of integration instills its own biases, however. The powerful treaty bases have actually given rise to the "constitutional principles" of the European Union, known as the "four freedoms" in an appropriation of a phrase first coined for more inspiring ends by Franklin Delano Roosevelt. The four freedoms are the freedom of movement of goods, services, capital and people within the EU. A policy that interferes with such freedoms needs a powerful justification in theory, and a strong coalition in politics, to survive.

A European Union with a derisory budget, powerful legal system, and "constitutional" commitment to freedom of goods, services, capital and people is a perfect example of a regulatory state. Its principal policy tool is regulatory: setting the conditions under which others, including states, may make their decisions and ensuring that they do not interfere with the four freedoms in the internal market. Regulatory states can be infuriating because they make others pay for their policy goals; the Court can enunciate a right to health care, but member states must pay for it. Policy advocates in the EU often spend much of their time developing forums, programs, and initiatives that will help them counteract the information deficits, democratic deficits, and distrust such an organization creates among those it regulates.

Once European integration has taken place in a policy area—once the EU has an acknowledged role—the problem becomes one of action and impact. After integration comes EU policymaking and Europeanization. Those topics involve asking what combination of lobbying, institutions, entrepreneurship and luck explains EU agendas and policy decisions, and then, what impact it has and why.

What this all means is that the EU has two kinds of impact on public health. One comes through its role as an economic actor. The other is through policy justified by and intended to improve Europeans' health. The latter is the focus of this book, but the former is the crucial context.

#### The EU: Economic actor

The EU is first and foremost an economic actor; it is no accident that it was called an "Economic Community" until 1992. The economic influence of the EU on public health comes through two routes. One is the regulatory agenda of the internal market. This is the core business of the main EU institutions: Court, Commission, Council and Parliament. They are engaged in developing law and policy that broadens and deepens economic integration. Policy in this area suffers from the "constitutional asymmetry" that Fritz Scharpf identified (Scharpf 2002). The Treaties enable more liberalization ("negative integration," the removal of barriers to trade within Europe) than promoting new EU-wide standards and proposals ("positive integration," the creation of programs that raise standards,