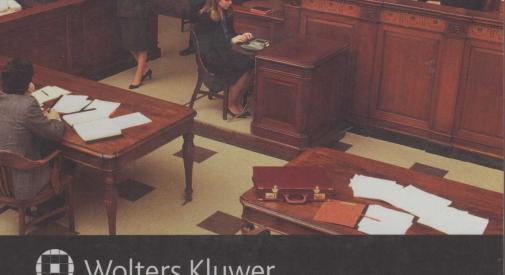
# Thomas A. Mauet • Warren D. Wolfson

FIFTH EDITION





Wolters Kluwer

Law & Business

# TRIAL EVIDENCE

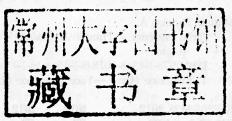
# Fifth Edition

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# **PREFACE**

Why *Trial Evidence*? The present legal landscape has numerous evidence hornbooks and treatises, many of which are authoritative and longstanding. What are the gaps in the existing literature that this book seeks to fill?

This book is different from existing ones in several ways. First, it reflects the way judges and trial lawyers in the real world of trials think, or should think, about evidence, using the "three Rs"—relevant, reliable, and right—as its analytical framework. Second, it is structured around the sequential components of a trial—beginning with opening statements and ending with closing arguments—rather than the numerical structure of the Federal Rules of Evidence. Third, it allocates space according to how important the topic is to judges and trial lawyers in the real world of trials, rather than according to the interest level of academicians. For example, party admissions and business records are important topics to trial lawyers, judicial notice and presumptions less so, and the book reflects these realities. Fourth, and most important, the book bridges the gap between evidence as an academic subject in the classroom and evidence as a functional tool in the courtroom. It shows where the evidence rules are commonly used in the real world of trials and how the effective trial lawyer uses them to persuade the judge deciding evidentiary issues.

This book does not claim to do some things. It does not approach evidence from a historical development, social policy, or comparative law perspective. It is neither a critical analysis of the existing rules nor a critique of interpretative case law. It accepts the present evidence rules, the ones lawyers and judges deal with on a daily basis, and analyzes them functionally. It shows how those rules apply in the daily life of the courtroom and how a lawyer can and should use the law as a functional tool to persuade the judge making the evidentiary rulings.

We have not attempted to duplicate the research done by the leading treatises. Instead, we rely on them. The book is principally footnoted to McCormick on Evidence, Weinstein's Federal Evidence, and Wigmore on Evidence, the three leading treatises on evidence, and to Evidence by Mueller and Kirkpatrick, which is quickly joining the others. The citations to these treatises will be much more useful than individual case citations in researching evidentiary issues that arise.

The chapters in the book have law and practice sections. The law sections contain functional overviews of the Federal Rules of Evidence, footnoted to the major treatises. We have relied on these and other treatises as well as the Advisory Committee's Notes. The practice sections contain realistic examples, in commonly recurring fact settings, of how particular rules are used before and during trials, how lawyers should (and sometimes fail to) make proper

evidentiary objections, and how judges make rulings. These examples are based on actual federal and state cases. The examples get into the mind of the judge by noting the judge's thoughts, concerns, and reasoning when ruling on objections. We believe this approach is what inexperienced trial lawyers need to learn when bridging the gap between evidence rules as academic subjects and evidence rules as courtroom tools.

Why us? Each of us has been a trial lawyer, professor, and judge. Collectively we have over 25 years of experience as trial lawyers, over 50 years as professors teaching and writing about evidence and trial advocacy, and over 30 years as civil and criminal trial judges. During these years, we have noted a disturbing, recurring fact: Many lawyers, while "knowing" evidence rules, are less capable of using those rules as functional tools to persuade trial judges to rule in their favor. Since we have lived in both the world of academe and the world of trials, we hope that our collective experiences will be useful to those who will, and those who do, use the Federal Rules of Evidence or their state counterparts on a regular basis in the courtroom.

Throughout the book, we have used masculine pronouns to refer to the judges and lawyers. We did this for the sake of simplicity and consistency, and for no other reason.

A book is always the result of more than the efforts of its authors. Our spouses, Gloria Torres Mauet and Hon. Lauretta Higgins Wolfson, have been patient supporters of this effort from its inception. They are both trial lawyers, and their thoughtful suggestions have influenced the book in numerous ways. To our students and staff who have worked with us, we say thanks.

The changes to this fifth edition are principally three-fold. First, we have updated Sec. 7.1 and other sections dealing with the Sixth Amendment Confrontation Clause to include all Supreme Court cases interpreting *Crawford v. Washington* through June 2011. Second, the Federal Rules of Evidence have been restyled, and the restyled rules go into effect on December 1, 2011. The restyled rules are not intended to make any substantive changes to the prior rules. The restyled rules have been incorporated throughout the text and also appear in the appendix. Third, the text incorporates all Supreme Court decisions through June 2011 that affect the rules.

We hope you will find the additions to this fifth edition valuable.

Thomas A. Mauet Tucson, Arizona

Warren D. Wolfson Chicago, Illinois December 2011

# **CITATIONS**

For ease in citing, the text uses the following abbreviated citations:

### **McCormick**

McCormick on Evidence, Kenneth S. Broun, General Editor (6th ed., Practitioner Treatise Series, 2006)

### Mueller & Kirkpatrick

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### Weinstein

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## TRIAL EVIDENCE

# SUMMARY OF CONTENTS

Contents Preface		is xis
Citatio	ms	xx
I.	AN ADVOCACY APPROACH TO TRIAL EVIDENCE	1
II.	THE ROLE AND POWER OF THE TRIAL JUDGE: EVIDENTIARY OBJECTIONS BEFORE AND DURING TRIAL	g
III.	OPENING STATEMENTS	27
IV.	DIRECT EXAMINATION OF WITNESSES: BASIC CONSIDERATIONS	41
V.	DIRECT EXAMINATION OF WITNESSES: RELEVANCE	75
VI.	DIRECT EXAMINATION OF WITNESSES: HEARSAY AND NON-HEARSAY	125
VII.	DIRECT EXAMINATION OF WITNESSES: HEARSAY EXCEPTIONS	163
VIII.	DIRECT EXAMINATION OF WITNESSES: POLICY EXCLUSIONS AND PRIVILEGES	235
IX.	DIRECT EXAMINATION OF EXPERTS	273
X.	EXHIBITS	303
XI.	JUDICIAL NOTICE AND PRESUMPTIONS	347
XII.	CROSS-EXAMINATION AND IMPEACHMENT OF LAY AND EXPERT WITNESSES	355
XIII.	REDIRECT, RECROSS, REBUTTAL, AND SURREBUTTAL	411
XIV.	CLOSING ARGUMENTS	425
Appen	dix FEDERAL RULES OF EVIDENCE	447
Index		477

# CONTENTS

Preface Citation	as a granded of a second of a	xix
I. AN	ADVOCACY APPROACH TO TRIAL EVIDENCE	1
	Introduction The three "Rs"  1. Relevance 2. Reliability 3. Rightness Using the three "Rs" Conclusion  HE ROLE AND POWER OF THE TRIAL JUDGE:	1 2 2 3 3 5 7
	ND DURING TRIAL	9
\$2.1. \$2.2. \$2.3. \$2.4.	Introduction Sources of judicial power  1. FRE 102 2. FRE 611 3. FRE 614 Sources of judicial procedure 1. FRE 104 2. FRE 103 3. FRE 105 Raising and meeting objections	9 10 10 10 12 13 15 19 19 22
§3.1. §3.2.	Introduction Mentioning inadmissible evidence  1. Law 2. Practice Mentioning unprovable evidence 1. Law	27 29 29 31 32 32
	2. Practice	33

L. Law 2. Practice

x	Con	tents
A	COIL	renra

§3.4.	Arguing	34
	1. Law	34
	2. Practice	35
§3.5.	Stating personal opinions	36
	1. Law	36
	2. Practice	36
§3.6.	Discussing law	37
	1. Law	37
	2. Practice	38
§3.7.	Mentioning the opponent's case	38
zid i	1. Law	38
	2. Practice	39
IV. DI	IRECT EXAMINATION OF WITNESSES:	
	ASIC CONSIDERATIONS	41
_		1 13
§4.1.	Introduction	41
§4.2.	Witness competency (FRE 601)	41
	1. Law	41
	2. Practice	43
§4.3.	Oath or affirmation (FRE 603)	45
	1. Law	45
	2. Practice	45
§4.4.	Improper witnesses (FRE 605, 606)	46
	1. Law School Making step go station of GZA a fing as	46
	2. Practice	49
§4.5.	Who may call witnesses (FRE 614)	50
	1. Law	50
	2. Practice	51
§4.6.	Excluding witnesses (FRE 615)	52
	1. Law	52
	2. Practice	54
§4.7.	Personal knowledge and opinions (FRE 602, 701)	55
	1. Law	55
	2. Practice	59
§4.8.	Impeaching own witnesses (FRE 607)	61
	1. Law	61
	2. Practice	62
§4.9.	Leading questions (FRE 611(c))	64
	1. Law	64
	2. Practice	65
§4.10.	Other form objections	67
dy in the	1. Law	67
	2. Practice	69
§4.11.	Refreshing recollection and recorded recollection	
291	(FRE 612, 803(5))	69
	1. Law	69
	2. Practice	72
		. 4

		Contents	A
V. DII	RECT EXAMINATION OF WITNESSES: RELEVANC	CE CONTRACTOR	75
§5.1.	Introduction		75
§5.2.	General relevance		75
83.4.	1. Law		75
	a. FRE 401-402		76
	i. What are the matters in issue in the ca	ase?	76
	ii. Is the evidence probative of a matter		
	in issue in the case?		77
	b. FRE 403		80
	2. Practice		81
§5.3.	C		85
30.0.	1. Character traits		85
	a. Law		85
	i. "Essential element" rule		86
	ii. "Circumstantial evidence" rule		89
	b. Practice		93
	c. Summary of character evidence		97
	2. Other crimes, wrongs, and acts		98
	a. Law		98
	b. Practice		104
	c. Summary of other uncharged crimes,		
	wrongs, or acts		109
	3. Similar incidents evidence		110
	a. Law		110
	b. Practice		111
	4. Other acts evidence in sexual assault cases		
	(FRF 419-415)		113
	a. Law		113
	b. FRE 412		115
	b. FRE 412 c. FRE 413-415		117
	d Dunatica		118
	5. Habit and routine practice (FRE 406)		119
	a. Law		119
	b. Practice		121
	1036 808 (2) manus		
VI. D	IRECT EXAMINATION OF WITNESSES:		
H	EARSAY AND NON-HEARSAY	Charles mand	125
§6.1.	Introduction		125
§6.2.	The hearsay rules		127
	1. A "statement"		127
	2. "Other than one made by the declarant while		
	testifying at the trial or hearing"		129
	3. "Offered in evidence to prove the truth of		
	the matter asserted"		129
§6.3.	Non-hearsay		131
	1. Law		131
	a. Independent legal significance		132

xii	Contents

	b. Impeachment	133
	c. Effect on listener's state of mind	134
	2. Practice	135
§6.4.	Prior statement by witness (FRE 801(d)(1))	141
	1. Law	141
	a. Prior inconsistent statements made under oath	
	used for impeachment	142
	b. Prior consistent statements used to rebut a	
	charge of recent fabrication or of improper	
	influence or motive	143
	c. A statement of identification of a person	145
	2. Practice	146
§6.5.	Admission by party-opponent (FRE 801(d)(2))	150
	1. Law	150
	a. A party's own admission	151
	b. Adoptive admissions	152
	c. Admissions by authorized persons, agents,	
	and employees	153
	d. Co-conspirator statements	154
	2. Practice	156
§6.6.	Summary of hearsay analysis	162
05.1	HEARSAY EXCEPTIONS	163
§7.1.	Introduction	163
	1. Hearsay exceptions rationale	164 165
	<ul><li>2. The FRE 803 exceptions</li><li>3. The FRE 804 exceptions</li></ul>	166
	4. The Sixth Amendment Confrontation Clause	168
	5. Organizing hearsay exceptions	173
§7.2.	Present sense impressions (FRE 803(1))	175
37.4.	1. Law	175
	2. Practice	176
§7.3.	Excited utterances (FRE 803(2))	177
3	1. Law	177
	2. Practice	180
§7.4.	Then existing mental, emotional, or physical conditions	
	(FRE 803(3))	181
	1. Law	181
	2. Practice	184
§7.5.	Statements for purpose of medical diagnosis or treatment	
	(FRE 803(4))	186
	1. Law	186
	2. Practice	188
§7.6.	Statements under belief of impending death (FRE 804(b)(2))	190
	1. Law	190
	2. Practice	191

	Contents	XIII
§7.7.	Former testimony (FRE 804(b)(1))	193
	1. Law	193
	2. Practice	196
§7.8.	Statements against interest (FRE 804(b)(3))	198
H hear	1. Law	198
	2. Practice	202
§7.9.	Statements of personal or family history (FRE 804(b)(4))	204
	1. Law	204
	2. Practice	205
§7.10.	Business records (FRE 803(6), 803(7), 902(11), 902(12))	205
71.8	1. Law	205
	2. Practice	212
§7.11.	Public records (FRE 803(8)-803(17))	213
and .	1. Law MIN 2000 water benefit was not not need a fine of	213
	2. Practice	216
§7.12.	Recorded recollection (FRE 803(5))	217
EAR T	1. Law	217
	2. Practice	219
§7.13.	Reputation evidence (FRE 803(19)-803(21))	220
ans T	1. Law	220
	2. Practice	221
§7.14.	Treatises (FRE 803(18))	222
200	1. Law	222
	2. Practice	224
§7.15.	Residual or catchall exception (FRE 807)	225
198 -	1. Law	225
	a. Trustworthiness	226
	b. Necessity	228
	c. Material fact	228
	d. Satisfy general purpose of Rules and interests	
	of justice	228
	e. Notice	228
	2. Practice	229
§7.16.	Hearsay within hearsay (FRE 805)	230
	1. Law	230
	2. Practice	230
§7.17.	Attacking and supporting credibility of declarant	
	(FRE 806)	231
	1. Law	231
	2. Practice	233
VIII.	DIRECT EXAMINATION OF WITNESSES:	
105	POLICY EXCLUSIONS AND PRIVILEGES	235
§8.1.	Introduction to policy exclusions	235
§8.2.	Subsequent remedial measures (FRE 407)	236
	1. Law	236
	2. Practice	238

xiv	Contents	
§8.3.	Compromise and offers of compromise (FRE 408)	240
EUL.	1. Law	240
	2. Practice	242
§8.4.	Payment of medical expenses (FRE 409)	243
2011	1. Law	243
	2. Practice	243
§8.5.	Existence of liability insurance (FRE 411)	244
Alp.	1. Law	244
	2. Practice	245
§8.6.	Plea agreements and discussions (FRE 410)	247
d08"	1. Law	247
	2. Practice	248
§8.7.	Victim's past sexual behavior or alleged sexual	
ETE -	predisposition in sex offense cases (FRE 412)	249
	1. Law	249
	2. Practice	251
§8.8.	Introduction to privileges	252
§8.9.	Preliminary considerations	254
§8.10.	Marital privilege to bar spousal testimony	256
029.	1. Law	256
	2. Practice	257
§8.11.	Interspousal communications privilege	258
000	1. Law	258
	2. Practice	259
§8.12.	Attorney-client privilege	261
225	1. Law	261
	2. Practice	265
§8.13.	Doctor-patient privilege	269
ACC.	1. Law	269
	2. Practice and had said a to agree the land a substantial to	270
§8.14.	Other privileges	271
MAL I	Sodori a	
IV D	IDECT EVANDATION OF EVERENCE	0=0
IX. D	IRECT EXAMINATION OF EXPERTS	273
§9.1.	Introduction	273
§9.2.	Frye, Daubert, Joiner, and Kumho Tire	274
	1. Law mention to million her generous content and the law	274
	2. Practice	278
§9.3.	Relevancy	280
	1. Law	280
	2. Practice	282
§9.4.	Reliability	284
all of	1 Low	284
	2. Practice	290
§9.5.	Sources of facts and data on which expert relies	293
188	1. Law	293
	2. Practice	296
		400