BRIEF EDITION

and Its Peoples

A Global History



The Earth



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THE EARTH AND ITS PEOPLES: A GLOBAL HISTORY

Volume II: Since 1500

Brief Edition

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istory is not easy. We met in a conference room at Houghton Mifflin: six professional historians seated around a table hammering out our ideas on what a global history textbook at the start of a new millennium should be. Together we brought to the project a high level of knowledge about Africa, the Americas, Asia, Europe, the Middle East. We argued; we made up over dinner; we debated some more.

But there was no short cut. Though not by nature contentious people, we were determined to write the best global history we could. And that necessarily meant testing ideas aloud; considering alternatives put forward by smart, articulate colleagues; and bargaining over what to include and what, with regret, to pass over. We believe the result was worth the sweat.

We have been very pleased with the reception of our text in world history classrooms. We have also been made aware of the need for a brief edition. Some professors prefer briefer editions in order to assign more primary sources while others merely do not have time to cover all the material contained in a full edition text. As a result we have created this brief edition of The Earth and Its Peoples in collaboration with an editor and freelance writer, Margaret Manos. Our primary goal was to maintain the central theme of "technology and the environment" while editing the text to two-thirds of its original size. As any author knows, cutting words can be even harder than writing them in the first place, and we are indebted to Margaret for her intelligent and objective editorial eye, which led us to conclusions that ultimately resulted in this brief version.

As with the full edition our goal was to write a textbook that would not only speak for the past but speak to today's student and today's teacher. By the time a class has completed it, students and instructor alike should have a strong sense that the history of the human species, throughout the globe, follows a particular trajectory:

from sparse and disconnected communities reacting creatively to their individual circumstances; through ever more intensive stages of contact, interpenetration, and cultural expansion and amalgamation; to a twenty-first century world situation in which people increasingly visualize a single global community.

The keynote of this book is not progress but process: a steady process of change over time, at first differently experienced in various regions of the world but eventually entangling peoples from all parts of the globe. Students should come away from this book with a sense that the problems and promises of their world are rooted in a past in which people of every sort, in every part of the world, confronted similar problems and coped with them as best they could. We believe our efforts will help students see where their world has come from and learn thereby something useful for their own lives.

Central Theme

We have subtitled *The Earth and Its Peoples* "A Global History" because the book explores the common challenges and experiences that unite the human past. Although the dispersal of early humans to every livable environment resulted in myriad economic, social, political, and cultural systems, all societies displayed analogous patterns in meeting their needs and exploiting their environments. Our challenge was to select the particular data and episodes that would best illuminate these global patterns of human experience.

To meet this challenge, we adopted "technology and environment" as our central theme to serve as the spinal cord of the text. We felt it represented the commonplace bases of all human societies at all times and granted no special favor to any cultural or social group even as it embraced

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subjects of the broadest topical, chronological, and geographical range.

It is vital for students to understand that technology, in the broad sense of experience-based knowledge of the physical world, underlies all human activity. Writing is a technology, but so is oral transmission from generation to generation of lore about medicinal or poisonous plants. The magnetic compass is a navigational technology, but so is the Polynesian mariners' hard-won knowledge of winds, currents, and tides that made possible the settlement of the Pacific islands.

All technological development, moreover, has come about in interaction with environments, both physical and human, and has, in turn, affected those environments. At the most basic level, concern with technology and the environment arises from the reality that all humans must eat. Yet less material cultural attainments are encompassed as well. Quite apart from such facilitating technologies as writing, papermaking, and printing, philosophies and religions have started from and sought to explain real world phenomena. Cultural achievements reflect changing understandings of human relations to one another and to the world. Thus they belong in this book, evidence of the technology and environment theme as a constant in human society and a solid basis for comparing different times, places, and communities.

Organization

The Earth and Its Peoples uses eight broad chronological divisions to define its conceptual scheme of global historical development. In Part One: The Emergence of Human Communities, to 600 B.C.E., we examine important patterns of human communal organization. Though scarcity of written sources limits what we know about the world's first societies, the evolution of their technologies tells us much about gender relations, specialization of work activities, and patterns of everyday life—in short, about the growing complexity of human communities.

Part Two: The Formation of New Cultural Communities, 1000 B.C.E.—500 C.E., introduces the concept of a "cultural community," in the sense of a coherent pattern of activities and symbols pertaining to a specific human community. While all communities have distinctive cultures, the advances and conquests of some communities in this period magnified the geographical and historical imprint of their cultures.

Part Three: Growth and Interaction of Cultural Communities, 300–1200, deals with early episodes of technological, social, and cultural exchange and interaction on a continental scale outside the framework of imperial expansion. These are so different from earlier interactions arising from conquest or extension of political boundaries that they constitute a distinct era in world history, an era that set the world on the path of increasing global interaction and interdependence that it has been following ever since.

In Part Four: Interregional Patterns of Culture and Contact, 1200–1500, we take a look at the world during three centuries that saw both intensified cultural and commercial contact and increasingly confident self-definition of cultural communities in Europe, Asia, and Africa.

Part Five: The Globe Encompassed, 1500–1800, treats a period dominated by the global effects of European expansion and continued economic growth. The contrasting capacities and fortunes of land empires and maritime empires, along with the exchange of domestic plants and animals between the hemispheres, underline the technological and environmental dimensions of this first era of complete global interaction.

In Part Six: Revolutions Reshape the World, 1750–1870, the word *revolution* is used in three senses: in the political sense of governmental overthrow, as in France and the Americas; in the metaphorical sense of radical transformative change, as in the Industrial Revolution; and in the broadest sense of a profound change in circumstances and world-view, as in the abolitionist movement.

Technology and environment lie at the core of these developments. With the ascendancy of the

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Western belief that science and technology could overcome all challenges, environmental or otherwise, technology became not only an instrument of transformation but also an instrument of domination, threatening the integrity and autonomy of cultural traditions in nonindustrial lands.

Part Seven: Global Dominance and Diversity, 1850–1945, examines the development of a world arena in which people conceived of events on a global scale. Imperialism, world war, international economic connections, and world-encompassing ideological forces, like nationalism and socialism, present the picture of an increasingly interconnected globe. European dominance took on a worldwide dimension, at times seeming to threaten permanent subordination to European values and philosophies while at other times triggering strong political or cultural resistance.

For Part Eight: The Perils and Promises of a Global Community, 1945 to the Present, we chose a thematic structure in keeping with how many people perceive the past half century.

In a world contest for resources, the difference between growth and prosperity or overpopulation and poverty often hinged on technological and environmental factors, such as the development of high-yielding strains of rice and other crops. Yet even as the world faced the prospect of deepening gulfs between its rich and its poor regions, technological development simultaneously brought its peoples into closer contact than ever before.

Distinctive Features

To keep the technology and environment theme and the structural linkage between the parts clear, each part begins with an opening essay that sets the following chapters into the broad context of the book as a whole.

"Environment and Technology" boxes in alternating chapters further emphasize our central theme. Each feature expands on a particular topic in technological history—for example, ancient textiles and dyes, castles and fortifications, writing systems, Copernican astronomy, whaling, and compact disks.

"Voices and Visions" features likewise accompany alternate chapters. These consist of excerpts from primary written, or occasionally visual, sources. While encouraging close study of historical evidence, they also enhance our narrative by giving clear voice to an array of individual viewpoints and cultural outlooks. Slavery in different periods and places receives attention, for example, as does Tamil culture, society in Colonial Mexico, revolutionary art, a Nigerian woman's recollection of her childhood at the turn of the twentieth century, and China's family planning needs. Questions designed to stimulate critical interpretation of primary sources close each "Voices and Visions" feature.

Each chapter opens with a thematic introduction intended to engage the reader's interest while previewing what will follow. Maps and illustrations serve to reinforce and complement, not merely ornament, surrounding discussion, while charts and timelines help to organize and review major developments. Careful chapter conclusions draw together major topics and themes and link the present chapter to the one that follows. An annotated list of Suggested Reading at the end of each chapter contains upto-date references to help students pursue their interests.

For further assistance, *The Earth and Its Peoples*, Brief Edition is issued in two formats to accommodate different academic calendars and approaches to the course. There is a one-volume paperback version containing all 29 chapters, along with a two-volume paperback edition: Volume I, To 1500 (Chapters 1–13), and Volume II, Since 1500 (Chapters 14–29).

At the end of each volume, an extensive pronunciation guide shows the reader how to pronounce the many foreign terms and names necessary to a book of this scope.

Supplements

We have assembled an array of text supplements to aid students in learning and instructors in xx Preface

teaching. These supplements, including an *Instructor's Resource Manual, Test Items, Computerized Test Items*, an interactive map CD-ROM, an Internet Study Guide on Houghton Mifflin's @history web site, and *Map Transparencies*, are tied closely to the text, to provide a tightly integrated program of teaching and learning.

The *Instructor's Resource Manual*, prepared by John Reisbord, provides useful teaching strategies for the global history course and tips for getting the most out of the text. Each chapter contains instructional objectives, a detailed chapter outline, discussion questions, individual learning activities, and audio-visual resources.

Each chapter of the *Test Items*, written by John Reisbord (Ph.D. Northwestern University), offers 14 to 16 key-term identifications, 4 to 6 essay questions with answer guidelines, 24 to 26 multiple-choice questions, and 2 to 3 history and geography exercises. We also provide a computerized version of the *Test Items*, to enable instructors to alter, replace, or add questions.

A new CD-ROM, GeoQuest World, features thirty interactive maps that demonstrate for students the connection between history and geography from ancient times to the present. Each map is accompanied by exercises with answers and essay questions. Four different types of interactivity allow students to move at their own pace through each section.

In addition Houghton Mifflin's @history web site provides text-based materials including primary sources, text specific self-tests, and gateways to relevant history sites.

A set of *Transparencies* of all the maps in the text is available on adoption.

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Over the years it took to bring this project to fruition, we worked with an excellent editorial and publishing team at Houghton Mifflin. Our hearts belong especially to Elizabeth M. Welch, Senior Basic Book Editor, who with unfailing good humor and sympathy (at least in our presence) guided us around every pitfall.

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Newman, Senior Project Editor; Charlotte Miller, art editor; Carole Frohlich, photo researcher; Jill Haber, Senior Production/Design Coordinator; and Priscilla Bailey, Senior Manufacturing Coordinator.

We thank also the many students whose questions and concerns shaped much of this work, and we welcome all our readers' suggestions, queries, and criticisms. Please contact us at our respective institutions or at this e-mail address: history@hmco.com

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here necessary for clarity, dates are followed by the letters C.E. or B.C.E. C.E. stands for "Common Era" and is equivalent to A.D. (Anno Domini, Latin for "in the year of the Lord"). B.C.E. stands for "Before the Common Era" and means the same as B.C. ("Before Christ"). In keeping with their goal of approaching world history without special concentration on one culture or another, the authors chose these neutral abbreviations as appropriate to their enterprise. Because many readers will be more familiar with English than with metric measurements, however, units of measure are generally given in the English system, with metric equivalents following in parentheses.

In general, Chinese has been romanized according to the *pinyin* method. Exceptions include proper names well established in English (e.g., Canton, Chiang Kai-shek) and a few English words borrowed from Chinese (e.g., kowtow). Spellings of Arabic, Ottoman Turkish, Persian, Mongolian, Manchu, Japanese, and Korean names and terms avoid special diacritical marks for letters that are pronounced only slightly differently in English. An apostrophe is used to indicate when two Chinese syllables are pronounced separately (e.g., Chang'an).

For words transliterated from languages that use the Arabic script—Arabic, Ottoman Turkish, Persian, Urdu—the apostrophe indicates that separately pronounced syllables may represent either of two special consonants, the *hamza* or the *ain*. Because most English speakers do not hear the distinction between these two, they have not been distinguished in transliteration, and they are not indicated when they occur at the beginning or

end of a word. As with Chinese, some words and commonly used placenames from these languages are given familiar English spellings (e.g., Quran instead of Qur'an, Cairo instead of al-Qahira). Arabic romanization has normally been used for terms relating to Islam, even where the context justifies slightly different Turkish or Persian forms, again for ease of comprehension.

There is lively scholarly debate on how best to render Amerindian words in English letters. Nahuatl and Yacatec Maya words and placenames are given in familiar, conventional forms that some linguists now challenge. Thus terms like Tenochtitlán and Chichén Itzá contain accented vowels, contrary to some scholarly recommendations. Similarly, like most North American historians, we have not followed recent proposals for a new system of transliterating Aymara and Quechua words from the Andean region. Thus we retain Inca instead of Inka and *quipu* instead of *khipu*.

To help clarify placenames that have changed over time, the modern form of the name is often put in parentheses after the form appropriate to the period of history under discussion. Thus, Annam, an ancestor state of Vietnam, is referred to as such where appropriate historically, with its relationship to modern Vietnam noted in parentheses. In some cases, consideration of the reader has demanded careful anachronisms (e.g., "Inner China" and "Outer China" in discussion of the early history of territories that only much later became part of empires based in China, and eventually of China itself). Anachronisms of this sort are explained in the text.

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