Edited by

and J.-L. Brenguier



# Airborne Measurements for Environmental Research

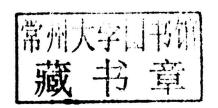
Methods and Instruments



# Edited by Manfred Wendisch and Jean-Louis Brenguier

# Airborne Measurements for Environmental Research

Methods and Instruments





WILEY-VCH Verlag GmbH & Co. KGaA

#### The Editors

Dr. Manfred Wendisch

Leipzig Institute for Meteorology (LIM) Leipzig, Germany m.wendisch@uni-leipzig.de

Dr. Jean-Louis Brenguier Météo-France, CNRM, GMEI EUFAR Toulouse, France ilb@meteo.fr

A book of the Wiley Series in Atmospheric Physics and Remote Sensing

#### The Series Editor

Dr. Alexander Kokhanovsky University of Bremen, Germany alexk@iup.physik.uni-bremen.de

#### Cover Picture

Photo taken near the Caribbean island of Antigua from the University of Wyoming King Air research aircraft during the Rain in Cumulus over the Ocean (RICO) project (funded by the US National Science Foundation). Courtesy of Gabor Vali. All books published by Wiley-VCH are carefully produced. Nevertheless, authors, editors, and publisher do not warrant the information contained in these books, including this book, to be free of errors. Readers are advised to keep in mind that statements, data, illustrations, procedural details or other items may inadvertently be inaccurate.

Library of Congress Card No.: applied for

# British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

## Bibliographic information published by the Deutsche Nationalbibliothek

The Deutsche Nationalbibliothek lists this publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at <a href="http://dnb.d-nb.de">http://dnb.d-nb.de</a>>.

© 2013 Wiley-VCH Verlag GmbH & Co. KGaA, Boschstr. 12, 69469 Weinheim, Germany

All rights reserved (including those of translation into other languages). No part of this book may be reproduced in any form — by photoprinting, microfilm, or any other means — nor transmitted or translated into a machine language without written permission from the publishers. Registered names, trademarks, etc. used in this book, even when not specifically marked as such, are not to be considered unprotected by law.

Cover Design Grafik-Design Schulz, Fußgönheim, Germany Typesetting Laserwords Private Limited, Chennai, India Printing and Binding Markono Print Media Pte Ltd, Singapore

Print ISBN: 978-3-527-40996-9 ePDF ISBN: 978-3-527-65324-9 ePub ISBN: 978-3-527-65323-2 mobi ISBN: 978-3-527-65322-5 oBook ISBN: 978-3-527-65321-8

Edited by Manfred Wendisch and Jean-Louis Brenguier

Airborne Measurements for Environmental Research

# **Related Titles**

Bohren, C. F., Huffman, D. R., Clothiaux, E. E.

# Absorption and Scattering of Light by Small Particles

2013 Softcover

ISBN: 978-3-527-40664-7

Camps-Valls, G., Bruzzone, L. (eds.)

# Kernel Methods for Remote Sensing Data Analysis

2009 Hardcover

ISBN: 978-0-470-72211-4

Vincent, J. H.

# **Aerosol Sampling**

Science, Standards, Instrumentation and Applications

2007 Hardcover

...........

ISBN: 978-0-470-02725-7

Wendisch, M., Yang, P.

Theory of Atmospheric Radiative Transfer – A Comprehensive Introduction Wiley-VCH Verlag GmbH & Co. KGaA, Weinheim, Germany

2012

ISBN: 978-3-527-40836-8

Bohren, C. F., Clothiaux, E. E.

# Fundamentals of Atmospheric Radiation

An Introduction with 400 Problems

2006 Softcover

ISBN: 978-3-527-40503-9

Seinfeld, J. H., Pandis, S. N.

# Atmospheric Chemistry and Physics

From Air Pollution to Climate Change

2006

Hardcover

ISBN: 978-0-471-72018-8

Lillesand, T. M., Kiefer, R. W., Chipman, J. W.

# Remote Sensing and Image Interpretation

2008

Hardcover

ISBN: 978-0-470-05245-7

# Preface



This book summarizes the knowledge of international experts in airborne measurements from 13 countries, which they have developed over many years of field experiments and application to environmental research. The book is produced within the framework of the European Facility for Airborne Research (EUFAR, <a href="http://www.eufar.net/">http://www.eufar.net/</a>). EUFAR is a research infrastructure network supported since 2000 by the European Commission, as part of the research infrastructures integration program; see the respective web page at <a href="http://cordis.europa.eu/fp7/capacities/home\_en.html">http://cordis.europa.eu/fp7/capacities/home\_en.html</a>.

One of the EUFAR Networking Activities is dedicated to Expert Working Groups (EWGs), which facilitate cross-disciplinary fertilizations and a wider sharing of knowledge and technologies between academia and industry in the field of airborne research. Over the past 10 years, numerous workshops have been organized by the EWGs addressing technical, logistic, and scientific issues specific to airborne research for the environment. From the beginning, these workshops involved international experts; however, an ever increasing number of scientists from outside the European airborne science community became involved and played an active role. Thus, the EWGs within EUFAR have become a truly international collaborative effort and, as a consequence, the workshops had a continuously increasing impact on defining research foci of future international airborne research.

The EUFAR EWGs currently publish workshop reports and recommendations (i) to aircraft operators on best practice and common protocols for operation of

airborne instruments, (ii) to scientific users on best usage and interpretation of the collected data, and (iii) to the research institutions on future challenges in airborne measurements. To ensure legacy of this accumulated knowledge, this book summarizes the major outcome of the EWG discussions on the current status of airborne instrumentation. The book has been designed to provide an extensive overview of existing and emerging airborne measurement principles and techniques. Furthermore, the book analyzes problems, limitations, and mitigation approaches specific to airborne research to explore the environment.

The target audience of the book is not only experienced researchers but also graduate students, the book intends to attract to this exciting scientific field. Also university teachers, scientists experienced in related fields and looking for additional airborne data, for example, for validation or analysis of their own measurements, modelers, and project managers will find a concise overview of airborne scientific instrumentation to explore atmospheric and Earth's surface properties in this book.

Chapter 1 examines the strengths and weaknesses of airborne measurements. The subsequent Chapter 2 deals with the description of instruments to measure aircraft state parameters and basic thermodynamic and dynamic variables of the atmosphere, such as static air pressure, temperature, water vapor, wind vector, turbulence, and fluxes. The next three chapters consider in situ measurements of gaseous and particulate atmospheric constituents (Chapters 3-5). Chemical instruments to measure gaseous atmospheric components are introduced in Chapter 3, whereas the instrumentation for particulate atmospheric constituents is described in Chapters 4 (aerosol particles) and 5 (cloud and precipitation particles). Special problems associated with airborne particle sampling (aerosol and cloud/precipitation particles) are discussed in Chapter 6. The following two chapters deal with airborne radiation measurements (Chapter 7) and with techniques for passive remote sensing of the Earth's surface (Chapter 8). The most commonly applied airborne active remote sensing techniques are introduced in Chapter 9. An extensive, albeit not complete, list of references the reader may consult for airborne instrumentation is given at the end of the book. Furthermore, some supplementary material has been compiled, which is not printed but available from the publisher's Web site.

We are very grateful to the European Commission, Research Infrastructure Unit, for its financial support to EUFAR, more specifically for the organization of expert workshops and the preparation of this book. We also acknowledge the support of the national research organizations from Europe and the United States, which are supporting the 91 scientific experts contributing to the book.

We particularly appreciate the considerable efforts of Ulrich Schumann and David W. Fahey to organize and steer the review process for the book; we also acknowledge their useful comments and suggestions. Before publication, the book was peer-reviewed by external experts, which has contributed to improve the quality of the book significantly. We explicitly thank the external reviewers of the book listed in alphabetic order: Charles Brock, Peter Gege, Jim Haywood, Dwayne E. Heard, Jost Heintzenberg, Robert L. Herman, Lutz Hirsch, Andreas

Hofzumahaus, Peter Hoor, Ruprecht Jaenicke, Greg McFarquhar, Matthew McGill, Ottmar Mühler, Daniel Lack, George Leblanc, Hanna Pawlowska, Tom Ryerson, Johannes Schneider, Patrick J. Sheridan, Geraint Vaughan, Peter Vörsmann, and Elliot Weinstock. Technical editor Dagmar Rosenow led many of the thankless but necessary tasks to pull this book together. We are grateful for her talents and dedication, without which the book could not have been completed. We also thank Matt Freer and Frank Werner for their help with editing the text and figures; the students Kathrin Gatzsche and Marcus Kundisch from the Leipzig Institute for Meteorology (LIM) of the University of Leipzig were of great help in compiling the extensive bibliography. Furthermore, we would like to list the leading authors of the chapters emphasizing their active role in writing this book.

Chapter 1: Ulrich Schumann, David W. Fahey, Manfred Wendisch, and Jean-Louis Brenguier

Chapter 2: Jens Bange, Marco Esposito, and Donald H. Lenschow

Chapter 3: Jim McQuaid and Hans Schlager

Chapter 4: Andreas Petzold and Paola Formenti

Chapter 5: Jean-Louis Brenguier

Chapter 6: Martina Krämer, Cynthia Twohy, and Markus Hermann

Chapter 7: Manfred Wendisch and Peter Pilewskie

Chapter 8: Eyal Ben-Dor

Chapter 9: Jacques Pelon and Gabor Vali

Leipzig and Toulouse 2012

Dr. Manfred Wendisch Leader of EWGs within EUFAR (Universität Leipzig) and Dr. Jean-Louis Brenguier EUFAR coordinator (Météo-France)

# A Tribute to Dr. Robert Knollenberg

There have been many important technologies that have been developed for the airborne measurement of atmospheric properties, and the scientific community owes its gratitude to the many distinguished researchers for their significant contributions to the measurement sciences.

One of these people who stands out, in particular, is Robert Knollenberg whose pioneering work in the 1970s led to the development of technology that is still employed in the majority of instruments that make airborne, real-time measurements of size distributions of atmospheric particles, in and out of clouds.

We are paying a special tribute to Robert Knollenberg in this book, the first to present a comprehensive overview of airborne instrumentation for atmospheric measurements, because of his many innovative and creative ideas that have allowed us to study the fine-scale structure of clouds and aerosol particles on a particle-by-particle basis. The development of the optical array probe (OAP), forward scattering spectrometer probe (FSSP), passive cavity aerosol spectrometer probe, single-particle soot photometer, and ultrahigh sensitivity aerosol spectrometer represent cutting-edge technology that has revolutionized how we look at clouds and aerosol particles. These groundbreaking instrument developments allowed the atmospheric science community to understand fundamental physical processes that, while theoretically predicted, could not be observed in the free atmosphere until Knollenberg's instruments provided the technology to measure the necessary particle characteristics to corroborate the theory.

After completing his PhD at the University of Wisconsin with continuous strong guidance from Dr. Robert Graham, Robert Knollenberg spent 3 years at the National Center for Atmospheric Research developing and field testing cloud particle spectrometers. In 1972, he decided to commercialize such instruments for use by the atmospheric community and formed Particle Measuring Systems (PMS) Inc. in Boulder, Colorado (USA). While under his direction, PMS developed a commercial version of the OAP, the 200-X and 200-Y, which were classified as "1D" probes, because they only measured the maximum size of particles with no shape information. This led, however, to the "2D" probes that measured the two-dimensional image of particles. In parallel to these developments, Knollenberg was also implementing single-particle light scattering to build the axially scattering spectrometer probe that evolved into the FSSP. Aside from the atmospheric

community's use of these instruments, they became essential for quantifying the cloud structure when certifying aircraft for "flight into known icing conditions."

Knollenberg turned PMS over to other management in the early 1990s to concentrate on research and instrument development, while spinning out a PMS electro-optics division forming another company, Research Electro-Optics Inc., which concentrated on developing new lasers and related optical components needed for PMS instrumentation and more general use. PMS went on to become the industry leader in optical particle counters used by the semiconductor industry to assess clean room and process fluid microcontamination. Knollenberg built the multiangle aerosol spectrometer (MASP) for NASA that was used to derive the size and refractive index of stratospheric aerosol particles while flying on the NASA ER-2. The MASP is the precursor to the cloud and aerosol spectrometer that is currently in use and implements the same measurement approach. Most recently, Knollenberg discovered how to measure the mass concentration of black carbon in individual aerosol particles using the concept of incandescence in an infrared laser cavity, once again breaking new ground and giving researchers a new tool to dissect individual particles and better understand how black carbon affects health and climate.

It is difficult to assess the breadth and depth of knowledge that the community has gained with respect to the science of the atmosphere as a result of Knollenberg's technological contributions. His strong background as an atmospheric physicist allowed him to understand the limitations and uncertainties in the technology that he then removed or minimized with the development of new techniques. Knollenberg serves as an inspiration to all who are interested in understanding how the atmosphere works and in particular to young scientists with new ideas on how to measure the properties of the atmosphere.

It is with deep gratitude that we offer this tribute in his name.

# List of Contributors

# Armin Afchine

Institute of Energy and Climate Research Stratosphere (IEK-7) Forschungszentrum Jülich GmbH 52425 Jülich Germany

# Gérard Ancellet

Université Pierre et Marie Curie Laboratoire Atmosphères Milieux et Observations Spatiales (LATMOS) 4 Place Jussieu 75252 Paris Cedex 05 France

# Maria Dolores Andrés-Hernández

Universität Bremen Institut für Umweltphysik Postfach 33 04 40 28359 Bremen Germany

# William D. Bachalo

Artium Technologies, Inc. 470 Lakeside Drive, Unit C Sunnyvale CA 94085 USA

# Stephen Ball

University of Leicester Department of Chemistry Leicester LE1 7RH UK

# Jens Bange

Universität Tübingen Umweltphysik Hölderlinstr. 12 72074 Tübingen Germany

# Darrel Baumgardner

Droplet Measuring Technologies 2545 Central Avenue Boulder, CO 80301 USA

# Eyal Ben-Dor

Tel Aviv University Department of Geography Ramat Aviv PO Box 39040 69989 Tel Aviv Israel

# Jean-Louis Brenguier

Météo-France/CNRM/GMEI 42 Avenue Gaspard Coriolis 31057 Toulouse Cedex 1 France

# Birger Bohn

Institute of Energy and Climate Research Troposphere (IEK-8) Forschungszentrum Jülich GmbH 52425 Jülich Germany

# Agnès Borbon

Laboratoire Interuniversitaire des Systèmes Atmosphériques (LISA), IPSL University of Paris Est Créteil (UPEC) and Paris Diderot (UPD) UMR CNRS 7583 Créteil France

# Philip R. A. Brown

Observation-Based Research Met Office FitzRoy Road Exeter, EX1 3PB UK

# Steven S. Brown

Chemical Sciences Division National Oceanic and Atmospheric Administration (NOAA) 325 Broadway R/CSD7 Boulder, CO 80305 USA

# **Anthony Bucholtz**

Naval Research Laboratory 7 Grace Hopper Street Stop 2, Monterey, CA 93943-5502 USA

# Ulrich Bundke

Johann Wolfgang Goethe-Universität Institut für Atmosphäre und Umwelt Altenhöferallee 1 60438 Frankfurt/Main Germany

# Valery Catoire

LPC2E (UMR7328) Universite Orleans 3A Avenue de la Recherche Scientifique 45071 Orleans cedex 2 France

# Patrick Y. Chuang

University of California Earth and Planetary Sciences 1156 High Street Santa Cruz, CA 95064 USA

# Hugh Coe

University of Manchester School of Earth Atmospheric and Environmental Science Sackville Street Manchester M60 1QD UK

# Joachim Curtius

Johann Wolfgang Goethe-Universität Institut für Atmosphäre und Umwelt Altenhöferallee 1 60438 Frankfurt/Main Germany

# Thomas G. Custer

Max-Planck Institute for Chemistry Hahn-Meitner-Weg 1 55128 Mainz Germany

# Susanne Crewell

Institut für Geophysik und Meteorologie der Universität zu Köln Albertus-Magnus-Platz 50923 Köln Germany

# Paul J. DeMott

Colorado State University Department of Atmospheric Science 1371 Campus Delivery Fort Collins, CO 80523-1371 USA

# Suresh Dhaniyala

Clarkson University 204 CAMP Potsdam, NY 13699-5725 USA

### Piero Di Carlo

Dipartimento di Scienze Fisiche e Chimiche Centro di Eccellenza CETEMPS Universita' degli Studi di L'Aquila Via Vetoio 67010 Coppito-L'Aquila Italy

# Volker Dreiling

Deutsches Zentrum für Luft- und Raumfahrt (DLR) Abteilung Flugbetrieb Oberpfaffenhofen 82234 Wessling Germany

## Gerhard Ehret

Institut für Physik der Atmosphäre Deutsches Zentrum für Luft- und Raumfahrt (DLR) Oberpfaffenhofen 82234 Wessling Germany

# Marco Esposito

cosine Research BV Niels Bohrweg 11 Leiden CA 2333 The Netherlands

# Biagio M. Esposito

Centro Italiano Ricerche Aerospaziali via Maiorise 81043 Capua Caserta Italy

# David W. Fahey

National Oceanic and Atmospheric Administration (NOAA) Earth System Research Laboratory 325 Broadway R/CSD6 Boulder, CO 80305 USA

# Markus Fiebig

Department of Atmospheric and Climate Research Norwegian Institute for Air Research 2027 Kjeller Norway

# Richard C. Flagan

California Institute of Technology 210-41 1200 E. California Blvd. Pasadena, CA 91125 USA

# Pierre H. Flamant

Laboratoire de Météorologie Dynamique Ecole Polytechnique 91128 Palaiseau France

### Paola Formenti

Laboratoire Interuniversitaire des Systèmes Atmosphériques (LISA), IPSL University of Paris Est Créteil (UPEC) and Paris Diderot (UPD) 61 avenue du Général de Gaulle **UMR CNRS 7583** Créteil France

# lacob Fugal

Max-Planck Institute for Chemistry Hahn-Meitner-Weg 1 55128 Mainz Germany

# **Timothy Garrett**

University of Utah Atmospheric Science Department 135 S 1460 East Rm 819 (WBB) Salt Lake City UT 84112-0110 USA

# Jean-Francois Gayet

Université Blaise Pascal LaMP UMR 6016 CNRS 24 avenue des Landais BP80026, 63 171 Aubière Cedex France

# Hermann Gerber

Gerber Scientific Inc. 1643 Bentana Way Reston, VA 20190 USA

# Andreas Giez

Deutsches Zentrum für Luft- und Raumfahrt (DLR) Abteilung Flugbetrieb Oberpfaffenhofen 82234 Wessling Germany

# Chawn Harlow

Observation Based Research The Met Office Cordouan 2 W007 FitzRoy Road Devon, Exeter EX1 3PB UK

# Samuel Haimov

University of Wyoming Atmospheric Science Dept. 3038 1000 E. University Ave. Laramie, WY 82071 USA

# Andy Heymsfield

MMM Division National Center for Atmospheric Research (NCAR) Boulder, CO 80301 USA

# Markus Hermann

Leibniz Institute for Tropospheric Research Department of Physics Permoserstraße 15 04318 Leipzig Germany

# James Hopkins

National Center for Atmospheric Sciences Department of Chemistry Heslington York YO10 5DD UK

# James G. Hudson

Desert Research Institute (DRI) Nevada System of Higher Education Division of Atmospheric Sciences Reno, NV 89512-1095 USA

# Evelyn Jäkel

Universität Leipzig Leipzig Institute for Meteorology (LIM) Stephanstr. 3 04103 Leipzig Germany

# Alexander Kokhanovsky

Institute of Environmental **Physics** Universität Bremen Otto-Hahn-Allee 1 28359 Bremen Germany

## Alexei Korolev

Cloud Physics Research Meteorological Service of Canada 4905 Dufferin Street Ontario M3H 5T4 Canada

# Martina Krämer

Institute of Energy and Climate Research Stratosphere (IEK-7) Forschungszentrum Jülich GmbH 52425 Jülich Germany

# R. Paul Lawson

Stratton Park Engineering Company 3022 Sterling Circle Suite 200 Boulder, CO 80301 USA

#### Donald H. Lenschow

University Corporation for Atmospheric Research (UCAR) 3450 Mitchell Lane Boulder, CO 80307-3000 USA

# David Leon

University of Wyoming Atmospheric Science Dept. 3038 1000 E. University Ave. Laramie, WY 82071 USA

# Larry Mahrt

Oregon State University College of Oceanic and Atmospheric Sciences 104 COAS Administration Building Corvallis, OR 97331-5503 USA

## Tim Malthus

Christian Laboratory CSIRO Land and Water GPO Box 1666 Canberra Australia

# Szymon P. Malinowski

University of Warsaw Faculty of Physics Institute of Geophysics Pasteura 7 02-093 Warsaw Poland

# Jim McQuaid

University of Leeds National Centre for Atmospheric Science School of Earth and Environment Leeds LS2 9IT UK

# lames B. Mead

**ProSensing** 107 Sunderland Road Amherst, MA 01002 USA

# Andreas Minikin

Institut für Physik der Atmosphäre Deutsches Zentrum für Luft- und Raumfahrt (DLR) Oberpfaffenhofen 82234 Wessling Germany

# Andrew L. Pazmany

ProSensing 107 Sunderland Road Amherst, MA 01002 USA

# Jacques Pelon

Université Pierre et Marie Curie Laboratoire Atmosphères Milieux et Observations Spatiales (LATMOS) 4 Place Jussieu 75252 Paris Cedex 05 France