NTERNATIONAL MARITIME CONVENTIONS: VOLUME 3

PROTECTION OF THE MARINE ENVIRONMENT

FRANCESCO BERLINGIERI

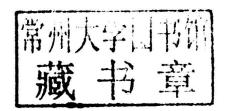
informa law from Routledge

INTERNATIONAL MARITIME CONVENTIONS

VOLUME III

Protection of the Marine Environment

FRANCESCO BERLINGIERI



informa law from Routledge Published 2015 by Informa Law from Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Informa Law from Routledge 711 Third Avenue, New York, NY 10017

Informa Law from Routledge is an imprint of the Taylor & Francis Group, an Informa business

© 2015 Francesco Berlingieri

The right of Francesco Berlingieri to be identified as author of this work has been asserted by him in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Whilst every effort has been made to ensure that the information contained in this book is correct, neither the author nor Informa Law can accept any responsibility for any errors or omissions or for any consequences arising therefrom.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data A catalogue record for this book is available from the British Library

Library of Congress Cataloging in Publication Data
Berlingieri, Francesco, author.
International maritime conventions / by Francesco Berlingieri
p. cm. -- (Maritime and transport law library
ISBN 978-0-415-71984-1 (hardback) -- ISBN 978-1-315-79645-1 (ebook) 1. Maritime law. I. Title
K1150.B47 2013
341.4'5--dc23
2013038767

ISBN: 978-0-415-71987-2 eISBN 978-1-315-77829-7

Typeset in Plantin by Servis Filmsetting Ltd, Stockport, Cheshire



Printed and bound in Great Britain by TJ International Ltd, Padstow, Cornwall

INTERNATIONAL MARITIME CONVENTIONS VOLUME III:

Protection of the Marine Environment

MARITIME AND TRANSPORT LAW LIBRARY

International Maritime Conventions Volume Three Protection of the Marine Environment by Francesco Berlingieri (2015)

International Maritime Conventions
Volume Two
Navigation, Securities, Limitation of Liability
and Jurisdiction
by Francesco Berlingieri
(2014)

Maritime Law 3rd edition edited by Yvonne Baatz (2014)

Offshore Contracts and Liabilities by Bariş Soyer and Andrew Tettenborn (2014)

> Marine Insurance Fraud by Baris Soyer (2014)

International Maritime Conventions
Volume One
The Carriage of Goods and Passengers by Sea
by Francesco Berlingieri
(2014)

International Carriage of Goods by Road: CMR
6th Edition
by Malcolm A. Clarke
(2014)

The Maritime Labour Convention: International Labour Law Redefined edited by Jennifer Lavelle (2013)

Modern Maritime Law:
Volume 2: Managing Risks and Liabilities
3rd Edition
by Aleka Mandaraka-Sheppard
(2013)

Modern Maritime Law: Volume 1: Jurisdiction and Risks 3rd Edition by Aleka Mandaraka-Sheppard (2013)

Uni-Modal and Multi-Modal Transport in The 21st Century edited by Baris Soyer and Andrew Tettenborn (2013) The Law of Yachts and Yachting by Filippo Lorenzon and Richard Coles (2012)

Freight Forwarding and Multimodal Transport
Contracts
2nd edition
by David A. Glass
(2012)

Marine Insurance Clauses
5th Edition
by N. Geoffrey Hudson, Tim Madge and
Keith Sturges
(2012)

Pollution at Sea: Law and Liability edited by Baris Soyer and Andrew Tettenborn (2012)

> Contracts of Carriage by Air 2nd Edition by Malcolm A. Clarke (2012)

Places of Refuge: International Law and the CMI Draft Gonvention by Eric Van Hooydonk (2010)

> Maritime Fraud and Piracy by Paul Todd (2010)

The Carriage of Goods by Sea under the Rotterdam Rules edited by D. Rhidian Thomas (2010)

The International Law of the Shipmaster by John A. C. Cartner, Richard P. Fisk and Tara L. Leiter (2009)

The Modern Law of Marine Insurance:
Volume 3
edited by D. Rhidian Thomas
(2009)

The Rotterdam Rules: A Practical Annotation by Yvonne Baatz, Charles Debattista, Filippo Lorenzon, Andrew Serdy, Hilton Staniland and Michael Tsimplis (2009)

The Evolving Law and Practice of Voyage Charters
Edited by D. Rhidian Thomas
(2009)

Risk and Liability in Air Law by George Leloudas (2009)

Legal Issues Relating to Time Charterparties edited by D. Rhidian Thomas (2008)

Contracts of Carriage by Land and Air 2nd Edition by Malcolm A. Clarke and David Yates (2008)

Bills of Lading and Bankers' Documentary Credits 4th Edition by Paul Todd (2007)

Liability Regimes in Contemporary Maritime Law edited by D. Rhidian Thomas (2007)

Marine Insurance: The Law in Transition edited by D. Rhidian Thomas (2006)

> Commencement of Laytime 4th Edition edited by D. Rhidian Thomas (2006)

General Average: Law and Practice 2nd Edition by F. D. Rose (2005)

War, Terror and Carriage by Sea by Keith Michel (2004)

> Port State Control 2nd Edition by Oya Ozcayir (2004)

Modern Law of Marine Insurance: Volume Two edited by Francis Rose (2002)

Commercial and Maritime Statutes edited by Peter Macdonald Eggers and Simon Picken (2002)

Bills of Lading: Law and Contracts by Nicholas Gaskell, Regina Asariotis and Yvonne Baatz (2000)

> Shipbrokers and the Law by Andrew Jamieson (1997)

GLOSSARY

- Arrest Convention 1952: International Convention Relating to the Arrest of Sea-Going Ships, 1952
- Arrest Convention 1999: International Convention on Arrest of Ships, 12 March 1999
- Ballast Water and Sediments: International Convention for the Control and Management of Ships' Ballast Water and Sediments, 2004
- Bunker Oil Convention: International Convention on Civil Liability for Bunker Oil Pollution Damage, 2001
- CLC 1969: International Convention on Civil Liability for Oil Pollution Damage, 1969
- CLC 1992: International Convention on Civil Liability for Pollution Damage, 1992 as amended on 18 October 2000
- Convention on Dumping of Wastes: Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matters, 1972. As amended by the Protocol of 1996
- Convention on Registration of Ships: United Nations Convention on Conditions for Registration of Ships, 1986
- Fund Convention 1971: International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1971
- Fund Convention 1992: International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1992 and the Amendments of the Limits of Liability, 2000
- HNS 1996: International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, 1996 as amended by the Protocol of 2010
- IBC Code: IMO International Code for the Construction and Equipment of Ships Carrying Dangerous Chemical in Bulk
- IGC Code: IMO International Code for the Construction and Equipment of Ships Carrying Liquefied Gases in Bulk
- IMDG Code: International Dangerous Goods Code
- IMSBC Code: International Maritime Solid Bulk Cargoes Code
- Immunity Convention 1926: International Convention for the Unification of Certain Rules Relating to the Immunity of State-Owned Ships, 1926
- Intervention Convention 1969: International Convention Relating to Intervention oh the High Seas and Protocol of 1973 Relating to Intervention on the High Seas in Cases of Pollution by Substances Other than Oil
- ISM Code: International Safety Management Code
- LLMC Convention: Convention on Limitation of Liability for Maritime Claims, 1976 as amended by the Protocol of 2 May 1996
- MARPOL: International Convention for the Prevention of Pollution from Ships, 1973 and Protocol of 1978

GLOSSARY

Nairobi Convention: Nairobi International Convention on the Removal of Wrecks, 2007 OPRC Convention 1990 with OPRC-HNS Protocol 1990: International Convention on Oil Pollution Preparedness, Response and Co-operation, 1990, with Protocol of 2000 Relating to Pollution Incidents by Hazardous and Noxious Substances in Cases of Oil Pollution Casualties

Paris MoU: Paris Memorandum of Understanding on Port State Control 1982

Port State Control: European Directive 2009/16/EC of 23 April 2009

Rotterdam Rules: United Nations Convention on Contracts for the International Carriage of Goods Wholly or Partly by Sea, 2008

SOLAS: International Convention for the Safety of Life at Sea, 1974

Supplementary Fund: Protocol of 2002 to the Fund Convention 1992

Traffic Monitoring and Information System: European Directive 2002/59/EC

STCW Code: International Convention on the Standards of Training, Certification and Watch-keeping for Seafarers, 1978

UNCLOS: United Nations Convention on the Law of the Sea, 1980 Vienna Convention: Vienna Convention on the Law of Treaties, 1969

INTRODUCTION

The need for preventative measures governing liability for loss or damage caused by pollution of the sea by oil was brought to the world's attention by the grounding of the *Torrey Canyon* on 18 March 1967.

The *Torrey Canyon* was a single screw tanker built in 1959 of 61,263 gross tons and 48,437 net tons with a deadweight capacity of 120,890 tons on her winter marks. Loaded with 119,328 tons of crude oil shipped by BP Trading Limited, her ultimate destination being Milford Haven, Wales, she went aground on the Seven Stones reef between the Scilly Isles and Lands End. In the opinion of the Board of Investigation set up by the Liberian Government, the stranding was due solely to the negligence of the master.

The stranding damaged many of the cargo tanks and by 20 March it was estimated that 30,000 tons of oil had spilled into the sea. On 25 March, oil began to arrive on Cornish beaches, 100 miles of coastline being affected. On 26 March, high seas and strong winds caused the ship to break her back, releasing, it was estimated, a further 30,000 tons of crude oil. Between 28 and 30 March, the ship was bombed by British Naval and Air Forces to open the remaining tanks and release the rest of the oil into the sea. The oil was then set on fire by dropping aviation fuel, napalm and sodium chlorate devices; it is believed that all the oil in the vicinity of the wreck was destroyed by 30 March. Some oil also reached the coast of Brittany where it did considerable damage.

The reaction of the international shipping community was twofold: to create an international instrument with the view to governing the liability for loss or damage caused by oil pollution and to create an international instrument to govern the right of States to intervene outside their territory (including their territorial waters) to prevent, mitigate or eliminate danger to their coasts from sea pollution. In respect of the liability aspect of the problem, two instruments were adopted by the IMCO in 1969 and 1971: the Convention on Civil Liability for Oil Pollution Damage 1969 (CLC 1969) and the Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage 1971 (Fund 1971). The Convention Relating to Intervention on the High Seas in cases of casualties of oil pollution was adopted concurrently with the CLC 1969 in respect of the right of intervention. Concern soon widened, and it was felt necessary to protect the environment where other hazardous and noxious substances were involved. The Protocol relating to the Intervention on the Highs Seas in cases of Pollution by Substances other than Oil was introduced in 1973. More than twenty years later, in 1996, a general convention

governing liability and compensation for damage caused by hazardous and noxious substances was adopted.

Meanwhile, attention was drawn to the importance of uniform rules on precautionary measures aiming at preventing oil pollution. This problem was, in various degrees, the object, or one of the objects, of several conventions aiming at generally ensuring safety at sea, reference to which is made hereafter. The action aimed at preventing pollution of the sea and the coastline by oil and generally by hazardous and noxious substances may relate to the construction, maintenance and operation of ships, therefore relevant provisions may be found in a great many of such conventions and other instruments that will be considered in the first part of this volume. The second part, will consider the conventions that regulate the liability of persons responsible for loss or damage caused by oil pollution or by hazardous and noxious substances and the limitations on such liability.

The conventions and other instruments of the first group (the Preventive Conventions) are:

- (a) the International Convention relating to Intervention on the High Seas in Cases of Oil Pollution Casualties, 1969 with its Protocol of 1973;
- (b) the International Convention on Oil Pollution Preparedness, Response and Cooperation, 1990 (OPRC) with its Protocol of 2000 (OPRC-HNS Protocol);
- (c) the International Convention for the Prevention of Pollution from Ships, 1973 as amended by the Protocol of 1978 (MARPOL);
- (d) the International Convention for the Safety of Life at Sea, 1974 (SOLAS), albeit marginally;
- (e) the Convention on the Prevention of Marine Pollution by Dumping of Wastes and Other Matter, 1972, as amended by the Protocol of 1996;
- (f) the International Convention for the Control and Management of Ship's Ballast Water and Sediments, 2004;
- (g) the International Convention on Standards of Training, Certification and Watchkeeping for Seafarers;
- (h) the Nairobi International Convention on the Removal of Wrecks, 2007;
- the Paris Memorandum of Understanding on Port State Control and the European Directive on Port State Control 2009/16/EC of 23 April 2009; and
- (j) the European Directive 2002/59/EC on Vessel Traffic Monitoring and Information System, as amended.

The conventions of the second group (the Liability Conventions) are:

- (a) the International Convention on Civil Liability for Oil Pollution Damage, 1992 (the CLC Convention);
- (b) the International Convention on the Establishment of an International Fund for Compensation for Oil Pollution Damage, 1992, as amended by its Protocol of 2000 and its Supplementary Protocol of 2003 (the Fund Convention);

¹ On pollution of the sea generally see Gregory J. Timagenis, *International Control of Marine Pollution*, Oceana Publications, 1980, Vol. I, p. 21.

INTRODUCTION

- (c) the International Convention on Civil Liability for Bunker Oil Pollution Damage, 2001 (the Bunker Oil Convention); and
- (d) the International Convention on Liability and Compensation for Damage in Connection with the Carriage of Hazardous and Noxious Substances by Sea, 1996, with its 2010 Protocol (the HNS Convention).

xxiii

xix

Glossary Introduction	xix xxi
Table of Conventions	XXV
Table of Legislation	XXXV
Table of Cases	xxxvii
Volume III	
Part I The Preventive Conventions	
Chapter 1 International Convention relating to Intervention on the High Seas in Cases of Oil Pollution Casualties, 1969 and Protocol	
of 1973	3
Section I – The Convention of 1969	3
1 The history of the Convention	3
2 The scope of application of the Convention	4
2.1 The notion of 'maritime casualty'	4
2.2 The area in which the measures may be taken	6
2.3 The notion of 'ship'	6
3 The conditions under which the measures may be taken	7
3.1 Grave and imminent danger of pollution or threat of pollution	7
3.2 The pollution must be by oil	8
3.3 The danger must affect the coastline and related interests	-8
3.4 The pollution must reasonably be expected to entail major	
harmful consequences	9
4 The obligations of the State that takes the measures allowed by art. 1	10
4.1 Obligations prior to taking the measures	10
4.2 Optional advice	12
4.3 When compliance with the obligations under (a) and (b) is not	
required	12
4.4 The manner in which the measures ought to be taken	13
4.5 Guidelines for the selection of the measures	13
4.5.1 Measures proportionate to the damage	14
4.5.2 Measures necessary to achieve their purpose	15

	pursu	ant to ar		15
6	Comp	ulsory c	onciliation and arbitration of disputes	16
Sec			rotocol of 1973	17
7				17
8			application of the Protocol	20
9			ip between the Protocol and the Convention	20
10	The p	rocedur	e for updating the list of the substances	21
Cha	apter 2	Respon	ational Convention on Oil Pollution Preparedness, nse and Cooperation, 1990 (OPRC Convention) with its tol of 2000 (OPRC-HNS Protocol)	23
Sec	tion I -	The Co	nvention of 1990	23
1		luction	iivention of 1990	23
2			application of the Convention	23
3			the possible conflict between the OPRC Convention	
			ention Convention	24
Sec	tion II	- The Pr	rotocol of 2000	26
			of 2000 to the OPRC Convention	26
5			of the provisions of the Protocol with those of the	
		ention	THE REPORT OF THE PROPERTY OF	27
Ch	apter 3	Intern	ational Convention for the Prevention of Pollution	
CII	apier 3		Ships (MARPOL) and Protocol of 1978	29
1	Introd	luction	ships (WINKI OL) and Trotocol of 1976	29
2			of application	30
2			o which the Convention applies	30
3		-	pose of MARPOL	31
4			ations of the States Parties to the Convention	32
5			the inspection of the ships to which the Convention	
	applie			33
6	Overv	riew of th	ne relevant provisions of the Annexes	35
			action to the overview	35
	6.2	A sumr	nary of the Annexes	35
		6.2.1	Annex I: Regulations for the Prevention of Pollution by Oil	35
		6.2.2	Annex II: Regulations for the Control of Pollution by	
			Noxious Liquid Substances in Bulk	36
		6.2.3	Annex III: Regulations for the Prevention of Pollution by	
			Harmful Substances Carried by Sea in Packaged Form	36
		6.2.4	Annex IV: Regulations for the Prevention of Pollution by	
			Sewage from Ships	37
		6.2.5	Annex V: Regulations for the Prevention of Pollution by	
			Garbage from Ships	37

		6.2.6	Annex VI: Regulations for prevention of air pollution from ships	37
Cha	apter 4	Intern (SOL	ational Convention for the Safety of Life at Sea, 1974 AS)	38
Cha	apter 5		ention on the Prevention of Marine Pollution by Dumping stes and Other Matter, 1972 as Amended by the Protocol	41
1	Introd		~	41
2			application of the Convention and of the Protocol	43
	2.1	Vessels	and aircraft to which national implementing ion must apply	43
	2.2	Vessels	and aircraft to which national legislation does	
2		not app		44
3			regulated by the Convention and the Protocol	44
4		_	ns of the Contracting Parties	46
			d obligations	46
	4.2		digation of States Parties to prohibit or regulate	4.5
	10		ng of wastes or other matters	47
			ceptions to such obligations	48
5			s to States Parties for the implementation of the rules on	=0
-	dump		Control Description of the Control o	50
6			o States Parties on the issuance of permits and	6.1
	report		f 8 1d (1 6d) 1 4 5	51
			signation and the tasks of the appropriate authority	51
			ities of the appropriate authority	52
	6.3	The du	ity of Contracting States to report to IMO	53
Cha	apter 6	Intern	national Convention for the Control and Management	
			ps' Ballast Water and Sediments, 2004	55
1	Introd	luction	*	55
2	Scope	of appl	ication	55
			o which the Convention applies	55
			o which the Convention does not apply	56
			atter to which the Convention applies	58
3			n which such purpose is achieved	58
4			e adopted for the implementation of the provisions	
		Conven		59
Ch	apter 7	Intern	national Convention on Standards of Training,	
			fication and Watchkeeping for Seafarers, 1978	62
1	The o		the control of ships, while in the ports of a State Party,	
			that State	62
2	-		is in the Code in which reference is made to the	
	-		the environment	63

Cha	pter 8 Nairobi International Convention on Removal of Wrecks	
	18 May 2007	79
1	Introduction	79
2	Scope of application	79
	2.1 The subject matter of the Convention	79
	2.2 The notion of 'wreck'	80
	2.3 The notion of 'hazard'	81
	2.3.1 A general analysis of the relevant rules in this and	
	in other Conventions	81
	2.4 The notion of 'Convention area'	83
	2.4.1 The party who may determine whether a wreck	
	poses a hazard	83
	2.4.2 The criteria to be taken into account	84
	2.5 The voluntary extension of the geographical scope and the	
	provisions excluded from the extension	86
3	General obligations of States to be complied with when they become	
	Parties to the Convention	88
4	Obligations of States Parties in case of a casualty resulting in a wreck	89
	4.1 Obligation of the State in respect of a ship flying its flag to	
	report involvement in a wreck	89
5	Obligations of the State in whose Convention area the wreck is	
	located	90
	5.1 Locating wrecks	90
	5.2 Marking wrecks	90
	5.3 Removal of wrecks	91
6	Obligations and liabilities of the owner of the wreck	92
	6.1 Obligations	92
	6.2 Liabilities	93
	6.3 Exceptions to liabilities	94
7	Compulsory insurance or other financial security	95
	7.1 Minimum tonnage of ships for which compulsory insurance is	
	obligatory	95
	7.2 Nationality of ships by which insurance must be provided	95
	7.3 Conditions of issue and validity of the certificate	96
	7.4 Claims brought against the insurer and defences available	96
8	Time limits	96
9	Settlement of disputes between States Parties	97
Ch	apter 9 Port State Control: The Paris Memorandum of Understanding	
	and the European Directive 2009/16/EC	99
1	Introduction	99
	I The Paris Memorandum of Understanding on Port State Control	100
1	The criteria for adherence to the Memorandum	100
2	The organisational structure of the Paris MoU	101
3	The ships to which the Paris MoU applies	102
4	The inspection commitments of the Maritime Authorities	102

5	The duties and powers of the Port Authorities when deficiencies are	
	detected	103
	5.1 The detention of the ship subject to inspection	104
	5.2 The suspension of an inspection	104
	5.3 The refusal of access	105
6	Information system on inspections	105
	II The European Directive on Port State Control	106
1	The origin and purpose of the Directive	106
2	Ships to which the Directive applies	107
int.	2.1 Ships included within the scope of application of the	101
	Directive	107
	2.2 Ships excluded from the scope of application of the Directive	107
3		107
J	3.1 The general rule	107
	3.2 Frequency of inspections	108
	3.3 The detention of the ship subject to inspection	109
4	The refusal of access	109
-1	4.1 Refusal based on the records of a ship	109
		109
	4.2 Refusal based on prior failure to comply with conditions	110
	determined by authorities	110
Ch	apter 10 European Traffic Monitoring and Information System: Directive 2002/59/EC of 27 June 2002	111
	Part II The Liability Conventions	
Ch	apter 11 International Convention on Civil Liability for Oil Pollution	
	Damage, 1992 (CLC 1992)	117
1		117
2		119
	2.1 Ships subject to the Convention	119
	2.2 Ships excluded from the scope of application of the Convention	121
	2.3 The definition of 'oil'	122
	2.4 The notion of pollution damage	122
3		125
4		127
	4.1 Whether the owner of the ship or the owner of the cargo should	
	be liable	127
	4.2 The definition of owner of the ship	127
	4.3 The rule on the channelling of liability	128
5	200 4 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7	133
6		135
U	6.1 The limit of liability	135
	6.2 The amendment of the limits	137
	6.3 The limitation fund	138
	6.3.1 When and where it must be constituted	138
	0. J. 1 WHEH AND WHELE IT HUST DE CONSTITUTED	100

	6.3.2 How it must be constituted	139
	6.3.3 Bar to other actions	139
7	The distribution of the fund	140
	7.1 The general rule	140
	7.2 The right of subrogation	141
	7.3 The protection of the owner or other person that may be	
	compelled to effect payment of compensation	141
	7.4 Preventive measures taken by the owner	142
8	The loss of the right to limit	142
9	The liability insurance	143
	9.1 The party who is bound to insure	145
	9.2 The nature and amount of the security required	146
	9.3 The sum insured or secured	146
	9.4 Evidence of the insurance or other financial security	146
	9.4.1 Principal place of business	147
	9.4.2 Period of validity	148
	9.5 Conditions of issue and validity of the certificate	149
	9.6 Language of the certificate	149
	9.7 Period of validity of the certificate	149
	9.8 International validity of the certificate	150
	9.9 Direct action against the insurer or guarantor	150
	9.10 Ships owned by a Contracting State	151
10	Time for suit	152
11	Jurisdiction	153
12	Recognition and enforcement of judgments	154
13		155
	13.1 Convention on Limitation of Liability 1957	155
	13.2 LLMC Convention as amended	155
Ch:	apter 12 International Convention on the Establishment of an	
	International Fund for Compensation for Oil Pollution Damage,	
	1992, as amended by its Protocol of 2000 and its	
	Supplementary Protocol of 2003 (the Fund Convention)	156
1	Introduction	156
Sec	tion A – The International Fund	161
2	The establishment of the International Fund	161
3	Scope of application of the Fund	161
4	Structure of the Fund Convention	161
5	Rules governing payment of compensation for pollution damage	162
	5.1 When payment of compensation is due	162
	5.2 When payment of compensation by the Fund is not due	165
	5.3 The amount of compensation available under the Fund	
	Convention	167