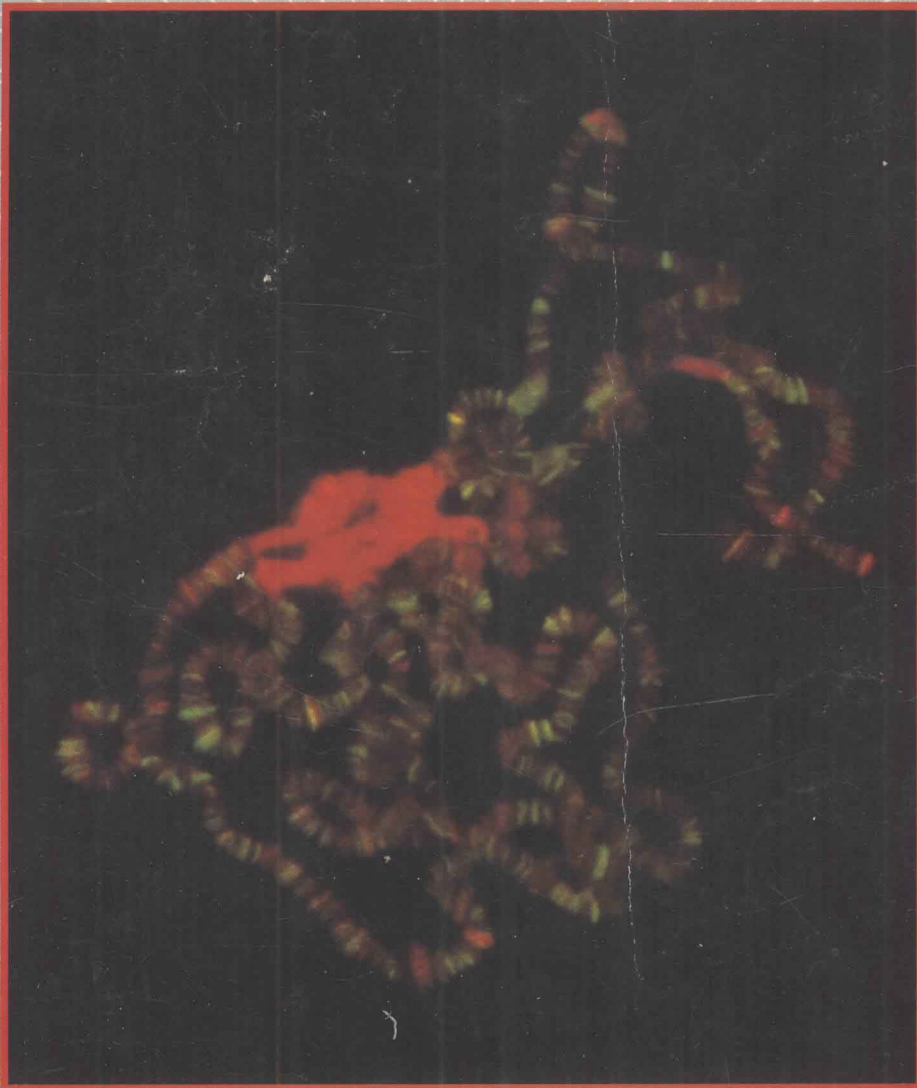


Chromatin Structure and Gene Expression

 FRONTIERS IN MOLECULAR BIOLOGY®



ES EDITORS: B. D. HAMES AND D. M. GLOVER

Edited by Sarah C. R. Elgin

Chromatin Structure and Gene Expression

EDITED BY

Sarah C. R. Elgin

*Department of Biology
Washington University
St Louis, Missouri, USA*

 **OIRL PRESS**
—at—
OXFORD UNIVERSITY PRESS
Oxford New York Tokyo

Oxford University Press, Walton Street, Oxford OX2 6DP

Oxford New York
Athens Auckland Bangkok Bombay
Calcutta Cape Town Dar es Salaam Delhi
Florence Hong Kong Istanbul Karachi
Kuala Lumpur Madras Madrid Melbourne
Mexico City Nairobi Paris Singapore
Taipei Tokyo Toronto
and associated companies in
Berlin Ibadan

Oxford is a trade mark of Oxford University Press

Published in the United States
by Oxford University Press Inc., New York

© Oxford University Press, 1995

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, without the prior permission in writing of Oxford University Press. Within the UK, exceptions are allowed in respect of any fair dealing for the purpose of research or private study, or criticism or review, as permitted under the Copyright, Designs and Patents Act, 1988, or in the case of reprographic reproduction in accordance with the terms of licences issued by the Copyright Licensing Agency. Enquiries concerning reproduction outside those terms and in other countries should be sent to the Rights Department, Oxford University Press, at the address above.

This book is sold subject to the condition that it shall not, by way of trade or otherwise, be lent, re-sold, hired out, or otherwise circulated without the publisher's prior consent in any form of binding or cover other than that in which it is published and without a similar condition including this condition being imposed on the subsequent purchaser.

A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data
Chromatin structure and gene expression / edited by Sarah C.R. Elgin.
(Frontiers in molecular biology)

Includes bibliographical references and index.

1. Chromatin. 2. Gene expression. I. Elgin, Sarah C. R.
II. Series.

QH599.C46 1995 574.87'322—dc20 94—42634

ISBN 0 19 963576 5 (Hbk)

ISBN 0 19 963575 7 (Pbk)

Typeset by
Footnote Graphics, Warminster, Wilts.
Printed in Great Britain by
The Bath Press, Avon

Chromatin Structure and Gene Expression

Frontiers in Molecular Biology

SERIES EDITORS

B. D. Hames

*Department of Biochemistry and Molecular Biology
University of Leeds, Leeds LS2 9JT, UK*

AND

D. M. Glover

*Cancer Research Campaign Laboratories, Department of Anatomy and Physiology
University of Dundee, Dundee DD1 4HN, UK*

TITLES IN THE SERIES

1. Human Retroviruses

Bryan R. Cullen

2. Steroid Hormone Action

Malcolm G. Parker

3. Mechanisms of Protein Folding

Roger H. Pain

4. Molecular Glycobiology

Minoru Fukuda and Ole Hindsgaul

5. Protein Kinases

Jim Woodgett

6. RNA-Protein Interactions

Kyoshi Nagai and Iain Mattaj

7. DNA-Protein: Structural Interactions

David M. J. Lilley

8. Mobile Genetic Elements

David J. Sherratt

9. Chromatin Structure and Gene Expression

Sarah C. R. Elgin

10. Cell Cycle Control

Chris Hutchinson and David M. Glover

11. Molecular Immunology (Second Edn)

B. David Hames and David M. Glover

Preface

In the twenty-plus years since the nucleosome model of chromatin structure emerged, there has been considerable progress in elucidating how that structure contributes to the regulatory process. Much of this advance is due, of course, to the new tools of molecular biology, which have allowed us to analyse the packaging and monitor the expression of individual genes, whether *in vitro* or *in vivo*. During the last few years we have gained a much more detailed understanding of the components of the nucleosome, both the histone octamer and the DNA itself, and we are beginning to see that knowledge reflected in studies of replication and assembly. These topics are discussed in the first three chapters. Our initial efforts to understand the mechanisms of gene expression from the chromatin template have focused on active and activatable genes. Some eukaryotic genes, like the heat shock genes, appear poised for expression in an accessible chromatin structure, while others appear to require a chromatin-remodelling event in the promoter region as part of activation. Once initiated, the transcribing polymerase must continue through a nucleosome array—a difficult task. These topics are discussed in Chapters 4–6. As our understanding of the functional consequences of the nucleosome array has increased, we have been emboldened to explore structure at higher levels, trying to establish molecular mechanisms to explain genetic results that have been well known for many years. The last four chapters deal with evidence for domains, boundaries, and packaging differences in the context of known epigenetic regulation in yeast, fruit flies, and mice. A complete understanding of these higher order effects will be essential to a complete understanding of gene regulation during eukaryotic differentiation and development.

In writing our chapters, we have taken as our starting reference *Chromatin* by Kensal van Holde (1989, Springer-Verlag, New York). This book provides a thorough analysis of work prior to 1988, and should be used by the graduate student as a guide to the earlier literature. Younger students desiring a broad introduction should start with the appropriate chapters in a general text (such as *Molecular biology of the cell* by B. Alberts, D. Bray, J. Lewis, M. Raff, K. Roberts, and J. D. Watson (1994, third edition, Garland Publishing Inc., New York)) or a recent monograph (such as *Chromatin structure and function* by A. Wolffe (1992, Academic Press, London)). Many excellent books are available that provide a more detailed examination of some of the topics considered here: *Understanding DNA* by C. R. Calladine and H. Drew (1992, Academic Press, London), *Structural studies of protein–nucleic acid interactions* by T. A. Steitz (1993, Cambridge University Press, Cambridge), *DNA–protein interactions* by A. A. Travers (1993, Chapman and Hall, London), and *Replication and transcription of chromatin* by R. Tsanev, G. Russev, I. Pashev, and J. Zlatanova (1992, CRC Press, Boca Raton, Florida) may be particularly useful.

It is our hope that this book will find use in discussion courses organized for and by graduate students in the area of chromatin structure/gene expression. We have tried to point out not only recent progress in the field, but also the problems and clues that indicate where the work may lead. Thus in addition to writing a chapter in their own area of experimental work, each author read and commented on one or two other related chapters. These comments were used to formulate the 'Discussion' that appears at the end of each chapter.

Using the tools of molecular biology, genetics, and biochemistry, much progress has been made in defining chromatin structure and identifying potential mechanisms for regulation. Thus, in some areas, the problems before us are well defined. However, other areas remain enigmatic: what do we really mean by 'higher order structure'? Here the situation is most intriguing, if somewhat daunting; much remains to be done. We hope that our younger colleagues will be challenged by the problems posed here, and that some will be motivated to join us in our efforts to understand the regulation of gene expression established by nucleosomal and higher order chromatin structure.

St Louis, Missouri
March 1995

S.C.R.E.

Contributors

GINA ARENTS

Department of Biology, Johns Hopkins University, Charles & 34th Street, Baltimore, MD 21218, USA.

HORACE R. DREW

Division of Biomolecular Engineering, CSIRO, P.O. Box 184, North Ryde 2113, New South Wales, Australia.

JOEL C. EISSENBERG

Department of Biochemistry, St Louis University School of Medicine, St Louis, MO 63104, USA.

SARAH C. R. ELGIN

Department of Biology, Washington University, Box 1229, One Brookings Drive, St Louis, MO 63130, USA.

GARY FELSENFELD

Laboratory of Molecular Biology, NIDDK, National Institutes of Health, Bethesda, MD 20892, USA.

FRANK GROSVELD

Department of Cell Biology and Genetics, Erasmus University, P.O. Box 1738, 3000 DR Rotterdam, The Netherlands.

MICHAEL GRUNSTEIN

Department of Biology, University of California at Los Angeles, 405 Hilgard Avenue, Los Angeles, CA 90024, USA.

GORDON HAGER

Laboratory of Molecular Virology, National Cancer Institute, National Institutes of Health, Bethesda, MD 20892, USA.

WOLFRAM HÖRZ

Institut für Physiologische Chemie, Universität München, Schillerstrasse 44, 80336 München, Germany.

RONALD A. LASKEY

Wellcome/CRC Institute, Tennis Court Road, Cambridge CB2 1QR, UK.

JOHN LIS

Department of Biochemistry, Molecular and Cell Biology, Biotech Building, Cornell University, Ithaca, NY 14853, USA.

DONAL LUSE

Department of Molecular Biology, NC20, Cleveland Clinic Foundation, 9500 Euclid Avenue, Cleveland, OH 44195, USA.

EVANGELOS MOUDRIANAKIS

Department of Biology, Johns Hopkins University, Charles & 34th Street, Baltimore, MD 21218, USA, and Biology Department, University of Athens, Athens, Greece.

RENATO PARO

Zentrum für Molekulare Biologie, Universität Heidelberg, Im Neuenheimer Feld 282, D-69120 Heidelberg, Germany.

LORRAINE PILLUS

Department of Molecular, Cellular and Developmental Biology, University of Colorado at Boulder, Porter Biosciences Building, Boulder, CO 80309, USA.

PAUL SCHEDL

Department of Biology, Princeton University, Washington Road, Princeton, NJ 08544, USA.

CATHARINE SMITH

Laboratory of Molecular Virology, National Cancer Institute, National Institutes of Health, Bethesda, MD 20892, USA.

JOSÉ M. SOGO

Institut für Zellbiologie, Eidgenössische Technische Hochschule, Hönggerberg, 8093 Zürich, Switzerland.

JOHN SVAREN

Institut für Physiologische Chemie, Universität München, Schillerstrasse 44, 80336 München, Germany.

SHIRLEY M. TILGHMAN

Howard Hughes Medical Institute, Princeton University, Lewis Thomas Laboratory, Washington Road, Princeton, NJ 08544, USA.

KENSAL VAN HOLDE

Department of Biochemistry and Biophysics, Oregon State University, 2011 ALS Building, Corvallis, OR 97331, USA.

HUNTINGTON F. WILLARD

Department of Genetics, Center for Human Genetics, Case Western Reserve University, Cleveland, OH 44106, USA.

ALAN P. WOLFFE

Laboratory of Molecular Embryology, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, MD 20892, USA.

CARL WU

Laboratory of Biochemistry, National Institute of Child Health and Human Development, National Institutes of Health, Bethesda, MD 20892, USA.

JORDANKA ZLATANOVA

Institute of Genetics, Bulgarian Academy of Sciences, Sofia 1113, Bulgaria.

Abbreviations

aa	amino acid
ANT-C	<i>Antennapedia</i> gene complex in <i>Drosophila</i>
ARS	autonomously replicating sequence
AS	Angelman syndrome
bHLH	basic helix-loop-helix (protein)
bp	base pairs
BWS	Beckwith-Wiedemann syndrome
BX-C	<i>bithorax</i> gene complex in <i>Drosophila</i>
CAP	catabolite-gene-activating protein
CD	circular dichroism
CHO	Chinese hamster ovary (cells)
CTD	C-terminal domain, generally of RNA polymerase II
DHFR	dihydrofolate reductase
DMS	dimethyl sulfate
DREV	developmental regulator effect variegation
EBV	Epstein-Barr virus
EDTA	ethylene diaminetetraacetic acid
EM	electron microscope
5-FOA	5-fluoroorotic acid
GH1	globular domain of histone H1
H	histone (used to designate H1, H2A, H2B, H3, H4)
HM loci	the loci containing the silent copies of <i>HMLα</i> and <i>HMRα</i> (<i>Saccharomyces cerevisiae</i>)
HMG	high mobility group proteins
HOS	higher order structure
HP1	heterochromatin protein 1
HS	hypersensitive site
HSE	heat shock element
HSP 70	the 70 000 u heat shock protein
HSF	heat shock transcription factor
HSH	helix-strand-helix (protein structural motif)
<i>hsp</i>	heat shock protein-encoding gene
ICR	internal control region (often applied to 5S RNA gene)
kb	kilobases
LCR	locus control region
LIS	lithium diiodosalicylate
LMPCR	ligation-mediated PCR
LOH	loss of heterozygosity

LTR	long terminal DNA sequence repeat, found at the ends of a retrovirus
MAR	matrix attachment region
min	minute
MMTV	mouse mammary tumour virus
MNase	micrococcal nuclease
NHCP	non-histone chromosomal proteins
NMR	nuclear magnetic resonance
nt	nucleotides
NTP	nucleotide triphosphates
OBR	origin of bidirectional replication
ORC	origin recognition complex, or origin replication complex
<i>Pc-G</i>	<i>Polycomb</i> group of genes (<i>Drosophila</i>)
PCNA	proliferating-cell nuclear antigen
PCR	polymerase chain reaction
PEH	paired ends of helices (protein structural motif)
PEV	position effect variegation
PIKA	polymorphic interphase karyosomal association
PRE	<i>Pc-G</i> response elements
PS	parasegment
PWS	Prader–Willi syndrome
R	ratio of apparent size, determined by gel electrophoresis, to known size of a DNA fragment in bp (Chapter 2)
Rif1	Rap1 interacting factor
RP-A	replication protein A
SAR	scaffold attachment region
scs	special chromatin structures; apparent boundaries of enhancer function
s	second
SFM	scanning force microscope
SIR	silent information regulator
ss-DNA	single stranded DNA
SV40	Simian Virus 40
TAF	TBP-associated factor
TBP	TATA-box-binding protein
TEA	Tris-EDTA-acetate, a neutral buffer used to run DNA gels
TF	transcription factor
<i>trx-G</i>	<i>trithorax</i> group of genes (<i>Drosophila</i>)
uH2A	ubiquitinated histone H2A
<i>XIC, Xic</i>	X inactivation centre
YAC	yeast artificial chromosomes

Contents

The plates referred to on pages 12–15 follow page 14.

List of contributors

xiii

Abbreviations

xvi

1 Elements of chromatin structure: histones, nucleosomes, and fibres	1
KENSAL VAN HOLDE, JORDANKA ZLATANOVA, GINA ARENTS, and EVANGELOS MOUDRIANAKIS	
1. Introduction: an overview of chromatin structure	1
2. Chromosomal proteins	3
2.1 Histone sequences and histone variants	3
2.2 Histone modification	6
2.3 Non-histone chromosomal proteins	7
3. Histone folding and histone assembly	9
4. Structure of the histone octamer	11
4.1 X-ray crystallographic studies	11
4.2 Symmetries of the histone octamer	13
5. A model for the nucleosome	13
5.1 Octamer–DNA docking	13
5.2 Evolutionary and energetic significance of nucleosome structure	15
6. Chromatin structure above the core particle level	16
6.1 The role of H1: the chromatosome	16
6.2 Higher order structure	17
7. Final comments	20
7.1 Important questions	20
7.2 Discussion	20
References	21
2 DNA structure: implications for chromatin structure and function	27
ALAN P. WOLFFE and HORACE R. DREW	
1. Introduction	27
2. DNA curvature in chromatin and gels	29

3. DNA untwisting at TATA sequences	32
4. DNA structure in the nucleosome	35
5. Histone contributions to the structure of DNA in the nucleosome	40
6. Nucleosomal positioning and modification: influence on transcription factor–DNA interactions	41
7. Final comments	42
7.1 Important questions	42
7.2 Discussion	43
References	43
 3 Chromatin replication and assembly	 49
JOSÉ M. SOGO and RONALD A. LASKEY	
1. Introduction	49
2. Overview of eukaryotic replication mechanism	49
2.1 DNA polymerases	49
2.2 Helicases and auxiliary replication proteins	50
3. Initiation of replication	50
3.1 Origins of replication	50
3.2 Initiation at simple origins	51
3.3 Initiation at complex origins	52
3.4 Models of initiation of replication in eukaryotic genomes	54
4. Progress of the replication fork through chromatin	57
4.1 The fate of parental histones	57
4.2 Nucleosome core assembly	58
4.3 Segregation of nucleosomes	59
4.4 Coiling of the nascent DNA helix on the histone octamer core	60
4.5 Maturation of post-replicative chromatin	60
4.6 Chromatin structure in front of replicating forks	61
5. Termination of replication	61
5.1 Termination mechanisms	61
5.2 Termination of replication on eukaryotic chromosomes	63
6. Final comments	63
6.1 Important questions	63
6.2 Discussion	64
Acknowledgements	65
References	65

4 Promoter potentiation and activation: chromatin structure and transcriptional induction of heat shock genes	71
JOHN LIS and CARL WU	
1. Introduction	71
2. Architecture of uninduced <i>Drosophila</i> heat shock promoters: accessible chromatin sites containing bound GAGA factor, TFIID, and paused RNA polymerase II	72
2.1 TFIID and GAGA factor	72
2.2 A paused RNA polymerase II complex	76
3. Activation by heat shock factor (HSF)	78
3.1 Structure and regulation of HSF activity	78
3.2 Promoter architecture after heat shock	80
4. Speculations on the mechanism of <i>trans</i>-activation by HSF	80
5. Generality of the heat shock model	81
6. Final comments	82
6.1 Important questions	82
6.2 Discussion	83
Acknowledgements	84
References	84
 5 Initiation of expression: remodelling genes	 89
GORDON HAGER, CATHARINE SMITH, JOHN SVAREN, and WOLFRAM HÖRZ	
1. Introduction	89
2. Chromatin structure of the yeast <i>PHO5</i> and murine MMTV promoters	90
3. Critical importance of the chromatin transition	92
4. Requirements for chromatin remodelling	94
5. <i>Trans</i>-activators and cofactors in chromatin modification	95
6. Nature of the disrupted chromatin state	96
7. Final comments	97
7.1 Important questions	97
7.2 Discussion	99
Acknowledgements	99
References	99

6 Transcription on chromatin templates 104

DONAL LUSE and GARY FELSENFELD

1. Introduction	104
2. Prokaryotic RNA polymerases	104
2.1 Experiments with high molecular weight templates	104
2.2 Possible mechanisms	105
2.3 Experiments with single nucleosomes	107
3. Eukaryotic RNA polymerases	110
3.1 The limitations of eukaryotic <i>in vitro</i> transcription approaches	110
3.2 Transcript elongation on chromatin templates by RNA polymerase II <i>in vitro</i>	111
3.3 Transcript elongation on chromatin templates by RNA polymerase III <i>in vitro</i>	115
4. Final comments	116
4.1 Why is transcript elongation by RNA polymerase II on nucleosomal templates so inefficient, even in the presence of elongation factors?	116
4.2 How can chromatin assembled in the test-tube be made to reflect more accurately the structure of actively transcribed chromatin in the cell?	117
4.3 Discussion	118
Acknowledgements	119
References	120

7 Chromatin structure and epigenetic regulation in yeast 123

LORRAINE PILLUS and MICHAEL GRUNSTEIN

1. Introduction	123
2. Heterochromatin and position effects in multicellular eukaryotes	123
3. Heterochromatin and position effects in yeast	125
3.1 Position effects at silent mating type loci, telomeres, and centromeres	125
3.2 Factors involved in silencing	128
4. Yeast silencing has many characteristics of PEV	129
5. A specialized histone-dependent chromatin structure is important for yeast silencing	130
5.1 Evidence for a specialized chromatin structure	130
5.2 Heterochromatin structure is dependent on histones H4 and H3	132
5.3 Histone acetylation in silencing	134

6. Long-range position effects	135
6.1 Sir3p can promote long-range silencing and may be limiting in cells	136
6.2 Telomere proximity to <i>HMR</i> may have a role in long-range silencing	136
6.3 Long-range effects in <i>S. pombe</i>	137
7. Final comments	137
7.1 Which proteins interact to form repressed chromatin?	137
7.2 Which factors establish silencing? Does DNA replication function in defining silenced chromatin?	139
7.3 Discussion	140
7.4 Conclusions	141
Acknowledgements	141
References	141
8 Epigenetic regulation in <i>Drosophila</i>: a conspiracy of silence	147
JOEL C. EISSENBERG, SARAH C. R. ELGIN, and RENATO PARO	
1. Chromatin structure and gene silencing	147
2. Heterochromatic position effect variegation	148
2.1 Gene silencing associated with chromosomal position	148
2.2 A mass-action assembly model	151
2.3 Modifiers of heterochromatic silencing	152
2.4 Organization of heterochromatin	155
3. Pattern formation and the mechanism of cellular memory	156
3.1 The <i>Polycomb</i> group gene complex	156
3.2 <i>Enhancer of zeste</i> and the antipodal <i>trithorax</i> group	159
3.3 The chromo domain: a molecular link between PEV and homeotic gene silencing	159
3.4 <i>Pc-G</i> multimeric protein complexes	160
3.5 <i>Pc-G</i> response elements and DNA-binding specificity	162
4. Final comments	164
4.1 Important questions	164
4.2 Discussion	164
Acknowledgements	165
References	165