

The Story Behind the Findings

Edited by
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An Ansoms
and Jude Murison



Emotional and Ethical Challenges for Field Research in Africa

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Foreword

Most fieldworkers face their first foray into the field, whether on home ground or in a new and alien world, with a combination of fear and elation. Fieldwork is a life-transforming experience that dictates our work and shapes our careers. Given its importance in our lives, it is ironic that the fieldwork experience is one that we researchers do not easily reveal to the public gaze. When we do discuss fieldwork it is often in introductions or as footnotes to our findings, and, less often, in volumes such as this one. Thanks to the post-modern rediscovery of cultural relativism and its handmaidens, positionality and reflexivity, researchers are making this experience more accessible to a larger gaze. However, many of the post-modern texts are saturated in an unwitting hubris, imputing a power to the researcher that belies the realities of fieldwork, the realities of power and, in this internet-connected world, the limitations of appropriation of voice. This is not to say that fieldworkers should not be aware of these concerns. Good fieldwork should be informed by the valuable insights embodied in the post-modern critique of fieldwork, but these critiques only tell part of the story. This is the particular strength of this volume, it tells us the other stories, too.

Fieldwork is an experience that shows the researcher his or her limits and stretches his or her capacities. It also shows the nature of, the shape of and the limits of privilege. The researcher is often overwhelmed by the daily lives of those with whom he or she works. He or she often works in a place where the simplest informant understands the nuances and power structures much better than he or she ever will. In places like the Great Lakes Region, life is often lived much closer to the edge than most university trained researchers have experienced. The logistics of research are slow, interactions with local authorities, licit and illicit, are often frustrating and sometimes terrifying, local people take a long time to trust the researcher or development worker in an area burdened with danger and Machiavellian politics. Time crawls and also flies by. The courage and joy with which the local people navigate this world make for a heady ride, filled with highs and lows.

As the editors of this volume tell us, 'research is a series of positive and negative emotions – delight, frustration, anxiety, joy, anger, relief,

panic and sadness, to name but a few – that will be experienced daily'. Not only is the process of entering the field and doing fieldwork multifaceted, but leaving behind informants who face a difficult world is often painfully difficult. We do not just collect data, we discover people's lives, because 'collecting "data" per se is not difficult. The more challenging aspect of research is to collect "good" data'. Because people let us into their lives, we must make sure that our 'data' is true to the people with whom the researcher has been working. Finally, fieldwork requires that the researcher be nimble and flexible; as this collection emphasises, managing uncertainty is a critical part of the job, whether in a war zone or on the front lines of another society, culture or way of life.

The stories of fieldwork told in this book have remarkable resonance for those of us who worked in the Great Lakes Region of Africa whether in the 1960s, 1980, 1990s or in the new millennium; they also have the power to illuminate fieldwork in other areas of the world and other circumstances. The authors in this book present the dilemmas and delights of fieldwork in this complex region, honestly and in a fascinating manner, but without romanticising the experience. There are many lessons to be learned from the experiences of others, both by students and also by veteran researchers. As this book points out most powerfully, fieldwork is a 'reality check'. Maybe the reason that fieldwork experience is not discussed more openly and more often is that it is an experience that humbles the researcher. Most of us do not stride into this new world, we bumble through. Our informants are also our guides. Learning a new culture is a daunting and exhilarating experience, filled with errors, victories and discoveries and, as I have said, it is profoundly humbling. Being humbled, coupled with the discoveries about self and others, can turn you into a fieldwork junkie. So beware, the chapters in this collection could get you hooked.

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List of Abbreviations

AAUP American Association of University Professors

APC Armée populaire congolaise

CIALCA Consortium for Improving Agriculture-based

Livelihoods in Central Africa

CNDD-FDD Conseil national pour la défense de la démocratie-Forces

pour la défense de la démocratie

CNDP Congrès national pour la défense du peuple

DRC Democratic Republic of Congo FNL Forces nationales de libération FSRP Food Security Research Project

GEL Guichet d'economie locale du Sud-Kivu

GLR Great Lakes Region HRW Human Rights Watch

ICRC International Committee of the Red Cross

IDP Internally Displaced Persons

INERA L'Institut national pour l'etude et la recherche

agronomiques

IRBs Institutional Review Boards

ISI Institute for Scientific Information JRP Justice and Reconciliation Project

JRS Jesuit Refugee Services LRA Lord's Resistance Army

MINALOC Ministry of Local Government (Rwanda)

MININTER Ministry of the Interior (Rwanda)
NGO Non-Governmental Organisation

NRA National Resistance Army PTSD Post-Traumatic Stress Disorder

RCD-ML Rassemblement congolais pour la démocratie –

Mouvement de libération

REB Research Ethics Board
RPF Rwandan Patriotic Front
RYA Refugee Youth Association

SSHRC Social Sciences and Humanities Research Council

TNT The New Times

TPS Tri-Council Policy Statement

UN United Nations

UPDF Ugandan People's Defence Forces

UXO Unexploded ordnance

Contents

Foreword		vi
Notes on the	Contributors	ix
List of Abbre	viations	xiii
Chapter 1	Introduction: Why Stories Behind the Findings? Susan Thomson, An Ansoms and Jude Murison	1
Chapter 2	From Humanitarian to Anthropologist: Writing at the Margins of Ethnographic Research in the Democratic Republic of Congo Luca Jourdan	12
Chapter 3	The Contested Fruits of Research in War-Torn Countries: My Insider Experience in Northern Uganda Lino Owor Ogora	27
Chapter 4	Dislodging Power Structures in Rural Rwanda: From 'Disaster Tourist' to 'Transfer Gate' An Ansoms	42
Chapter 5	Challenges of Interviewing Political Elites: A View from the Top in Post-War Burundi Judith Vorrath	57
Chapter 6	The RPF Control Everything! Fear and Rumour under Rwanda's Genocide Ideology Legislation Larissa Begley	70
Chapter 7	From Scientific Research to Action in Southern Kivu: Ethical Dilemmas and Practical Challenges Julie Van Damme	84
Chapter 8	Research as 'Social Work' in Kampala? Managing Expectations, Compensation and Relationships in Research with Unassisted, Urban Refugees from the Democratic Republic of Congo Christina R. Clark-Kazak	96

Chapter 9	Nacibazo, 'No Problem': Moving Behind the Official Discourse of Post-Genocide Justice in Rwanda Yolande Bouka	107
Chapter 10	Dealing with Deceit: Fieldwork Encounters and Lies in Burundi Lidewyde H. Berckmoes	123
Chapter 11	Academic Integrity and Ethical Responsibilities in Post-Genocide Rwanda: Working with Research Ethics Boards to Prepare for Fieldwork with 'Human Subjects' Susan Thomson	139
Bibliography		155
Index		165

1

Introduction: Why Stories Behind the Findings?

Susan Thomson, An Ansoms and Jude Murison

Academic literature rarely gives an account of the 'story behind the findings', meaning the ethical challenges and emotional pitfalls that you, the researcher, are confronted with before, during and after the field experience. These quagmires have a potentially profound impact upon both the research process and its findings. They deserve proper attention, not only to fathom the inevitable bias in researchers' position in the field and to assess the quality of the research findings, but also to illustrate that the façade of 'scientific validity and neutrality' often hides a pragmatic approach that has shaped the empirical research process. As Wilkinson writes, 'both as social scientists and as human beings, we have a responsibility to "tell it as it happened," rather than how we would have liked it to be' (2008, p. 60). Acknowledging this does not degrade the quality and value of empirical data; instead, it places the results of field research into broader socio-political context regardless of the academic discipline that produced the findings.

Our purpose in producing this co-edited volume is to share our know-ledge of collecting data. To do so, we gathered together writing that covers a broad spectrum of research themes and individual experiences. The book contains case studies from doctoral research in Uganda, Rwanda, Burundi and the Democratic Republic of Congo (DRC), collectively known as the Great Lakes Region (GLR). The themes analysed are not unique – topics such as ethics, anticipating government control and surveillance, working with conflict-impacted communities, managing the expectations of your informants, gaining trust, interpreting lies and disinformation, and building meaningful research relationships are applicable to locales in Africa and elsewhere. Whether you are a new researcher or a seasoned one, the stories in this volume will resonate. If you are organising your first research or study trip, the chapters will elicit ideas and techniques that

you might not have considered adopting in your own research design, and if you find yourself encountering similar challenges in the field, then you can remind yourself that these events are not unique to you. they have been managed and overcome a thousand times over by others in a similar position. This volume will help you anticipate, then mitigate, the emotional and ethical ups-and-downs of field research.

Why our explicit focus on the Great Lakes Region? In the past two decades there has been an explosion of researchers working in the region - undergraduates and graduates in pursuit of degrees, interns and volunteers in pursuit of an 'African experience', development and policy practitioners who do field research as part of their everyday work, and study tours sponsored with organisations such as The School for International Training in Rwanda. Our volume represents the combined knowledge of 11 scholars from seven disciplines (agronomy, anthropology, conflict studies, development economics, history, sociology, and political science). All of them have completed their PhDs in the last five years, and all have spent at least three months in the field; others have spent several years researching the region. The average among our authors is 11 months on the ground. Our volume shares the story behind the formal research experience with a broader audience doing similar research, whether in the GLR or elsewhere.

This volume is dedicated to the emotional and intellectual challenges of local-level field research. Certainly in conflict-prone environments like those of Africa's Great Lakes, the culmination of methodological, ethical and emotional challenges may be intimidating to researchers of any academic discipline or background. This collection fills a crucial gap in the existing methodological literature in providing valuable lessons to scholars and practitioners engaging in research in conflict-affected and highly politicised environments. It demonstrates how doubt and uncertainty are part of the research process by offering others our reflections and providing them with a set of necessary and useful guidelines grounded in our own field experiences. All of the chapters collected here analyse different aspects of lessons gleaned from analysis of the stories behind the findings:

- 1. Know how and when to roll with it. Sometimes it is not about planning, it is about circumstances, seizing opportunities, and adapting to local realities.
- 2. It is not difficult to acquire data, but gaining the trust and respect of local actors that result in quality data takes time.
- 3. Things will be tough. You will face emotional challenges that cannot be planned for and anticipated. Accepting that this is inevitable is one

part of finding a solution to the problem and to turning challenges into opportunities to deepen your analysis.

Rolling with it: Adaptability, flexibility and patience

This first piece of advice is not a cliché about the stereotype that life is slower in Africa, and that somehow as a researcher you should adapt to it. Rather, it is a realisation that the systems and processes do not work the same as back home, and nor should they. Any person who has worked in Africa can produce an anecdote about how they went from office to office in search of an administrative signature or similar. Nigel Barley, in his famous work, The Innocent Anthropologist: Notes from a Mud Hut (1983) estimated that 99 per cent of his time in the field was spent 'on logistics, being ill, being sociable, arranging things, getting from place to place, and above all, waiting' (cited in Devereux and Hoddinott, 1992b, p. xi). The remaining one per cent was spent on conducting his research.

Research is a series of positive and negative emotions - delight, frustration, anxiety, joy, anger, relief, panic and sadness, to name but a few - that will be experienced daily. You will spend more time than expected on nearly every task you have planned (or not planned, as the case may be). The people you rely upon to facilitate your access to your research subjects or field site may be the primary source of your frustration. It can be difficult for a researcher to adjust to any new research setting, particularly in a research setting that is distinctly more impoverished than the norms to which the researcher is accustomed. Devereux and Hoddinott observe this point succinctly when they write, 'The seminars, lectures and conferences, the obligatory number-crunching and report writing, the elaborate theoretical models, the recently published papers, journals and books - all seemed not just meaningless, but positively bizarre, next to the stark realities of life in a Third World community' (1992a, p. 23).

The difference between the research setting and the setting from which the researcher has come is difficult to reconcile. In Chapter 5 of this collection on post-war Burundi, Judith Vorrath shares the strategies she employed as a young researcher with no previous experience working in a conflictimpacted context to navigate her research environment. She suggests that we plan our research carefully, advocating for at least two field trips, one to field test the feasibility of the research design and to familiarise oneself with the research environment, and one to actually gather the core data.

In the research setting we are in a very privileged position. Indeed, for the first time in our lives, we may be in a position of power over

our research subjects because of our race, gender, relative socio-economic advantage and so on. In Chapter 4 of this volume on working in rural Rwanda, An Ansoms reminds us that leaving the field is sometimes more difficult than settling in. She notes that when we leave, we leave behind people who have had an instrumental impact upon our lives, while we leave them in the same social conditions to continue their daily struggles. In a similar way, Christina Clark-Kazak's Chapter 8 in this volume on working with young Congolese refugees resident in Kampala reflects upon the importance of managing research participants' expectations. but with specific suggestions for working in urban areas with vulnerable populations who expect much more than the researcher can possibly provide in return. Clark-Kazak's chapter teaches us that managing the expectations of our research subjects is something that requires more than our empathy. Both chapters consider it critical that researchers think through how to mitigate some of the pitfalls that arise when we are tempted to emotionally engage in the lives of our subjects. They remind us that our primary responsibility is to uphold the ethical imperative of doing no harm, and, if we can, to do some good for the men and women who share so much of themselves with us in our pursuit of academic knowledge.

Throughout the research process our role, position and identity change. As a researcher we are a 'plurality of selves' combining aspects of gender, race, nationality, sexual orientation, marital status, age, cultural background, and so on (Mollinga, 2008). Furthermore, people's image of a researcher's identity and agenda may shift throughout the empirical research process. Nilan (2002, p. 368) acknowledges how 'the researcher as human subject is [...] in flux, dealing constantly with shifting realities and contradictions'. The way that we answer the questions that our research subjects have about us, as outsiders, have professional, personal and ethical implications. We must also accept that we will operate within a public and private sphere as we oscillate between 'on-duty' researcher and 'off-duty' human being (Brown, 2009, p. 216). Thinking through all these dilemmas and considering the trade-offs may at times be extremely challenging. As Vorrath notes in Chapter 5 in this volume, different attributes might impact a researcher's work in converse ways. In her case, a certain privileged access to informants and information due to her cultural background was accompanied by difficulties arising from the higher social status of her respondents, as political elites. Ansoms succinctly sums up these trade-offs where she discusses the impact of local communities' interpretation of the identity of the researcher and the utility of the research interpreted. She finds that there is not one way to manage the expectations of local actors, arguing instead for researchers to commit to long-term engagement with research subjects and sites so that meaningful reciprocal relationships can develop to manage their expectations over time. In the third chapter in this collection, Lino Owor Ogora takes this important insight one step further. He stresses that those of us researching mass atrocity - genocide, crimes against humanity - and other forms of political violence that characterise the Great Lakes Region must find a way to produce balanced policy and academic reports of the crimes committed by all offending parties, not just rebels or government soldiers.

Thinking about when and where to publish one's research is an important consideration for all researchers. In writing up research, senior academics and dissertation committee members advise younger researchers to adhere to standard academic publishing protocols. This usually means adopting methodologies that demonstrate that what we did was planned every step of the way, from design to ethics approval to entering and exiting the field, to the exact location of the field site, to who we conducted interviews with or from whom we collected data. In reality, the research experience is uneven at best, highlighting the need for us to be able to roll with the ups-and-downs of research. Wilkinson sums up this sentiment well: 'I want to start with a confession: my research is the product of circumstance, of serendipity and coincidence, of contingency, of interpretations and being interpreted' (2008, p. 47). All of the chapters in our volume embody this spirit of flexibility and adaptability. Two in particular stand out. First, Luca Jourdan's contribution in Chapter 2 is specifically dedicated to this theme. He writes of the importance of an attitude of 'getting by' in difficult research settings. Jourdan conducted doctoral work in Congo's North Kivu region, an area beset by civil war and resource plunder by various military and government actors. The ability to fly under the radar of authorities, whether legitimate or not, is an important personal attribute when doing research that seeks to understand war and conflict. Ogora's chapter also speaks to 'getting by' as a necessary personal attribute when working with war-impacted individuals, but with an important distinction: Ogora is from the region of northern Uganda that he researches, making 'getting by' more difficult, if not impossible. Although he was the lead researcher, Ogora reminds us to appreciate that our local research partners (research assistants, translators, partner organisations, etc) are also subject to a variety of challenges in the research process that we need to allow for in our own planning.