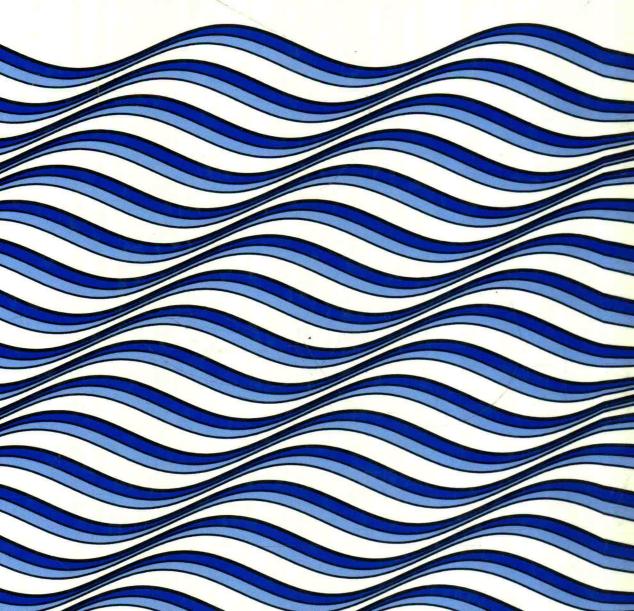
FOURIER ANALYSIS and IMAGING

Ronald N. Bracewell



Fourier Analysis and Imaging

Ronald Bracewell

L.M. Terman Professor of Electrical Engineering Emeritus Stanford University Stanford, California

Kluwer Academic/Plenum Publishers New York, Boston, Dordrecht, London, Moscow Bracewell, Ronald Newbold, 1921-

Fourier analysis and imaging/Ronald Bracewell.

p. ; cm.

Includes bibliographical references and index.

ISBN 0-306-48187-1

Imaging systems in medicine—Mathematics.
 Fourier analysis.
 Image processing—Digital techniques—Mathematics.
 Title.

[DNLM: 1. Fourier Analysis. 2. Tomography, X-Ray Computed—instrumentation. WN 206 B796f 2004] R857.O6B735 2004

R857.O6B735 2004 616.07′54′015152433—dc22

2003064002

This book was previously published by: Pearson Education, Inc./formerly known as Prentice-Hall, Inc.

ISBN 0-306-48187-1

©2003 Kluwer Academic/Plenum Publishers, New York 233 Spring Street, New York, New York 10013

http://www.wkap.nl/

10 9 8 7 6 5 4 3 2 1

A C.I.P. record for this book is available from the Library of Congress

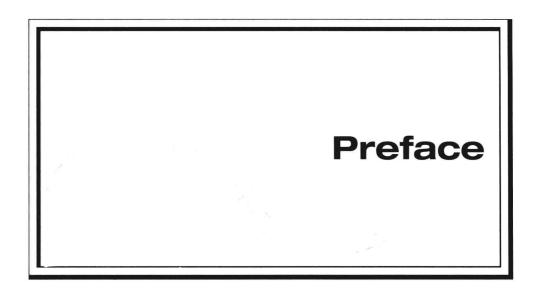
All rights reserved

No part of this book may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, microfilming, recording, or otherwise, without written permission from the Publisher, with the exception of any material supplied specifically for the purpose of being entered and executed on a computer system, for exclusive use by the purchaser of the work.

Permissions for books published in Europe: permissions@wkap.nl Permissions for books published in the United States of America: permissions@wkap.com

Printed in the United States of America

Fourier Analysis and Imaging



Digital signal processing has a short history and a future worth thinking about. The subject grew out of numerical analysis as once practiced with pencil and paper and later with electric calculators. On the digital side, familiar operations of finite differencing, numerical integration, correlation, convolution, statistical analysis, and spectrum analysis were all well developed in the days of hand calculation, as witnessed by publications of the Census Bureau, the Actuarial Society, and the Nautical Almanac Offices of seafaring nations. On the signaling side, use of electrical signals for information transfer goes back to telegraphy, where digital methods were present from the beginning; development was mainly in the telecommunications industry.

Binary digital signals have been in use since the predecessors of Morse code. They gained ground with the advent of the double-triode flip-flop, an electronic circuit that was stable in either of two states and could therefore be used as a counter. All the numerical operations could then be implemented and digital signals could be processed with the full versatility and speed of the modern computer. Naturally, the teaching of digital signal processing has developed within electrical engineering departments. Equally naturally, the focus has been on the waveforms arising in telecommunications (including television), radar, navigation, and computers.

Meanwhile the disciplines of radio astronomy, remote sensing, and space telemetry have developed electronic digital imaging practice that surpasses optical photography in both resolution and dynamic range. Applications in medical and other biological imaging,

xiii

xiv Preface

resource management, geographic systems, business, typography, art, geophysics, meteorology, and oceanography are expanding rapidly. Since the possessors of the necessary techniques are trained in electrical engineering, digital image processing is a growing sector of the old digital signal processing pie. This growth is bound to continue and will diversify in directions discussed in the Introduction (Chapter 1). Future imaging applications will call for new two-dimensional mathematical background going beyond basic electrical signal processing, which will nevertheless continue to be a prerequisite. Image engineering will become a substantial subfield of electrical engineering and computer science, with a distinctive flavor given by the indispensable infusion of finite (discrete) geometry, psychophysics of vision, and imaging practice from the many fields of application. Accommodating students from earth sciences and life sciences will be the hallmark of a basic course in image engineering as distinct from subsequent specialized courses in medical imaging, seismic imaging, radar imaging, etc., which need to be up-to-date in their specialty but do not need to be fundamental.

So wide are the applications of Fourier analysis to different forms of imaging that a fundamental treatment must begin by building a two-dimensional superstructure on whatever background of spectral analysis one already possesses. For a generation, electrical engineers have found that learning about Fourier's idea was one of their most durable investments, having application well beyond the confines of signal analysis. So also will it be found that two-dimensional Fourier analysis gives entrée to fields unconnected with imaging.

In preparing this book I typeset the text in TEX and used a Hewlett-Packard Integral PC with LaserJet IIIP printer for most of the drawings. The problems were tested on graduate students over the course of several years in a course that developed from origins in radio astronomical imaging. I had the privilege of associating with Joseph Pawsey's group at the Radiophysics Laboratory, Sydney, and with Martin Ryle's group at Cambridge, and I came to know the radio astronomers in France, Germany, Italy, the Soviet Union, and the United States. Pawsey and I coauthored the first book on radio astronomy. Many advances in image construction came from the explosive development of this subject. At Stanford, colleagues who shared my interest in image construction and influenced my understanding include Govind Swarup, A. Richard Thompson, Zvonko Fazarinc, T. Krishnan, L.R. d'Addario, Werner Graf, E.K. Conklin, Jacques Verly, John Villasenor, Domingo Mihovilović, and many students. What I know about painting was learnt from Reginald Earl Campbell. My colleagues Sylvia Plevritis and Ramin Samadani kindly criticized several chapters. I thank my wife Helen for her support and extraordinary patience.

It is a privilege to have worked in a department with a distinguished lineage of textbook writers, especially Frederick E. Terman and Hugh H. Skilling, whose work I have admired. Skilling used to express thanks for the liberal policy of Stanford University in encouraging publication, and I am happy to thank Joseph W. Goodman for maintaining that atmosphere.

R. N. Bracewell Stanford, California

	PREFACE	xiii
1	INTRODUCTION	1
	Summary of the Chapters 3	
	Notation 10	
	Teaching a Course from This Book 13	
	The Problems 13	
	Aspects of Imaging 15	
	Computer Code 17	
	Literature References 17	
	Recommendation 19	
2	THE IMAGE PLANE	20
	Modes of Representation 21	
	Some Properties of a Function of Two Variables 40	
	Projection of Solid Objects 43	
	Image Distortion 50	
	Operations in the Image Plane 67	

vi Contents

Binary Images 77	
Operations on Digital Images 80	
Reflectance Distribution 83	
Data Compression 89	
Summary 91	
Appendix: A Contour Plot Program 91	
Literature Cited 95	
Further Reading 97	
Problems 97	
TWO-DIMENSIONAL IMPULSE FUNCTIONS	111
The Two-Dimensional Point Impulse 111	
Rules for Interpreting Delta Notation 115	
Generalized Functions 117	
The Shah Functions III and ² III 117	
Line Impulses 119	
Regular Impulse Patterns 122	
Interpretation of Rectangle Function of $f(x)$ 123	
Interpretation of Rectangle Function of $f(x,y)$ 125	
General Rule for Line Deltas 125	
The Ring Impulse 128	
Impulse Function of $f(x,y)$ 130	
Sifting Property 131	
Derivatives of Impulses 133	
Summary 135	
Literature Cited 135	
Problems 136	
THE TWO-DIMENSIONAL FOURIER TRANSFORM	140
One Dimension 141	
The Fourier Component in Two Dimensions 141	
Three or More Dimensions 143	
Vector Form of Transform 143	
The Corrugation Viewpoint 144	
Examples of Transform Pairs 147	
The Two Dimensional Fourier Transforms 154	
The Two-Dimensional Hartley Transform 166	
Theorems for the Hartley Transform 166	

ŧ

	Discrete Transforms 167 Summary 168 Literature Cited 169 Further Reading 169 Problems 169	
5	TWO-DIMENSIONAL CONVOLUTION	174
	Convolution Defined 176 Cross-Correlation Defined 179 Feature Detection by Matched Filtering 180 Autocorrelation Defined 181 Understanding Autocorrelation 183 Cross-Correlation Islands and Dilation 187 Lazy Pyramid and Chinese Hat Function 187 Central Value and Volume of Autocorrelation 192 The Convolution Sum 193 Computing the Convolution 195 Digital Smoothing 196 Matrix Product Notation 196 Summary 199 Literature Cited 200 Problems 200	
6	THE TWO-DIMENSIONAL CONVOLUTION THEOREM	204
	Convolution Theorem 206 An Instrumental Caution 207 Point Response and Transfer Function 208 Autocorrelation Theorem 208 Cross-Correlation Theorem 209 Factorization and Separation 210 Convolution with the Hartley Transform 211 Summary 214 Problems 215	
7	SAMPLING AND INTERPOLATION IN TWO DIMENSIONS	222
	What is a Sample? 223 Sampling at a Point 224	

viii

Contents Sampling on a Point Pattern, and the Associated Transfer Function 224 Sampling Along a Line 227 Curvilinear Sampling 227 The Shah Function 228 Fourier Transform of the Shah Function 229 Other Patterns of Sampling 230 Factoring 234 The Two-Dimensional Sampling Theorem 235 Undersampling 240 Aliasing 241 Circular Cutoff 243 Double-Rectangle Pass Band 245 Discrete Aspect of Sampling 246 Interpolating Between Samples 247 Interlaced Sampling 254 Appendix: The Two-Dimensional Fourier Transform of the Shah Function 257 Literature Cited 259 Problems 260 **DIGITAL OPERATIONS** 267 Smoothing 267 Nonconvolutional Smoothing 271 Trend Reduction 273 Sharpening 274 What is a Digital Filter? 276 Guard Zone 276 Transform Aspect of Smoothing Operator 277 Finite Impulse Response (FIR) 278 Special Filters 279 Densifying 283 The Arbitrary Operator 284 Derivatives 285 The Laplacian Operator 287

Projection as a Digital Operation 287

Moiré Patterns 290

Functions of an Image 300

8

Digital Representation of Objects 301 Filling a Polygon 312 Edge Detection and Segmentation 314 Discrete Binary Objects 315 Operations on Discrete Binary Objects 318 Union and Intersection 319 Pixel Morphology 321 Dilation 322 Coding a Binary Matrix 335 Granulometry 336 Conclusion 337 Literature Cited 337 Problems 338 ROTATIONAL SYMMETRY 346 What Is a Bessel Function? 347 The Hankel Transform 352 The jinc Function 359 The Struve Function 365 The Abel Transform 365 Spin Averaging 371 Angular Variation and Chebyshev Polynomials 375 Summary 379 Table of the jinc Function 381 Problems 381 **IMAGING BY CONVOLUTION** 386 Mapping by Antenna Beam Scanning the Spherical Sky 392 Photography 394 Microdensitometry 395 Video Recording 397 Eclipsometry 397 The Scanning Acoustic Microscope 398 Focusing Underwater Sound 400 Literature Cited 400 Problems 401

9

10

x Contents

11	DIFFRACTION THEORY OF SENSORS AND RADIATORS	402
	The Concept of Aperture Distribution 403 Source Pair and Wave Pair 404 Two-Dimensional Apertures 409 Rectangular Aperture 409 Example of Circular Aperture 410 Duality 411 The Thin Lens 412 What Happens at a Focus? 416 Shadow of a Straight Edge 420 Fresnel Diffraction in General 425 Literature Cited 427 Problems 427	
12	APERTURE SYNTHESIS AND INTERFEROMETRY	430
	Image Extraction from a Field 430 Incoherent Radiation Source 431 Field of Incoherent Source 432 Correlation in the Field of an Incoherent Source 435 Visibility 438 Measurement of Coherence 439 Notation 440 Interferometers 441 Radio Interferometers 443 Rationale Behind Two-Element Interferometer 445 Aperture Synthesis (Indirect Imaging) 447 Literature Cited 449 Problems 450	
13	RESTORATION	453
	Restoration by Successive Substitutions 454 Running Means 456 Eddington's Formula 458 Finite Differences 460 Finite Difference Formula 461 Chord Construction 462	

The Principal Solution 464

Finite Differencing in Two Dimensions 468
Restoration in the Presence of Errors 472
The Additive Noise Signal 474
Determination of the Real Restoring Function 477
Determination of the Complex Restoring Function 479
Some Practical Remarks 479
Artificial Sharpening 481
Antidiffusion 482
Nonlinear Methods 485
Restoring Binary Images 485
CLEAN 485
Maximum Entropy 486
Literature Cited 487
Problems 489

14 THE PROJECTION-SLICE THEOREM

493

Circular Symmetry Reviewed 494
The Abel-Fourier-Hankel Cycle 495
The Projection-Slice Theorem 498
Literature Cited 501
Problems 503

15 COMPUTED TOMOGRAPHY

505

Working from Projections 506
An X-Ray Scanner 508
Fourier Approach to Computed Tomography 510
Back-Projection Methods 512
The Radon Transform 517
The Impulse Response of the Radon Transformation 518
Some Radon Transforms 526
The Eigenfunctions 530
Theorems for the Radon Transform 530
The Radon Boundary 532
Applications 533
Literature Cited 536
Problems 538

16	SYNTHETIC-APERTURE RADAR	545
	Doppler Radar 545	
	Some History of Radiofrequency Doppler 550	
	Range-Doppler Radar 551	
	Radargrammetry 557	
	Literature Cited 558	
	Problems 558	
17	TWO-DIMENSIONAL NOISE IMAGES	560
	Some Types of Random Image 561	
	Gaussian Noise 582	
	The Spatial Spectrum of a Random Scatter 586	
	Autocorrelation of a Random Scatter 591	
	Pseudorandom Scatter 593	
	Random Orientation 599	
	Nonuniform Random Scatter 599	
	Spatially Correlated Noise 601	
	The Familiar Maze 604	
	The Drunkard's Walk 607	
	Fractal Polygons 609	
	Conclusion 618	
	Literature Cited 619	
	Problems 620	
API	PENDIX A SOLUTIONS TO PROBLEMS	623
	INDEX	676

xii

Contents

Introduction

Telecommunication by radio shrank the world to a global village, and the satellite and computer have made imagery the language of that village. The creation of images was once mainly in the hands of artists and scribes. Their art works—the famous stone-age cave paintings, the engravings on stone, bone and tooth, and the paintings on bark and skin that have been lost—go back to the distant past. Their inscriptions are also images, though of a different kind. They are also very old, as witnessed by hieroglyphic writing on the walls of tombs and on papyrus in Egypt. In modern times artists and scribes were joined by photographers and printers as creators of images. A variety of artisans, playing a secondary role as artists, created a third kind of image: ornament manifested on pottery, in woven fabrics and carpets, in tile patterns, and in painted friezes. Builders and cartographers created a fourth kind of image, once relatively rare but nevertheless going back to antiquity: construction plans and maps.

Two-dimensional images also occur naturally: a shadow, the dappled light pattern under a tree, the optical image on a retina. Nature provided the motif for much abstract ornamentation, and our written letters and ideograms trace back to representations of nature.

The graven image is a three-dimensional generalization. Examples in art range from primitive ornamental abstract carving to sophisticated modern sculpture. Writing provides three-dimensional examples ranging from cuneiform impressions in clay tablets in Iraq to formal hieroglyphs incised in granite by the Egyptians, jade calligraphy in China, and

2 Introduction Chap. 1

inscriptions in marble in the elegant monumental alphabet devised by the Romans. Threedimensional images today have become an important and growing part of the digital world. Examples include volumetric data sets produced for medical diagnosis and other purposes by x-ray tomography, magnetic resonance, positron-electron scanners, ultrasound, confocal microscopes, laser scanners, and supercomputer simulation.

Three-dimensional holographic images, which are of increasing importance, are presented by suitable illumination of holograms. Holograms themselves are usually two-dimensional analogue images (an exception is thin thermoplastic film embossed with corrugations). The construction of analogue holograms from image data sets is a reality, and no doubt composite digital holograms are not far behind. Video processing and multimedia products for mass consumption are also capable of three-dimensional image presentation and will encourage the development of stereoscopic techniques.

The main topic of this book is the two-dimensional image. The book explores the idea that a two-dimensional image is much the same as a mathematical function of two variables, and it explores a variety of phenomena that are susceptible to mathematical reasoning. Some of these quantitative phenomena, such as grey levels, dynamic range, resolution, spatial spectrum, perspective projection, and convolution, have wide significance and are therefore dealt with first. The canvas may be continuous or discrete. Discussion then branches into various specific kinds of image associated with techniques such as diffraction, tomography, interferometry, and range-Doppler mapping.

The creation of images has been moving progressively into the hands of those equipped with new tools: the cathode-ray tube and the laser printer under computer control. Many of us have learned to wield these tools without the accumulated wisdom of artists, typesetters, photographers, advertisers, and movie-makers, all of whom prepare visual material for the attention of others. Much of this wisdom is being reacquired, often painfully, and clearly a new synthesis is in the making. Michelangelo, if he were alive today in his workshop in Florence, might be cutting a titanium monument of extraordinary beauty with a laser-controlled interactive program that he was developing, causing severe competition for the younger generation. We get an inkling of things to come from the fractal images of Mandelbrot and the animated movies of Stephen Spielberg.

As Descartes taught, we should be skeptical. When we question things, our minds are stimulated to creativity. The questioning reader may already have asked, "Is an image really reducible to a function of two variables?" This question leads us to consider the color image. Of course, we know from color printing with ink that a set of four functions of two spatial variables gives the desired description; the four functions are, respectively, the densities of the yellow, cyan, magenta, and black imprints. This is a reassuring minor generalization, bolstering confidence that the mathematical approach is competent. But when you ask, "Are the inks deposited on the paper yellow first and black last and if so why?" you are raising sticky questions whose answers may make the mathematical part of you nervous. Modern practice was not arrived at by logical deduction but by empirical trial, sometimes so lengthy that the early steps have been forgotten. If and when the procedures of this sort of incrementally developed technology come to be understood, not only physics but psychophysics will prove to have been a significant factor. Only occasional allusions to psychophysical and psychological aspects of images can be made

in this book, but the topic is introduced here to warn about the incompleteness of the mathematically based material for one wishing to become competent in image handling.

As a reminder of the limitations, take the case of a camera, which has difficulty making a realistic image of a gold ring with a pearl. The camera may capture a function of two variables—photographic density in x and y—very faithfully. It fails to capture surface gloss, metallic sheen, and translucency. The eye is very well aware of these additional variables. How the eye can see something that the camera cannot record will be discussed. Interestingly, some oil painters have been able to convey these nonphotographic surface qualities.

These are very interesting matters that image engineers are going to learn more about. Just as a hint of the phenomena involved, here are some suggestions for action. Get a flower, spray some water droplets on the petals, and put it in the sun; add a fluorescent garment and diamond ring if available. Take a photo and place a print alongside the original arrangement. Look at the solid object and the flat image from different directions; repeat while holding a card with a single pinhole close to your eye. Hold a card with a 5-mm square hole at arm's length, focus on the plane of the card, and use this tool to compare corresponding areas of the arrangement and its photograph. Catch a camera obscura image of an outdoor scene inside a cardboard carton and view it through an opening in the bottom. Turn your back on the scene and view it through your legs! Have the shadows changed color? Does the sky seem to have a different color when your head is upside down? Training in physics alone does not prepare you for this, or for what happens when you mix black and yellow paints, or for understanding why Newton reported seven colors in the solar spectrum. Get a prism and a slit and see these color bands for yourself. From experiments one can learn a lot about perception and prepare for the richness of experience that work with images will bring.

SUMMARY OF THE CHAPTERS

Chapter 2, which introduces the picture plane, mentions some of these interesting matters but is mainly concerned with the presentation of graphic images, with elementary operations on images, and with establishing terminology. Most of the operations are applicable to discrete images (those defined on a lattice of points rather than on the plane of continuous x and y) and to quantized images (which have digital function values); consequently, discrete examples are introduced freely, but the mathematics is kept general. The chapter makes relatively light reading. However, this book is written mainly for people equipped to handle computer images using more advanced mathematical methods, especially the Fourier transform in both its continuous and discrete forms. Some previous experience with Fourier analysis of signals will thus be helpful. Two-dimensional Fourier analysis, though, is somewhat different from analysis of signals into temporal vibrations, so the material has been written to be accessible to mathematically inclined students whether they have had previous experience with transform methods or not. The topics of the other chapters will now be summarized.

Several kinds of image involve Fourier transformation either directly, as with optical