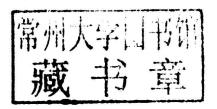


# Routledge Handbook of Ocean Resources and Management

Edited by Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy

# ROUTLEDGE HANDBOOK OF OCEAN RESOURCES AND MANAGEMENT

Edited by Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy







### First published 2015 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2015 Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy, selection and editorial material; individual chapters, the contributors

The right of the editors to be identified as the authors of the editorial material, and of the authors for their individual chapters, has been asserted in accordance with sections 77 and 78 of the Copyright,

Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing-in-Publication Data
A catalogue record for this book is available from the British Library

Library of Congress Cataloging in Publication Data
Routledge handbook of ocean resources and management/edited by
Hance D. Smith, Juan Luis Suárez de Vivero, and Tundi S. Agardy.
pages cm

Includes bibliographical references and index.

1. Marine resources – Handbooks, manuals, etc. 2. Marine resources – Management – Handbooks, manuals, etc. I. Smith, Hance D., editor of compilation. II. Suárez de Vivero, Juan Luis, editor of compilation.

III. Agardy, Tundi, editor of compilation.

GC1015.2.R68 2016 333.91'64 - dc23 2015017714

ISBN: 978-0-415-53175-7 (hbk) ISBN: 978-0-203-11539-8 (ebk)

Typeset in Bembo by Florence Production Limited, Stoodleigh, Devon, UK



### ROUTLEDGE HANDBOOK OF OCEAN RESOURCES AND MANAGEMENT

This comprehensive handbook provides a global overview of ocean resources and management by focusing on critical issues relating to human development and the marine environment, their interrelationships as expressed through the uses of the sea as a resource, and the regional expression of these themes. The underlying approach is geographical, with prominence given to the biosphere, political arrangements and regional patterns — all considered to be especially crucial to the human understanding required for the use and management of the world's oceans.

Part 1 addresses key themes in our knowledge of relationships between people and the sea on a global scale, including economic and political issues, and understanding and managing marine environments. Part 2 provides a systematic review of the uses of the sea, grouped into food, ocean space, materials and energy, and the sea as an environmental resource. Part 3 on the geography of the sea considers management strategies especially related to the state system, and regional management developments in both core economic regions and the developing periphery. The primary themes within each chapter are governance (including institutional and legal bases); policy — sets of ideas governing management; and management, both technical and general.

**Hance D. Smith** was a Reader in the School of Earth and Ocean Sciences at Cardiff University, UK until 2011. He is Editor of *Marine Policy*, the leading academic journal of ocean affairs. His academic interests include marine geography, marine resources and environmental management, and marine policy.

Juan Luis Suárez de Vivero is a Professor in the Department of Human Geography, University of Seville, Spain. His special interests are social science aspects of maritime policy, ocean governance and integrated coastal zone management.

**Tundi S. Agardy** is Executive Director of Sound Seas, a US-based group focused on marine protected areas, ecosystem-based management, and coastal planning. She is author of *Ocean Zoning* (Earthscan, 2010).

### **AUTHOR AFFILIATIONS**

- Tundi S. Agardy, Sound Seas, Bethesda, Maryland, USA.
- Juan-Luis Alegret, Maritime Studies, University of Girona, Girona, Spain.
- Michael O. Angelidis, International Atomic Energy Agency, Department of Nuclear Sciences and Applications, Marine Environmental Studies Laboratory, Monaco; formerly UNEP Mediterranean Action Plan.
- **Jeff A. Ardron**, Commonwealth Secretariat, London, UK; formerly, Institute for Advanced Sustainability Studies (IASS), Potsdam, Germany.
- Salvatore Aricò, Division of Science Policy, Natural Sciences Sector, UNESCO, Paris, France.
- Elaine Baker, GRID-Arendal, Arendal, Norway.
- Sam Bateman, Australian National Centre for Ocean Resources and Security, University of Wollongong, Wollongong, NSW, Australia and S. Rajaratnam School of International Studies (RSIS), Nanyang Technological University, Singapore.
- Yannick Beaudoin, GRID-Arendal, Arendal, Norway.
- Patricio A. Bernal, Faculty of Biological Sciences, Pontifical Catholic University of Chile, Santiago, Chile.
- Örjan Bodin, Stewardship Research, Stockholm Resilience Centre, Stockholm, Sweden.
- Douglas R. Burnett, Squire Sanders (US) LLP, New York, USA.
- Toni Cannard, CSIRO Oceans & Atmosphere Flagship and Australian National Centre for Ocean Resources and Security, University of Wollongong, based in Brisbane, Queensland, Australia.
- Eliseu Carbonell, Catalan Institute for Cultural Heritage Research, Girona, Spain.
- Chris M. Carleton, Formerly of the Law of the Sea Division, UK Hydrographic Office.
- Lionel Carter, Antarctic Research Centre, Victoria University of Wellington, New Zealand.

### Author affiliations

- Christina Cavaliere, Hospitality and Tourism Management Studies and Sustainability, The Richard Stockton College of New Jersey, Galloway, New Jersey, USA.
- Ratana Chuenpagdee, Department of Geography, Memorial University of Newfoundland, St John's, Canada.
- Klaus Dodds, Department of Geography, Royal Holloway University of London, London, UK
- Carolyn Elefant, Law Offices of Claire Elefant (LOCE), Washington, DC, USA.
- Fernando Fernández Fadón, Spanish Naval Academy, International Relations, Marin, Pontevedra, Spain.
- Francesco Ferretti, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA.
- **David Florido del Corral**, Department of Social Anthropology, University of Seville, Seville, Spain.
- Montserrat Gorina-Ysern, Healthy Children-Healthy Oceans Foundation, Chevy Chase, Maryland, USA.
- Steven Haines, Royal United Services Institute for Defence and Security Studies, University of Greenwich, London, UK.
- James R. Hein, U.S. Geological Survey, Santa Cruz, California, USA.
- Alan D. Hemmings, Gateway Antarctica, University of Canterbury, Canterbury, New Zealand.
- A. Margaret Hines, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA.
- **Kristen Honey**, American Association for the Advancement of Science (AAAS), Washington, DC, USA.
- **Svein Jentoft**, Norwegian College of Fishery Science, The Arctic University of Norway, Tromsø, Norway.
- Megan Jungwiwattanaporn, Coastal Resources Management Office, Saipan, Commonwealth of Northern Mariana Islands.
- Richard Kenchington, Australian National Centre for Ocean Resources and Security, University of Wollongong, Wollongong, New South Wales, Australia.
- **Judith Kildow**, National Ocean Economics Program, Center for the Blue Economy, Monterey Institute for International Studies, Monterey, California, USA.
- Lee A. Kimball, Policy Analyst, Washington, DC, USA.
- Rebecca Koss, School of Life and Environmental Sciences, Deakin University, Burwood, Victoria, Australia.
- Kristy Kroeker, University of California Santa Cruz, California, USA.
- Monique LaFrance Bartley, Graduate School of Oceanography, University of Rhode Island, Coastal Resources Center, Narragansett, Rhode Island, USA.

### Author affiliations

- Giulio De Leo, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA.
- Susan M. Libes, School of Coastal and Marine Systems Science, Coastal Carolina University, Conway, South Carolina, USA.
- Michael Lück, School of Hospitality and Tourism, Auckland University of Technology, Auckland, New Zealand.
- Jennifer McCann, Graduate School of Oceanography, University of Rhode Island, Coastal Resources Center and Rhode Island Sea Grant College Program, Narragansett, Rhode Island, USA.
- **Douglas J. McCauley**, University of California Santa Barbara, Department of Ecology, Evolution, and Marine Biology, Santa Barbara, California, USA
- Bernice McLean, International Knowledge Management, Cape Town, South Africa.
- Rebecca G. Martone, Center for Ocean Solutions, Monterey, California, USA.
- Fiorenza Micheli, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA
- Kyriaki Mitroussi, Cardiff Business School, Cardiff University, Cardiff, UK.
- Kira Mizell, US Geological Survey, Santa Cruz, California, USA.
- Christian Neumann, GRID-Arendal, Arendal Norway.
- Guiseppe Notarbartolo-di-Sciara, Tethys Research Institute, Milan, Italy.
- Joani November, International Knowledge Management, Cape Town, South Africa.
- Peter Nuttall, School of Economics, University of the South Pacific, Suva, Fiji.
- Francois Odendaal, Faculty of Engineering, Cape Peninsula University of Technology (CPUT), Bellville, Cape Town, South Africa and EcoAfrica Environmental Consultants.
- Jennifer K. O'Leary, California Sea Grant, University of California San Diego, and California Polytechnic University, San Luis Obispo, California, USA.
- **Stephen B. Olsen**, Graduate School of Oceanography, University of Rhode Island, Coastal Resources Center, Narragansett, Rhode Island, USA.
- Sean O'Neill, For Ocean Energy, Foundation for Ocean Renewables, Washington, DC, USA.
- **Henrik Österblom**, Docent and Associate Senior Lecturer in Environmental Sciences and Deputy Science Director, Stockholm Resilience Centre, Stockholm University, Stockholm, Sweden.
- Linwood Pendleton, International Chair of Excellence, UMR-AMURE, Brest, France.
- **Anthony J. Press**, Antarctic Climate and Ecosystems Cooperative Research Centre, University of Tasmania, Hobart, Tasmania, Australia.
- Jeanette Reis, School of Earth and Ocean Sciences, Cardiff University, Cardiff, UK.
- **Juan Carlos Rodriguez Mateos**, Department Human Geography, University of Seville, Seville, Spain.

#### Author affiliations

- Daniele F. Rosim, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA.
- **Jason Scorse**, Center for the Blue Economy and International Environmental Policy Program, Monterey Institute of International Studies, Monterey, California, USA.
- Tullio Scovazzi, University of Milano-Bicocca, Milan, Italy.
- Susannae H. Sokolow, Stanford University, Hopkins Marine Station, Pacific Grove, California, USA
- Anne Solgaard, ChangeLab, Oslo, Norway.
- Hance D. Smith, School of Earth and Ocean Sciences, Cardiff University, Cardiff, UK.
- **Selina Stead**, School of Marine Science and Technology, Newcastle University, Newcastle upon Tyne, UK.
- Andy Stock, Emmett Interdisciplinary Program in Environment & Resources, Stanford University, Stanford, California, USA.
- **Juan Luis Suárez de Vivero**, Department of Human Geography, University of Seville, Seville, Spain.
- U. Rashid Sumaila, Fisheries Economics Research Unit, University of British Columbia Fisheries Centre, Vancouver, British Columbia, Canada.
- Zvikomborero Tangawamira, EcoAfrica Environmental Consultants, Clydesdale, Pretoria, South Africa.
- Tara Thrupp, The Institute for the Environment, Brunel University London, London, UK.
- Joeli Veitayaki, School of Marine Studies, University of the South Pacific, Suva, Fiji.
- Robin Warner, Australian National Centre for Ocean Resources and Security, University of Wollongong, Wollongong, New South Wales, Australia.
- **Geoffrey Wescott**, School of Life and Environmental Science, Deakin University, Burwood, Victoria, Australia.
- Robert Wilson, International Hydrographic Organization.
- **Chelsea L. Wood**, Department of Ecology and Evolutionary Biology, University of Michigan and Michigan Society of Fellows, University of Michigan, Ann Arbor, Michigan, USA.
- Yimin Ye, Marine and Inland Fisheries Branch (FIRF), Fisheries and Aquaculture Department, Food and Agriculture Organization of the United Nations, Rome, Italy.

### **ACKNOWLEDGEMENTS**

The conception and preparation of a Handbook dealing with the world ocean is necessarily a long-term project involving much thought, research and writing by many people. We are first and foremost indebted to our willing and long-suffering authors for both undertaking and delivering their manuscripts over an extended period. Lead and co-authors have responded to continual editorial nagging with great patience, for which we are grateful.

The project has also benefited from the equally long-suffering editorial team at Earthscan, now part of Routledge. We are especially grateful to Tim Hardwick as Senior Commissioning Editor for encouraging us to embark on the considerable voyage of thought and investigation required; and to Ashley Wright, Senior Editorial Assistant for the editorial tasks involved in bringing the work to fruition.

Finally, we are indebted to Azmath Jaleel for the cover design.

Hance D. Smith Juan Luis Suárez de Vivero Tundi S. Agardy Editors 1 May 2015

# CONTENTS

Author affiliations Acknowledgements	ix xiii
Introduction Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy	1
1 The world ocean and the human past and present Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy	5
PART 1 The world ocean	15
The globalisation of governance	15
2 Changing geopolitical scenarios Juan Luis Suárez de Vivero, Juan Carlos Rodríguez Mateos, David Florido del Corral and Fernando Fernández Fadón	17
3 State ocean strategies and policies for the open ocean Patricio A. Bernal	33
4 International marine governance and protection of biodiversity Jeff A. Ardron and Robin Warner	55
5 Regional ecosystem-based imperatives within global ocean governance  Lee A. Kimball	73

### Contents

Un	derstanding marine environments	87
6	Blue planet: the role of the oceans in nutrient cycling, maintaining the atmospheric system, and modulating climate change Susan M. Libes	89
7	Ocean health Fiorenza Micheli, Giulio De Leo, Francesco Ferretti, A. Margaret Hines, Kristen Honey, Kristy Kroeker, Rebecca G. Martone, Douglas J. McCauley, Jennifer K. O'Leary, Daniele F. Rosim, Susanna Sokolow, Andy Stock and Chelsea L. Wood	108
8	Marine scientific research: overview of major issues, programmes and their objectives  Montserrat Gorina-Ysern	127
Ma	anaging marine environments	143
9	Marine conservation Guiseppe Notarbartolo-di-Sciara	145
10	Science and policy Rebecca Koss and Geoffrey Wescott	157
11	Ecosystem services and their economic and social value  Jason Scorse and Judith Kildow	176
12	Strategic environmental assessment Richard Kenchington and Toni Cannard	188
13	Greening the ocean economy: a progress report Linwood Pendleton, Megan Jungwiwattanaporn, Yannick Beaudoin, Christian Neumann, Anne Solgaard, Christina Cavaliere and Elaine Baker	199
	RT 2 e uses of the sea	213
Liv	ring resources	213
14	Global fisheries: current situation and challenges Yimin Ye	215
15	The high seas and IUU fishing Henrik Österblom, Örjan Bodin, Anthony J. Press and U. Rashid Sumaila	232
16	Rethinking small-scale fisheries governance Ratana Chuenpagdee and Svein Jentoft	241

### Contents

17	Mariculture: aquaculture in the marine environment Selina Stead		255
En	ergy and materials		267
18	Oil and gas Hance D. Smith and Tara Thrupp		269
19	Renewables: an ocean of energy Sean O'Neill, Carolyn Elefant and Tundi Agardy		283
20	Ocean minerals James R. Hein and Kira Mizell		296
21	Making progress with marine genetic resources Salvatore Aricò		310
Oc	ean space		329
22	Shipping and navigation  Jeanette Reis and Kyriaki Mitroussi		331
23	Subsea telecommunications Lionel Carter and Douglas R. Burnett		349
24	Sea-power Steven Haines		366
The marine environment			379
25	Waste disposal and ocean pollution  Michael O. Angelidis		381
26	Marine leisure and tourism  Michael Lück		396
27	Maritime heritage conservation  Juan-Luis Alegret and Eliseu Carbonell		408
	PART 3		
	e geography of the sea		423
Spo	itial organisation		423
28	State maritime boundaries  Chris M. Carleton		425

### Contents

29	The deep seabed: legal and political challenges  Tullio Scovazzi	449
30	Surveying the sea Robert Wilson	462
31	Marine protected areas and ocean planning  Tundi S. Agardy	476
Reg	gional developments: key core maritime regions	493
32	Maritime boundaries: the end of the Mediterranean exception Juan Luis Suárez de Vivero and Juan Carlos Rodríguez Mateos	495
33	Marine spatial planning in the United States: triangulating between state and federal roles and responsibilities  Stephen B. Olsen, Jennifer McCann and Monique LaFrance Bartley	507
34	The East Asian seas: competing national spheres of influence Sam Bateman	524
Reg	gional developments: the developing periphery	539
35	Africa: coastal policies, maritime strategies and development Francois Odendaal, Zvikomborero Tangawamira, Bernice McLean and Joani November	541
36	South Pacific and small island developing states: Oceania is vast, canoe is centre, village is anchor, continent is margin Peter Nuttall and Joeli Veitayaki	560
37	Polar oceans: sovereignty and the contestation of territorial and resource rights  Klaus Dodds and Alan D. Hemmings	576
38	The world ocean and the human future Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy	592
Ind	ex	599

### INTRODUCTION

Hance D. Smith, Juan Luis Suárez de Vivero and Tundi S. Agardy

The concept of resources is primarily economic and, in the case of the world ocean, environmental, thus focusing on the interrelationships between human activities concerned with the uses of the sea on one hand, and the 71 per cent of the Earth's surface covered by the sea on the other. This is, of course, a vast subject, and this Handbook thus necessarily deals with selected themes, which have been chosen with particular reference to the current stage of economic development of the world ocean. There are three first-order themes corresponding to the three major sections of the book, with selected second-order themes within these. First is the human dimension of globalisation of economic activity, understanding and management of marine environments. Second are the uses of the sea, considering in turn living resources, energy and materials, ocean space and the marine environment considered as a resource. Finally attention turns to the complexity of regional patterns engendered by the first two themes – the geography of the sea in terms of spatial organisation and development of both core and peripheral maritime regions of the global economy.

A first priority throughout is to place the book firmly in the present geographical and historical context of the world ocean, in the belief that humankind's relationship with the sea and, for that matter, the Earth as a whole, is passing through a crucial juncture in its development on at least two timescales, with characteristic regional development patterns linking land and sea. This is in part the focus of the first chapter in the book. With this in mind, the final chapter looks forward with particular reference to trends in the major fields considered in various chapters. In between are the three themes, further elaborated below.

#### The world ocean

The world ocean encompasses many human worlds – environmental, technological, economic (the main focus of this Handbook), social and political – as well as the historical and geographical worlds that link all these together. At the present juncture in human history the sea above all represents the power of globalisation that permeates all these worlds, exemplified by the conclusion of the United Nations Conference on the Law of the Sea in 1982, and its entry into force in 1994. It is thus with globalisation that the Handbook begins. The primary focus is concerned primarily with ocean governance with particular reference to the system of states, and the relationships of governance on the one hand with the management and protection of

biodiversity and ocean ecosystems. Thus Chapter 2 highlights the relatively dynamic world of changing geopolitical scenarios at the turn of the twenty-first century. Chapter 3 then focuses on the open ocean through discussion of state ocean strategies with especial reference to the international dimensions of treaties and organisations concerned with the sea. Chapter 4 then deals with the implications of this governance system for the protection of biodiversity; while Chapter 5 underlines the critical regional ecosystem-based dimension of governance that is needed to manage the increasingly stressed ecosystems of life in the world ocean.

The challenge that naturally follows that of governance is that revolving around knowledge and understanding. This challenge, which is based on scientific understanding, has many dimensions, including those concerned with the ocean—atmosphere system and its relationships with the Earth itself; life in the ocean, which is a major theme in the foregoing section on governance as well as the fisheries and aquaculture chapters in Part 2; the human impacts that result in substantial modification of the ocean environment; and the driving force of marine scientific research that is necessary not only to extend knowledge, but also to prioritise management issues. Chapter 6 begins the section by focusing on the ocean itself and its relationships with the atmosphere and life, as well as the implications for climate change, which has always been a central characteristic of what is an integrated ocean—atmosphere system — the Blue Planet. Chapter 7 highlights the relatively new concept of ocean health as a means of measuring the profound changes wrought by human influences on the ocean environment. Chapter 8 provides an overview of marine scientific research that underpins human understanding, including the nature of objectives; the issues with which research is concerned; and the programmes through which the research is accomplished.

The permutations and combinations of politics and governance allied to understanding lead to the practical challenges of management. Many would regard marine conservation, which is the topic of Chapter 9, as the most pressing issue in the pantheon of management activities. However, underlying all of these management concerns is the 'gyro' of science and policy which heavily influences and to a substantial extent steers management priorities, and which is the subject of Chapter 10. Many understand ocean science simply as being concerned with the natural sciences: Chapter 11, dealing with ecosystem services and their economic and social value, demonstrates the crucial importance of social science inputs. Chapter 12 on strategic environmental assessment then considers an all-important technical management dimension through which practical management must be attained. Finally, Chapter 13 on greening the ocean economy provides something of an audit of human activity and impacts on the ocean environment, primarily from the point of view of uses of the sea, leading naturally to the second main part of the book.

#### The uses of the sea

The uses of the sea section deals with four main themes. The first of these is living resources – the provision of food from the sea by exploitation of marine ecosystems through both the catching of wild fish and the enormous expansion of fish farming or aquaculture in the marine environment that has characterised the last several decades. The second theme is that of energy and materials: these are best considered together for a number of reasons, including the large capital investment, mainly transnational companies, and advanced technologies required; marine genetic resources, while living in the strict sense, are primarily the use of living resources as materials, rather than food. The use of ocean space is perhaps the best indicator of the geographical and economic globalisation of the world ocean; while the final section on the marine environment as a resource highlights the importance of the 'total', integrated and functioning ocean environment for material waste disposal, marine recreation and heritage.