Handbook of
Sustainability
for the
Food Sciences



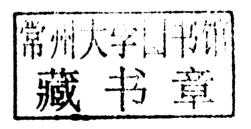
RUBÉN O. MORAWICKI



WILEY-BLACKWELL

Handbook of Sustainability for the Food Sciences

Rubén O. Morawicki Assistant Professor Department of Food Science University of Arkansas



This edition first published 2012 @ 2012 by John Wiley & Sons, Inc

Wiley-Blackwell is an imprint of John Wiley & Sons, formed by the merger of Wiley's global Scientific, Technical and Medical business with Blackwell Publishing.

Registered Office

John Wiley & Sons Ltd, The Atrium, Southern Gate, Chichester, West Sussex, PO19 8SQ, UK

Editorial Offices

2121 State Avenue, Ames, Iowa 50014-8300, USA The Atrium, Southern Gate, Chichester, West Sussex, PO19 8SQ, UK 9600 Garsington Road, Oxford, OX4 2DQ, UK

For details of our global editorial offices, for customer services and for information about how to apply for permission to reuse the copyright material in this book please see our website at www.wiley.com/wiley-blackwell.

Authorization to photocopy items for internal or personal use, or the internal or personal use of specific clients, is granted by Blackwell Publishing, provided that the base fee is paid directly to the Copyright Clearance Center, 222 Rosewood Drive, Danvers, MA 01923. For those organizations that have been granted a photocopy license by CCC, a separate system of payments has been arranged. The fee codes for users of the Transactional Reporting Service are ISBN-13: 978-0-8138-1735-4/2012.

Designations used by companies to distinguish their products are often claimed as trademarks. All brand names and product names used in this book are trade names, service marks, trademarks or registered trademarks of their respective owners. The publisher is not associated with any product or vendor mentioned in this book. This publication is designed to provide accurate and authoritative information in regard to the subject matter covered. It is sold on the understanding that the publisher is not engaged in rendering professional services. If professional advice or other expert assistance is required, the services of a competent professional should be sought.

Disclaimer

The author has included information that is believed to be accurate to the best of his knowledge. However, the author and the publisher are not responsible for the application of the information presented in this book. Processes and procedures suggested in the book should not be taken as advice about current regulations and policies that vary broadly around the world. Before any process modification or the development of new processes based on the information contained in this book, the reader needs to consult legal policies and regulations at local, state, and federal level that control his/her particular industry. The mention of trademarks, commercial brands, or companies does not constitute an endorsement or a recommendation for use by the author or the publisher.

Library of Congress Cataloging-in-Publication Data

Morawicki, Rubén O.

Handbook of sustainability for the food sciences / Rubén O. Morawicki.

p. cm.

Includes bibliographical references and index.

ISBN 978-0-8138-1735-4 (hard cover : alk. paper)

1. Food industry and trade. 2. Food–Biotechnology. 3. Sustainable agriculture. I. Title. TP370,M58 2012

664'.024-dc23

2011036448

A catalogue record for this book is available from the British Library.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

Set in 10/12pt Times by SPi Publisher Services, Pondicherry, India Printed and bound in Malaysia by Vivar Printing Sdn Bhd

To Mom and Gail

Preface

Many books on sustainability have emerged in the last decade, most of them written for agricultural systems, communities, and business in general. In contrast, this book presents the concept of sustainability adapted to the food supply chain with emphasis in food processing. This book is intended for food scientists, food engineers, and as instruction material for students.

The initial intention was to write this book focusing just on processing. However, isolating processing from the rest of the supply chain would provide an incomplete picture, and therefore, the book follows all steps from farm to fork with special emphasis on processing. The content of this book has been tailored for the food industry. However, most of the concepts are applicable to other industries, especially those similar to the food industry that do not produce toxic emissions.

With exception of what is harvested from seas, all primary food production takes place in agricultural fields. Because this is not a book on agronomy, several ideas about mitigation of the environmental impact when growing food are presented. The chapters on air emissions, water and wastewater, energy, and solid waste contain important information on how to minimize the environmental impact, reduce water and energy consumption, and mitigate environmental impact. However, these chapters are not exhaustive, and readers interested in the agronomic aspects of sustainability need to consult specific literature.

Long-term sustainability depends on four cornerstones: water, energy, materials, and the environment. The first three are declining commodities, which are essential to modern life and food production. As the population continues to grow, demand for resources will keep rising and the pressure on the environment increasing. The environment is often taken for granted, but the preservation of healthy ecosystems is essential because of the beneficial services that are gotten from nature. Because society values human life and humans are part of ecosystems, references are often made to direct and indirect impacts of industrial activities on human life and health.

The book is organized in four parts. The first part covers the basics of environmental sustainability and provides a detailed account of all the impacts of the food supply chain. The first chapter makes the case for getting serious about sustainability, and the second chapter condenses how the Earth and its ecosystems work. The third chapter summarizes the most important environmental consequences of food production, processing, distribution, and consumption.

The second part introduces tools to evaluate environmental impacts of products and services (Chapter 4), management principles to start working on sustainability (Chapters 5 and 6), and covers environmental claims and declarations (Chapter 7).

The third part contains a more detailed description of the environmental impacts and offers suggestions for alleviating these impacts. This part includes chapters on air emissions (Chapter 8), water and wastewater (Chapter 9), solid waste (Chapter 10), energy (Chapter 11), packaging (Chapter 12), and transportation (Chapter 13).

Part four is about what is needed in the near future to guarantee long-term sustainability of the food supply chain. Chapter 14 discusses the future conversion of biorenewables, and Chapter 15 summarizes the concepts presented in the book.

Contents

Pr	eface	xvii
PA	ART I GENERAL CONCEPTS	1
	Introduction to Sustainability	3
•	•	
	Introduction	3
	Sustainability: a term to stay	3
	Defining a sustainable company	4
	Example of an unsustainable food industry	5
	The promoted three dimensions of sustainability	7
	Shortcomings of three-dimensional representation	8
	A quest for the environment Nonsustainable versus sustainable	10
		10
	The nonsustainable food company The sustainable food company	10 12
	Reliance on renewable energy	12
	Ingredients and materials from renewable resources	12
	Water neutral	13
	Net-zero air emissions	13
	Biodegradable liquid and solid wastes	14
	Is a 100-percent sustainable food company attainable?	15
	A short-term approach to sustainability	16
	Defining boundaries	16
	Differentiating efficiency from sustainability	17
	Sustainability from the business point of view	17
	Weakness of doing nothing	18
	Strengths and opportunities	19
	Summary	19
	References	20
2	Sustainability and the Environment	23
	Introduction	23
	The Earth as a system	24
	Biogeochemical cycles	25
	The carbon cycle	25
	The preindustrial cycle	25
	The modern carbon cycle	26
	The hydrologic cycle	27
	The nitrogen cycle	29
		vii

	Alteration of the nitrogen cycle	30
	The oxygen cycle	31
	The phosphorus cycle	31
	The sulfur cycle	32
	Importance of Earth's ecosystems	32
	Natural ecosystems	32
	Services provided by natural ecosystems	33
	Overexploitation of "common goods"	34
	Man-made ecosystems: the food production system	35
	Ecological footprint and Earth's carrying capacity	36
	Ecological footprint	36
	Earth's carrying capacity	36
	Collision of society and economy with the environment	38
	The environment	38
	Climate change	38
	The political aspects of climate change	38
	Society	40
	Increasing population	40
	Rising standards of living	41
	Faster lifestyle demands more energy	42
	The economy	42
	Consumerism	42
	Economic system based on growth	43
	Summary	43
	References	43
3	The Environmental Impact of the Food Supply Chain	47
	Food supply chain	47
	A food supply chain model	47
	Environmental impact of the food supply chain	49
	Impact of production of raw materials	49
	Agriculture	49
	Animal production	61
	Fisheries	62
	Food ingredients and additives	64
	Food ingredients and additives Case of selected additives/ingredients impacts	64 65
	Case of selected additives/ingredients impacts	65
	Case of selected additives/ingredients impacts Impact of packaging	65 68
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing	65 68 68
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy	65 68 68 70
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water	65 68 68 70 70
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution	65 68 68 70 70 71
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution Distribution centers	65 68 68 70 70 71
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution Distribution centers Data processing	65 68 68 70 70 71 71 71
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution Distribution centers Data processing Transportation	65 68 68 70 70 71 71 72 72
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution Distribution centers Data processing Transportation The refrigerated supply chain	65 68 68 70 70 71 71 72 72
	Case of selected additives/ingredients impacts Impact of packaging Impact of processing Electricity and thermal energy Water Solid waste Chemicals used in cleaning and sanitation Impact of distribution Distribution centers Data processing Transportation	65 68 68 70 70 71 71 72 72 72 73

		Contents	ix
	Summary		75
	References		75
	RT II MANAGEMENT ASPECTS		79
1	Impact Assessment and Intensity Metrics		81
	Introduction		81
	Life cycle assessment		81
	Applications of LCAs		82
	Problems associated with LCAs		84
	Conducting an LCA using ISO standards		84
	Definition of goal and scope		85
	Life cycle inventory analysis		87
	Allocation		89
	Life cycle impact assessment		91
	Life cycle interpretation		95
	Reporting		95
	Single indicators for LCAs Variations of LCAs		95
			96 97
	Well-to-wheel LCA		98
	BASF's eco-efficiency analysis		99
	Ecological footprint with spider web diagrams SC Johnson's GreenList TM		100
	Intensity indicators and metrics		100
	Indicators applied to the food industry		100
	Ecological indicators		101
	Process indicators		101
	Transportation indicators		102
	Institutional indicators		103
	Summary		105
	References		106
5	Improving Efficiency		109
	Efficiency and sustainability		109
	Extra temporary step in the sustainability staircase		110
	Improving efficiency		111
	Creating a long-term "genuine green philosophy"		112
	Managing efficiency improvements		113
	Starting with efficiency improvements		113
	Mapping the operation		113
	Defining boundaries		117
	Selecting metrics		117
	Assessing the current situation		117
	Ranking processes according to impacts		117
	Identifying the main burdens		119
	Starting with the low-hanging fruit instead		119
	Efficiency improvements using the Plan-Do-Check-Act cycle		119
	Other tools with application in efficiency improvement		120

x	Conf	ante
^		CIIIS

	Lean manufacturing and sustainability Implementing lean in food manufacturing Sharing knowledge with suppliers and customers Integrating sustainability into management systems Environmental management systems EMS and the ISO 14000 family Elements of an EMS Summary References	122 123 124 124 125 125 126 127
6	Innovating Technology	129
	The need for innovation Technology cycles Technology hype cycles Technology push versus demand pull Technology obsolescence Planned obsolescence Innovation and sustainability Summary References	129 130 132 132 134 135 135 136
7	Environmental Claims and Reporting	137
DA	Environmental claims and declarations Regulations and guidelines Government regulations U.S. Federal Trade Commission rules European Union guidelines The ISO 14020 family Environmental labeling Types of voluntary environmental labeling Sustainability reporting Global Reporting Initiative AccountAbility 1000 series Compliance and food safety in the context of reporting Carbon offsets and emissions trading Carbon offsets Concerns about carbon offsets Emissions trading Summary References	137 138 138 138 139 140 140 143 144 144 145 145 147 147
	ART III WORKING ON THE IMPACTS	151
8	Air Emissions	153
	Emissions with local, regional, and global impacts Mobile versus stationary sources Primary and secondary pollutants Emissions with local and regional impact	153 153 154 155

	Contents	ΧI
Sulfur dioxide		155
Nitrogen oxides		155
Carbon monoxide		156
Particle matter		157
Volatile organic compounds		158
Ammonia emissions		158
Ground-level ozone		158
Emissions with global impact		160
Greenhouse gases		160
Ozone-depleting substances		163
Emissions inventories		165
Emissions inventories for greenhouse gases		166
Conducting a GHG inventory		166
Calculation of emissions		168
Example of calculation of emissions		170
ISO 14064		172
Reducing emissions		173
Increasing the efficiency of energy utilization		173
Selection of energy sources		173
Reducing emissions from stationary sources		174
Carbon dioxide		174
Nitrogen oxides		174
Sulfur dioxide		175
Particle matter		175
Reducing emissions from processes		176
VOCs		176
Waste and waste treatment		176
By-products of the meat industry		177
Emissions from the use of electricity		178
Emissions from refrigeration		178
Carbon capture and storage		183
Carbon capture		183
Carbon storage		184
Optimizing transportation and logistics		185
Summary		186
References		186
Water and Wastewater		189
The water resource		189
Freshwater sources		189
Water aquifers		189
Surface water		191
Interactions of surface water with groundwater		192
Freshwater available for consumption		193
Extraction from aquifers		193
Use of surface water		195
Desalinization		196
Toward a water crisis		198
Water and food production		199

	Virtual water	199
	Water footprint	200
	Water footprint of a nation	200
	Water footprint of a business	201
	Water footprint of agricultural products	202
	Water neutrality	202
	Efficiency of water use in food processing	204
	Water use in food-processing facilities	205
	Strategies for water reduction	206
	Minimizing consumption	206
	Process water reuse	208
	Water recycling	208
	Rainwater harvesting	209
	Condensate recovery	210
	Water replenishment	210
	Wastewater treatment	210
	Aerobic systems	210
	Emissions from aerobic wastewater treatment	211
	Advanced water treatment	212
	Minimizing solids in wastewater	212
	Anaerobic systems	214
	The anaerobic process	214
	Anaerobic wastewater treatment systems	215
	Posttreatment after anaerobic step	217
	Engineered natural systems	218
	Constructed wetlands	219
	Stormwater management	220
	Summary	222
	References	223
10	Solid Waste	227
	Generation of solid waste	227
	In fields and farms	229
	From food-processing plants	231
	During distribution and retailing	231
	During consumption	232
	Minimizing the impact of solid waste	233
	Managing food wastes	233
	At processing, distribution, and retail levels	233
·	At consumer's level	239
	Managing nonfood wastes	239
	At the field and farm levels	239
	At food-processing plants, distribution,	257
	and retail levels	240
	At consumer's level	241
	Eco-industrial development	241
	Industrial ecology	242
	Eco-industrial parks	243
	Eco-industrial networks	243

此为试读,需要完整PDF请访问: www.ertongbook.com

_		Contents	xiii
	Summary		243
	References		244
11	Energy		247
	Energy in a sustainability context		247
	Energy and food production		247
	Energy sources		248
	Energy return on the investment		249
	Energy quality		251
	Embodied energy		253
	Improving energy efficiency of food-processing plants		254
	Energy in food-processing plants		254
	Steam systems in food-processing plants		255
	Direct-fire heating in food processing		256
	Opportunities for energy-efficiency improvements		256
	Process heat and steam systems		257
	Efficiency of mechanical systems		259
	Energy monitoring and management		266
	Energy efficiency at the building's level		267
	Innovating technology		268
	Low carbon and neutral carbon energy		269
	Buying "green power"		269
	On-site generation of "green power"		270
	Energy-generation capacity and capacity factor		271
	Solar and wind		272
	Landfill gas and biogas		272
	Biomass		273
	Combined heat and power		274
	Efficiency of CHP systems		276
	Heat recovery		277
	Low-grade heat with a heat pump		277
	Low-pressure steam by vapor recompression		278
	Applications of recovered heat		279
	Absorption refrigeration		279
	Summary		280
	References		281
12	Packaging		285
	Food packaging		285
	Materials used in food packaging		285
	Glass		286
	Metals		286
	Aluminum		286
	Steel		287
	Plastics		287
	Paper		289
	Textiles		289
	Wood		289
	Environmental impacts of food packaging		290

	The positives	290
	The negatives	290
	Consumption of nonrenewable feedstocks	290
	Impact of renewable feedstocks	291
	Energy consumption for each material	292
	Water consumption	296
	Air, liquid, and solid emissions	297
	Generation of postconsumer solid waste	300
	Reducing the impact of packaging	301
	Relative mitigation of packaging environmental impact	302
	Recycling	303
	Food safety and recycling	304
	Use of reusable packages	306
	Biobased polymers for packaging	306
	Design for "X"	307
	Design for the environment	307
	Design for recyclability	308
	Design for disassembly	308
	Design for transportability	309
	Design for minimization	309
	Design for shelf life extension	309
	Summary	310
	References	310
13	Transportation	313
	Introduction	313
	Transportation modes	314
	Indicators of transportation distance	317
	Food miles	317
	Ton-miles per gallon	317
	Transportation efficiency	318
	Factors that affect fuel economy	318
	Transportation method and energy intensity	320
	Transportation from grocery store to consumer's home	322
	Energy intensity in the transportation of food products	323
	Refrigerated transport	324
	Energy consumption in refrigerated transportation	324
	Emissions from transportation	325
	Diesel-powered vehicles	325
× .	Air transport	326
	Refrigerated transport	327
	Impact from refrigerant escape	327
	Reducing the impact of transportation	328
	Trucks	328
	Operational improvements	328
	Long combination vehicles	330
	Weight reduction and increased volumetric capacity	331
	Aerodynamic drag and rolling resistance	332

		Contents	XV
	W. Co.		222
	Ships		332
	Planes		333
	Trains		334
	Reducing the impact of refrigerated transport		335
	Refrigerant leaks in refrigerated transport		335
	Potential technologies for refrigerated transport Absorption cycles using waste heat from truck engines		336 336
	Solar photovoltaic		336
	Locally produced versus transported		337
	Summary		337
	References		338
	References		330
PA	RT IV FACING THE FUTURE		341
14	A Biobased Economy		343
	Introduction		343
	The biorefinery		344
	Types of biorefineries		344
	Biochemical route		347
	Thermochemical route		347
	Chemicals from sugars		348
	Chemicals from syngas		349
	Biofuels		351
	Bioethanol		351
	Biodiesel		353
	Biobutanol		354
	Biogas		355
	Feedstocks for fuels and chemicals		355
	Downsides of a biobased economy		357
	Summary		358
	References		359
15	Conclusions		361
	The paradox of industrialized food production		361
	The cornerstones of sustainability		361
	Energy		362
	Water		364
	Materials		365
	The environment		366
	The peaks in the pathway of sustainability		366
	Peak oil		366
	Peak gas		367
	Other peaks		368
	Sustainability in the context of declining resources		369
	References		370
Ina	lex		371

Part I General Concepts

1 Introduction to Sustainability

INTRODUCTION

In the 1990s the term *sustainability* emerged as a concept to reduce humans' environmental footprint and a way to assure the preservation of natural resources for future generations. The concept did not pick momentum steam until the last few years, when due to public scrutiny, many companies integrated the word *sustainability* into their corporate marketing strategies. Since then, many individuals have embraced the sustainability concept even without a complete understanding of the extent of its meaning. Others have been looking at sustainability as a new fad, which will soon fade. However, sustainability is here to stay.

By definition, sustainability is a simple concept, but it is difficult to implement. Sustainability is not just becoming more efficient in the use of energy and natural resources; it is a change in business practices. Likewise, total quality management (TQM) has changed the mind-set of many organizations by placing the customer first; sustainability is the challenge of minimizing humans' environmental impact and reducing dependence on nonrenewable resources.

TQM was the industry response to customers' demand for better products. Most companies adopted this program voluntarily to gain an edge over competitors. In the case of sustainability, pressure from declining resources will compel industries to implement real sustainability programs, thus making sustainability not just one more trend but instead, a concept that will need to be truly embedded in companies' core values for their long-term survival.

SUSTAINABILITY: A TERM TO STAY

Not long ago, a sustainable company was one that simply turned profits year after year (Simmons, 2008), and the term *sustainability* as it is known these days was a foreign word in most people's vocabulary. Today, sustainability and its associated jargon, including triple bottom line, social and environmental responsibility, green workplace, and such, have become part of everyday life of corporate managers, scientists, and engineers.

Conferences on sustainability and related subjects have sprouted around the world in nearly all technology fields. (See Sustainable Development Conferences Worldwide website http://www.conferencealerts.com/sustain.htm for a list of upcoming events.)