

SLICING THE TRUTH

On the Computable and Reverse Mathematics of Combinatorial Principles

SLICING THE TRUTH

On the Computable and Reverse Mathematics of Combinatorial Principles

Denis R Hirschfeldt

The University of Chicago, USA



Qi Feng Chinese Academy of Sciences, China

Theodore A Slaman University of California, Berkeley, USA

> W Hugh Woodin Harvard University, USA

Yue Yang
National University of Singapore, Singapore



Published by

World Scientific Publishing Co. Pte. Ltd.

5 Toh Tuck Link, Singapore 596224

USA office: 27 Warren Street, Suite 401-402, Hackensack, NJ 07601 UK office: 57 Shelton Street, Covent Garden, London WC2H 9HE

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library.

Lecture Notes Series, Institute for Mathematical Sciences, National University of Singapore — Vol. 28

SLICING THE TRUTH

On the Computable and Reverse Mathematics of Combinatorial Principles

Copyright © 2015 by World Scientific Publishing Co. Pte. Ltd.

All rights reserved. This book, or parts thereof, may not be reproduced in any form or by any means, electronic or mechanical, including photocopying, recording or any information storage and retrieval system now known or to be invented, without written permission from the publisher.

For photocopying of material in this volume, please pay a copying fee through the Copyright Clearance Center, Inc., 222 Rosewood Drive, Danvers, MA 01923, USA. In this case permission to photocopy is not required from the publisher.

ISBN 978-981-4612-61-6

SLICING THE TRUTH

On the Computable and Reverse Mathematics of Combinatorial Principles

LECTURE NOTES SERIES Institute for Mathematical Sciences, National University of Singapore

Series Editors: Chitat Chong and Wing Keung To

Institute for Mathematical Sciences National University of Singapore

ISSN: 1793-0758

Published

- Vol. 19 Braids: Introductory Lectures on Braids, Configurations and Their Applications edited by A Jon Berrick, Frederick R Cohen, Elizabeth Hanbury, Yan-Loi Wong & Jie Wu
- Vol. 20 Mathematical Horizons for Quantum Physics edited by H Araki, B-G Englert, L-C Kwek & J Suzuki
- Vol. 21 Environmental Hazards:
 The Fluid Dynamics and Geophysics of Extreme Events
 edited by H K Moffatt & E Shuckburgh
- Vol. 22 Multiscale Modeling and Analysis for Materials Simulation edited by Weizhu Bao & Qiang Du
- Vol. 23 Geometry, Topology and Dynamics of Character Varieties edited by W Goldman, C Series & S P Tan
- Vol. 24 Complex Quantum Systems: Analysis of Large Coulomb Systems edited by Heinz Siedentop
- Vol. 25 Infinity and Truth edited by Chitat Chong, Qi Feng, Theodore A Slaman & W Hugh Woodin
- Vol. 26 Notes on Forcing Axioms by Stevo Todorcevic, edited by Chitat Chong, Qi Feng, Theodore A Slaman, W Hugh Woodin & Yue Yang
- Vol. 27 E-Recursion, Forcing and C*-Algebras edited by Chitat Chong, Qi Feng, Theodore A Slaman, W Hugh Woodin & Yue Yang
- Vol. 28 Slicing the Turth: On the Computable and Reverse Mathematics of Combinatorial Principles

 by Denis R. Hirschfeldt, edited by Chitat Chong, Qi Feng, Theodore A Slaman,

 W Hugh Woodin & Yue Yang

*For the complete list of titles in this series, please go to http://www.worldscientific.com/series/LNIMSNUS

Foreword by Series Editors

The Institute for Mathematical Sciences (IMS) at the National University of Singapore was established on 1 July 2000. Its mission is to foster mathematical research, both fundamental and multidisciplinary, particularly research that links mathematics to other efforts of human endeavor, and to nurture the growth of mathematical talent and expertise in research scientists, as well as to serve as a platform for research interaction between scientists in Singapore and the international scientific community.

The Institute organizes thematic programs of longer duration and mathematical activities including workshops and public lectures. The program or workshop themes are selected from among areas at the forefront of current research in the mathematical sciences and their applications.

Each volume of the *IMS Lecture Notes Series* is a compendium of papers based on lectures or tutorials delivered at a program/workshop. It brings to the international research community original results or expository articles on a subject of current interest. These volumes also serve as a record of activities that took place at the IMS.

We hope that through the regular publication of these *Lecture Notes* the Institute will achieve, in part, its objective of reaching out to the community of scholars in the promotion of research in the mathematical sciences.

July 2014

Chitat Chong Wing Keung To Series Editors

Foreword by Volume Editors

The series of Asian Initiative for Infinity (AII) Graduate Logic Summer School was held annually from 2010 to 2012. The lecturers were Moti Gitik, Denis Hirschfeldt and Menachem Magidor in 2010, Richard Shore, Theodore A. Slaman, John Steel, and W. Hugh Woodin in 2011, and Ilijas Farah, Ronald Jensen, Gerald E. Sacks and Stevo Todorcevic in 2012. In all, more than 150 graduate students from Asia, Europe and North America attended the summer schools. In addition, two postdoctoral fellows were appointed during each of the three summer schools. These volumes of lecture notes serve as a record of the AII activities that took place during this period.

The AII summer schools was funded by a grant from the John Templeton Foundation and partially supported by the National University of Singapore. Their generosity is gratefully acknowledged.

July 2014

Chitat Chong National University of Singapore, Singapore

> Qi Feng Chinese Academy of Sciences, China

Theodore A. Slaman University of California, Berkeley, USA

> W. Hugh Woodin Harvard University, USA

Yue Yang National University of Singapore, Singapore

Volume Editors



Preface

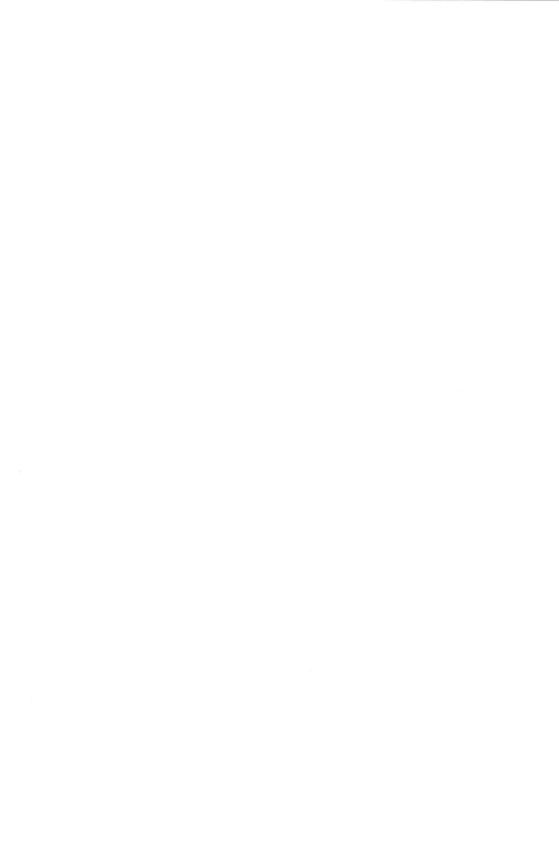
When Rod Downey and I finished our book Algorithmic Randomness and Complexity, which was almost a decade in the making, I promised myself I would never again write a book. But accidents happen. In 2010, I was invited to give a short course at the Asian Initiative for Infinity Graduate Summer School, organized by the Institute for Mathematical Sciences and the Department of Mathematics of the National University of Singapore, and to write a version of my lecture notes for publication. The topic of the course was the reverse mathematics and computability theory of combinatorial principles, an area of research whose roots reach back several decades, but which has seen a particular surge of activity in the last few years. Much of this work has proceeded along lines that are fairly distinct from the material covered in Simpson's excellent Subsystems of Second Order Arithmetic, and there has been little alternative to reading research articles for those interested in understanding it. While reading original papers is highly recommended, it can be a difficult process without appropriate guidance. I wanted my notes to be an entryway into this area, providing both an overview of some fundamental ideas and techniques, and enough context to make it possible for students with at least a basic knowledge of computability theory and proof theory to appreciate the exciting advances currently happening in the area, and perhaps make contributions of their own.

I decided to adopt a case-study approach, using the study of versions of Ramsey's Theorem (for colorings of tuples of natural numbers) and related principles as illustrations of various aspects of computability theoretic and reverse mathematical analysis. Even within this deliberately narrow focus, I felt no need to be encyclopedic. It was not my goal to write a survey, but to tell a story. Nevertheless, when it comes to mathematics, a properly illustrative story needs details. Furthermore, thorough discussions of some of these details were difficult to find in existing sources. And while there may be some who can tell a long story without digressions, I am not among them. Thus the text grew and grew, until it became a book. So be it.

I will give an overview of the book in Chapter 1, but for now, here is the abstract I wrote when still thinking of this text as an article: We discuss two closely related approaches to studying the relative strength of mathematical principles, computable mathematics and reverse mathematics. Drawing our examples from combinatorics and model theory, we explore a variety of phenomena and techniques in these areas. We begin with variations on König's Lemma, and give an introduction to reverse mathematics and related parts of computability theory. We then focus on Ramsey's Theorem as a case study in the computability theoretic and reverse mathematical analysis of combinatorial principles. We study Ramsey's Theorem for Pairs (RT_2^2) in detail, focusing on fundamental tools such as stability, cohesiveness, and Mathias forcing; and on combinatorial and model theoretic consequences of RT_2^2 . We also discuss the important theme of conservativity results. In the final section, we explore several topics that reveal various aspects of computable mathematics and reverse mathematics. An appendix contains a proof of Liu's recent result that RT²₂ does not imply Weak König's Lemma. There are exercises and open questions throughout.

Acknowledgments

I was partially supported during the writing of this book by grants DMS-0801033 and DMS-1101458 from the National Science Foundation of the United States. This book is a version of a short course given at the Asian Initiative for Infinity Graduate Summer School, sponsored by the Institute for Mathematical Sciences and the Department of Mathematics of the National University of Singapore from 28 June to 23 July, 2010, and funded by the John Templeton Foundation and NUS. I thank these organizations; the organizers Ted Slaman and Hugh Woodin; our hosts at NUS Chi Tat Chong, Qi Feng, Frank Stephan, and Yue Yang; the other lecturers Moti Gitik and Menachem Magidor; and all of the participants for a delightful and rewarding experience. I also thank the Einstein Institute of Mathematics of The Hebrew University of Jerusalem for hosting a visit during which much of this book was written, Menachem Magidor for arranging this visit, and the students in a short course I taught there based on a draft version of this book. Finally, I thank Tsvi Benson-Tilsen, Chi Tat Chong, Damir Dzhafarov, Bill Gasarch, Noam Greenberg, Jeff Hirst, Carl Jockusch, Joe Mileti, Joe Miller, Antonio Montalbán, Ludovic Patey, Ted Slaman, Reed Solomon, Wei Wang, and Yue Yang for useful comments and responses to queries.



Contents

For	reword	l by Series Editors	ix		
For	reword	l by Volume Editors	xi		
Pre	eface		xiii		
Aci	knowle	edgments	XV		
1.	Setting Off: An Introduction				
	1.1 1.2 1.3 1.4 1.5	A measure of motivation	2 6 11 14 15		
2.	Gath 2.1 2.2 2.3	ering Our Tools: Basic Concepts and Notation Computability theory	17 17 21 23		
3.	Findi 3.1 3.2	ing Our Path: König's Lemma and Computability Π^0_1 classes, basis theorems, and PA degrees Versions of König's Lemma	29 30 35		
4.	Gauging Our Strength: Reverse Mathematics				
	4.1 4.2	RCA_0	44 48 54		

	4.4 4.5 4.6 4.7	$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	55 57 62 65	
5.	In De	efense of Disarray	69	
6.	Achieving Consensus: Ramsey's Theorem			
	6.1 6.2 6.3 6.4 6.5 6.6 6.7 6.8 6.9	Three proofs of Ramsey's Theorem Ramsey's Theorem and the arithmetic hierarchy RT, ACA' ₀ , and the Paris-Harrington Theorem Stability and cohesiveness Mathias forcing and cohesive sets Mathias forcing and stable colorings Seetapun's Theorem and its extensions Ramsey's Theorem and first order axioms Uniformity	76 82 89 93 98 105 110 118	
7.	Prese 7.1 7.2 7.3	rving Our Power: Conservativity Conservativity over first order systems	125 127 130 135	
8.	Draw	ing a Map: Five Diagrams	139	
9.	9.1 9.2 9.3	oring Our Surroundings: The World below RT_2^2 Ascending and descending sequences	143 143 152 152 154 155 157 160	
10.	Charge 10.1 10.2 10.3	ging Ahead: Further Topics The Dushnik-Miller Theorem	177 177 179	

Contents		vii
1 (1)		192

	10.3.1	ATR_0 and Π_1^1 - CA_0	183
	10.3.2	The extendibility of ζ and η	185
	10.3.3	Maximal linear extensions	187
10.3.4 Kruskal's Theorem, Fraïssé's Conjecture, and			
		Jullien's Theorem	188
	10.3.5	Hindman's Theorem	190
10.4	Still fu	rther topics, and a final exercise	191
Appendix. Lagniappe: A Proof of Liu's Theorem			193
Bibliography			