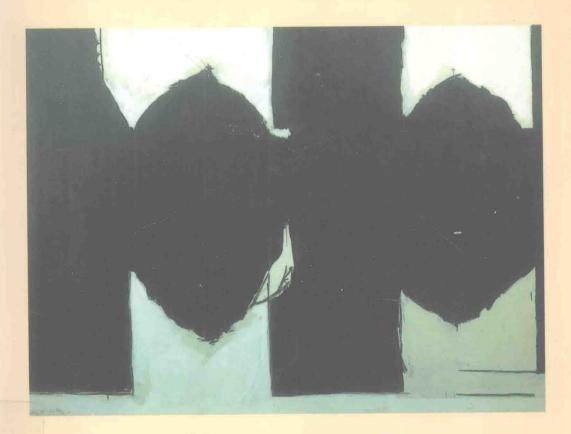
Blackwell Companions to Philosophy

## A COMPANION TO PHILOSOPHY OF LAW AND LEGAL THEORY



DENNIS PATTERSON



Blackwell Companions to Philosophy

# A Companion to Philosophy of Law and Legal Theory

Edited by DENNIS PATTERSON



### © 1996, 1999 by Blackwell Publishing Ltd

BLACKWELL PUBLISHING
350 Main Street, Malden, MA 02148-5020, USA
9600 Garsington Road, Oxford OX4 2DQ, UK
550 Swanston Street, Carlton, Victoria 3053, Australia

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording or otherwise, except as permitted by the UK Copyright, Designs, and Patents Act 1988, without the prior permission of the publisher.

First published 1996 First published in paperback 1999

8 2008

Library of Congress Cataloging-in-Publication Data

A companion to philosophy of law and legal theory / [edited by] Dennis Patterson.

p. cm.—(Blackwell companions to philosophy)
Includes bibliographical references and index.
ISBN 978-1-55786-535-9 (alk. paper) — ISBN 978-0-631-21329-1 (pbk)

1. Law-Philosophy. 2. Jurisprudence-United States.

I. Patterson, Dennis M. (Dennis Michael), 1955- . II. Series.

KF379.C66 1996

340'.1-dc20

95-46024

CIP

A catalogue record for this title is available from the British Library.

Set in 10½ on 12½ pt Photina by Graphicraft Typesetters Ltd, Hong Kong Printed and bound in Singapore by Utopia Press Pte Ltd

The publisher's policy is to use permanent paper from mills that operate a sustainable forestry policy, and which has been manufactured from pulp processed using acid-free and elementary chlorine-free practices. Furthermore, the publisher ensures that the text paper and cover board used have met acceptable environmental accreditation standards.

For further information on Blackwell Publishing, visit our website: www.blackwellpublishing.com

# A Companion to Philosophy of Law and Legal Theory

### Blackwell Companions to Philosophy

This outstanding student reference series offers a comprehensive and authoritative survey of philosophy as a whole. Written by today's leading philosophers, each volume provides lucid and engaging coverage of the key figures, terms, topics, and problems of the field. Taken together, the volumes provide the ideal basis for course use, representing an unparalleled work of reference for students and specialists alike.

### Already published in the series:

- The Blackwell Companion to Philosophy, Second Edition
   Edited by Nicholas Bunnin and Eric Tsui-James
- 2. A Companion to Ethics Edited by Peter Singer
- A Companion to Aesthetics Edited by David Cooper
- 4. A Companion to Epistemology

  Edited by Jonathan Dancy and Ernest Sosa
- A Companion to Contemporary Political Philosophy Edited by Robert E. Goodin and Philip Pettit
- A Companion to Philosophy of Mind Edited by Samuel Guttenplan
- A Companion to Metaphysics Edited by Jaegwon Kim and Ernest Sosa
- A Companion to Philosophy of Law and Legal Theory
   Edited by Dennis Patterson
- A Companion to Philosophy of Religion Edited by Philip L. Quinn and Charles Taliaferro
- 10. A Companion to the Philosophy of Language Edited by Bob Hale and Crispin Wright
- A Companion to World Philosophies Edited by Eliot Deutsch and Ron Bontekoe
- 12. A Companion to Continental Philosophy Edited by Simon Critchley and William Schroeder
- 13. A Companion to Feminist Philosophy

  Edited by Alison M. Jaggar and Iris Marion Young
- A Companion to Cognitive Science Edited by William Bechtel and George Graham
- A Companion to Bioethics
   Edited by Helga Kuhse and Peter Singer
- A Companion to the Philosophers Edited by Robert L. Arrington
- 17. A Companion to Business Ethics Edited by Robert E. Frederick

- 18. A Companion to the Philosophy of Science Edited by W. H. Newton-Smith
- A Companion to Environmental Philosophy Edited by Dale Jamieson
- 20. A Companion to Analytic Philosophy Edited by A. P. Martinich and David Sosa
- 21. A Companion to Genethics

  Edited by Justine Burley and John Harris
- 22. A Companion to Philosophical Logic Edited by Dale Jacquette
- 23. A Companion to Early Modern Philosophy Edited by Steven Nadler
- 24. A Companion to Philosophy in the Middle Ages Edited by Jorge J. E. Gracia and Timothy B. Noone
- A Companion to African-American Philosophy Edited by Tommy L. Lott and John P. Pittman
- 26. A Companion to Applied Ethics Edited by R. G. Frey and Christopher Heath Wellman
- 27. A Companion to the Philosophy of Education Edited by Randall Curren
- 28. A Companion to African Philosophy Edited by Kwasi Wiredu
- A Companion to Heidegger Edited by Hubert L. Dreyfus and Mark A. Wrathall
- 30. A Companion to Rationalism Edited by Alan Nelson
- 31. A Companion to Ancient Philosophy

  Edited by Mary Louise Gill and Pierre Pellegrin
- 32. A Companion to Pragmatism

  Edited by John R. Shook and Joseph Margolis
- A Companion to Nietzsche
   Edited by Keith Ansell Pearson
- A Companion to Socrates
   Edited by Sara Ahbel-Rappe and Rachanar Kamtekar
- A Companion to Phenomenology and Existentialism
   Edited by Hubert L Dreyfus and Mark A Wrathall

### Contributors

- **Larry Alexander** is Professor at the University of San Diego School of Law, Alcala Park, San Diego.
- **Anita L. Allen** is Professor of Law and Philosophy at Georgetown University Law Center, Washington, DC.
- J. M. Balkin is Lafayette S. Foster Professor at Yale Law School, New Haven, Connecticut.
- M. P. Baumgartner is a member of the Department of Sociology at William Paterson College in Wayne, New Jersey.
- **Peter Benson** is an Associate Professor at the Faculty of Law, McGill University, Montreal.
- **Guyora Binder** is Professor at the State University of New York at Buffalo, School of Law.
- Brian Bix is an Associate Professor of Law at Quinnipiac School of Law, Connecticut.
- Philip Bobbitt is Professor at the School of Law, the University of Texas, at Austin.
- **Edward Chase** is Professor at Rutgers University School of Law, Camden, New Jersey.
- Jules L. Coleman is John A. Garver Professor of Jurisprudence at Yale Law School, New Haven, Connecticut.
- Perry Dane is Professor at Rutgers University School of Law, Camden, New Jersey.
- Sean Doran is Senior Lecturer in Law at Queen's University of Belfast.
- Neil Duxbury is Professor in the Faculty of Law at the University of Manchester.
- William N. Eskridge, Jr, is Professor at Georgetown University Law Center, Washington, DC.
- **George P. Fletcher** is Cardozo Professor of Jurisprudence at Columbia University School of Law, New York.

- Rebecca Redwood French is an Associate Professor of Law at the University of Colorado.
- **Kent Greenawalt** teaches at Columbia University School of Law where he holds the title of University Professor and was previously Cardozo Professor of Jurisprudence.
- Jon D. Hanson is an Assistant Professor at Harvard Law School, Cambridge, Massachusetts.
- **Melissa R. Hart** is law clerk Justice Stevens on the United States Supreme Court for the 1996–7 term.
- **Alan Hunt** holds Professorships in the Departments of Law and Sociology at Carleton University in Ottawa.
- **Richard Hyland** is Professor at Rutgers University School of Law, Camden, New Jersey.
- John Jackson is Professor of Law at Queen's University of Belfast.
- Leo Katz is Professor at the University of Pennsylvania School of Law, Philadelphia.
- Ken Kress is Professor at the College of Law, University of Iowa, Iowa City.
- **Brian Leiter** is Assistant Professor of Law and Philosophy at the University of Texas at Austin.
- **Sanford Levinson** is Professor of Law and Government at the University of Texas at Austin.
- **Thomas Morawetz** is Tapping Reeve Professor of Law and Ethics at the University of Connecticut School of Law.
- **Dennis Patterson** is Distinguished Professor, Rutgers University School of Law, Camden, New Jersey.
- **Stephen R. Perry** is an Associate Professor at the Faculty of Law, McGill University, Montreal.
- Edward L. Rubin is Professor of Law, University of California, Berkeley.
- **Maimon Schwarzschild** is Professor at the University of San Diego School of Law, Alcala Park, San Diego and a barrister of Lincoln's Inn. London.
- Roger Shiner teaches Philosophy and Jurisprudence at the University of Alberta.
- M. B. E. Smith is Professor of Philosophy at Smith College in Northampton, Massachusetts.
- **Patricia Smith** is Professor in the Department of Philosophy at the University of Kentucky, Lexington.
- Lawrence B. Solum is Professor at Loyola Law School, IIT Chicago Kent, Chicago.

Alexander Somek teaches at the University of Vienna.

Jeremy Waldron is Professor at the University of California at Berkeley.

**Richard Warner** is Professor of Law at the School of Law, IIT Chicago Kent, Chicago.

**Ernest J. Weinrib** is Professor of Law and Special Lecturer in Classics at the University of Toronto, and a Fellow of the Royal Society of Canada.

**Vincent A. Wellman** is Professor at Wayne State University Law School, Detroit, Michigan.

Jefferson White is Professor of Philosophy at the University of Maine, Orono.

### Preface

It is the purpose of this preface to introduce a collection of essays on current topics in law and legal theory. Each of the essays that follow is an original contribution to the topic. In the course of this preface I wish to do two things. First, I shall state the overall plan of the work. Second, I would like to speak to the question of the readership of this book.

From the start, it was the goal both of the editor and the publisher to assemble the best writers in contemporary jurisprudence to address live issues in the field in the course of providing an introduction to the concerns of contemporary legal theory. Each of the authors was asked both to survey the topic assigned to them and, where appropriate, to indicate their own view of the questions and issues at stake. In short, it was the purpose of the editor and the publisher to have entries that would be of interest both to beginning students and other professionals.

There are two aspects to the question of readership. First, the book has a decidedly American perspective. This is no doubt due to the fact that the editor and most of the contributors are American legal academics. However, it is the student perspective, driven by the sizeable American law school population, which decided the orientation of many of the essays.

It is almost impossible in the modern American law school to encounter any subject matter that does not carry with it a heavy theoretical orientation. For example, in their first semester of law school, students are virtually barraged with all matter of theoretical perspective. Subjects ranging from philosophy to economics to critical theory are all very much a part of American casebooks and the teaching styles of American law professors. To date, no single volume has met the need of the beginning student to get a handle quickly on the vast and diverse theoretical landscape that is the first-year experience. This volume was conceived in direct response to this situation. It is the editor's hope that first-year students will find this volume a useful and rewarding supplement to their casebooks and other first-year materials.

I wish to thank all of the authors who contributed their time and talents to this volume. Many of the essays in this book are remarkable both in their pedogogical depth and originality of thought and presentation.

Let me thank my editors at Blackwell, Stephan Chambers and Steve Smith, for their patience and continuing support of this project.

### Contents

List	ist of contributors		
Pre	face	xii	
PΑ	RT I: AREAS OF LAW		
1	Property law JEREMY WALDRON	3	
2	Contract PETER BENSON	24	
3	Tort law STEPHEN R. PERRY	57	
4	Criminal law LEO KATZ	80	
5	Public international law PHILIP BOBBITT	96	
6	Constitutional law and religion PERRY DANE	113	
7	Constitutional law and interpretation PHILIP BOBBITT	126	
8	Constitutional law and privacy ANITA L. ALLEN	139	
9	Constitutional law and equality MAIMON SCHWARZSCHILD	156	

### CONTENTS

10	Evidence JOHN JACKSON AND SEAN DORAN	172
11	Comparative law RICHARD HYLAND	184
12	Interpretation of statutes WILLIAM N. ESKRIDGE, JR	200
13	Conflict of laws PERRY DANE	209
PAF	RT II: CONTEMPORARY SCHOOLS	
14	Natural law theory BRIAN BIX	223
15	Legal positivism JULES L. COLEMAN AND BRIAN LEITER	241
16	Legal realism BRIAN LEITER	261
17	Critical legal studies GUYORA BINDER	280
18	Post-realism and legal process NEIL DUXBURY	291
19	Feminist jurisprudence PATRICIA SMITH	302
20	Law and economics JON D. HANSON AND MELISSA R. HART	311
21	Legal formalism ERNEST J. WEINRIB	332
22	German legal philosophy and theory in the nineteenth and twentieth centuries  ALEXANDER SOMEK	343
23	Marxist theory of law	355
vi		

此为试读,需要完整PDF请访问: www.ertongbook.com

		CONTENTS
24	Deconstruction J. M. BALKIN	367
25	Postmodernism DENNIS PATTERSON	375
26	Legal pragmatism RICHARD WARNER	385
PA	RT III: LAW AND THE DISCIPLINES	
27	Law and anthropology REBECCA REDWOOD FRENCH	397
28	The sociology of law M. P. BAUMGARTNER	406
29	Law and theology EDWARD CHASE	421
30	Law and morality ROGER A. SHINER	436
31	Law and literature THOMAS MORAWETZ	450
PA	RT IV: TOPICS	
32	The duty to obey the law M. B. E. SMITH	465
33	Legal enforcement of morality KENT GREENAWALT	475
34	Indeterminacy LAWRENCE B. SOLUM	488
35	Precedent LARRY ALEXANDER	503
36	Punishment and responsibility GEORGE P. FLETCHER	514
		vii

### CONTENTS

37	Loyalty GEORGE P. FLETCHER	524
38	Coherence KEN KRESS	533
39	The welfare state SANFORD LEVINSON	553
40	Legal scholarship EDWARD L. RUBIN	562
41	Authority of law VINCENT A. WELLMAN	573
42	Analogical reasoning JEFFERSON WHITE	583
Index		591

# PART I AREAS OF LAW

### 1

### Property law

### JEREMY WALDRON

Philosophical thought about the law of property covers two types of issue. First there are analytic issues, about the meaning and use of the most important concepts in property law, such as "private property," "ownership," and "thing." The second type of issue is normative or justificatory. The law of property, as we know it, involves individuals having the right to make decisions about the use of resources – the land and the material wealth of a country – without necessarily consulting the interests and wishes of others in society who might be affected. So what in general justifies giving people rights of this kind? And specifically, what principles justify the allocation of particular resources to particular owners? The two sets of issues are of course connected, for the point of sharpening our analytical understanding of concepts like "ownership" is to make clearer what is actually at stake when questions of justification are raised.

### Analytical issues

Any attempt to define terms like "private property" and "ownership" runs the risk of either over-simplifying the complexities of property law or of losing any sense of the broader issues in a maze of technical detail. Some jurists have argued, indeed, that these terms are indefinable and largely dispensable (see Grey, 1980). They say that calling someone the "owner" of a resource does not convey any exact information about the rights she (or others) may have in relation to that resource: a corporate owner is not the same as an individual owner; the owner of intellectual property has a different array of rights than the owner of an automobile; and even with regard to one and the same resource, the rights (and duties) of a landlord who owes nothing on his property might be quite different from those of a mortgagor who lives on his own estate.

If one is patient, however, it is possible to build up a reasonably clear conceptual map of the area, which respects both the technician's sensitivity to legal detail and the philosopher's need for a set of well-understood "ideal types" to serve as the focus of justificatory debate (see Waldron, 1986, pp. 26–61).

### The objects of property

Let us start with some ontology. The law of property is about *things*, and our relations with one another in respect of the use and control of things. What sorts of things? Material things, certainly, like apples and automobiles; but property has never confined itself to tangible objects. Real estate provides an interesting example. A mobile home is a thing, and so is the plot of land on which it sits. In the eyes of the law, however, the land is not a tangible object. It is tempting to identify the land with the soil and rocks on which the mobile home sits; but take away any amount of soil and rock and the land remains. The land is more like the region of space or the portion of the earth's surface at which the soil, the rock and indeed the mobile home are located. A different kind of intangibility is involved with intellectual property. My Madonna CD is a different material object from your Madonna cassette. But they contain the same songs and the songs themselves — the tunes and the lyrics — may be regarded as things in which there can be property rights just as much as apples and automobiles.

A third sort of intangibility involves the "reification" of legal relations themselves. If Jennifer owes Sarah 50 pounds and Sarah despairs of collecting the debt, she may accept a payment of 30 pounds from Bronwen, a specialist debt-collector. in return for which Bronwen acquires the right to recover the 50 pounds from Jennifer (if she can get it). It seems natural to say that Sarah has sold the debt to Bronwen and that therefore the debt was a thing that Sarah owned and had the right to dispose of even before Bronwen entered the picture. The legal term for this sort of thing is "chose-in-action." (More complex choses-in-action include checks and shares in a company.) Now, it may be helpful for some purposes, to regard choses-in-action as an appropriate subject-matter for property law, but in general they do not raise important issues in the philosophy of property in the way that land, intellectual property, and material chattels do. A composition, a plot of land, and an automobile are things which exist independently of the law and about which the law is required to make certain decisions, settle certain disputes, and so on. By contrast, a chose-in-action exists only because the law has already settled certain disputes in a particular way. The philosophical issues raised by a chose-inaction are thus better regarded as issues in the law of contracts or corporate law. not issues in the law of property.

The ontological differences between material chattels, land, and intellectual property can have an important bearing on questions of justification. In some ways there is a stronger case for private property in intellectual objects than for private property in land. An original tune that I have composed is, in a sense, nothing but a product of my will and intellect. Apart from my creativity, the song might never have come into existence, and anyone who complains about the profits I derive from my copyright must concede that she would have been no worse off if I had never composed the tune and thus never acquired a right in it at all. A piece of land, by contrast, is sheer nature rather than human product or invention. Or, if we define it as a region in space, land is simply what is *given* in advance of any individual's activity; it is part of the given framework for human life and action.