ROUTLEDGE REVIVALS

Transport Planning for Third World Cities

Edited by Harry T. Dimitriou



Transport Planning for Third World Cities

Edited by Harry T. Dimitriou



First published in 1990 by Routledge

This edition first published in 2013 by Routledge 2 Park Square, Milton Park, Abingdon, Oxon, OX14 4RN

Simultaneously published in the USA and Canada by Routledge 711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 1990 Harry T. Dimitriou

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Publisher's Note

The publisher has gone to great lengths to ensure the quality of this reprint but points out that some imperfections in the original copies may be apparent.

Disclaimer

The publisher has made every effort to trace copyright holders and welcomes correspondence from those they have been unable to contact.

A Library of Congress record exists under LC control number: 90031653

ISBN 13: 978-0-415-83755-2 (hbk)

ISBN 13: 978-0-203-76892-1 (ebk)

ISBN 13: 978-0-415-84000-2 (pbk)

Routledge Revivals

Transport Planning for Third World Cities

Cities within the developing world experience a form of urban development which is different to those in more industrialised countries. Rates of growth are usually much more dramatic, housing and transport are often provided informally, and institutional support for urban management is also much weaker. The crux of this book, first published in 1990, lies in the idea that urban transport planning cannot be viewed in isolation from this wider development context. Making special reference to a number of countries, including Brazil, India and Indonesia, chapters discuss problems of urban transport planning, deficiencies in the theory and practice of conventional transport planning, and the emerging alternatives in the countries under examination. This work addresses problems that are still of great concern to urban policy planners, professionals and academics, as well as students from the fields of development studies, urban geography and planning, architecture and civil engineering.

Transport Planning for Third World Cities

Edited by Harry T. Dimitriou
Assisted by
George A. Banjo



First published 1990 by Routledge 11 New Fetter Lane, London EC4P 4EE

Simultaneously published in the USA and Canada by Routledge a division of Routledge, Chapman and Hall, Inc. 29 West 35th Street, New York, NY 10001

© 1990 Harry T. Dimitriou

Printed and bound in Great Britain by Biddles Ltd, Guildford and King's Lynn

All rights reserved. No part of this book may be reprinted or reproduced or utilized in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

British Library Cataloguing in Publication Data

Transport planning for third world cities.

- 1. Developing countries. Transport services
- I. Dimitriou, Harry T. II. Banjo, G. Adegboyega 380.5'09172'4

ISBN 0-415-00448-9

Library of Congress Cataloging in Publication Data has been applied for

Foreword

The need for the systematic planning of urban transport arose first in the USA. The dramatic increase in the number of private cars in the first half of the twentieth century combined with the generous layouts of American cities created problems for which the experience of the much slower introduction of mechanised transport in Europe did not provide adequate guidance.

Several engineering and research centres in the States took up the quest for a systematic approach to the solution of urban transport problems. These attempts ended up in an approach which, by the mid-1970s, was sufficiently standardised to allow the World Bank to incorporate it into guidelines to its staff on a worldwide basis. This approach became known as the *Urban Transport Planning Process* (usually known as the *UTP Process*) and after wide application in the States, was exported to Europe and Latin America and eventually, also to a number of the major Third World cities.

This volume contains an impressive list of the cities which, either directly or through international aid agencies, have employed well-known consultants to apply the UTP Process to their transportation problems. It is not surprising that many consultants have welcomed such opportunities of helping poor countries to benefit from the American research and experience. What should surprise us is that so few have asked the question 'Are demographic, social, economic, climatic and political conditions in such Third World cities sufficiently similar to those of the US cities to justify such a transfer?' The authors of this book are clearly among the few who have asked such questions. They are well qualified to do so, because they are not only transport planning experts, but have lived and worked long enough in developing countries to know what can - and cannot - be done in cities so different from those of the West. What is important is that the right questions are asked and investigated. Armed with this knowledge and personal experience, they have produced a book that will be of inestimable value to those concerned with the problems of the fast-growing Third World cities.

Preface

In writing this book, the editors had two aims. The first was to provide a publication which assists the development of urban transport planning paradigms appropriate to Third World countries. The second objective was to produce a text for students of urban transport planning for that part of the world which has had to rely largely on literature based on the experience of industrialised nations.

The need to address these aims is manifestly clear from the rapidly increasing interest among professionals concerned with transport problems of Third World cities. It is also apparent from the growing investments made in the field and in the many failures of transport planning in such cities over the last two to three decades - many of which have been associated with the application of inappropriate policies, concepts and techniques.

The book provides not only a contextual account of Third World city transport problems and a review of the state of the art of urban transport planning, but also directions and techniques for future action based upon the experiences of a number of leading professionals and academics in the field.

At present, there is a dearth of books of this kind. Furthermore, many of the available publications tend to offer token transport chapters in some standard text on Third World city development and merely explain the transport 'situation' of such countries as a special variant of those found in industrialised countries. This outlook is challenged by the editors of this book, whose contention is that urban transport in the Third World requires study and understanding on its own terms.

Harry T. Dimitriou

Hong Kong

Acknowledgements

In producing this book, I am especially indebted to George Banjo with whom the idea of the publication was first conceived and who assisted in the editing of seven of the twelve chapters (Chapters 3, 4, 7, 8, 9, 10 and 11) and contributed to writing to the preface and introduction.

I am also indepted to a large number of other persons, too many to mention individually, who include academic and professional colleagues, students and clients. Through my experience of teaching and consultancy practice these people have over the years, helped me to further develop the book's framework by greatly influencing my thoughts.

In terms of book production, I am especially grateful to the following without whom this publication would have remained a set of manuscripts piled on desks in Lagos, London, Jakarta and Hong Kong - the various places where the book was edited. These are my wife, Vicky Dimitriou, who heroically typed what seemed to be endless drafts of the text; Diana Martin, who copy-edited the manuscript; Lau Kwan Wai, Tsang Ping Fai together with Dilys Lui, and her staff at the University of Hong Kong's Centre for Media Studies, who tolerated the many changes, and completed the graphic work for the book; and Ralph Gakenheimer of MIT, who encouraged me to complete the book at a time when all odds of this happening seemed rather slim.

I am indepted financially to Training and Development Consultants (TDC S.A.), Lausanne - a Swiss consultancy firm specialising in planning and training aspects of Third World urban transport - which met the costs of preparing the book.

The vast range of international material drawn on during the long period of preparation of this publication may mean that acknowledgements to some sources have unwittingly been overlooked. If and where this is so, authors are encouraged to inform the editors of this, so that due acknowledgement can be made.

Finally, I am grateful to the authors and publishers for permission to reproduce in a revised or redrawn form the following copyright of materials:

Redrawn Book Cover Design from Independent Commission on International Development Issues (1981): North-South: A Program for Survival, Pan Books with copyright of permission given by Dr. Arno Peters of the University of Bremen (reproduced here as Figure 1.1).

Figure 4.3 from World Bank (1984): World Development Report, Oxford University Press (reproduced here as Figure 1.3).

Tables 1, 27 and 32 from World Bank (1988): World Development Report, Oxford University Press, (reproduced here as **Appendix Tables 1.1, 1.2 and 1.3** respectively).

Table 2.3 from World Bank (1984): World Development Report, Oxford University Press (reproduced here as **Appendix Table 1.4**).

Figures 4 and 2 from World Bank (1986): Urban Transport: A World Bank Policy Paper (reproduced here as **Figures 2.2** and **2.3** respectively).

Figure 4 from Banjo, G.A. and Dimitriou, H.T. (1983): Urban Transport Problems of Third World Cities: The Third Generation, Habitat International (reproduced here as **Figure 2.6**).

Figure from Proudlove, J.A. (1968): Some Comments on the West Midlands Transport Study, Traffic Engineering and Control (reproduced here as Figure 5.1).

Figure 11.1 from Institution of Highways and Transportation, and Department of Transport (1987); Roads and Traffic in Urban Areas, HMSO (reproduced here as Figure 5.3).

Figure 1.1 from Hutchinson B.G. (1974): Principles of Urban Transport Systems Planning, Hemisphere Publishing Corporation, USA (reproduced here as Figure 5.4).

Figures 4.1, 4.6, 3.7 and 3.12 from TDC S.A. (1988): IUIDP Policy, Planning and Design Guidelines for Urban Road Transport (reproduced here as Figures 12.3, 12.4, 12.5 and 12.6, respectively).

Table 9 from Hamer et al (1986): Indonesia, World Bank (reproduced here as **Table 12.1**).

Figure of Transport Gaps from Bouladon (1967b): The Transport Gaps, Science Journal (reproduced here as **Figure 12.1**).

Introduction

Harry T. Dimitriou

This publication contains twelve chapters and is divided into three parts.

Part One is devoted to an examination of the key problems and issues of transport in the fast growing cities of the Third World. These issues are investigated within the wider context of the urban development process, since an underlying premise of the book, emphasised in Part One, is that urban transport problems cannot be viewed in isolation from the wider development experience.

Part Two provides a review of key concepts and methodologies currently employed in transport planning for Third World cities, giving special attention to project appraisal and institutional development. The various contributions examine the relevance of developments in the field for the Third World. Particular attention is paid to the appropriateness of transferring transport planning principles and techniques formulated in the industrialised countries to totally different development contexts.

The third and final part of the book examines some emerging approaches to urban transport planning for the Third World. Whilst the approaches vary, all tackle aspects of the urban transport problem within a framework and set of policies responsive to wider development circumstances of Third World settlements.

Chapter 1 provides an overview of the relationship between transport, city development and Third World development. It commences by outlining the problems of defining the Third World, and classifying the countries included. Common characteristics of Third World city development are described, including the transport features, and the implications of these on the pursuance of transport and urban development goals are traced.

Chapter 2 argues, among other things, that many aspects of what is conventionally called 'the urban transport problem' are in fact manifestations of other problems. The chapter investigates the extent to which various analyses of this problem are products of particular professional or disciplinary perceptions and in terests, and dwells on the complex issue of technology-transfer in efforts at problem resolution in urban transport planning.

A review follows in Chapter 3 of typical inade quacies of urban public transport, referring to both formal and informal systems. It is stressed that the principal cause of these inadequacies is the low level of capital investment in transport infrastructure and public transport vehicles, as well as lack of maintenance and systems control. It is explained that under these circumstances, Third World city public transport systems have great difficulty in simply meeting existing (and future) travel demand, let alone contributing to wider development goals.

Chapter 4 gives an account of the role of non-motorised travel in Third World cities and emphasises its need for a better planning approach. The discussion is based primarily on the situation in India and covers both informal and formal sector systems. An explanation is given of the demand and supply characteristics of non-motorised travel, the relationship between non-motorised movement and urban form, and the benefits and costs of such modes to both the community at large and its users.

Apart from serving as an introduction to the detailed discussions which follow in Part Two, Chapter 5 both outlines the framework and assesses basic elements and assumptions of the conventional urban transport planning process and its derivatives. The discussion reviews the evolution of the process, and its application to the Third World, through five discrete stages of development, each displaying distinctly different levels of professional confidence and impact on Third World practice. Following a discussion of different issues of technology-transfer, the chapter concludes by advancing certain considerations believed to lead to more appropriate approaches to Third World urban transport planning.

Chapter 6 continues partially in the same vein and reviews the principles, steps and techniques employed in urban transport project appraisal, paying particular attention to the evaluation stage. It is shown how developments in the field have shifted the focus of analysis from purely economic matters to wider considerations. The chapter describes key issues revelant to Third World urban transport projects, different project situations, and the implications of new developments on the practice of transport planning for Third World settlements.

An assessment of the role of institutional development in the implementation of transport plans for Third World cities follows in Chapter 7. Recognising that many urban transport proposals are made in apparent isolation of the institutional capacity to implement them, this chapter provides an examination of the determinants of this capacity. It also outlines the types of technical, management and administrative expertise involved in translating plans into action. The chapter, which argues for greater agency co-operation in urban transport, draws heavily in its conclusions on the case study of the Sao Paulo Metropolitan Area in Brazil.

Chapter 8 offers an overview of relatively recent developments in urban transport planning, while assessing their relevance to Third World practice. The chapter focuses on the role of transport analysis in urban transport planning, and the range of analytical choices available. It shows how these have been enhanced by developments in computer technology and theoretical advancements, the chapter also comments on the training requirements and techniques of responsive analysis.

Chapter 9 presents a method of modelling urban traffic movements with limited data which is considered most appropriate for Third World cities. The discussion examines principles of modelling urban traffic, and high lights the errors and complexities involved. A case is then made for the use of less complex transport models. In this regard, the chapter provides an overview and illustration of network models based on traffic counts, explicit travel demand model approaches, and models based on network data alone. The chapter concludes with a review of simplified models and planning styles associated with urban traffic modelling.

The evolution and practice of Urban Transport Systems Management (TSM), with particular reference to Urban Transport Corridor Planning is examined in Chapter 10. This now well-established approach is discussed as it relates to land-use policies and urban management, with the intention of investigating the legislative and regulative support required. Its application to Third World cities is investigated, and the problems discussed. A

Introduction

critical review of Urban Transport Corridor Planning proposals for both Cairo, Egypt, and Guadalajara, Mexico, is presented to illustrate several issues in the chapter.

Chapter 11 outlines the concept, practice and problems of street management, with illustrations of its application to Madras, India, and Teheran, Iran. Street management is presented as the management of all human activities in street space, of which traffic activities are only a part. Third World settlements, with their congested, mixed and (often) conflicting street activities, are particularly suited to this approach which relies greatly for its success on street design, traffic enforcement and education in the use of streets.

The final chapter, Chapter 12, draws from the different ideas and discussion throughout the book, with a view to establishing guidelines for more appropriate transport planning approaches. The chapter gives a detailed account of the Integrated Urban Infrastructure Development Programme (IUIDP) approach to planning urban transport recently developed for Indonesia, and emphasises the need for a transport hierarchy which matches different transport technologies to settlements and communities of varied sizes. The development approach to urban transport planning advocated seeks to incorporate the above concepts and positively integrate goals of city planning, traffic engineering, and relevant aspects of development economics and planning.

Contents

List of Figures

List of Tables

Foreword

Pref Ack	ace nowledgements oduction Harry Dimitriou	xv xvii-xviii xix-xxii
	Tarry Dimitriou	AIA-AAII
	PART ONE:	
	PROBLEMS AND ISSUES IN URBAN TRANSPORT PLANNING	
1.	Transport and Third World City Development Harry T. Dimitriou	1-49
	Introduction	1
	Defining the Third World	1
	Features of Third World City Development	10
	Third World City Transport Characteristics	17
	Transport and Urban Development Goals	23
	Appendix	31
2.	Transport Problems of Third World Cities Harry T. Dimitriou	50-84
	Introduction	50
	Traditional Agenda of Urban Transport Problems	51
	Problems of Planning Response: Perception Issues	66

Problems of Planning Response: Technology-

Transfer Issues

Goal Formulation Problems

Otto Koenigsberger

73

79

x-xii

XV

xiii-xiv

Contents

3.	Systems Peter R. White	85-116
	Introduction	85
	Problems of Matching Demand and Supply	87
	The Formal Public Transport Response	96
	The Informal Public Transport Response	107
	Need for Co-ordination	111
	Conclusion	114
4.	The Role of Non-Motorised Urban Travel M. S. V. Rao and A. K. Sharma	117-143
	Introduction	117
	Transport and Urban Development in India	119
	Types of Non-Motorised Urban Transport Modes	123
	Supply and Demand Characteristics of Non-	
	Motorised Urban Transport	130
	Towards Better Planning for Non-Motorised	
	Transport	138
	PART TWO	
	EFICIENCIES AND DEVELOPMENTS IN THE TH AND PRACTICE OF URBAN TRANSPORT PLANN	
5.	The Urban Transport Planning Process: Its Evoluation and Application to Third World Cities Harry T. Dimitriou	144-183
	Introduction	144
	The Urban Transport Planning Process	145
	Evolution of the Process Application of UTP Process and its Derivatives	159
	to the Third World	171
	Conclusion	176

6.	Issues in Third World Urban Transport Project Appraisal John W. Dickey	184-215
	Introduction	184
	Overview of Appraisal Process	185
	Overall Urban Transport Project Appraisal Issues	
	Steps in Urban Transport Project Appraisal	192
	Conclusion	214
7.	Institutional Frameworks for Planning Transport in Third World Cities Josef Barat	216-256
	Introduction	216
	Definition of Institutional Planning Frameworks	217
	Deficiencies of Institutional Planning Frameworks	222
	Institutional Planning Framework for Urban	
	Transport in Brazil	227
	Sao Paulo Metropolitan Area Case Study	237
	Lessons from the Sao Paulo Experience	252
8.	Developments in Urban Transport Planning:	
	Their Relevance to Third World Practice Marvin L. Manheim	257-287
	Introduction	257
	Role of Transport Analysis	258
	Range of Urban Transport Analysis Choices	260
	Applying Some Recent Developments	272
	Challenge and Training Requirements of	
	Responsive Analysis	280