

EXPORT ADMINISTRATION REGULATIONS

15 CFR

OCTOBER 1, 1985

EXPORT ADMINISTRATION REGULATIONS

15 CFR

OCTOBER 1, 1985



U.S. DEPARTMENT OF COMMERCE
International Trade Administration
OFFICE OF EXPORT ADMINISTRATION

INDEX

	Section and paragraph		Section and paragraph
A			
A—Code letter following ECCN on CCL indicates validated license required for Country Groups QSTVWYZ and import certificate requirements.368.1(a)(2), 375.1, 375.3(a), 399.1(f)			
Academies (See "Government-controlled institutions of higher learning")			
Administrative proceedings (Major reference Part 388):			
Answer and Demand for Hearing	388.7	Airline—continued	
Appeals	388.22	Equipment for foreign airline	376.8(b)
Availability of Documents	388.20	Intransit	386.3(p)(1)
Consent proceedings	388.17	Air waybills (Also see "Bills of lading"):	
Consolidation of Proceedings	388.21	Conformity of export documents	386.4
Default	388.8	Destination control statement	386.6(g)(2)
Definitions	388.2	Sent with Delivery Verification Certificate request	375.3(i)(2)
Denial of Export Privileges and Imposition of Civil Penalties	388.3	Alterations (Major reference 372.11(a)) (Also see "Amendments"):	
Discovery	388.9	Consignee/purchaser statement	375.2(g)
Decision of presiding official	388.16	Export declaration unauthorized changes	386.3(q)
Filing and Service of Papers Other than Charging Letter	388.6	Import certificate	375.3(h)(6)
Hearings	388.13	Unauthorized use of export control documents	387.8
Institution of Administrative Proceedings	388.4	Amendments (Major reference 372.11) (Also see "Extension of validity period," "Form ITA-685P," "Validity period"):	
Matter Protected Against Disclosure	388.11	Action of District Office	372.11(g)(2), (3), (4)(2)
Prehearing Conference	388.12	Action by Office of Export Administration	372.11(g)(1)
Procedural Stipulations	388.15	Applications pending	372.11(e)
Purpose and Limitations	388.1	Approval, rejection, RWA	372.11(i), 389.2(b)
Record for decision and availability of documents	388.20	Changes not requiring amendment	372.11(f)
Reopening	388.18	Changes requiring amendment	372.11(e)
Representation	388.5	Changes requiring new license application	372.11(d)
Subpoenas	388.10	Consignee, intermediate, change	372.11(e)(3)
Temporary Denials	388.19	Consignee, intermediate, unknown	372.8(b)(3)
Advertising prohibitions:		Consignee, ultimate, change	372.11(e)(2)
Export control documents	387.11(a)(3)	Consignee/purchaser statement	372.11(i), 375.2(b)
Soliciting business	390.2(a)(2)	Copies required	372.11(h)(1)
Advisory panels. See "Committees and panels, advisory."		Definition	370.2
Afghanistan:		Distribution License	373.3(k)
General licensing policy	385.4(f)	Duplicate request for same license	372.11(g)(4)
Reexports of technical data	379.4(f)(2), 379.8	Export declarations	386.3(q)
Aircraft	373.8, 376.8, 385.7(d)	Filing	372.11(g)(1)
Agency for International Development (AID):		Form ITA-685P (Request for and notice of amendment action), facsimile	Forms Supplement, p. 19
Consignee/purchaser statement exemption	375.2(b)(4)	Form ITA-629P (consignee/purchaser statement)	375.7(f)
Import certificate exemption	375.3(d)(3)	Import certificate (foreign)	375.7(f)
Agent (Major reference 386.3(e)):		Import certificate (U.S.), change of facts	368.2(a)(7)
Acting under licensee's direction	387.7	Information required	372.11(h)(2)
Definition	370.2, 386.3(e)(1)	Information to exporter on status of pending amendment	370.11(b)
Delivery verification requirement notice	375.3(i)(1)	License	Introduction page viii
Destination control responsibility	386.5(a), (b)(2), 386.6(g)	License covered by consignee/purchaser statement	372.11(k), 375.7(f)
Destination control statement determination	386.6(g)(1)	License covered by import certificate	372.11(k), 375.7(f)
Disclosure on application	372.6(a)	License covered by Swiss Blue Import Certificate	372.11(k), 375.7(f)
Distribution License	373.3(c)(1)	License covered by Yugoslav End-Use Certificate	372.11(k), 375.7(f)
Export clearance responsibility	386.1(a)	Persons authorized to make amendments	372.11(a)
Export declaration, amendments	386.3(q)	Project License	373.2(e)
Export declaration, submission for authentication	386.3(e)	Service Supply License	373.7(n)
Order from foreign agent	372.6(a)(4)	Signature requirements	372.11(h)(3)
Power of attorney designating agent	386.3(e), Forms Supplement, p. 37	Technical data license	379.7
Prohibited practices	390.2	Telegraph and telephone emergency requests	372.11(h)(4)
Record and proof of agent's authority	386.3(e)(5)	Transfer of licenses	372.13
Records of transactions to be maintained	387.13(c)	Validation	372.11(i)
Redelegation of authority to act for exporter	386.3(e) (4)	Validity period	372.12
Signatures on amendment request	372.11(h)(3)	Appeals (Major reference Part 389):	
Signature on export declaration	386.3(m)	From an administrative action	389.2
Signature on license application	Items 13 & 14 of Supp. 1 to 372	Grounds and conditions for appeal	388.22
Summary monthly reports in lieu of individual declaration	386.3(r)(3)	Applicant (Major reference 372.4) (Also see "Agent," "Licensee"):	
True agent	386.3(e)(2)	Definition	370.2, 372.3(b)(1)
Agricultural commodities:		Disclosure on application of all parties in interest	372.3(a)
Exemption from quantitative limitations on export	377.4	Disclosure of all information on Form ITA-629	375.2(e)
Agriculture Department:		Name and address on application	Item 1 of Supp. 1 to Part 372
Tobacco seeds and plants	370.10(h)	Not named on consignee/purchaser statement	375.2(f)(1)
Aircraft and equipment, parts, accessories, and components therefor		Responsibility when license issued	372.1(c)
Aircraft and Vessel Repair Station Procedure		Signature on application	Item 13 of Supp. 1 to Part 372
Airline:		Application for export license (Also see "License Applications"):	
Definition	370.2	Definition	370.2
Export Administration Regulations		Armed Forces of U.S.:	
		Disposition of foreign excess personal property	370.7
		Exports for official use	370.3(a)(2)
		General License GUS shipments to members	371.13(a)(1)

	Section and paragraph	Section and paragraph
Armed services, export of scrap	386.8(b)(2)	
Arms Control and Disarmament Agency	Supp. 1 to Part 378	
Assurance under General License <i>GTDR</i>	379.4(f)	
Automotive vehicles, military and parts	Int. 19, Supp. 1 to 399.2	
B		
B—Code letter following ECCN on CCL indicates validated license required for Country Groups QSTVWYZ	399.1(f)	
Baggage, general license	371.6	
Bill of lading (Major references 370.2, 386.6):		
Conformity with export documents	386.4	
Definition	370.2	
Delivery Verification Certificate to importer	375.3(i)(2)	
Destination control requirements	386.5(a)	
Destination control statement	372.8(a)(5), Item 5 of Supp. 1 to Part 372, 386.3 (r) (8), 386.6(a), (g)	
Notice and prohibition against diversion	386.6(i)	
Ports of unloading, optional	386.3(k), 386.5(a)(4)	
Preprinted destination control statements	386.6(e)	
Release of custody of shipment by carrier	386.6(h)	
Transfer to complete transaction	387.9	
Birds, migratory, licensed by Interior Dept	370.10(i)	
Bonded warehouse. See "Warehouse."		
Boycott interpretations	Supps. 1-12 to Part 369	
Boycott reporting requirements	369.6	
Bunkering	371.9(b)(1), 371.10(b)	
Bureau of the Census. See "Census Bureau."		
Business ethics and conduct on export control matters	390.2	
C		
C—Code letter following ECCN on CCL indicates validated license required for Country Groups QSWYZ and certain other countries	399.1(f)	
Cambodia	368.2(a)(9)(i), Supp. 1 to part 370, 376.9(c)(4), 385.1, 386.6(d)	
Canada (Also see "Countries not in any Country Group"):		
Airline, definition	370.2	
Exports to	385.6, 399.1(e)	
General Policy	385.6	
Shipments originating in Canada	371.4(c)	
Carbon black feedstock oil	377.6(b)	
Carrier (Major reference 386) (Also see "Airline," "Vessels"):		
Change, alteration, or amendment of declarations:		
Exporting carrier	386.3(q)	
Customs inspection, detention, and unloading	386.8(b)	
Definitions	370.2, 386.9(a)	
Delivery of cargo, responsibility	386.5(a)(2)	
Destination control responsibility	386.5(a)	
Destination control statements, responsibility	386.6(g)(1)	
Diversion of cargo, responsibility	386.5(a)(3)	
Emergency unloading of cargo	386.5(b)	
Export declaration for other than mail shipments	386.1(c)	
Manifest:		
Conformity of export control documents	386.4(b)(4)	
Notice and prohibition against diversion	386.6(i)	
Optional ports of unloading	386.3(k), 386.5(a) (4)	
Orders to return or unload cargo	386.9	
Questioning by customs office	386.8(b)(3)	
Release of custody of commodities	386.6(h)	
Submission of export declaration	386.3(d)	
Case number of application (Major reference 372.9(b)):		
License application identification	372.9(b)	
Census Bureau (Major reference 386.1):		
Authorization to use monthly reporting procedure for declarations	386.3(r)(4)	
Export declaration requirements and exceptions	371.2(a), 386.1(c)(2)	
Summary monthly reports in lieu of individual declaration	386.3(r)	
Certificate, import. See "Import certificate."		
Certifications (Major references 386.6, 387.5)		
(Also see "Statements"):		
Amendments Form ITA-685P		
Form ITA-629P	372.11(i), 375.7(f)	
Import certificate	372.11(k), 375.7(f)	
Project license	373.2(b)(2)	
Transfer of Licenses	372.13(c)	
Swiss Blue Import Certificate	372.11(k), 375.7(f)	
Yugoslav End-Use Certificate	372.11(k), 375.7(f)	
Export declaration, General License <i>GLR</i>	371.17(f) (2), (3)	
False and misleading	387.5(a)	
Form ITA-629P, alterations	375.2(g)	
Letter:		
Form ITA-686P, validity period	373.8(g)	
Import certificate (U.S.) lost, destroyed	368.2(a)(12)	
License application:		
Form ITA-629P requiring more than one application	375.2(d)(1)	
Import certificate:		
Exception to requirement	375.3(e)	
Multiple transactions	375.3(g)(2)	
Single transaction	375.3(g)(1)	
Order party	372.8(a)(3)	
Summary monthly reports in lieu of individual declaration	386.3(r)(2)	
Swiss Blue Import Certificate, additional applications	375.7(b)(3)	
Vessel commodities, subsequent applications	376.9(b)(4)	
Charging letters. See "Letters."		
Charitable or relief shipments (Major reference 371.18):		
Consignee/purchaser statement exemption	375.2(b)(4)	
Gift shipments	371.18(a)	
Import certificate exemption	375.3(d)	
Related commodities on one application	372.4(d)	
Chemicals	372.6, Int. 24, Supp. 1 to 399.2	
China: See "Peoples' Republic of China."		
Civil Aeronautics. See "Federal Aviation Agency."		
Clearance, emergency. See "Emergency clearance."		
Clearance, export. See "Export clearance."		
COCOM countries:		
Assurances waived on plant products under General License <i>GTDR</i>	Note after 379.4(f)(2)(ii)	
Reexports from	374.3(e)	
Technical Data authorization	379.8(b) (3)	
COCOM Review of Application	370.11(c), 370.13(b), (1)	
Code letters following ECCN on CCL indicate Country Groups for which validated license required (Major reference 399.1(f)):		
A—Country Groups QSTVWYZ and import certificate requirement	399.1(f)	
B—Country Groups QSTVWYZ	399.1(f)	
C—Country Groups QSWYZ and certain other countries	399.1(f)	
D—Country Groups QSWYZ	399.1(f)	
E—Country Groups SWYZ	399.1(f)	
F—Country Groups SZ and certain other countries	399.1(f)	
G—Country Groups SZ only	399.1(f)	
I—No Country Group	399.1(f)	
M—Country Group control level governed by another entry on CCL	399.1(f)	
Coded terms in documents. See "Translation requirements."		
Colleges (See "Government-controlled institutions of higher learning.")		
Commerce Department. See "Department of Commerce," "District Offices," "Office of Export Administration."		
Commercial invoice. See "Invoice."		
Committees and panels, advisory (Major reference 390.1):		
Compensation not allowed members	390.1(i)	
Consultation on problems of licensing policies	370.1(f)	
Meetings, agenda, and minutes	390.1(d), (g)	
Organization and functions	390.1(b), (c)	
Technical advisory committees	390.1(b)	
Term of members	390.1(b)(6)	
Commodities, related requirements (Major references Supp. 2 to 370, 373, 376, 399.1) (Also see "Commodity Control List," "Commodity Interpretations," "Foreign-origin commodities"):		
Agricultural commodities, registration of	377.4(d)	
Aircraft, equipment, parts, accessories, and components (Major reference 376.8):		
Aircraft and vessel repair station procedure:		
Authorizations and limitations	373.8(c)	
Eligibility	373.8(b)	
Form ITA-686P extension of validity period	373.8(g)	
Records and reports	373.8(f)	

	Section and paragraph
Commodities—continued	
Repair station number	373.8(d), (e)
Aircraft under construction	376.9(b) (2)
Form ITA-886P (Statement by Foreign Importer of Aircraft or Vessel Repair Parts), Facsimile	Forms Supplement, p. 21
Foreign registered aircraft (temporary sojourn)	371.19(a)
General License <i>GATS</i> (temporary sojourn)	371.19
General License <i>PLANE STORES</i>	371.10
General License <i>RCS</i> (shipments to U.S. or Canadian planes and airline installations)	371.12
Import certificate exemption	375.3(d)
Interpretation	Int. 20, Supp. 1 to 399.2
Office of Export Administration licensing	Int. 20, Supp. 1 to 399.2
Petroleum and products for aircraft leaving U.S.	371.10, 376.9(c)
Sale or loan by airlines	376.8(b)
Spare parts accompanying aircraft	376.8(a)
Technical data	379.4(d), 379.5(e)(2)
Arms, ammunition, and implements of war:	
Cartridge and shell case scrap, copy of bid offer required	386.8(b) (2)
Office of Export Administration licensing	Int. 12, Supp. 1 to 399.2
State Department licensing	370.10(a)
Treasury Department licensing	368.1(a) (2)
Automotive vehicles, military	Int. 19, Supp. 1 to 399.2
Bearings, ball and roller:	
Country Group W, Y, and Z and the PRC	376.7(c)
Incorporated in machinery, spares, or replacement	Int. 2, Supp. 1 to 399.2
Birds, migratory, and endangered native fish and wildlife licensed by Interior Dept.	370.10(i)
Bunker fuel	371.9(a) (2)
Chemicals:	
Interpretation	Int. 24, Supp. 1 to 399.2
License applications	376.6
Commodities and transactions not classified according to kind	Int. 28, Supp. 1 to 399.2
"Commodity," definition	370.2
Commodity policies and provisions for certain commodities	Part 376
Communications intercepting devices	371.2(c)(8), 371.17(f)(1)(v), 376.13
Computer-Consignee Destinations	Supp. Nos. 2 and 3 to Part 373
Computer system interfaced equipment	376.10
Computer System parameters, Form ITA-6031P Forms Supplement, p. 29	
Computers, electronic and parts	376.10
Analog	376.10(b)
Digital	376.10(a)
Consent Orders	388.17
Containers (bags, gas cylinders, metal drums), empty or filled:	
Export declaration	386.3(h)(2)
General License <i>GTE</i>	371.22(b)
Crime control and detection commodities	376.14, 379.4(h), 385.4
Customs' detention of commodities	372.7(a)
Electric energy (licensed by Department of Energy)	370.10(g)
Electronic computers and related equipment	376.10
Embargoed to South Africa and Namibia	Supp. 2 to 379
Equipment defective or unacceptable:	
General License <i>GLR</i>	371.17(f)
Excluded from certain special license procedures	Supp. 1 to Part 373
Fish, endangered native (licensed by the Department of the Interior)	370.10(i)
Food:	
General License <i>BAGGAGE</i>	371.6(b)(1)
General License <i>CREW</i>	371.11(a)(1)
General License <i>GIFT</i>	371.18(b)(1)
General License <i>GUS</i>	371.13(a)(1)
General License <i>PLANE STORES</i>	371.10(a)(2)
General License <i>RCS</i>	371.12(d)(4)
General License <i>SHIP STORES</i>	371.9(a)(2)
Foreign laws applicable	373.1(b)
Foreign-made end products	376.12
Gas, natural (licensed by Department of Energy)	370.10(g)
Gear making and finishing machinery	Int. 3, Supp. 1 to 399.2
General License <i>G-DEST</i>	371.3
General License <i>GIFT</i>	371.18
General License <i>GTE</i>	371.22
Horses for export by sea	376.3
Household effects:	
General License <i>BAGGAGE</i>	371.6(b)(2)
General License <i>CREW</i>	371.11(a)(2)
General License <i>GUS</i>	371.13(a)(1)
Infrared imaging equipment	379.4(d)
Import certificate (foreign) requirements	375.3, 375.4, 375.5
Imported for U.S. trade fairs or exhibitions	371.15

	Section and paragraph
Commodities—continued	
Imported under U.S. Import Certificate	368.1(a)(2)
Laboratory Analytical Instruments	Int. 8, Supp. 2 to 399.2
Machinery, equipment, and parts:	
Ball or roller bearings for Country Group W, Y, and Z and the PRC	376.7(c)
License application	376.7
Magnetic tape drives	376.10(a)(4), (5)
Maritime nuclear propulsion plants:	
Technical data	379.4(c), 379.5(e)(1)
Medical and surgical supplies	371.9(a)(2), 371.10(a) (2), 371.12(d)
Medicinals and pharmaceuticals:	
General License <i>BAGGAGE</i>	371.6(b)(1)
General License <i>CREW</i>	371.11(a)(1)
General License <i>GIFT</i>	371.18(b)(1)
License applications	376.6
Munitions List (U.S.)	Supp. 2 to Part 370
Narcotics (licensed by Justice Dept.)	370.10(d)
Neutron generators, technical data	379.4(c)(3)
Nickel, porous, technical data restrictions	379.4(c)(4)
Nuclear facilities	378.3
Nuclear material (licensed by Nuclear Regulatory Commission and Department of Energy)	368.1(a)(2), 370.10(e), Supp. 3 to Part 370
Nuclear propulsion plants (Maritime-civil)	379.4(c), 379.5(e)(1)
Nuclear weapons, explosive devices, or testing:	
Advice from manufacturer as to license requirements	378.6
Commodities	378.2
Distribution License not applicable	373.3(b)(1)
General License <i>GLR</i> exceptions	371.17(f)(1)
General license prohibitions	371.2(c)
License application requirements	378.7
Limited Nuclear Test Ban Treaty and adherents	378.2, Supp. 2 to Part 378
Prohibitions	370.3(a)(1)
Project License not applicable	373.2(b)
Technical data restrictions	379.4(c)
Numerical control systems for machine tools	Int. 7, Supp. 1 to 399.2
Parts, accessories, components, equipment, materials:	
Accompanying aircraft, loan or sale	376.8(b)
Aircraft	Int. 20, Supp. 1 to 399.2
Aircraft or vessel repair	373.8(a)(2)
Automotive vehicles, military	Int. 19, Supp. 1 to 399.2
Defective or unacceptable, for replacement, General License <i>GLR</i>	371.17(f)
Foreign-made end products	376.12
License applications	376.7
Parts of machinery, equipment, or other items, classification	Int. 4, Supp. 1 to 399.2
Scrap	Int. 10, Supp. 1 to 399.2
Service Supply procedure	373.7
Used in foreign-made end products	375.2(e)(4), 376.12
Past participation in exports licensing method	377.2
Personal effects:	
General License <i>BAGGAGE</i>	371.6(b)(1)
General License <i>CREW</i>	371.11(a)(1)
Petroleum and petroleum products (Major reference 377.6):	
Coke	Supp. 2 to 377
Congressional review	377.6(j)
General License <i>G-FTZ</i>	371.7
General License <i>PLANE STORES</i> , restrictions	371.10(b)
General License <i>SHIP STORES</i>	371.9(b)
License applications	376.9(c)
Short supply	377.6, Supp. 2 to Part 377
Porous nickel	379.4(c)(4)
Processing Code on application	372.4(d), 376.8(a)(1)
Project License not applicable to certain commodities	378.2(b)
Regional stability	385.4(b)(3)
Request to dispose of commodities or technical data previously exported (Form ITA-699P)	Forms Supplement, p. 23
Return or unloading at Commerce Dept. direction	386.9
Return to U.S. after temporary use abroad	372.8(c)(2)
Return to U.S. for reexport	366.2(c)
Scrap:	
Cartridge and shell cases	386.8(b)(2), Int. 12, Supp. 1 to 399.2
Vessels and watercraft	370.10(f)
Ship stores:	
General License provisions	371.9
Short supply controls and monitoring	Part 377
Shotguns	371.6(c), 371.11(a)(1)
Technical data	Part 379
Temporary exports not exportable under General License <i>GTE</i>	372.8(c)
Terminal devices (located remote from computer operating area)	376.10(a)

	Section and paragraph		Section and paragraph
Commodities—continued		Conformity of export control documents	386.4
Tobacco seeds and plants (licensed by Agriculture Dept.)	370.100(h)	Consent orders. See "Orders."	
Tolerance on quantity and price	386.7	Consignee:	
Tools of trade, General License <i>BAGGAGE</i>	371.60(x4)	Notice from exporter that reexport authorized or not authorized	374.4
Transiting Group Y or Z	372.0(b)	Notification of retained samples by customs	386.8(b)(1)
Vehicles:		Orders split to use General License <i>GLV</i>	371.6(c)
General License <i>BAGGAGE</i>	371.6(b)(3)	Reexports between consignees under Distribution License	374.2(c)
Vessels and watercraft:		Consignee/consignor control under general license	371.2(f)
Bunker fuel	371.9(a)(2)	Consignee, intermediate (Major references 372.11(e)(3), (f) (6)):	
Commodities or technical data for operating vessels	376.9(b)(3)	Change not requiring amendment	372.11(f)
Commodities or technical data for vessels under construction	376.9(b)(1)	Change requiring amendment	372.11(e)(3)
Crew members' articles	371.11	Definitions	372.3(b)(3)
Exports to foreign vessels in foreign ports	371.2(c)(3)	Disclosure by applicant	372.3(a)(2), 372.6(a)(2)
Exports to vessels of U.S. or Canadian registry in foreign ports	371.12(b)	Export declaration requirements	372.3(b)(3)
Licensing by Maritime Administration	370.10(f)	Intransit shipment, export declaration	386.3(p)(2)
Licensing by State Department	370.10(f)	License application requirements	372.8(b)(2)
Petroleum and petroleum products	371.9(b), 376.9(c)	Item 3 of Supp. 1 to 372	
Repair parts, import certificate exemption	375.3(d)(7)	Notice and prohibition against diversion	386.6(i)
Statement by foreign importer	373.8(d)(3)	Unknown at time application filed	372.9(b)(3)
Forms Supplement, p 21		Consignee, ultimate (Major references 372.11, 375.2):	
Stores, supplies	371.9	Change not requiring amendment	372.11(f)
Video tape, General License <i>GTE</i>	371.22(b)	Change requiring amendment	372.11(e)(2)
Wearing apparel:		Change requiring new application	372.11(d)
General License <i>BAGGAGE</i>	371.6(b)(1)	Consignee/purchaser statement, responsibility	375.2(f)(2)
General License <i>CREW</i>	371.11(a)(1)	Definitions	372.3(b)(2)
General License <i>GIFT</i>	371.18(b)(1)	Destination control responsibility	386.5(a)(3)
Western red cedar	377.7	Disclosure by applicant	372.3(a)(2), 372.6(a)
Wildlife, licensed by Interior Dept.	370.10(g)	Distribution License qualification	373.8(c)
Wire or cable cut to length	Int. 5, Supp. 1 to 389.2	Import certificate	375.3(b)(1)
Commodity advisory panels and committees. See "Committees and panels, advisory."		License application:	
Commodity Control List (CCL) (Major reference Supp. 1 to 389.1):		Distribution License	373.3(d)(3)
Canada	389.1(e)	Project License	373.2(c)(2)
Commodity categories	389.1(b)	Notice and prohibition against diversion	386.6(i)
Commodity coverage	389.1(a)	Nuclear-related commodities	
Country Groups	389.1(f)	370.3(a), 371.2(c), Supp. 1 to 373, 378.2, Supp. 2 to 378, 379.4, 385.6, 389.1(f)	
Coverage of list	389.1(a)	Person abroad receiving material	Item 7 of Supp. 1 to Part 372
Definition	370.2	Project License, new consignees added	373.2(e)(2)
Embargo Destinations	389.1(c)	Reexports under Distribution License	374.2(c)
Export Control Commodity No.	370.2, 389.1(b)	Reexports under Project License	373.2(g), 374.2(d)
GLV dollar-value limits	371.5(a)(1), 389.1(f)	Separate application for each consignee	374.2(c)
How to use	389.1(f)	Signature on consignee/purchaser statement	375.2(e)(7)
N.e.s.	389.1(g)	Ultimate consignee/purchaser statement	375.2
Processing Code	389.1(h)	Consignor:	
Unit of quantity	389.1(g)	Destination control statement responsibility	386.6(g)
Validated license required	389.1(f)	Diversion or delivery of cargo	386.5(e)
Commodity controls subject to review	370.1(b)	Consignor/consignee control under general license	371.2(f)
Commodity description (Major reference 375.2(e)(2)):		Controlled country	370.2
Conformity with export control documents	386.4(b)(3)	Correction of documents. See "Alterations," "Amendments"	
Export declaration	386.3(i)(2)	Countries adhering to Limited Nuclear Test Ban Treaty	Supp. 2 to Part 378
License application	372.6(a), Item 9(b) of Supp. 1 to Part 372	Countries and country groups	Supp. 1 to 370, 389.1(f)
Commodity interpretations (Major reference 389.2) (Also see "Commodities," "Definitions"):		Countries or areas not in a Country Group:	
Aircraft, parts, accessories, and components	Int. 20, Supp. 1 to 389.2	Canada (Major reference 385.6):	
Automotive vehicles, military and parts	Int. 19, Supp. 1 to 389.2	Airline, definition	370.2
Ball and roller bearings and specially designated parts	Int. 2, Supp. 1 to 389.2	Communications intercepting devices	376.13
Chemicals	Int. 24, Supp. 1 to 389.2	Destination control statement requirements	Introduction page ii
Commodities and transactions not classified according to kind	Int. 28, Supp. 1 to 389.2	Examination of commodities	386.8(b)(1)
Computers and related equipment	Int. 1, Supp. 1 to 389.2	Export declaration, additional copies	386.3(f)(3)
Gear making and finishing machinery	Int. 3, Supp. 1 to 389.2	Export declaration for mail shipments without license	386.1(b) (2)
Industrial equipment	Int. 29, Supp. 1 to 389.2	Export declaration for shipments other than mail without license	386.1(c)(1)
Laboratory analytical instruments	Int. 8, Supp. 1 to 389.2	Export license requirements	370.3(a)(1)
Numerical control systems for machine tools	Int. 7, Supp. 1 to 389.2	Foreign Trade Zone shipments originating in Canada	370.6(c)
Parts, accessories, and equipment, scrap	Int. 10, Supp. 1 to 389.2	Intransit shipments:	
Parts of machinery, equipment or other items	Int. 4, Supp. 1 to 389.2	Export declaration:	
Scrap arms, ammunition, and implements of war	Int. 12, Supp. 1 to 389.2	Additional copy required	386.3(f)(3)
Wire or cable cut to length	Int. 5, Supp. 1 to 389.2	Presentation to Canadian Customs	386.1(d)
Computer-Consignee Destinations	Supps. Nos. 2 and 3 to Part 373	From Canada through U.S.	371.4(c)
Computers, Electronic	376.10	From Country Group Y or Z	370.9, 372.8(b)
Confidential information:		Licensing policy	386.6
Compliance proceedings on export privileges	388.11	Not in any Country Group	370.2, 386.1(e)
Disclosure of customer withheld from foreign supplier	386.3(a)(3)	Nuclear weapons or explosive devices	378.2
Information by importer on triangular transactions	375.3(h)(4)	Recordkeeping on exports to Canada	387.13(a)
		Reexport prohibitions	374.1
		Reexports permitted under any general license	374.2(c)
		Special Licensing Requirements	389.1(f)(4)
		Statement of past participation in exports not required	377.2(c)(2)
		Summary monthly reports in lieu of individual declaration	386.3(r)(1)
		Technical data	370.3(a)(1)

	Section and paragraph	Section and paragraph
Countries or areas not in a Country Group—continued		
Caroline Islands, license not required	370.4	
Guam, license not required	370.4	
Mariana Islands, license not required	370.4	
Marshall Islands, license not required	370.4	
Puerto Rico, license not required	370.4	
Trust Territory of Pacific Islands, license not required	370.4	
U.S. territories, dependencies, and possessions:		
Export declaration requirements	386.3(f)(1)	
License not required	370.4	
Statement of past participation in exports not applicable	377.2(c)(9)	
Virgin Islands (U.S.):		
GLV shipments to British Virgin Islands	371.5(a)(3)	
Countries included in each Country Group	Supp. 1 to 370	
Country Groups defined	370.2	
Country Groups, General license exports to any destination within same country group	371.2(c)	
Country Group Q (Romania) (Major Reference 385.2):		
Aircraft and parts	376.8	
Aircraft or vessel registered in or under charter	373.8(c)(1)	
Assurances on technical data	379.4(f)	
Commodities transiting Country Group Y or Z	372.8(b)(1)	
Consignee/purchaser statement	375.2(a)	
Electronic computers and related equipment	376.10	
Foreign Trade Zone shipments	370.6(a)(2)	
General License <i>GIT</i> (Intransit shipments)	371.4(a)(3)	
General License <i>GLR</i>	371.17(a)(2), (f)(1)	
General License <i>GLV</i>	371.5(a)(1)	
General License <i>G-NNR</i>	371.16	
General License <i>GTDR</i> restrictions	379.4(b)	
General License <i>GTE</i> exceptions	371.23(c)(1)	
General License <i>GTF-U.S.</i>	371.15(c)(3)	
General License <i>PLANE STORES</i>	371.10(a)(3)	
General License <i>SHIP STORES</i>	371.9(a)(3)	
Licensing policy	385.2	
Reexports of commodities and technical data	374.3(c)(1), 379.8(c)(3)	
Reports on exports of technical data	379.6(b)(2)	
Service Supply license	373.7(c)(2), (f)	
Temporary exports returned to U.S.	372.8(c)(2)	
Country Group S (Libya) (Major reference 386.7):		
Aircraft and parts	376.19(c)	
Amendments	372.11(g)(3)	
Chemicals requiring a validated license	Int. 24, Supp. 1 to 399.2	
Commodities transiting Country Group Y or Z	372.8(b)(1)	
Consignee/purchaser statement	375.2	
General License <i>BAGGAGE</i>	371.6(a)	
General License <i>GIFT</i> not applicable	371.18(b)	
General License <i>GIT</i>	371.4(a)(2)	
General License <i>GTDR</i> not applicable	379.4(a)	
General License <i>GTE</i> not applicable	371.23(c)(1)	
General License <i>GTF-US</i>	371.15(a)	
Licensing policy	385.3	
Optional ports of unloading	386.3(c)(1)	
Reexport requests	374.9(c)(1)	
Service Supply procedure not applicable	373.7(c)(1)	
Temporary exports returned to U.S.	372.8(c)(2)	
Country Group T (Major reference 385.4):		
Commodities transiting Country Group Y or Z	372.8(b)(1)	
Countries included	Supp. 1 to 370	
Crime control commodities	385.5	
Distribution License, eligible countries	373.3(a)	
Export declaration alternate filing procedure	386.3(r)(1)	
Export declaration not required if value is \$500 or less	386.1(c)(2)	
General License <i>G-DEST</i> , optional ports of unloading	374.3(a), 386.3(k)(2)	
General License <i>GLV</i>	371.5(a)(1)	
General License <i>G-NNR</i>	371.16	
Service Supply procedure	373.7	
Summary monthly reports in lieu of individual declaration	386.3(r)(1)	
Country Group V (Major reference Supp. 1 to 370, 385.4):		
Afghanistan:		
Distribution License not applicable	373.3(a)	
Licensing policy	385.4(f)	
Austria:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Belgium:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Country Group V—continued		
COCOM countries:		
General License <i>GTDR</i> assurances waived	379.4(e)(2)	
Technical Data authorization	379.8(b)(3)	
Consignee/purchaser statement	375.2	
Commodities transiting Country Group Y or Z	372.8(b)(1)	
Countries included	Supp. 1 to Part 370	
Crime control and detection commodities	378.14, 385.4(b)(2)	
Denmark:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Export declaration not required if value is not more than \$500	386.1(b)(2), (c)(2)	
France:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
General License <i>G-DEST</i> , optional ports of unloading	374.3(a), 386.3(k)(2)	
General License <i>GLV</i>	371.5(a)(1)	
Germany, Federal Republic of:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Greece:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Italy:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Japan:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Liechtenstein (<i>Also see "Switzerland"</i>):		
Import certificate requirements	375.4	
Reexports between Liechtenstein and Switzerland	374.2(d)	
Reexports from Liechtenstein	374.3(d)	
Reexports to Liechtenstein	374.3(c)(1)	
Luxembourg:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Namibia:		
Excepted from General License <i>GIT</i>	374.3(c), 385.4(a)	
Restrictions on Exports of technical data	379.4(e)	
Special licensing procedures	373.1(a)	
Netherlands:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Norway:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Portugal:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
Regional stability commodities	385.4(b)(2)	
Singapore:		
Reexports to	374.3(c)(1)	
Spain:		
Nuclear reactor and nuclear power plant equipment	Supp. 1 to 399.1	
	CCL entry No. 4363B	
South Africa (Republic):		
Excepted from General License <i>GIT</i>	371.4(b)	
Licensing policy	385.4(a)	
Reexports	374.3(c)	
Restrictions on exports of technical data	379.4(e)	
Special licensing procedures	373.1(a)	
Summary monthly reports in lieu of individual declaration	386.3(r)(1)	
Sweden:		
Reexports to	374.3(c)(1)	
Switzerland:		
Amendments	372.11(k)	
Consignee/purchaser statement exemption	375.2(b)(1)	
Distribution License commodities not reexportable	373.3(i)(1)	
Import certificate requirements	375.4	
Reexports between Switzerland and Liechtenstein	374.2(d)	
Reexports from Switzerland	374.3(d)	
Reexports to Switzerland	374.3(c)(1)	
Syria:		
Licensing policy	385.4(d)	
Turkey:		
IC/DV authorities	Supp. 1 to Part 375	
Import certificate requirements	375.1	
United Kingdom:		

Section and
paragraphSection and
paragraph

Summary monthly reports—continued

IC/DV authorities	Supp. 1 to Part 375
Import certificate requirements	375.1
Virgin Islands (British), GLV shipments from U.S. Virgin Islands	371.5(a)(2)
Yemen:	
Licensing policy	385.4(d)
Yugoslavia:	
Amendment of license	372.11(k)
Consignee/purchaser statement exemption	375.2(b)(1)
Distribution License commodities not reexportable	373.8(i)(1)
End-Use Certificate	375.5
Licensing policy	385.4(c)
Reexports	374.3(c)(1)

Country Group W (Hungary and Poland) (Major reference 385.2):

Aircraft and parts	376.8
Assurances on technical data	379.4(f)
Ball and roller bearings	376.7(c)
Carrier registered in or under charter	373.8(c)(1)
Commodities transiting Country Group Y or Z	372.8(b)(1)
Consignee/purchaser statement	375.2
Countries included	Supp. 1 to Part 370
Electronic computers and related equipment	376.10
Foreign trade zone shipments	370.6
General License <i>BAGGAGE</i>	371.6(a)
General License <i>GATS</i> not applicable	371.19(b)
General License <i>G-DEST</i> , optional ports of unloading	386.3(k)(2)
General License <i>GIT</i>	371.4(a)(2)
General License <i>GLR</i>	371.17(f)(3)
General License <i>G-NNR</i>	371.16
General License <i>GTE</i> exceptions	371.22(c)(1)
General License <i>GTF-US</i>	371.15(c)(2)
General License <i>GTDR</i> restrictions	379.4(b)
General License <i>PLANE STORES</i>	371.10(a)(3)
General License <i>SHIP STORES</i>	371.9(a)(2)
Licensing policy	385.2
Reexport authorization limitation on validity period	374.5(a)
Reexports	374.3(c)(1), 379.8(c)(3)
Reports on exports, technical data	379.6(b)(2)
Service Supply license procedure	373.7(c)(2)(i)
Temporary exports returned to U.S.	372.8(c)(2)
Watercraft	370.10(f)

Country Group Y (Albania, Bulgaria, Czechoslovakia, Estonia, German

Democratic Republic (including East Berlin), Laos, Latvia, Lithuania, Mongolian People's Republic, and U.S.S.R.) (Major reference Supp. 1 to 370, 385.2):

Aircraft, parts, and components	376.8
Assurances on technical data	379.4(f)
Ball and roller bearings	376.7(c)
Consignee/purchaser statement	375.2
Countries included	Supp. 1 to 370
Electronic computers and related equipment	376.10, Int. 1, Supp. 1 to 399.2
Foreign excess property of U.S. Armed Forces	370.7
Foreign trade zone shipments	370.6
General License <i>BAGGAGE</i>	371.6(a)
General License <i>GATS</i>	371.19
General License <i>GIFT</i>	371.18(b)
General License <i>G-FTZ</i>	371.7
General License <i>GIT</i>	371.4(a)(2)
General License <i>GLR</i>	371.17(a)(3)
General License <i>G-NNR</i>	371.16
General License <i>GTDR</i> restrictions	379.4(b)
General License <i>GTE</i> exceptions	371.22(c)(1)
General License <i>GTF-US</i>	371.15(c)
General License <i>PLANE STORES</i>	371.10(a)(2)
General License prohibitions	371.2(c)(2)
General License <i>RCS</i>	371.12(c)
General License <i>SHIP STORES</i>	371.9(a)(2)
German Democratic Republic (including East Berlin)	Supp. 1 to Part 370, 385.2
Intransit shipments	370.9, 372.8(b)
Laos	385.2, 386.6
Licensing policy	385.2
Optional ports of unloading	386.3(k)(1)
Reexport authorization limitation on validity period	374.5(a)
Reexports	374.3(c)(1), 379.8(b)(2)
Reports on exports, technical data	379.6(b)(2)
Service Supply license procedure	373.7(c)(2)
Soviet Bloc defined	386.6(d)
Temporary exports returned to U.S.	372.8(c)(2)

Country Group Y—continued

Watercraft	370.10(f)
Country Group Z (North Korea, Vietnam, Cambodia and Cuba) (Major reference 385.1):	
Aircraft and parts	376.8
Assurances on technical data	379.4(e)
Ball and roller bearings	376.7(c)
Chemicals requiring a validated license	Int. 24, Supp. 1 to 399.2
Consignee/purchaser statement	375.2
Countries included	Supp. 1 to Part 370
Cuba:	
Cuban Assets Control Regulations of Treasury Dept.	368.2(a)(9)
Destination Control Statement	386.6(d)
Foreign-made products exported to	385.1
General License <i>SHIP STORES</i>	371.9
Licensing policy	385.1
Foreign excess property of U.S. Armed Forces	370.7
Foreign trade zone shipments	370.6
General License <i>BAGGAGE</i>	371.6(a)
General License <i>CREW</i>	371.11(b)
General License <i>GATS</i> not applicable	371.19
General License <i>GIFT</i> not applicable	371.18(a)
General License <i>GIT</i>	371.4(a)(2)
General License <i>G-FTZ</i> not applicable	371.7(a)
General License <i>GLR</i>	371.17(f)
General License <i>GTDR</i> not applicable	379.4(a)
General License <i>GTE</i> inapplicable	371.22(c)(1)
General License <i>GTF-US</i> not applicable	371.15
General License <i>PLANE STORES</i>	371.10(a)(3)
General License prohibitions	371.2(c)(2)
General License <i>RCS</i>	371.12(c)
General License <i>SHIP STORES</i>	371.9(a)(2)
Intransit shipments	370.9, 372.8(b)
Cambodia	368.2(a)(9), Supp. 1 to Part 370, 3.8.9(c)(4), 385.1, 386.6(d)
Licensing policy	385.1(a)
Optional ports of unloading	386.3(k)(1)
North Korea	385.1(a), 386.6(d)
Petroleum or petroleum products for foreign aircraft	371.10(d)
Reexport authorization limitation on validity period	374.5(a)
Reexports	374.3(c)(1)
Service Supply procedure not applicable	373.7(c)(1)
Temporary exports returned to U.S.	372.8(c)(2)
Treasury Dept. Transaction Control Regulations	368.2(a)(9)
Vietnam	385.1(a), 386.6(d)
Watercraft	370.10(f)
Crime Control and Detection Commodities	376.14, 385.4(b)(2)
Cuba. See "Country Group Z"	
Customer:	
Direct shipments under Distribution License	373.3(j)
Disclosure withheld from foreign supplier on imports	368.3(a)(3)
Reexports to customers of distributor	374.2(f)
Customs officer, definition	370.2
Customs offices (Major reference Part 386) (Also see "Export clearance," "Export declaration"):	
Authorize changes on export declaration	386.3(q)
Canadian shipments moving in transit through U.S.	371.4(c)
Certifying U.S. Delivery Verification Certificate	368.3(a)(2)
Clearing shipments:	
Air shipments in bond	386.3(p)(1)
Delegation of authority	386.9(a)
General License <i>GLR</i>	371.17(f)
Detaining shipments	372.7(a), 386.8(b)(6)
Duplicate license forwarded to replace lost or destroyed license	372.10
Emergency clearance	372.4(h), 372.11(h)(4)
Examine commodities	386.8(b)(1)
Export declaration presentation	386.3(a)
Foreign customs officials, delivery of commodities	386.6(h)(2)
General License <i>BAGGAGE</i> limits or prohibitions	371.6(d)
General License <i>GTE</i> list of registered exporters	371.22(d)(3)
Inspect documents	386.8(b)(2)
Inspect exporting carrier	386.8(b)(5)
Intransit shipments	372.8(a)
License held pending extension	372.12(e)
Notification of Distribution License issuance	373.3(e)(2), (h)(1)
Notification of license extension	372.12(e)
Notification of Project License extension	373.2(e)
Notification of transfer of licenses	372.13(g)

	Section and paragraph		Section and paragraph
Customs offices—continued		Definitions—continued	
Order return or unloading of cargo	386.8(a)(9), 386.9(b)	Order party	370.2, 372.3(b)(5)
Permit reexports under license previously granted	386.2(c)	Person or firm	370.2
Prohibit lading	386.8(b)(4)	Person denied export privileges	387.12(b)
Question individuals	386.8(b)(3)	Personal effects	371.6(b)
Require additional copies of declaration	386.3(f)(3)	Port of export	370.2
Require conformity of documents	386.4(c)	Postmasters (see "Customs officer" definition)	370.2
Require proof of agent's authority	386.3(e)(4)	Practice before International Trade Administration	390.2(a)(3)
Seize and detain shipments	386.8(b)(6)	Processing Code	399.1(b)
Seize and detain carrier	386.8(b)(7)	Project License	370.2, 372.2(b)(2)
Service Supply License notification	373.7(f)(1)	Purchaser	370.2, 372.3(b)(4)
Technical identification of commodity against sample	386.8(b)(1)	Qualified General License	373.4
		Reexport	370.2
		Regulations	386.2
		Replacement parts	373.7(a)(7)
		Schedule B numbers	370.2
		Service facility	373.7(a)(2)
		Service Supply License	370.2, 372.2(b)(6), 373.7
		Shipper's Export Declaration	370.2, 386.3
		Single shipment	370.2, 371.5(b)(2)
		Soviet Bloc	386.6(d)(3)
		Spare parts	373.7(a)(8)
		Station number (assigned to Form ITA-686P)	373.8(a)(1)
		Technical data	373.1(a)
		Tools of trade (General License BAGGAGE)	371.6(b)(4)
		Triangular symbol on import certificate	375.3(h)(4)
		Ultimate consignee	370.2, 372.3(b)(2)
		United States	370.2
		U.S. airline	370.2
		U.S. equipment	373.7(a)(4)
		U.S. exporter	370.2
		U.S. subsidiary	373.7(a)(5)
		Validated license	370.2, 372.2(a)
		Vehicles	371.6(b)(3)
		Delivery Compliance Notice (U.S.) in lieu of Delivery Verification Certificate	368.2(a)(9), 368.3(a)(3)
		Delivery of cargo carrier's responsibility. See "Carrier."	
		Delivery Verification Certificate, foreign countries (Major reference 375.3):	
		Administering authorities	Supp. 1 to Part 375
		Commodities applicable	375.3
		Definition	375.3
		Destinations applicable	375.3
		Facsimile of notification requirement	Forms Supplement, p. 15
		Notification and submission requirement	375.3(i)
		When unable to obtain	375.3(i)(3)
		Delivery Verification Certificate U.S. (Major reference 368.3):	
		Completion and disposition	368.3(a)(2)
		Facsimile of form	Forms Supplement, p. 13
		Lost or destroyed	368.3(a)(4)
		Request by foreign exporter	368.3(a)
		Requirement and recordkeeping	368.3(a)(1)
		Demonstrations. See "Trade Fairs."	
		Denial orders (Also see "Orders"):	
		Geographical listing of parties subject to denial orders	Supp. 2 to Part 388
		List of persons and firms subject to such orders	Supp. 1 to Part 388
		Denial of applications (Also see "Rejection of applications"):	
		Country Groups Q, W and Y, general policy	386.2
		Country Group Z, general policy	386.1
		Denial of export privileges and Imposition of Civil Penalties	386.3
		Department of Agriculture. See "Agriculture Department."	
		Department of Commerce (Also see "District Offices," "Office of Export Administration"), definition	370.2
		Department of Defense. See "Defense Department."	
		Department of Energy. See "Energy Department."	
		Department of Justice. See "Justice Department."	
		Department of State. See "State Department."	
		Department of the Interior. See "Interior Department."	
		Department of the Treasury. See "Treasury Department."	
		Description of commodity. See "Commodity description."	
		Destination control general requirements:	
		Bill of lading	386.5(a)(1)
		Delivery of shipment	386.5(a)(2)
		Diversion of shipment	386.5(a)(3)
		Optional ports for delivery of shipment	386.5(a)(4)
		Unloading shipment at another port	386.5(b)

D

D—Code letter following ECCN on CCL indicates validated license required for Country Groups QSWYZ only 399.1(f)

Daily list of licenses issued 390.4

Declaration. See "Export declaration."

Defense Department:

Action on export applications 370.13(f)

Armed Forces personal property transhipped, diverted, or reexported to Country Group Y or Z 370.7(c)

Definitions (Major reference 370.2) (Also see "Commodity Interpretations"):

Administrative action 389.1(b)(2)

Airline 370.2

Amendment 370.2

Analog computers 376.10(b)(2)

Appeal 389.1(b)(3)

Appear before International Trade Administration 390.2(a)(3)

Appellant 389.1(b)(4)

Applicant for export license 370.2, 372.3(b)(1)

Application for license 370.2

Bill of lading 370.2

Canadian airline 370.2

Commodities moving in transit 371.4(a)(1)

Commodities originating in foreign country 371.4(a)(4)

Commodity 370.2

Commodity Control List 370.2

Country Groups 370.2

Customs officer 370.2

Delivery Verification Certificate 375.3

Department of Commerce 370.2

Digital computers 376.10(a)(4), (a)(5)(iii)

Direct product (technical data) 379.4(a)

Distribution License 370.2, 372.2(b)(3), 373.3

Effective control 373.7(a)(6)

Equipment 373.7(a)(3)

Evidence of an order 372.6(a)(2)

Evidence of facts on purchase transaction 372.6(a)(3)

Export Administration Act 370.2

Export Administration Regulations 370.2

Export Control Commodity Numbers 370.2

Export control document 370.2

Export of technical data 379.1(b)(1)

Exporting carrier 370.2

Foreign importer of aircraft or vessel repair parts 373.8(a)(2)

Forwarding agent 370.2, 386.3(a)(1)

General License 370.2, 371.1

Gift parcel 371.18(a)

Government agency (foreign) 375.2(b)(3)

Government agency (U.S.) 371.13(b)

Hong Kong Import License (Landing Certificate) 375.3(c)(1)

Household effects 371.6(b)

Import certificate 375.3(c)(1)

Individual license 370.2, 373.2(b)(1)

Intermediate consignee 370.2, 372.3(b)(3)

Landing certificate, Hong Kong 375.3(c)(1)

Law or regulation relating to export control 370.2

License application 370.2

Licensee 370.2

N.e. (not elsewhere specified) 399.1(j)

Net value 370.2, 371.5(b)(1)

Order 372.6(a)(1)

Order from foreign agent 372.6(a)(4)

[illegible]

Section and paragraph

Section and paragraph

Export licensing general policy	370.1
Export licensing jurisdiction	370.10
Export licensing policy for specific areas	Part 385
Export licensing method, past participation	377.2
Export privileges denied. See "Denial of export privileges."	
Exporter (<i>Also see</i> "Applicant for export license," "Licensee"):	
Definition	370.2
Exporting carrier (<i>Also see</i> "Carrier")	Definition 370.2
Exports (<i>Also see</i> "Shipments"):	
Not controlled by Office of Export Administration	370.10
Temporary, not exportable under General License <i>GTE</i>	372.8(c)(1)
Extension of validity period (Major reference 372.12) (<i>Also see</i> "Amendments,"	
"Validity Period"):	
Amendment of license	372.11(e)(6)
Emergency clearance of license	372.4(h)
Form ITA-686P	373.8(g)
Form ITA-629P	375.2(i)
Procedure and justification	372.12
Project License	373.2(e)(1), (4)

E

F-Code letter following ECCN on OCL indicates validated license required for
Country Groups S, Z and certain other countries 389.1(f)

Fairs, trade. See "Trade fairs."

False information or concealment of facts 387.1(a)(2)

False Statements Act on violations of import regulations 383.4(b)

Federal Aviation Agency certificates for civil aircraft departing from U.S. 371.19

Federal Power Commission. See "Energy Department;"

 Natural gas and electric energy 370.10(g)

Federal Register, published denials of export privileges Supp 1 to 388.

Field Offices, Department of Commerce; See "District Offices."

Fines for violation of Export Administration Act 387.1, 388.3(a)(4)

Fish, endangered native, licensed by Interior Dept. 370.10(i)

Food and Drug Administration, commodities refused entry 371.17(d)

Foreign agent's order 372.6(a)(4)

Foreign Assets Control Regulations on imports to U.S. 368.2(a)(9)

Foreign availability Part 391, Legislative Authority page v

Foreign boycotts Legislative Authority page xv

Foreign excess property (Major reference 370.7):

 Disposed of by U.S. Government from U.S. foreign trade zone 370.6(d)

 Export declaration statement 386.3(i)

 General License *GIT* not applicable 371.4(b)

 General License *GLR* not applicable 371.17(a)(2)

 Imports 368.2(a)(4)

 Sold by U.S. Armed Forces 370.7

Foreign governments or agencies (Major reference 375.2(b)):

 Approval of shipment 372.8(a)(2)

 Consignee/purchaser statement exemption 375.3(d)(2)

 Definition 375.2(b)(3)

 Import certificate exemption 375.3(d)(2)

 Recommendations concerning export 370.1(g)

 Swiss Blue Import Certificate exemption 375.4(b)

 Yugoslav End-Use Certificate exemption 375.5(b)

Foreign importer defined 373.8(a)(2)

Foreign language documents. See "Translation requirements."

Foreign laws or regulations (Major reference 374.9):

 Commodity applicability 370.8, 370.9, 372.8(b)(2), (c)(4), 373.1(b), 374.9, 376.3(b)(3), 379.8(d), 385.6(j)

Foreign-made end products using U.S.-origin parts, components, and materials ... 376.12

Foreign-origin commodities (Major references 368.2(a)(1), 371.4) (Also see
 "Imported commodities"):

 Entering and leaving U.S. foreign trade zones 370.6

 Imported under U.S. import certificate 368.2(a)(1)

 Intransit through U.S. 371.4, 372.9(a)(2)

	Section and paragraph		Section and paragraph
Foreign plants built with U.S. technical data	379.4(f)(2)	Forms—continued	
Foreign policy export controls Legislative Authority page xi, 385.4(b)(d),(e),(f)		ITA-685P, Request for and Notice of Amendment Action (Major reference 372.11) (Also see "Amendments"):	
Foreign ports:		Amendment of export license	372.11
Exports to foreign vessels or aircraft	371.2(c)(3)	Extension of license validity period	372.12
Foreign principal's name on application	372.3(a)(3), Item 11 of Supp. 1 to Part 372	Facsimile	Forms Supplement, p. 19
Foreign Service post (U.S.):		Project License	373.2(e)(2)
Information on parties to export transaction	370.11(a)(1)(v)	Reexport request	374.3(c)(1)
Inspection of Distribution License records	373.3(1)(4)	ITA-686P, Statement by Foreign Importer of Aircraft or Vessel Repair Parts	
Inspection of records on aircraft equipment sold or loaned	376.8(b)(2)	Consignee/purchaser statement not required	375.2(b)(9)
Foreign Trade Statistics Regulations. See "Census Bureau."		Facsimile	Forms Supplement, p. 21
Foreign Trade Zones (Major reference 370.6):		Import certificate not required	375.3(d)(7)
General License G-FTZ	371.7	Station number assigned	373.8(a)(1)
Imports temporarily unladen	368.2(a)(1)	Submission	373.8(d)(2)
Shipments entering or exported from	370.6, 386.3(p)(1)	Switzerland	375.4(b)(2)
Forms (Major reference Forms Supplement after 399.2):		Yugoslavia	375.5(b)(2)
Facsimiles	Forms Supplement after 399.2	ITA-687, Notification of Rejection of Export License Application	373.2(e)(4)
Power of Attorney	386.3(e)(3), Forms Supplement, p. 37	ITA-695 (Advice on Amendment Request Returned Without Action) RWA of amendment Form ITA-685P	372.11(d)
Form 3 (Hong Kong Import License)	375.3(c)(3)	ITA-699P, Request to Dispose of Commodities or Technical Data Previously	
B-13 (Canadian Customs Entry), shipments in transit through U.S.	371.4(c)	Exported	
C & I Form 42 (Hong Kong Landing Certificate)	375.3(c)(3)	Facsimile	Forms Supplement, p. 23
C & I Form 229 (Hong Kong Delivery Verification)	375.3(c)(3)	General License GTE commodities	371.22(e)
ITA-302P, Application for Foreign Excess Property (FEP) Import		Instructions for preparing	Supp. 1 to Part 374
Determination	368.2(a)(4)	Reexport authorization for technical data	379.8(c)
License applications	373.2(c)(2)	Validation and issuance	372.8(c), 374.3(b)
ITA-621P (Report of Restrictive Trade Practice or Boycott Request Single Transaction):		ITA-8006 (U.S. Delivery Compliance Notice) in lieu of Delivery Verification Certificate	368.2(a)(9), 368.3(a)(3)
Facsimile	Forms Supplement, p. 1	ITA-6019P (Request for, and Advice on, Status of Pending Application, Amendment, or Reexport Request):	
ITA-622P (Application for Export License) (Major references 372.4(a)(4), Supp. 1 to Part 372) (Also see "License applications"):		Facsimile	Forms Supplement, p. 25
Conformity with export declaration	386.3(g)(3)	Use of	370.11(a)(2)
Facsimile	Forms Supplement, p. 3	ITA-6026P (Service Supply (SL) License Statement by U.S. Exporter) (Major Reference 373.7):	
Technical data	379.6	Facsimile	Forms Supplement, p. 27
ITA-628 (Export License) (Major reference 372.9) (Also see "License, export"):		Procedure	373.7(d)
Facsimile	Forms Supplement, p. 7	ITA-6031P (Computer System Parameters)	
Recording shipments under monthly export declaration procedure	386.3(g)(6)	Facsimile	Forms Supplement, p. 29
ITA-629P, Statement by Ultimate Consignee and Purchaser (Major reference 375.2):		Technical data	379.8(b)(3)
Amendment of license	372.11(f)	ITA-6051 (Report of Request for Restrictive Trade Practice or Boycott, Multiple Transactions)	Forms Supplement, p. 31
Amendment of statement	375.2(h)	ITA-6052P (Statement by Foreign Consignee in Support of Special License Application)	373.7(d)(2)(iv)
Application requirement	375.2(j)	Facsimile	Forms Supplement, p. 33
Assistance in preparing form	375.2(e)(1)	Customs Form 7512 (Transportation Entry and Manifest of Goods Subject to Customs Inspection and Permit):	
Certification on alterations	375.2(g)	Export control document	370.2
Commodity description	375.2(e)(2)	General License G/T shipments originating in Canada	371.4(c)
Components in foreign manufacture	375.2(e)(4)	Commerce Form 7513 (Shipper's Export Declaration for Intransit Goods), requirements	386.3(p)
Copies required	375.2(d)(4)	Commerce Form 7525-M (Shipper's Summary Export Declaration), alternate procedure	386.3(r)
Extension of validity period	375.2(i)	Commerce Form 7525-V (Shipper's Export Declaration):	
Exemptions	375.2(b)	Conformity with validated license	386.4
Facsimile	Forms Supplement, p. 9	Export Clearance Requirements	386.1
Grace period for submission	375.7(b)	Facsimile	Forms Supplement, p. 35
Information required	375.2(e)	Where to obtain	370.12
License relationship	375.2(j)	Forwarding agent. See "Agents."	
Quantity	375.2(e)(5)	Freedom of Information Act	369.6(c)
Reexports	375.2(e)(3)	Freight forwarder. See "Agents," "Carrier."	
Signatures	375.2(e)(7)		
Translation requirements	375.7(a)		
Validity period	375.2(e)(6)		
Value	375.2(e)(5)		
Value exemption	375.2(e)(6)		
When to use	375.2(a)		
ITA-645P/ATF-4522/DSP-53 (U.S. International Import Certificate) (Major reference Part 368) (Also see "Import Certificate (U.S.)"):			
Facsimile	Forms Supplement, p. 11		
Lost, destroyed, unused	368.2(a)(12)		
Penalties and sanctions for violations	368.4		
Procedure	368.2(a)(1)		
ITA-646, International Import Certificate Cross-Reference Card			
Facsimile	Forms Supplement, p. 12		
Submission with U.S. Import Certificate	368.2(a)(1)		
ITA-647P, Delivery Verification Certificate			
Facsimile	Forms Supplement, p. 13		
Import requirement	369.3(a)		
ITA-648P, Notification of Delivery Verification Requirement			
Facsimile	Forms Supplement, p. 15		
Requirement and Submission	375.3(i)		
ITA-651, Advice on Application Returned Without Action. See "RWA."			
ITA-651P, Monitoring Report	377.15(c)		
ITA-660P, Past Participation Statement	377.6(a), Forms Supplement, p. 17		

G

G—Code letter following ECCN on CCL indicates validated license required for Country Groups SYZ	399.1(f)
General license (Major reference Part 371):	
Applicability to country groups	371.2(e)
Choice of type	371.2(d)
Commodities to or for use of foreign vessels or aircraft in foreign ports	371.2(c)(3)
Consignee/consignor control	371.2(f)
Definition	370.2, 371.1
Destination control statement	386.6
Export declaration	371.2(a), 386.1, 386.3
Exports for which validated license not required	399.1(d)

1

	Section and paragraph
Import certificate—continued	
Triangular symbol on certificate	375.3(h)(4)
Ultimate consignee/purchaser statement relationship	375.3(f)
Validity period	375.3(h)(3)
Value exemption	375.3(g)(2)
Yugoslav End-Use Certificate	375.5
Import Certificate (U.S.) (Major reference Part 365):	
Amendments	368.2(a)(2), (7)
Certification	368.2(a)(3)
Copies required	368.2(a)(1)
Cross-Reference Card (Form ITA-646), Facsimile	Forms Supplement, p. 12
Filing	368.2(a)(2)
Foreign excess property	368.2(a)(4)
Foreign trade zone, foreign-origin commodities Form ITA-645P/ATF-4522/DSP-53 Facsimile	370.6(b) Forms Supplement, p. 11
Lost, destroyed, unused, return	368.2(a)(12)
Statements and representations	368.2(a)(7)
Triangular symbol on certificate	368.2(a)(8)
U.S. Munitions List commodities not on U.S. Munitions Import List	368.2(a)(5)
Validation	368.2(a)(3)
Validity period	368.2(a)(9)
Imported commodities (Major reference 368.1(a)) (Also see "Foreign-origin commodities"):	
Atomic energy materials	368.1(a)(2)
Delivery Verification Certificate (U.S.)	368.3
General License GLR (commodities returned)	371.17
Import certificate (U.S.) requirement	368.2
Inspection, testing, repair in U.S.	371.17(a)
Reexport from U.S.: General License GIT (in transit)	368.2(a)(11), 371.4
General License GTF-US (trade fairs)	371.15
Refused entry into U.S.	371.17(d)
Transfer or sale to foreign consignee	368.2(a)(9)
Transfer, sale, or delivery to another U.S. purchaser or transferee	368.2(a)(10)
Importer, foreign, engaged in aircraft or vessel repair parts	373.8(h)(3)
Individual license, definition	370.2, 372.2(b)(1)
Industrial equipment, general	Int. 29, Supp. 1 to 399.2
Information to exporter on status of pending application, amendment or reexport request	370.11
Infrared Imaging Equipment	379.4(d)
Inquiries (Also see "Letters"):	
Prospects for obtaining license or other authorization	372.6(c)(2)
Inspection (Major reference 366.9) (Also see "Records"):	
Distribution License records	373.3(1)
Documents	366.8(b)(2)
Exporting carrier	366.8(b)(5)
Records to be kept	387.11
Inspection, testing, calibration, or repair of commodities	371.17(a)
Institutions of higher learning (See "Government-controlled")	
Interior Department:	
U.S. endangered native fish and wildlife and migratory birds, licensing	370.10(i)
Intermediate consignee. See "Consignee."	
International security export control system	370.11(c)
Interpretations of commodities. See "Commodity Interpretations."	
Interpretations of Part 369	Supps. 1—12 to Part 369
Interpretations of Part 379	Supp. 1 to 379
Interpretation of Part 365	Supp. 2 to Part 365
Interrogatories and subpoenas	388.8, 388.15
Intransit shipments originating in Canada	371.4(c)
Intransit shipments through Country Group Y or Z	370.9, 372.6(b)
Intransit shipments through U.S. (Major references 371.4, 372.8(a)):	
Canada, declaration requirements	366.1(d), 366.3(i)(3)
Export declaration	372.8(a)(5), 366.3(p)
General License GIT	371.4
Optional ports of unloading	366.3(k)
Validate license limitations	372.8(a)(4)
Without unloading	370.5
Inventions, technical data	379.1(b)
Invoices, commercial (Major references 372.8, 386.5):	
Destination control statements	372.8(a)(5), 386.6(a), (g)
Distribution of copies	386.6(g)(3)
Invoices—continued	
Not containing destination control statement	386.6(g)(3)
Notice and prohibition against diversion	386.6(i)
Preprinted destination control statement	386.6(e)
J	
Justice Dept. licensing of narcotic drugs	370.10(j)
K	
Kama River truck complex	379.4, 385.2(e)
Kampuchea See "Cambodia"	
Knowledge, technical data release	379.1(b)(2)
L	
Law or regulation relating to export control (Also see "Export Administration Regulations")	
	Legislative Authority page i, 370.2, 388.10, 388.1(c)
Legal liability for violations. See "Violations."	
Legislative authority for export control	
	Legislative Authority page 1
Letters (Major references 374.3, 379.4(f), Part 388 (Also see "Requests for")):	
Answers to charging letters	388.4, 388.7
Appeals, preparation and filing	388.25, 389.2
Assurances restricting technical data disclosure	379.4(e)
Charging letters of violations	388.4
Delivery Verification Certificate (U.S.) lost or destroyed	368.3(a)(4)
Explaining why license not obtained	372.7(b)
Form ITA-629P alterations	375.2(g)
General License GTE registration request	372.10
License lost or destroyed, duplicate issued	372.10
Notification:	
Diverted cargo	386.5(a)(3), (b)(2)
Return of commodities temporarily exported	372.8(c)(2)
Quota participation	377.6(c)
Requests for:	
Delivery Compliance Notice (U.S.) in lieu of Delivery Verification Certificate	368.3(a)(3)
Disposition of commodities temporarily exported and not returned	372.8(c)(3)
Reexport subsequent to application submission	374.3(c)
Reexport under general license	374.3(a)
Release of imported commodities	368.2(a)(9)
Sale or lease of U.S. aircraft situated in foreign country	371.19(b)(2)
Supporting license applications	372.5(c), 379.5(a)(3), (d)
Liability for violations. See "Violations."	
Libya	373.8, 376.8, 385.4(d), 390.7
License applications (Form ITA-622P) (Major references 372.4, Supp. 1 to 372) (Also see "Commodities," "Processing Codes," "Statement"):	
Additional information when required . 372.5, Item 12 of Supp. 1 to Part 372	
Afghanistan	385.4(f)

	Section and paragraph
License applications—continued	
Commodities with same processing code on single application	372.4(d)
Commodity description	Item 9(b) of Supp. 1 to Part 372
Communications intercepting devices	376.13
Consignee	372.3(b)(2), Item 7 of Supp. 1 to Part 372
Consignee, ultimate, definition	372.3(b)(2)
Country Group S, general policy	385.7
Country Group T and V, general policy	385.4
Country Group Q, W and Y, general policy	385.2
Country Group Y or Z, shipments via	382.2(b)
Country Group Z, general policy	385.1
Country of ultimate destination	Item 7 of Supp. 1 to Part 372
Definition	370.2
Delivery verification requirement	375.3(d)
Documentation requirements	Part 375
Electronic computers and related equipment	376.10
End-use	372.6(a)(4), Item 12 of Supp. 1 to 372
Expiring or expired licenses	372.12(d)
Export control commodity number	Item 7(c) of Supp. 1 to Part 372
Facsimile of form	Forma Supplement, p. 3
Facsimile of form printed by exporters	372.4(a)(3)
Filing	372.4(a)
Foreign principal's name and address	Item 13 of Supp. 1 to Part 372
Import certificate requirements	375.3(h)
Information to exporter on status of pending application	370.11(b)
Intransit shipments through U.S.	372.8(a)
Iran	385.4(d) and (e)
Iraq	385.4(e)
Libya	385.4(d), 385.7
Mail shipments, partial or periodic	372.4(f)
Name and address of person to receive license	Item 10 of Supp. 1 to Part 372
Namibia, general policy	385.4(a)
OEA opinion before filing	372.6(c)(2)
Order, documentary evidence	372.6(a)
Partial approval	372.4(e)
Partial or periodic shipments	372.4(f)
Parties in interest	372.8(a)
People's Republic of China, general policy	385.4(c) and (g)
Plane stores, supplies, equipment	376.9
Preparation	372.4(a)(4), Supp. 1 to Part 372
Price of commodity	Item 9(d) of Supp. 1 to Part 372
Project License	373.2(c)
Purchaser, definition	372.3(b)(4)
Purchaser in foreign country	Item 6 of Supp. 1 to Part 372
Quantity and units	372.9(c), Item 9(a) of Supp. 1 to Part 372
Reexport requests	374.3(f)
Relief or charitable shipments	372.4(d)
RWA and resubmission	372.4(g)
Service Supply procedure for spare or replacement parts	373.7
Ship stores, supplies, equipment	376.9
Short Supply Controls	377.1(c)
Signature by applicant or agent	Item 16 of Supp. 1 to Part 372
Signature by order party	372.8(b), Item 17 of Supp. 1 to 372
Single application for related commodities	372.4(d)
South Africa (Republic), general policy	385.4(a)
Station number assigned to Form ITA-626P	373.8(e)
Status request form, facsimile	Forma Supplement, p. 25
Supplier or producer	Item 11 of Supp. 1 to Part 372
Swiss Blue Import Certificate	376.4
Syria	385.4(d)
Technical data	379.5
Temporary exports not exportable under General License GTE	372.8(c)(1)
Ultimate consignee/purchaser statement	375.2
Yemen	385.4(d)
Yugoslavia	375.6

License, export (Major references 372.9, Part 386) (Also see "Distribution License," "Form ITA-628," "General Licenses," "Project License," "Service Supply License," "Technical data," "Validated license," "Validity period"):	
After export or shipment laden aboard without license	372.7(b)
Amendments	Introduction page viii, 372.11, 375.7(f)
Case and license numbers	372.9(b)
Changes in facts	372.6(e)
Daily list of licenses issued	390.4
Duplicate	372.10
Emergency clearance	372.4(h)
Expired, return	386.2(d)(3)
Extensions	372.12
Facsimile	Forma Supplement, p. 7
Issuance	372.9

Licenses—continued	
Issued by government agencies other than OEA	370.10
Limitation on use	372.8(a)(4)
Lost or destroyed, duplicate issued	372.10
Mail shipments, clearance	386.1(b)(1), 386.3(f)(2)
Presentation	386.3(a)
Recording by exporter of shipments	386.2(d)
Return of	386.2(d)(4)
Revoked, return to OEA	372.9(f)
Shipments against expiring license	386.2(b)
Technical data requirements	379.2
Transfer to another party	372.13
Unused, return	372.9(f)
Validation procedure	372.9(b)
Validity period	372.9(d)
Licensee (Major reference 386.1) (Also see "Applicant"):	
Acting through agent or forwarder	386.1(a)
Amendment to change name	372.11(e)(9)
Definition	370.2
Proper use of license	372.1(c), 386.1(a), 387.7
Signature on amendment	372.11(h)(3)
Signature on export declaration	386.5(m)
Submission of delivery verification certificate	375.3(i)(2)
Transfer of license	372.13
Licensing actions	372.1(e)
Limited-value shipments. See "Dollar value," "General License GLV."	
Lost or destroyed documents:	
Delivery Verification Certificate (U.S.)	368.3(a)(4)
Export license, issuance of duplicate	372.10
Import certificate (U.S.)	368.2(a)(12)
M	
M—Code letter following EOCN on OCL indicates validated license required where Country Group control level is governed by another entry on OCL	
	369.1(f)
Machine tools and/or numerical controls	376.11
Machinery, equipment, and parts	376.7
Magnetic recording media	376.10(a)(5)
Mail shipments (Major references 371.2, 386.1(b)) (Also see "Postmaster"):	
Distribution License	373.3(h)(2)
Export declaration	371.2(b)(2), 386.3(f)(2)
General License GLV restrictions	371.5(b)(2)
Package information on outside wrapper	371.2(b)(2), 371.18(b)(4), 386.1(b)(1)
Manifest:	
Carrier's outward foreign manifest	386.1(c)(1), 386.4(b)(4)
Diverted cargo	386.5(b)(2)
Manufacturer's statement on license requirements for nuclear commodities	
	378.4
Maritime Administration:	
Export authority for vessels and watercraft	370.10(f)
Maritime nuclear propulsion plants:	
Technical data	379.4(c)(2), 379.5(e)
Meetings, advisory panel. See "Committees and panels, advisory."	
Military personnel. See "Armed Forces of U.S."	
Misrepresentations. See "Violations."	
Mongolian People's Republic. See "Country Group Y."	
Monitoring. See "Short Supply Commodities and Monitoring."	
Multilateral review of applications (COCOM)	370.18(1)
Multiple Gift Parcels	371.13, 372.8
Multiple transactions import certificate	375.2(b)
Multiple transactions statement from ultimate consignee. See "Form ITA-626P."	
Munitions List	370.10(a), Supp. 2 to Part 370.

	Section and paragraph
N	
Namibia	373.1(a)(1), 379.4(e), 385.4(a), Supp. 2 to Part 385
Narcotics and dangerous drugs, licensed by Justice Dept	370.10(d)
National security controls	Legislative Authority page iv
Natural gas and electric energy	370.10(g)
Naval Petroleum Reserves	371.9(a), 377.6(d)
N.e.s., definition	399.1(h)
Net value, definition	370.2, 371.5(b)(1)
North Korea. See "Country Group Z."	
Nuclear-Related Commodities	378.2, Supp. 2 to Part 378
Import certificate/delivery certification (U.S.) requirements	368.2(a)(1)
Prohibited	370.3(a)(ii)
Nuclear equipment and materials, licensed by NRC	368.1 (a)(2), 370.10(e), Supp. 3 to Part 370
Nuclear Regulatory Commission	370.10(e), Supp. 3 to Part 370
Nuclear Non-Proliferation Treaty:	
Commodities subject to treaty	378.2
Countries adhering to treaty	Supp. 2 to Part 378
Procedures	Supp. 1 to Part 378
Nuclear weapons, explosive devices, or testing (Also see "Commodities") ..	378.2
Numbers (Major reference 372.9(b)):	
Applicant's reference number on application	372.11(f)(1), Item 2 of Supp. 1 to Part 372
Bill of lading	386.6(h)(1)
Case number	372.9(b)
Distribution License	373.3(e)(1)
Export Control Commodity Number	370.2, 389.1
License number	372.9(b), 386.3(j)
Project License	373.2(d)(1), (f)(1)
Schedule B number	370.2, 386.3(i)
Service Supply License	373.7(f)(1)
Station number on Form ITA-686P	373.8(a)(1)(e)
O	
Office of Export Administration:	
Carrier authorization on delivery of cargo to another port	386.5(a)(2)
Delivery verification, exporter unable to obtain	375.3(i)(3)
Delivery verification, inspection of facsimiles	375.3(i)(1)
Delivery verification notification requirement	375.3(i)(1)
Demand for original or copy of order	372.6(f)
Denial of export privileges and imposition of civil penalties	388.3
Emergency clearance of license	372.4(h)
Forms, where to obtain	370.12
Form ITA-686P:	
Repair station number assigned	373.8(d)(3)
License not obtained for shipment, explanatory letter required	372.7(b)
License, preliminary opinion before application filed	372.6(c)(1)
Order requirement, request for exception	372.6(c)(1)
Ordering return or unloading of shipment	386.9(b)
Processing codes	372.4(d), 399.1(h)
Project License extension or amendment submission	373.2(e)
Reexport, letter request	374.3(a)(c)
Statement of past participation in exports	377.2(c)(1)
Swiss Blue Import Certificate, return	375.7(e)
U.S. import certificate issuance and filing	368.2(a)(1)
Oral technical data	379.1(b)(2)
Order party (Major reference 372.6(c)):	
Definitions	370.2, 372.3(b)(5)
Participation in quota allotments	377.2(b)(2)
Signature on application	372.6(b), Item 16 of Supp. 1 to Part 372
Orders (Major reference 372.6):	
Certifications on single and multiple orders	372.6(b)
Change in terms	372.6(e)
Definition	372.6(a)(1)
Denial orders, prohibited transactions	387.10
Denial orders, names	Supp. 1 to Part 388
Evidence of order	372.6(a)(2)
Exception to export order	372.6(c)(1)
General orders on export control	Part 390
Prerequisite for filing license applications	372.6(a)(1)

Orders—continued

Printed order form of consignee/purchaser not evidence of identity ...	375.2(e)
Probationary periods on export privileges	388.16
Records to be maintained	372.6(d)
Return or unloading of shipment	386.9(b)
Single/multiple transaction statement	375.2(d)
Splitting orders to evade validated license requirements	371.5(c)

P

Packages, parcel post. See "Mail shipments."	
Panels, advisory. See "Committees and panels, advisory."	
Parcel post shipments. See "Mail shipments."	
Partial or periodic shipments (Major reference 386.7(c))	
(Also see "Shipments"):	
Consignee, same	372.4(f)
Different ports	386.2(a)
Duplicate license for lost or destroyed license	372.10
Export clearance	386.1
Mail	372.4(f), 386.1(b)
Tolerance on unshipped balance	386.7(c)
Parties to transaction (Major reference 372.3):	
Changes in named parties	387.9(a)(2)
Definitions	372.3(b)
Disclosure on application	372.3(a)
Disclosure on consignee/purchaser statement	375.7(c)
Disclosure on import certificate	375.7(c)
Triangular transactions	375.3(h)(4)
Parts, components, and materials in foreign-made end products (Also see "Commodities")	376.12, 399.1(k)
Prior written approval required	Note to 376.12
Past participation in exports licensing method:	
Procedure for distribution of quota for short supply commodities	377.2
Patent and Trademark Office jurisdiction over unclassified technical data	37C.10(j)
Patent applications in foreign countries	370.10(j), 379.1(b), 379.3(c)
Penalties for violations. See "Violations."	
Peoples Republic of China	385.4(c)
Periodic shipments. See "Partial or periodic shipments."	
Person or firm, definition	370.2
Person subject to jurisdiction of U.S.	385.2(d)(3)
Petition for compliance with processing requirements	370.13(m)
Petitions for monitoring of export controls, procedures for	Legislative Authority page xi
Petroleum and petroleum products	371.9(b), 371.10(b) 371.12, 376.9(c), 377.6, Supps. 2 and 3 to Part 377, 379.4(f)(1)
Photocopies in lieu of original documents	375.6(a)
Plane stores	376.9
Policy on exports. See "Licensing policy."	
Ports (Major reference 386.1) (Also see "Foreign ports"):	
Change in port of export on declaration	386.5(b)
Destination control	386.5
Detention and examination of commodities by Customs	386.8(b)(6)
General License CIT shipments originating in Canada	371.4(c)(1)
Port of export, definition	370.2
Unloading optional	386.3(k), 386.5(b)
Unloading at different port	386.5(b)
Postmaster (Major reference 371.2(a)) (Also see "Mail shipments," "Export clearance"):	
Authority to clear shipments	371.2(a)
Export declaration, additional copies	386.3(f)(3)
Power of Attorney (Major reference 386.3(i)(3)):	
Facsimile	Forms Supplement, p. 37
Form suggested	386.3(e)(3)
Forwarding agent as true agent of exporter	386.3(e)(2)
Retention in agent's file	386.3(e)(5)
Prehearing conference	388.12
Price (Also see "Dollar value"):	
Amendments	372.11(e)(5)

	Section and paragraph	Section and paragraph	
Price—continued		Records—continued	
License application	372.11(e)(5), Item 9 of Supp. 1 to Part 372	General licenses	371.2(g)
Tolerance	386.7(b)	General License <i>GTE</i>	371.22(f)
Principal in transaction:		Originals of documents supporting application	375.6(b)
Disclosure by applicant	372.3(a)	Reexport authorization	374.7
Maintenance of records	387.11(b)	Repair parts for aircraft or vessel	373.8(f), 376.8(b)(2)
Procedures for processing license applications	370.13	Reproductions in lieu of original documents	375.6(a), 387.11(d)
Processing codes of commodities (Major reference 372.4(d); 399.1(g)(2)):		Request to produce records	388.15
Aircraft and parts	376.8(a)(1)	Resale or transfer of imported commodities	368.2(a)(10)
Commodities with same processing code on single application	372.4(d)	Retention of records	387.11
License application	372.4(d), Item 9(c) of Supp. 1 to Part 372	Service Supply procedure	373.7(j)
Project License	373.2(a)(1)	Summary monthly reports in lieu of individual export declaration	386.3(r)
Prohibitions:		Reexports (Major reference Part 374) (Also see "Diversion," "Transshipment"):	
Exports and reexports	374.1	Authorization required or not required	Introduction page viii
Project License (Major reference 373.2):		Commodities covered by U.S. Import Certificate	368.1(a)(2)
Amendments and extensions	373.2(e)	Consignee notification from exporter	374.4
Application for extension		Consignee/purchaser statement	375.2(e)(3), 375.2(j)(1)
(Form ITA-685P)	Forms Supplement, p. 19	Definition	370.2
Commodity requirements statement	373.2(c)(2)	Distribution License	373.3(i), 374.2(e)
Commodities not eligible	373.2(b), Supp. 1 to Part 373	Foreign laws applicable	374.9, 386.6(j)
Consignee/purchaser statement exempted	375.2(b)(9)	From COCOM countries	374.3(e)
Definitions	370.2, 372.2(b)(2)	General license	371.2(c)(5), 374.2
Eligibility	373.2(a)	How to obtain authorization	374.3
Exclusions	373.2(b)(6)	Import certificate relationship	375.3(h)(5)
Export clearance	373.2(f)	Imported commodities after delivery to U.S.	368.2(a)(11)
Import certificate exemption	375.3(d)(7)	Information to exporter on status of pending reexport request	370.11(a)
Reexports	373.2(g), 374.2(e)	Instructions for preparing Form ITA-699P	Supp. 1 to Part 374
Rejections	373.2(e)(4)	Licenses and other authorizations subject to revision, suspension, revocation ...	370.3(b)
Statement by ultimate consignee (Form ITA-6052)	Forms Supplement, p. 33	Permissive	374.2, 386.6(f)
Validation procedure	373.2(d)	Prohibited	374.1
Validity period	373.2(e)(1)	Project License	373.2(g)
Publications, technical. See "Technical data."		Request to dispose of commodities or technical data previously exported (Form ITA-699P)	Forms Supplement, p. 23
Purchase order. See "Orders."		Revocation of authorization	374.3
Purchaser (Major reference 372.3(a)(2)) (Also see "Consignee"):		Service Supply procedure for spare and replacement parts	373.7(a)
Amendment for change in name	372.11(e)(1)	Shipments direct from U.S. where authorized under unused license ..	374.2(g)
Change not requiring amendment	372.11(f)(6)	Shipments under validated licenses	374.3(b), (c)
Consignee statement requirements	375.2(e)	Shipments returned to U.S.	386.2(c)
Definitions	370.2, 372.3(b)(4)	Swiss Blue Import Certificate commodities	374.3(d)(1)
Disclosure by applicant on application	372.3(a), Item 6 of Supp. 1 to Part 372	Technical data	373.2(g), 379.1(c), 379.8
Import certificate	375.3(h)(1)	Temporary	374.3(c)(2)
Notice and prohibition against diversion	386.6(i)	Unauthorized	387.6
Signature on consignee/purchaser statement	375.2(e)(7)	Waiver of documentation	374.3(c)
Purchasing agent. See "Agent."		Referral of applications to other agencies	370.13(d)
		Regional stability commodities and equipment	376.16
		Rejection of applications, appeals	389.2
		Project License application	373.2(d)(4)
		Relief shipments. See "Charitable or relief shipments."	
		Repair station number for aircraft and vessels	373.8(d)(3)
		Reports:	
		Boycotts or restrictive trade practices	369.6
		Distribution License shipments	373.3(m)
		Service Supply procedure	373.7(k)
		Short supply monitoring	377.15(c), (d)
		Technical data	379.6(b)
		Validated licenses	372.9(e)
		Request for (Also see "Letters"):	
		Amendment of license	372.11(h), 375.7(f)
		Amendment of pending license application	372.11(c)
		Authorization to sell or dispose of General License <i>GTE</i> commodities ..	371.22(e)
		Authorization to sell or lease U.S. aircraft already in foreign country	371.19(b)
		Exception to Swiss Blue Import Certificate requirement	375.7(g)
		Exception to Yugoslav End-Use Certificate requirement	375.7(g)
		Hearing concerning violation charges	388.5
		Resubmission of application	372.4(g)
		Restrictive trade practices or boycotts	Part 369
		Return of:	
		Commodities temporarily exported	372.8(c)(2)
		Commodities for inspection	386.8(b)(9)
		Commodities or technical data	386.8(b)(9)
		Commodities refused entry into U.S.	371.17(d)
		Commodities shipped to U.S. for repair	371.17(a)
		Expired, revoked, or unused licenses	372.9(f)
		Export license when shipments completed	386.2(d)(3), 386.2(r)(7)
		General License <i>GTF-US</i> commodities	371.15(b)