# Bacteria and Mineral Cycling

T. Fenchel and T. H. Blackburn

Institute of Ecology and Genetics Aarhus, Denmark

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# Preface

This book is a general text, describing the influences of bacterial metabolism on the chemical environment of the biosphere. Being a general review, it should be of value to those working in various aspects of this field. General microbiologists should find it interesting to read about the ecological significance of their favorite organisms, and ecologists lacking a background in microbiology should find this book useful for understanding the role of bacteria in natural ecosystems. This book will perhaps also prove useful as a textbook for graduate courses in microbial ecology. Finally, those working in more applied fields involving microbial ecosystems (e.g., soil science, environmental engineering) hopefully will find that our book may add a new and more general perspective to their fields of study.

Although our book presumes some elementary knowledge of general microbiology, biological energetics, and ecological principles, we include two appendices, as aids to the reader. The first appendix shows how free energy yields of biological processes are calculated. Appendix II is a simplified systematic index of the prokaryotes, with notes on their physiology and natural history. We have included a large number of references to recent literature, and we have reviewed work which has not previously found its way into textbooks.

Many recent books on microbiology and microbial ecology use rather fuzzy definitions of "microorganisms", often including, in addition to the bacteria, some part of the unicellular eukaryotes, up to the fungi, including mushrooms. Our book deals exclusively with prokaryotes, including the blue-green algae (referred to as blue-green bacteria or cyanobacteria). Research carried out in the last decade has shown that from structural, physiological, and evolutionary viewpoints, the distinction between prokaryotes and eukaryotes is the most fundamental classification of living organisms. This is, to a large extent, also true from an ecological point of view (discussed in detail in Chapters 1 and 3). We have, therefore, confined our discussion to prokaryote metabolism and ecology, although the importance of bacteria relative to eukaryotes with respect to carrying out different processes in natural ecosystems is discussed in several chapters.

vi PREFACE

Three aspects of our subject are stressed. The energetics of bacterial processes is treated in some detail and is used as a general framework for most of the topics of the book. Energetic considerations may yield much insight into the understanding of which processes are actually important in natural ecosystems. Secondly, evolutionary aspects are emphasized, viz., the chemical evolution of the biosphere which largely parallels prokaryote evolution. Finally, we have attempted to show how the different element cycles interconnect in natural ecosystems.

The first chapter is devoted to bacterial transformations of matter, as described in terms of energetics of the processes. In particular, we discuss in detail the dissimilatory reduction—oxidation processes involving carbon, nitrogen and sulfur, and the assimilatory reductions of these elements. This chapter gives a general background for the remaining portion of the book.

Chapter 2 discusses theories about the evolution of prokaryote metabolic pathways, in context with palaeontological and geochemical evidence and with current ideas on the evolution of the atmosphere. It is concluded that the chemical composition of the biosphere and its important biologically mediated element cycles are largely the product of prokaryote evolution.

The remainder of the book concentrates on the extant biosphere. In Chapter 3, it is shown that the microbial mineral cycling is mainly driven by the chemical energy of detritus, viz., dead organic material, which is mostly derived from plants. The dominating role of bacteria in the mineralization of this material, which in many ecosystems represents the dominant part of the primary productivity, is explored. These considerations are followed by a treatment of the mineralization of dissolved and particulate organic material in different types of habitats, the hydrolysis of structural compounds, the breakdown of hydrocarbons, and the microbial role in the fossilization of organic matter.

The following three chapters (4–6) are central to the book; they treat the microbial transformations of carbon, nitrogen, and sulfur compounds, respectively. Examples from several different types of habitats (sediments, soils, sewage treatment plants, etc.) are given, but first of all, the general principles of these element cycles and their interconnections are stressed.

Chapter 7 discusses bacterial transformations of other elements. Some attention is given to the controversial dissimilatory oxidation of iron and manganese compounds. This is followed by a short account of some bacterial transformations of trace metals and of the biological phosphorus cycle.

In Chapter 8, examples of mineral cycling connected with microbial symbiosis are discussed. Most emphasis is given to the symbiotic degradation of structural earbohydrates, especially in ruminants. This system is probably still the best understood natural microbial community, and it gives a good illustration of many of the principles discussed in previous chapters, par-

PREFACE vii

ticularly with respect to the ecology of fermentation. Symbiotic nitrogen fixation is also discussed at some length. Finally, the chapter offers a general discussion of mutualistic relationships between microorganisms and the theory of "serial endosymbiosis" for explaining the origin of the eukaryotic cell from the viewpoint of mineral cycling in symbiotic relationships.

We conclude with a chapter on the global cycling of carbon, nitrogen and sulfur, with special emphasis on the quantitative and qualitative role of prokaryotes. There are still great gaps in our knowledge with respect to causal relationships between processes, and in defining and quantifying transfer rates; this has often not been sufficiently emphasized by textbook authors or by prophets predicting the effects of human activity on the future of the biosphere. The chapter also serves as a summary of the most important principles of mineral cycling discussed in previous chapters.

The book covers a large field relative to the combined knowledge and research experiences of the authors. Readers may therefore, quite justifiably find that it is biased in some places, and that some topics and examples are over-emphasized whereas others are treated superficially, or even contain misunderstandings. Still, we hope that the general principles of the subject

will be understood.

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# **Contents**

Preface       v         1. The Requirements of the Bacterial Cell       1         1.1. Energy Yielding Processes       1         1.1.1. Chemotrophic Oxidation–Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1. The Requirements of the Bacterial Cell       1         1.1.1 Energy Yielding Processes       1         1.1.1. Chemotrophic Oxidation–Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1. The Requirements of the Bacterial Cell       1         1.1.1 Energy Yielding Processes       1         1.1.1. Chemotrophic Oxidation–Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.1. Energy Yielding Processes       1         1.1.1. Chemotrophic Oxidation–Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.1. Energy Yielding Processes       1         1.1.1. Chemotrophic Oxidation–Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.1.1. Chemotrophic Oxidation-Reduction Reactions       5         1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.1.2. Phototrophic Reactions       14         1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2. Precursors Required for Cell Synthesis       14         1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2.1. Carbon Assimilation       17         1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2.2. Nitrogen Assimilation       23         1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2.3. Sulfur Assimilation       28         1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2.4. Phosphorus Assimilation       29         1.2.5. Other Assimilations       29         2. The Evolution of Prokaryotic Metabolic Pathways       30         2.1. Biological Evidence       30
1.2.5. Other Assimilations             30         2.1. Biological Evidence
2. The Evolution of Prokaryotic Metabolic Pathways 30 2.1. Biological Evidence 30
2. The Evolution of Prokaryotic Metabolic Pathways 30 2.1. Biological Evidence 30
2. The Evolution of Prokaryotic Metabolic Pathways 30 2.1. Biological Evidence 30
2.1.1. Nutrients Synthesized Abiotically 31
2.1.2. The First Prokaryotes 32
2.1.3. The Fermenters
2.1.4. The Chlorophyll Phototrophs
2.1.5. The Oxygen Evolving Phototrophs 37
2.1.6. The Oxygen Respirers
2.2. Geological Evidence
2.2.1. The Time Scale
2.2.2. Precambrian Fossils 40
2.2.3. Chemical Fossils 13-14-15-18 21-17-18-18-18-18-18-18-18-18-18-18-18-18-18-
2.2.4. The Evolution of the Biosphere and Microbial Element Cycling 46
22.3. The Division of the Diophote and Microsoft Element System 3.
3. Bacteria in Detritus Food Chains 52
3.1. Dissolved Organics in Aquatic Systems 54
3.1.1. The Origin, Composition and Amount of Dissolved Organics 54
3.1.2. The Kinetics of Uptake of Dissolved Organics 56
3.1.3. The Turnover and Mineralization of Dissolved Organics 56
3.1.4. The Role of Solid Surfaces 63
3.2. The Decomposition of Particulate Detritus 63
3.2.1. Particulate Detritus in Aquatic Environments 64
3.2.2. The Decomposition of Soil Organic Matter 66

X CONTENTS

3.3.	Mineral Nutrients and Decomposition		***	***		70
3.4.	Humification and Fossilization of Organic Mat	ter	***			74
3.5.	The Mineralization of Hydrocarbons	***				76
4 T	he Carbon Cycle					79
			***		***	
4.1.	Dissimilative Reactions		***	***	***	80
	4.1.1. Oxygen Respiration	***		****	***	81
	4.1.2. Nitrate Respiration		***	***	***	83
	4.1.3. Fermentation		***			86
	4.1.4. Sulfate Respiration in Sediments		***			89
	4.1.5. Carbon Dioxide Respiration, Methanog	enesis		***	***	92
4.2.	Autotrophic Reduction of Carbon Dioxide	***	***			94
	4.2.1. Blue-Green Bacteria ,				***	94
	4.2.2. Anaerobic Photoautotrophs	***	***		***	96
	4.2.3. Chemoautotrophs	***	***		00000	96
	4.2.4. Carbon Budget in a Sediment	8385	4.			97
4.3.	The Precipitation and Dissolution of Carbonate		do Frei			98
4.4.	Equilibrium Distribution and Diagenesis		doctre!	017	setal.	99
5. T	he Nitrogen Cycle	***		***		101
5.1.	Ammonia Liberation and Reincorporation					101
0141	5.1.1. Soil Mineralization	***				104
	5.1.2. Sediment Mineralization					105
5.2.	Nitrification	***				111
5.2.	5.2.1. Microorganisms					111
	600 6 1	***				112
	5.2.3. Factors Affecting Growth of Nitrifiers				***	113
			***			115
	5.2.4. Quantification			0.00		117
5.3.		***	***	***		118
5.5.			***	•••	***	118
	5.3.1. Fermentative Nitrate Reduction	3.23	• • •		6.4.4	119
	5.3.2. Nitrate Respiration			***	111	124
	5.3.3. Nitrous Oxide			Litte		
	5.3.4. Other Biological Denitrifications				***	125
	Nitrogen Fixation	***	***	***		125
5.5.	Assimilative Nitrate Reduction	* * *	***	***	* * *	126
6. T	he Sulfur Cycle	***	***	***	***	127
	-					
6.1.			121			128
6.2.		MO/Kits	COLUMN 1	( Perci	2.007	128
	6.2.1. Sulfide Ore Formation	200	mare!			131
	6.2.2. Hydrocarbon Oxidation	49.5	***		***	133
	6.2.3. The Emission of Sulfur to the Atmosph			200	443	134
	6.2.4. Isotope Fractionation	*:*:*	*:*:*:			134
6.3.	Chemotrophic Sulfur Oxidations				***	135
6.4.	Phototrophic Sulfur Oxidations	***			***	139
	6.4.1. Sulfureta			***	• • • •	139

CONTENTS xi

	6.4.2.	Sulfur Turnov	ег					***		***	140
	6.4.3.	Competition for	or Sulf	ide		***	***		2.55		141
7. C	ther E	lements		***		***		***	***		142
7.1.	The M	icrobial Oxidat	ion and	d Redu	rtion	of Meta	ıle				142
1.1.	7.1.1.	The Pyrite Ox						***			142
	7.1.2.	The Reduction							***		146
		Other Metals									149
7.2.		horus				***					151
,	a acop		(4.4.4)	lib-the-							
Q 1	/licrobi	ial Symbiosis	and M	ineral	Cveli	inσ		***		***	156
									3,7,7		
8.1.		ymbiotic Degra							2000		157
		The Rumen S							30000		157
0.0		Non-Ruminar				***	***	***	10000		165
8.2.	Symbi	otic Nitrogen F	ixation	1		***				* * *	167
	8.2.1.	N <sub>2</sub> -Fixation is	n Legu	mes	***		i		14.78.4	* * *	168
	8.2.2.	Other N2-Fix	ing Pla	nt-Euo	acter	a Assoc			***		169
		Associations v					3000	·	*.*.*	× 40 ×	170
0.2	8.2.4.	Symbiotic N <sub>2</sub> ryote Endosym	-Fixati	of Euk	IIIIIIa.	Calle	***		EXX	***	170
8.3.	Proka	ryote Endosym	. DIOIILS	OI EUK	iryote	Cells	***		***	3	171
9. 1	Bacteri	a and Global	Eleme	nt Cycl	ling	***	***	***			173
9.1.	The C	lobal Carbon C	vele								17
0.1	The G	lobal Nitrogen	Cycle	EXET	13	1.15	Kalija				178
		lobal Sulfur Cy									180
7.5.	1110	ioom omim of	010								
Appe	endix I:	The Calculation	n of Fr	ee Ener	gy Y	elds of	Bioche	mical R	Reaction	ns	182
Amn	andiv II	: The Classifica	tion of	Prokar	votes						184
vrhh	MIN ALI	· in Classifica	LIGHT OF	LIUKAI	Juius	***	***		* * *		10
Refe	rences	(90 m.m). (16 m.m.)	***	(8.808)					***	***	19
CL	and Total										21

### CHAPTER 1

# The Requirements of the Bacterial Cell

### 1.1. ENERGY YIELDING PROCESSES

Bacteria require a nutrient for only one of two processes (1) to utilize in energy yielding processes or (2) to assimilate for cell synthesis. These processes are closely related since a considerable portion of a cell's energy budget is expended on biosynthetic reactions (Table I). Protein biosynthesis accounts for about 60% and nutrient transport for about 18%. If the nutrients require to be reduced before assimilation into cell constituents, energy must be expended on the reductive processes. This is considered in detail in the second half of this chapter.

It is well established that adenosine triphosphate (ATP) is the main energy-coupling agent in all cells (Lehninger, 1971). It is generated in the reaction:

When a chemical reaction results in the production of heat it is said to be exothermic and to have a negative change in enthalpy ( $\Delta H$  is negative); the reaction proceeds in the forward direction, e.g.:

glucose + 
$$6O_2 \rightleftharpoons 6CO_2 + 6H_2O$$
;  $\Delta H = -673 \text{ kcal mol}^{-1}$ .

TABLE I. Bacterial Energy Budget.

Process	% Energy (ATP) exper on each process <sup>a</sup>						
Synthesis:							
Polysaccharide			6.5				
Protein			61.1				
Lipid			0.4				
Nucleic acid			13.5				
Transport into cells		Last First Con-	18.3		unt 15		

<sup>\*</sup> Based on Stouthamer (1973) for a cell grown on glucose.

The maximum amount of energy that can be utilized in such a reaction is known as the free energy and is related to enthalpy change as follows:

$$\Delta G = \Delta H - T \Delta S$$

when  $\Delta G$  is the change in the free energy in the system,  $\Delta H$  the heat transferred between the system and its surroundings, T the absolute temperature, and  $\Delta S$  the entropy change in the system. The free energy can be calculated from the equilibrium constant of a reversible reaction:

$$\Delta G^{\circ} = -RT \ln K_{\rm eq},$$

where R is the gas constant and  $K_{eq}$  is the equilibrium constant. The symbol  $\Delta G^{\circ}$  represents the change in standard free energy, when one mol of a reactant is converted to one mol of product at 25°C, I atm. The standard free energy at pH 7 is represented by  $\Delta G_{\circ}$ . The standard free energy change,  $\Delta G_{\circ}$ , for the oxidation of glucose is -686 kcal mol<sup>-1</sup> and represents the maximum amount of energy that may be gained from the reaction. It is common practice to calculate  $\Delta G^{\circ}$  from the energy of formation of compounds in a reaction, as illustrated in Appendix I.

Another useful relationship in considering energy transformations in oxidation/reduction reactions is:

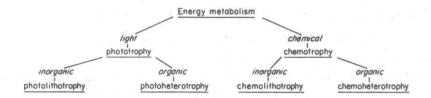
$$\Delta G^{\circ} = -nF\,\Delta\varepsilon,$$

where *n* is the number of electrons, *F* is the Faraday (23 kcal mol<sup>-1</sup>) and  $\Delta \varepsilon$  is the difference in standard oxidation/reduction potentials of the reactants. The  $\Delta G_o$  for the hydrolysis of ATP is -7 kcal mol<sup>-1</sup>:

$$ATP^{4-} + H_2O \rightleftharpoons ADP^{3-} + HPO_4^{2-} + H^+$$
.

It therefore follows that at least 7 kcal mol<sup>-1</sup> reactant must be available to generate one mol ATP. Reactions with a  $-\Delta G'_{\circ}$  of less than 7 kcal mol<sup>-1</sup> cannot be coupled directly to ATP generation although there are possibilities for indirect coupling which will be discussed later.

It was noted that  $\Delta G_o'$  is defined using molar concentrations of reactants but since these concentrations are definitely non-biological, the calculated free energy changes are not always very precise. Table II shows a variety of energy yielding reactions which sustain bacterial growth, presumably because they are capable of generating ATP. Two principal methods of ATP synthesis are used by bacteria in these reactions: substrate level phosphorylation and electron transport (oxidative) phosphorylation of ADP. These are briefly described before the chemotrophic energy yielding reactions (Fig. 1) themselves are considered.



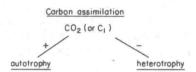


Fig. 1. Bacterial classification based on nutritional requirements. Above in terms of energy requirements and below in terms of carbon assimilation. An additional term, mixotroph, describes a facultative autotroph growing under conditions where it simultaneously uses autotrophic and heterotrophic pathways.

TABLE II. Chemotrophic Reactions Sustaining Bacterial Growth<sup>a</sup>.

Oxidant	Reductant (e <sup>-</sup> donor)								
(e acceptor)	H <sub>2</sub>	СНО	CH <sub>4</sub>	HS-	NH <sub>4</sub>	N <sub>2</sub>	NO <sub>2</sub>	Fe <sup>2+</sup>	
$H^+/H_2O$		+	_	-	_	_	_	_	
CHO	+	+	-	_	-	_	_	_	
CO <sub>2</sub>	+	+	-	-	-	-	_	-	
SO <sub>4</sub> <sup>2</sup>	+	+	?	_	_	_	_	-	
NO <sub>3</sub>	+	+	?	+	_	_	_	-	
CO <sub>2</sub> SO <sub>4</sub> <sup>2</sup> - NO <sub>3</sub> <sup>-</sup> O <sub>2</sub>	+	+	+	+	+	_	+	+	

<sup>&</sup>lt;sup>a</sup> The electron donors are listed in order (left to right) of decreasing capacity to donate electrons (H, C, S, N, Fe). The electron acceptors are listed in order (top to bottom) of increasing capacity to accept electrons (H, C, S, N, O). There is thus an increasing difference in  $E_o$  between the couples in going down a series and a decreasing difference in  $E_o$  in going across a series. The larger the difference in  $E_o$  values, the greater is the free energy that is available for the reaction. CHO is used to represent reduced carbon of undefined composition.

1. Substrate level phosphorylation. In the reactions:

glyceraldehyde + phosphate  $\xrightarrow{\text{oxidation}}$  2H + H<sub>2</sub>O + 3-phosphoglyceric acid,

3-phosphoglyceric acid + ADP → glyceric acid + ATP,

the  $\Delta G_{\circ}'$  of -7 kcal for the oxidation of the aldehyde to the acid is preserved in ATP and the coupled reactions occur with a much smaller decrease in free energy. This is substrate level phosphorylation because one molecule of substrate must be phosphorylated for each molecule of ATP synthesized.

2. Electron transport phosphorylation. In this process no direct phosphorylation of a large quantity of compound occurs in the cells. The mechanism has not been completely elucidated but it may involve a reversal of ATPase, driven by an electrochemical proton gradient across a membrane containing the electron transporting components of a chain (Mitchell, 1977). The capacity of electrons to generate enough energy to synthesize ATP may be related to the change in potential.

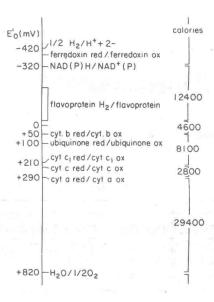


Fig. 2. An electron transport chain. On the left are shown the oxidation/reduction potentials of various couples in a representative electron transport chain. The distance between potentials reflects the energy difference between the corresponding electron carriers. This energy may be expressed in calories (right hand axis) where it is seen that sufficient energy is available between three carriers (12-4, 8-1 and 29-4 kcal) to generate ATP (7 kcal), if some form of direct coupling is necessary.

Standard oxidation/reduction potentials,  $E_0$ , are based on the assignment of an arbitrary value of zero volts to the hydrogen electrode at pH 0 when  $H_2$  at 1 atm is 50% ionized:

$$\frac{1}{2}H_2 = H^+ + e^-$$
.

 $E_{\odot}$  is the potential of the half reduced system at pH 7; it is -420 mV. At the other end of the scale we have:

$${}_{2}^{+}O_{2} + 2e^{-} \Longrightarrow O^{2-}; \qquad E'_{0} = +820 \text{ mV}.$$

Figure 2 shows the  $E'_{\circ}$  values for these two extremes (O<sub>2</sub> and H<sub>2</sub>) with the intermediate values of the components of the mitochondrial or aerobic bacterial electron transporting chain. Many bacteria have cytochromes other than those shown in Fig. 2 (Jones, 1977). The  $\Delta G'_{0}$  values between the components are given for comparison. A potential difference of 200 mV for a two electron transfer between donor and acceptor is necessary to give a  $\Delta G'_{\circ}$ of -9 kcal mol<sup>-1</sup>, or approximately the amount of energy required to ensure the generation of one ATP. This may not be necessary if a proton gradient drives phosphorylations. There are only three coupled reactions in the transport chain at which ATP is traditionally thought to be generated. The reduced state of the electron carrier NAD(P)H is generated partly during glycolysis but principally from the oxidation of acetate to carbon dioxide through the tricarboxylic acid (TCA) cycle; Lehninger (1971) should be consulted for further details. The glycolytic oxidation of glucose yields only 2 mol ATP per mol of substrate, whereas the electron transport phosphorylating system should yield a further 36 mol per mol substrate. Considerably more energy is thus obtained when the  $E'_{\circ}$  of the reactants are widely separated (CHO/O2) than when they are close together (CHO/CHO) as in glycolysis (CHO is used to denote reduced organic carbon of undefined composition). It is more relevant to consider differences in  $E'_{\circ}$  between reacting compounds in defining energy yield than it is to define, e.g., glucose as a "high energy" nutrient. Glucose can only yield a large amount of energy when it is coupled to a powerful oxidizing agent, e.g., oxygen. It would be just as logical to consider oxygen as a "high energy" nutrient.

The ATP which is generated can be related to the quantity of cellular material synthesized. Bauchop and Elsden (1960) have shown that 10 g dry weight of cells are produced per mol ATP synthesized by substrate level phosphorylation.

## 1.1.1. Chemotrophic Oxidation Reduction Reactions

The significance of the term chemotrophic is seen in Fig. 1 where some other processes are also defined. In a more detailed consideration of oxidation/

reduction reactions (Table II) each reductant (electron donor) is considered against the various oxidants (electron acceptors). Only a few examples of each reaction are discussed in the following which may be considered a catalogue of the principal energy yielding chemotrophic reactions found in bacteria. All these reactions may be termed dissimilative since neither of the reactants are assimilated. The oxidation/reduction reactions are discussed in the order in which they are listed vertically in Table II, i.e., with increasing energy yield going downwards but decreasing energy yields in each series from left to right.

### 1. H<sub>2</sub> oxidations

Molecular hydrogen participation in biological reactions is mediated by hydrogenases. Hydrogen is highly reactive and rarely accumulates in any environment. It is produced in significant quantities only in anaerobic situations. It is largely used by methane producers but some bacteria may oxidize it by alternative mechanisms as shown by the following examples.

H<sub>2</sub>/CHO The following process has been described for *Vibrio succino*genes (Iannotti et al., 1973):

COOHCHCHCOO<sup>-</sup> + H<sub>2</sub> ⇒ COOHCH<sub>2</sub>CH<sub>2</sub>COO<sup>-</sup>

 $\Delta G_{\circ}' = -20.6 \text{ kcal.}$ 

This type of reaction probably occurs infrequently, only when high concentrations of suitable CHO  $e^-$  acceptors are present with  $H_2$ , a combination which is not common.

 $H_2/CO_2$  The process:

 $H_2 + \frac{1}{4}CO_2 \rightleftharpoons \frac{1}{4}CH_4 + \frac{1}{2}H_2O$ ;  $\Delta G'_{\circ} = -8.3$  kcal, is carried out by all methanogenic bacteria (Wolfe, 1972) and is quantitatively the most important process involving  $H_2$  in non-sulfate environments. In *Clostridium aceticum* the follow-

 $H_2 + \frac{1}{2}CO_2 \rightleftharpoons \frac{1}{2}CH_3COO^- + \frac{1}{2}H_2O; \quad \Delta G'_0 = -4.3 \text{ kcal},$ 

(Wieringa, 1940; Mah et al., 1976). Although this reaction is less favorable than methane production, it may occur preferentially in sewage sludge. The acetate is then converted into methane by other bacteria (Mah et al., 1976).

H<sub>2</sub>/SO<sub>4</sub><sup>2</sup> The oxidation with sulfate is carried out by *Desulfovibrio* spp. (Postgate, 1969):

ing process may take place:

 $H_2 + \frac{1}{4}SO_4^{2-} + \frac{1}{4}H^+ \iff \frac{1}{4}HS^- + H_2O;$   $\Delta G_0' = -9.1 \text{ kcal.}$ 

The oxidation by sulfate yields more energy than the oxidation by carbon dioxide and presumably occurs preferentially when both oxidants are present.

 $H_2/NO_3^-$  The process:

$$H_2 + 2/5NO_3^- + 2/5H^+ \Leftrightarrow 1/5N_2 + 6/5H_2O;$$
  
 $\Delta G_0' = -53.6 \text{ kcal},$ 

has been described for *Paracoccus* (*Micrococcus*) denitrificans and *Alcaligenes* (*Hydrogenomonas*) eutrophus (Schlegel, 1975). It yields considerable free energy but hydrogen and nitrate may never reach significant concentrations in the same environments.

H<sub>2</sub>/O<sub>2</sub> The two above mentioned bacteria may also carry out the reaction:

$$H_2 + \frac{1}{2}O_2 \Leftrightarrow H_2O$$
;  $\Delta G'_0 = -56.7$  kcal.

The probability is slight that significant hydrogen oxidation occurs by this process in nature.

## 2. CHO oxidations

These oxidations are performed by heterotrophic bacteria. The oxidations are important since a major portion of the dissimilatory carbon cycle flows through them. The reactions are very diverse and many different types of bacteria perform them. The following series illustrates particularly well the increasing energy yields per substrate carbon as one progresses down the series (increases in  $\Delta \varepsilon$ ).

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CHO/H<sub>2</sub>O The following process is carried out by *Desulfovibrio* according to Bryant (1969):

$$CH_3CHOHCOO^- + H_2O \Leftrightarrow CH_3COO^- + CO_2 + 2H_2;$$

$$\Delta G_{\circ}' = +5.7$$
 kcal.

The energy yield is negative and the bacteria can only grow when hydrogen is removed by coupling it to methane production. This also applies to the reaction:

$$CH_3CH_2OH + H_2O \Rightarrow CH_3COO^- + 2H_2$$
;  
ethanol acetate
$$\Delta G'_2 = + 8.3 \text{ kcal},$$

carried out by the "S-organism" (Bryant et al., 1967). In a mixed culture Bryant et al. (1967), observed the reaction:

$$CH_3CH_2COO^- + 2H_2O \rightleftharpoons CH_3COO^- + CO_2 + 3H_2;$$
propionate acetate

 $\Delta G'_{\circ} = +25.1 \text{ kcal.}$ 

This is again an example of a process where an apparently unfavorable thermodynamic oxidation can occur when one of the products, in this case hydrogen, is removed from the system. Wolin (1974) illustrates this in the reaction:

$$NADH + H^+ \rightleftharpoons NAD^+ + \frac{1}{2}H_2$$
.

When the hydrogen partial pressure is 1 atm the K is  $6.7 \times 10^{-4}$  and  $\Delta G_{\circ}$  is +4.33 kcal; when the partial pressure of hydrogen is reduced to  $10^{-6}$  atm, the equilibrium constant is  $6.7 \times 10^2$  and  $\Delta G_{\circ}$  is -3.83 kcal (at the specified pressure). Thus, at very low hydrogen pressures the production of hydrogen from NADH is favored. Even when hydrogen production is not coupled to phosphorylations, this type of reaction where NADH is oxidized by H<sup>+</sup> may be very important in providing a mechanism for NADH oxidation, without the necessity of utilizing a portion of the substrate, as must occur in typical fermentations, in order that the supply of the electron acceptor NAD<sup>+</sup> be renewed.

CHO/CHO

This type of oxidation, where part of the substrate is oxidized at the expense of a part of the substrate being reduced, ATP being generated by substrate level phosphorylation, is termed fermentation. The extent to which substrate level phosphorylation is involved in all the following reactions is not clear. The most common type of fermentation is that of sugars. This is carried out by a variety of bacteria, among them species of Bacteroides, Escherichia, Ruminococcus, Bacillus, Clostridium, and Lactobacillus. They produce a variety of end-products: carbon dioxide, formate, acetate, propionate, butyrate, succinate, lactate, hydrogen and alcohols, with a range of ATP yields. In mixed cultures (e.g., rumen, sewage sludge) the products are the simple volatile acids plus methane and carbon dioxide. This is partly due to the other products being re-metabolized but it is also due to the fermentation products of individual bacteria being altered by the mechanisms suggested above. In this way, through