

# Phased Array Antennas

Second Edition

R. C. HANSEN

Consulting Engineer R. C. Hansen, Inc. www.rchansen.com



Copyright © 2009 by John Wiley & Sons, Inc. All rights reserved

Published by John Wiley & Sons, Inc., Hoboken, New Jersey Published simultaneously in Canada

No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning, or otherwise, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without either the prior written permission of the Publisher, or authorization through payment of the appropriate per-copy fee to the Copyright Clearance Center, Inc., 222 Rosewood Drive, Danvers, MA 01923, (978) 750-8400, fax (978) 750-4470, or on the web at www.copyright.com. Requests to the Publisher for permission should be addressed to the Permissions Department, John Wiley & Sons, Inc., 111 River Street, Hoboken, NJ 07030, (201) 748-6011, fax (201) 748-6008, or online at http://www.wiley.com/go/permission.

Limit of Liability/Disclaimer of Warranty: While the publisher and author have used their best efforts in preparing this book, they make no representations or warranties with respect to the accuracy or completeness of the contents of this book and specifically disclaim any implied warranties of merchantability or fitness for a particular purpose. No warranty may be created or extended by sales representatives or written sales materials. The advice and strategies contained herein may not be suitable for your situation. You should consult with a professional where appropriate. Neither the publisher nor author shall be liable for any loss of profit or any other commercial damages, including but not limited to special, incidental, consequential, or other damages.

For general information on our other products and services or for technical support, please contact our Customer Care Department within the United States at (800) 762-2974, outside the United States at (317) 572-3993 or fax (317) 572-4002.

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic formats. For more information about Wiley products, visit our web site at www.wiley.com.

#### Library of Congress Cataloging-in-Publication Data:

Hansen, Robert C.

Phased array antennas / R.C. Hansen.—2nd ed.

p. cm.

Includes bibliographical references and index.

ISBN 978-0-470-40102-6 (cloth)

1. Microwave antennas. 2. Phased array antennas. I. Title.

TK7871.67.M53H36 2009

621.382'4-dc22

2009033994

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

#### WILEY SERIES IN MICROWAVE AND OPTICAL ENGINEERING

#### KAI CHANG, Editor

Texas A&M University

FIBER-OPTIC COMMUNICATION SYSTEMS, Third Edition • Govind P. Agrawal

ASYMMETRIC PASSIVE COMPONENTS IN MICROWAVE INTEGRATED CIRCUITS • Hee-Ran Ahn

COHERENT OPTICAL COMMUNICATIONS SYSTEMS • Silvello Betti, Giancarlo De Marchis, and Eugenio Iannone

PHASED ARRAY ANTENNAS: FLOQUET ANALYSIS, SYNTHESIS, BFNs, AND ACTIVE ARRAY SYSTEMS • Arun K. Bhattacharyya

HIGH-FREQUENCY ELECTROMAGNETIC TECHNIQUES: RECENT ADVANCES AND APPLICATIONS • Asoke K. Bhattacharyya

RADIO PROPAGATION AND ADAPTIVE ANTENNAS FOR WIRELESS COMMUNICATION LINKS: TERRESTRIAL, ATMOSPHERIC, AND IONOSPHERIC • Nathan Blaunstein and Christos G. Christodoulou

COMPUTATIONAL METHODS FOR ELECTROMAGNETICS AND MICROWAVES • Richard C. Booton, Jr.

ELECTROMAGNETIC SHIELDING • Salvatore Celozzi, Rodolfo Araneo, and Giampiero Lovat

MICROWAVE RING CIRCUITS AND ANTENNAS . Kai Chang

MICROWAVE SOLID-STATE CIRCUITS AND APPLICATIONS • Kai Chang

RF AND MICROWAVE WIRELESS SYSTEMS . Kai Chang

RF AND MICROWAVE CIRCUIT AND COMPONENT DESIGN FOR WIRELESS SYSTEMS • Kai Chang, Inder Bahl, and Vijay Nair

MICROWAVE RING CIRCUITS AND RELATED STRUCTURES, Second Edition • Kai Chang and Lung-Hwa Hsieh

MULTIRESOLUTION TIME DOMAIN SCHEME FOR ELECTROMAGNETIC ENGINEERING • Yinchao Chen, Qunsheng Cao, and Raj Mittra

DIODE LASERS AND PHOTONIC INTEGRATED CIRCUITS • Larry Coldren and Scott Corzine

EM DETECTION OF CONCEALED TARGETS • David J. Daniels

RADIO FREQUENCY CIRCUIT DESIGN • W. Alan Davis and Krishna Agarwal

MULTICONDUCTOR TRANSMISSION-LINE STRUCTURES: MODAL ANALYSIS TECHNIQUES • 1. A. Brandão Faria

PHASED ARRAY-BASED SYSTEMS AND APPLICATIONS • Nick Fourikis

FUNDAMENTALS OF MICROWAVE TRANSMISSION LINES • Ion C. Freeman

OPTICAL SEMICONDUCTOR DEVICES . Mitsuo Fukuda

MICROSTRIP CIRCUITS • Fred Gardiol

HIGH-SPEED VLSI INTERCONNECTIONS, Second Edition • Ashok K. Goel

FUNDAMENTALS OF WAVELETS: THEORY, ALGORITHMS, AND APPLICATIONS • Jaideva C. Goswami and Andrew K. Chan

HIGH-FREQUENCY ANALOG INTEGRATED CIRCUIT DESIGN • Ravender Goyal (ed.)

ANALYSIS AND DESIGN OF INTEGRATED CIRCUIT ANTENNA MODULES • K. C. Gupta and Peter S. Hall

### Phased Array Antennas

# This book is dedicated to those who made Microwave Scanning Antennas possible:

Nicolas A. Begovich
Robert W. Bickmore
Jesse L. Butler
Lorne K. De Size
Robert S. Elliott
Richard C. Johnson
H. C. Ko
Wolfgang H. Kummer
Robert G. Malech
Donald L. Margerum
Arthur A. Oliner
Jack F. Ramsay
Joseph A. Vitale

### **Preface to the First Edition**

Although array antennas have many decades of history, the last two decades have experienced a maturation, both in the understanding and design of arrays, and in the use of large sophisticated arrays. Radars utilizing electronic scanning arrays are in common use, from airport surveillance to missile detection and tracking; names of U.S. military systems, such as Aegis, Patriot, and Pave Paws, are well known. This book is a comprehensive treatment of all aspects of phased arrays; much has changed since the only other such work, *Microwave Scanning Antennas*, appeared in 1966. Most noteworthy has been the parallel development of inexpensive computer power and the theoretical understanding of nearly all aspects of phased array design. Design algorithms suitable for computers are emphasized here, with numerical tips and short algorithms sprinkled throughout the chapters. The work is prepared from the dual viewpoint of a design engineer and an antenna array analyst.

Chapter 2, on basic array characteristics, which covers grating lobes, quantization lobes, bandwidth, and directivity follows an introductory chapter. Highly efficient linear aperture and array synthesis techniques, including sum and difference patterns, are covered in Chapter 3. Chapter 4 treats synthesis of planar arrays. Array elements are covered in Chapter 5 and include not only the classic dipoles and slots, but TEM horns and patches. In Chapter 6, feeds for linear and planar arrays, both fixed beam and scanning, are examined; photonic time delay and feeders are included. Array performance is strongly affected by mutual impedance. Chapter 7 investigates ways of calculating this for various arrays elements, including an extensive treatment of ways of calculating array performance with mutual effects included. Among these are unit cell, spectral moment method, finite impedance matrix, and scattering techniques. Finite arrays are examined in Chapter 8, including the recently developed Gibbsian models. Next, Chapter 9 is an extensive view of superdirective arrays; the implications of high-temperature superconductors for antennas is an important feature. Multiplebeam arrays, as opposed to multiple-beam reflector feeds, are treated in Chapter 10.

#### xvi

Included are one- and two-dimensional Butler and Rotman lenses, and the practical meaning of beam orthogonality. Conformal arrays, ranging from ring arrays to arrays on cones, are covered next; much previously unpublished material is included in this chapter. Finally, Chapter 12 discusses array diagnostics, waveguide simulators in depth, and array tolerances. Extensive references to the archival literature are used in each chapter to offer additional sources of data.

ROBERT C. HANSEN

Tarzana, CA

### Preface to the Second Edition

Several specialized types of phased arrays have attracted attention since the first edition. Connected dipole arrays offer wide bandwidth compared to a conventional array; these are discussed in detail in Chapter 12. (The old Chapter 12 is now Chapter 15). Reflectarrays provide reduced fabrication costs compared to a phased array. And retrodirective arrays offer interesting capabilities for data links. Both of these technologies are the subject of Chapter 13. The combination of reflectors and arrays is addressed in Chapter 14, both for focal plane arrays, including coma correction, and near-field Cassegrainian and Gregorian antennas.

Updates and additions have been made to existing sections: time delay deployment options for corporate fed arrays; fundamental limitations on Artificial Magnetic Conductors; Substrate Integrated Waveguide to replace rectangular waveguide; antennas for 60 GHz and beyond; impedances matching capabilities and limitations including Bode criterion limitations; elaboration of Scan Impedance and Scan Element Pattern calculations and measurements; and finally comments on completely overlapped sub-arrays.

# **Contents**

Pre	Preface to the First Edition xv				
Pre	Preface to the Second Edition				
1	Intro	1			
	1.1 1.2 1.3	System	Background ns Factors rated Reference Sources Adaptive Antenna Reference Books	1 2 3 5	
	Refe	erences	•	5	
2	Basic	c Array	Characteristics	7	
	2.1	Unifo	rmly Excited Linear Arrays	7	
		2.1.1	Patterns	7	
		2.1.2	Beamwidth	9	
		2.1.3	Sidelobes	11	
		2.1.4	Grating Lobes	11	
		2.1.5	Bandwidth	15	
	2.2	Planai	r Arrays	17	
		2.2.1	Array Coordinates	17	
		2.2.2	Beamwidth	18	
		2.2.3	Grating Lobes: Rectangular Lattice	21	
		2.2.4	Grating Lobes: Hexagonal Lattice	23	
	2.3	Beam	Steering and Quantization Lobes	25	
		2.3.1	Steering Increment	25	
		2.3.2	Steering Bandwidth	26	
		2.3.3	Time Delay Deployment	27	

#### viii CONTENTS

		2.3.4	Phaser Quantization Lobes	28
		2.3.5	Sub-array Quantization Lobes	32
		2.3.6	QL Decollimation: Overlapped Sub-arrays	35
	2.4	Directi	vity	36
		2.4.1	Linear Array Directivity	36
		2.4.2	Directivity of Arrays of Short Dipoles	39
		2.4.3	Directivity of Arrays of Resonant Elements	40
		2.4.4	Planar Array Directivity	42
	Refe	erences		46
3	Linea	ır Array	y Pattern Synthesis	49
	3.1	Introdu	uction	49
		3.1.1	Pattern Formulations	49
		3.1.2	Physics versus Mathematics	51
		3.1.3	Taylor Narrow-Beam Design Principles	52
	3.2	Dolph-	–Chebyshev Arrays	53
		3.2.1	Half-Wave Spacing	53
		3.2.2	Spacing Less Than Half-Wave	59
	3.3	Taylor	One-Parameter Distribution	60
		3.3.1	One-Parameter Design	60
		3.3.2	Bickmore-Spellmire	
			Two-Parameter Distribution	65
	3.4		N-Bar Aperture Distribution	66
	3.5	Low-S	sidelobe Distributions	72
		3.5.1	Comparison of Distributions	72
		3.5.2	Average Sidelobe Level	75
	3.6	Villene	euve N-Bar Array Distribution	76
	3.7	Differe	ence Patterns	79
		3.7.1	Canonical Patterns	79
		3.7.2	Bayliss Patterns	81
		3.7.3	- I	85
		3.7.4	Discrete Zolotarev Distributions	87
	3.8		be Envelope Shaping	89
	3.9	-	d Beam Synthesis	92
		3.9.1	Woodward-Lawson Synthesis	92
		3.9.2	Elliott Synthesis	94
	3.10		ed Arrays	98
			Probabilistic Design	98
			Space Tapering	102
			Minimum Redundancy Arrays	103
		nowledg	gment	104
	Refe	erences		104

					CONTENTS	ix
4	Plana	r and	Circular	Array Pattern Synthesis		109
	4.1	Circul	ar Planar	Arrays		109
		4.1.1		ne Slot Arrays		109
		4.1.2		One-Parameter Pattern		110
		4.1.3	Taylor C	Circular		114
		4.1.4		Bayliss Difference Pattern		118
		4.1.5		ce Pattern Optimization		123
	4.2	Nonci	rcular Ape	ertures		125
		4.2.1		mensional Optimization		125
		4.2.2		lelobe Synthesis		126
		nowled	gment			127
	Refe	rences				127
5	Array	/ Elem	ents			129
	5.1	Dipole	es			129
		5.1.1	Thin Di	poles		129
		5.1.2	Bow-Tie	e and Open-Sleeve Dipoles		136
	5.2	Waveg	guide Slot	S		139
		5.2.1	Broad W	Vall Longitudinal Slots		140
			Edge Slo			145
		5.2.3	Stripline			147
		5.2.4		nd Waveguides		147
		5.2.5		e Integrated Waveguide		148
	5.3	TEM				149
		5.3.1	-	oment of TEM Horns		149
		5.3.2		s and Design of Horns		151
		5.3.3		orn Arrays		152 153
	<i>5</i> 1	5.3.4		ter Wave Antennas		
	5.4			nes and Dipoles		154
		5.4.1		ssion Line Model		157 159
		5.4.2 5.4.3	-	and Other Models  Patch Antennas		159
		5.4.4		d-Fed Patches		163
	Δck		gments	a rea ratelles		163
		erences	gments			163
6	Array	y Feeds	6			171
	6.1		Feeds			171
		6.1.1		nt Arrays		171
			6.1.1.1	Impedance and Bandwidth		171
			6.1.1.2	Resonant Slot Array Design		176

#### x CONTENTS

		6.1.2	Traveling Wave Arrays	178
			6.1.2.1 Frequency Squint and Single-Beam Condition	178
			6.1.2.2 Calculation of Element Conductance	181
			6.1.2.3 TW Slot Array Design	185
		6.1.3	Frequency Scanning	188
		6.1.4	Phaser Scanning	193
	6.2	Shunt	(Parallel) Feeds	194
		6.2.1	Corporate Feeds	194
		6.2.2	Distributed Arrays	196
	6.3	Two-L	Dimensional Feeds	197
		6.3.1	Fixed-Beam Arrays	197
		6.3.2	Sequential Excitation Arrays	199
		6.3.3	Electronic Scan in One Plane	199
		6.3.4	Electronic Scan in Two Planes	201
	6.4	Photor	nic Feed Systems	207
		6.4.1	Fiber Optic Delay Feeds	207
			6.4.1.1 Binary Delay Lines	207
			6.4.1.2 Acousto-Optical Switched Delay	209
			6.4.1.3 Modulators and Photodetectors	210
		6.4.2	Wavelength Division Fiber Delay	211
			6.4.2.1 Dispersive Fiber Delay	211
			6.4.2.2 Bragg Fiber Grating Delay	212
			6.4.2.3 Traveling Wave Fiber Delay	212
		6.4.3	Optical Delay	213
		6.4.4	Optical Fourier Transform	213
	6.5	Systen	natic Errors	214
		6.5.1	Parallel Phasers	214
		6.5.2		215
		6.5.3	Systematic Error Compensation	216
		nowled	gments	216
	Refe	erences		216
7	Mutu	ıal Cou	pling	221
	7.1	Introd	uction	221
	7.2		mentals of Scanning Arrays	221
		7.2.1	Current Sheet Model	221
		7.2.2	Free and Forced Excitations	223
		7.2.3	Scan Impedance and Scan Element Pattern	225
			7.2.3.1 Transmit versus Receive SEP	228
			7.2.3.2 Measurement of Scan Impedance	233
		7.2.4	Minimum Scattering Antennas	233

			CONTENTS	XI
	7.3	Spatial Domain Approaches to Mutual Coupling		235
		7.3.1 Canonical Couplings		235
		7.3.1.1 Dipole and Slot Mutual Impedance		235
		7.3.1.2 Microstrip Patch Mutual Impedance		239
		7.3.1.3 Horn Mutual Impedance		241
		7.3.2 Impedance Matrix Solution		242
		7.3.3 The Grating Lobe Series		244
	7.4	Spectral Domain Approaches		246
		7.4.1 Dipoles and Slots		246
		7.4.2 Microstrip Patches		258
		7.4.3 Printed Dipoles		261
		7.4.4 Printed TEM Horns		262
		7.4.5 Unit Cell Simulators		266
	7.5	Scan Compensation and Blind Angles		266
		7.5.1 Blind Angles		266
		7.5.2 Scan Compensation		269
		7.5.2.1 Coupling Reduction		269
		7.5.2.2 Compensating Feed Networks		269
		7.5.2.3 Multimode Elements		272
		7.5.2.4 External Wave Filter		276
		nowledgment		276
	Rei	erences		277
8	Finit	e Arrays		285
	8.1	Methods of Analysis		285
		8.1.1 Overview		285
		8.1.2 Finite-by-Infinite Arrays		289
	8.2	Scan Performance of Small Arrays		293
	8.3	Finite-by-Infinite Array Gibbsian Model		300
		8.3.1 Salient Scan Impedance Characteristics		300
		8.3.2 A Gibbsian Model for Finite Arrays		310
	Ref	erences		313
9	Supe	erdirective Arrays		317
	9.1	Historical Notes		317
	9.2	Maximum Array Directivity		318
		9.2.1 Broadside Directivity for Fixed Spacing		318
		9.2.2 Directivity as Spacing Approaches Zero		320
		9.2.3 Endfire Directivity		321
		9.2.4 Bandwidth, Efficiency,		
		and Tolerances		322

#### xii CONTENTS

	9.3	9.3 Constrained Optimization			
		9.3.1 D	Oolph-Che	byshev Superdirectivity	330
		9.3.2	Constraint o	on $Q$ or Tolerances	336
	9.4	Matching	g of Supero	lirective Arrays	338
		9.4.1 N	Jetwork Lo	oss Magnification	338
			HTS Arrays	_	340
	Refe	rences			340
10	Multi	ple-Bear	n Antenna	as	343
	10.1	Introdu	ction		343
	10.2	Beamfo	ormers	*	343
		10.2.1	Networks		344
			10.2.1.1	Power Divider BFN	344
			10.2.1.2	Butler Matrix	344
			10.2.1.3	Blass and Nolen Matrices	348
			10.2.1.4	The 2D BFN	350
			10.2.1.5	McFarland 2D Matrix	350
		10.2.2	Lenses		351
			10.2.2.1	Rotman Lens BFN	351
			10.2.2.2	Bootlace Lenses	368
			10.2.2.3	Dome Lenses	372
			10.2.2.4	Other Lenses	374
		10.2.3	Digital Bo	eamforming	377
	10.3	Low Si	delobes an	d Beam Interpolation	378
		10.3.1	Low-Side	elobe Techniques	378
			10.3.1.1	Interlaced Beams	378
			10.3.1.2	Resistive Tapering	379
			10.3.1.3	Lower Sidelobes via Lossy Networks	379
			10.3.1.4	Beam Superposition	381
		10.3.2	Beam Int	erpolation Circuits	383
	10.4	Beam (	Orthogonal	ity	385
		10.4.1	Orthogon	nal Beams	385
			10.4.1.1		385
			10.4.1.2	Orthogonality of Distributions	386
			10.4.1.3	Orthogonality of Arrays	388
		10.4.2	Effects of	f Nonorthogonality	389
			10.4.2.1	Efficiency Loss	389
			10.4.2.2	Sidelobe Changes	390
	Ack	nowledgr	nents		393
		erences			393

					CONTENTS	XIII
11	Confo	rmal Array	/S			399
	11.1	Scope				399
	11.2	Ring Array	/S			400
		11.2.1 Co	ontinuo	us Ring Antenna		400
		11.2.2 D		-		403
		11.2.3 Be	eam Co	phasal Excitation		407
	11.3	Arrays on	Cylinde	ers		411
			ot Patte			411
		11.3.2 A	rray Pat	tern		412
			1.3.2.1	3		416
				Principal Sidelobes		419
			1.3.2.3	v. se s:		421
Y		11.3.3 SI	ot Muti	ıal Admittance		422
		-	1.3.3.1	Modal Series		426
			1.3.3.2	Admittance Data		430
		11.3.4 So	can Elei	nent Pattern		430
	11.4	Sector Arr	ays on (	Cylinders		434
				and Directivity		434
				on of Planar and Sector Arrays		437
				Cylindrical Array Hardware		441
	11.5			and Spheres		442
		11.5.1 C	onical A	Arrays		443
			1.5.1.1			444
		1.	1.5.1.2			
		,	1513	Coordinate Systems		447
			1.5.1.3	3		455 455
			1.5.1.4 1.5.1.5			456
			oherical			457
	A alex	owledgmen		Allays		458
		ences	ıs			458
12	Conn	ected Arra	ys			465
	12.1	History of	Connec	eted Arrays		465
	12.2	Connected				466
	12.3	Connected	-	•		467
			-	on Results: Current Phases		467
				on Results: Current Amplitudes		468
				on Results: SEP		474
	12.4	Connectio	n by Re	eactance		474

xiv	CONTENTS

	12.5	Connec	eted Array Extensions	476
	Refer	rences		476
13	Doflo	otannasio	and Datuadinactive Arrays	479
13		,	and Retrodirective Arrays	
	13.1	Reflecta	arrays	479
		13.1.1	History of Reflectarrays	479
		13.1.2	C	480
		13.1.3		481
		13.1.4		482
			Bandwidth	484 485
	12.2		Reflectarray Extensions	
	13.2		rective Arrays	486
		13.2.1	History of Retrodirective Arrays	486
		13.2.2	Recent Progress	487
	ъ с	13.2.3	Advanced Applications	491
	Refe	rences		491
14	Refle	ctors wi	th Arrays	497
	14.1	Focal F	Plane Arrays	497
		14.1.1	Focal Plane Fields and Coma	497
		14.1.2	Recovering Coma Scan Loss	502
		14.1.3	Coma Correction Limitations	502
	14.2	Near-F	ield Electromagnetic Optics	503
		14.2.1	Near-Field Cassegrain	503
			14.2.1.1 System Trades and Restrictions	507
		14.2.2	The second secon	507
	Refe	rences		510
15	Meas	uremeni	ts and Tolerances	513
-	15.1			513
	15.1		rement of Low-Sidelobe Patterns Diagnostics	516
	15.3		uide Simulators	518
	15.4	_	Folerances	524
		15.4.1	Directivity Reduction and Average Sidelobe Level	524
		15.4.2	Beam Pointing Error	526
		15.4.3	Peak Sidelobes	527
	Ackr	nowledgr		529
		rences		529
Au	thor In	dex		533
Suk	oject Ir	ndex		543