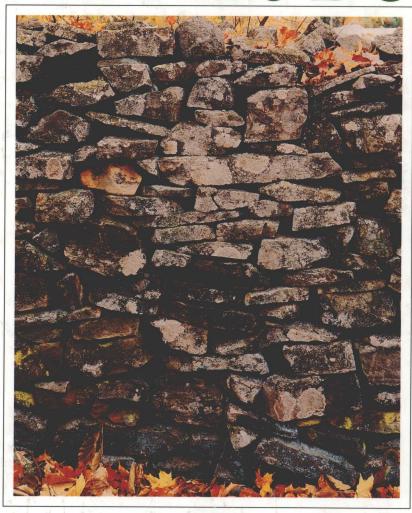
RESEARCH METHODS



Jack R. Nation

RESEARCH METHODS

Jack R. Nation



Library of Congress Cataloging-in-Publication Data

Nation, Jack R.

Research methods / Jack R. Nation.

p. cn

Includes bibliographical references and index.

ISBN 0-02-386132-0

1. Psychology—Research. 2. Psychology—Research—Methodolgy.

3. Psychology, Experimental. I. Title.

BF76.5.N27 1997

150'.72-dc20

96-41289 CIP

Editor-in-chief: Pete Janzow

Assistant editor: Nicole Signoretti

Director of production and manufacturing: Barbara Kittle

Managing editor: Bonnie Biller Project manager: Fran Russello

Editorial/production supervision: PublisherStudio

Manufacturing manager: Nick Sklitsis

Prepress and manufacturing buyer: Tricia Kenny

Creative design director: Leslie Osher Art director: Anne Bonanno Nieglos Cover and interior design: Levavi & Levavi

Supervisor of production services: Lori Clinton

Line art coordinator: Michele Giusti Electronic art creation: PublisherStudio

This book was set in 10/12 Garamond Light by NK Graphics and was printed and bound by R. R. Donnelley & Sons Company. The cover was printed by Phoenix Color Corp.



© 1997 by Prentice-Hall, Inc. Simon & Schuster/A Viacom Company Upper Saddle River, New Jersey 07458

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America 10 9 8 7 6 5 4 3 2 1

IZBN 0-05-39P735-0

Prentice-Hall International (UK) Limited, London
Prentice-Hall of Australia Pty. Limited, Sydney
Prentice-Hall Canada Inc., Toronto
Prentice-Hall Hispanoamericana, S.A., Mexico
Prentice-Hall of India Private Limited, New Delhi
Prentice-Hall of Japan, Inc., Tokyo
Simon & Schuster Asia Pte. Ltd., Singapore
Editora Prentice-Hall do Brasil, Ltda., Rio de Janeiro

RESEARCH METHODS

This book is dedicated to Patricia and Derek Nation and Shannon, and Hunter Harris.

Preface

For more than 20 years, I have been intensively involved in research. My topics of interest have included learning models of human depression, sport psychology, and behavioral pharmacology and toxicology. The levels of analysis I have selected have varied from global behavioral measures to molecular mechanisms in neurochemical function. Despite my seemingly diverse research experiences, I have maintained a central theme—curiosity.

Grappling with an uncertain world and wanting to know more about how that world is fashioned is basic to human existence. People inherently just want to know. Consequently, it has been no surprise to me that the scores of undergraduate and graduate students that have assisted me in the laboratory over the years have demonstrated a keen intellectual and practical interest in our shared research. Indeed, I have never had a student in my laboratory who professed anything other than enthusiasm when it came to "finding out."

Research is vital, exciting, and fetches innovative thought, but it has to be brought to life in the same way as any other subject matter. Students will respond to any topic area if it is presented properly, and it is my aim to present research methods properly. To the extent that I am successful in this regard, my role as a teacher is enhanced and my commitment to research is expanded. Following are some features of this book I hope will assist in communicating the essence of research methodology and the thrill of scientific investigation.

DISTINGUISHING FEATURES

A major objective of this book is to demonstrate the relevance of behavioral research. Working closely with the editorial staff at Prentice Hall, I have incorporated a number of features into the text that underscore the relevance of

behavioral inquiry, while maintaining the needed balance between basic and applied research. In this final product, content and application are each given high priority. As we will see, they work in concert, not opposition.

The Model Experiment

Over the chapters of this book, a model experiment is developed systematically. Commencing with the formulation of an original hypothesis, together we build an experimental framework that leads to a novel, programmatic project that is designed to assess the appropriateness of certain forms of cognitive therapy for treating pediatric oncology patients. Each chapter serves as a building block and vehicle for introducing new terms and concepts. As we see the model experiment unfold over the course of this text, we learn about the elements of research, how they are combined, and how they are related. To my knowledge, no other textbook has ever attempted such an undertaking. By the end of the course, you will see that, with our task accomplished and our journey ended, we will have proudly produced something that could advance our awareness of issues relating to chronically ill children in some small but meaningful way. After all, this is where the real thrill in research comes from—not from achieving a major breakthrough, but from participating.

Thinking About Research

In this book you will find sections entitled "Thinking About Research." These sections pose questions about particular topics or issues in behavioral research and give you a chance to think about resolutions, alternatives, implications, and other aspects of research that present opportunities for creative thinking. In most cases, there are no clear-cut responses, but you will find the coverage provocative nonetheless. Ultimately, these sections ask you to reflect on current or timeless scholastic arguments or on approaches to conducting behavioral research.

Spotlight on Research

Another feature presented in each chapter is a special section entitled "Spotlight on Research." These sections highlight some of the more interesting studies in behavioral research and address matters that are likely to be of general interest. These sections range from discussing ethics to potential confounds in the research of biological determinants of human homosexuality. These sections go beyond simple description and engage you on a more personal level.

Multiculturalism

Cultural and ethnic diversity is increasing in North America, and behavioral researchers are becoming increasingly sensitive to the need to incorporate multicultural variables into mainstream activities. Where appropriate, this book discusses the unique ways that multiculturalism has changed the way we think about research and the implications some of these findings have on policy, decision making, and the growth of psychology and the social sciences.

I hope the discussion of cultural diversity in behavioral research elevates our collective consciousness of the need to examine all dimensions of human conduct. As the color and ambitions of the world change, we as investigators must also change and keep pace with the evolutionary phenomena that affect the way we all live.

Currency

In the information age, each of us is obligated to remain current, and this text-book is no exception. I have integrated recent research examples, mentioned the latest computer search programs, and identified some of the more important changes in recording and analytical hardware. In addition, I used the fourth edition of the American Psychological Association (APA) (1994) *Publication Manual* to develop this text. Referencing, writing style, sensitivity to gender issues, and other matters relating to exposition follow the recommendations of APA.

PEDAGOGICAL ELEMENTS

Several other features of this book are more directly related to the learning process and the accessibility of material.

Chapter Outlines

Each chapter begins with a chapter outline that profiles the major headings and subheadings of the chapter. The objective of the outlines is to provide information on the overall organization of the chapters. These may help you as you read through your chapter assignments.

Graphs, Illustrations, and Tables

The coverage is enhanced with graphic representations of selected data and tabular summaries and descriptions of important items or ideas. These elements highlight areas of focus and accent certain points in the discussion.

Key Terms

In the margins of the pages of this book, you will find key terms and definitions. Give them special attention because they are important to understanding the chapters in which they appear, as well as later chapters.

Within Chapter Review and Study Questions

At the end of each major section in each chapter, you will also find review statements and study questions. Your responses to these comments and questions can be used to identify areas of strength and weakness with respect to your understanding of the content of the chapter.

Index

An alphabetized index of topics is at the end of the book. Refer to this index when you need information on a particular topic.

Acknowledgments

The idea for this book grew out of several conversations I had with Chris Cardone, who was then an editor with Macmillan Publishing Company. She guided the development of the book in its early stages and continued to encourage the project until Macmillan and Prentice Hall merged in 1993. At that time, Peter Janzow and Nicole Signoretti assumed the editorial responsibilities for completing the book, and I am indebted to them for their many helpful suggestions regarding details of the final product.

In addition to the cooperative editorial staff at Prentice Hall, several reviewers of the original manuscript should be recognized. They are: Mike Knight, University of Central Oklahoma; Bernard Beins, Ithaca College; Diane Mello-Goldner, Pine Manor College.

These reviewers provided thoughtful, instructive comments on the suitability of the content and style of the book, and I greatly appreciate their willingness to work with me to complete this project. Surely, the quality of this book has been enhanced by their efforts.

Finally, I would like to express my appreciation to Patricia L. Nation for providing the research idea that forms the basis for the model experiment that integrates this book. My understanding of the boundaries for conducting research in an unfamiliar area has increased, and I have gained accordingly as a student of behavior. Once again, I am reminded of the teacher/student covenant—share.

Jack R. Nation

Author Biography

Jack Nation is currently professor of psychology at Texas A&M University. He received his Ph.D. in psychology from the University of Oklahoma in 1974 and has written over 80 scientific papers on a variety of topics ranging from the personality profiles of college football players to the neurochemical determinants of drug abuse. Since 1995, Nation has contributed articles to such journals as *Brain Research*, *Experimental and Clinical Psychopharmacology*, and *Drug and Alcohol Dependence*. He recently received several grants from the National Institutes of Health (NIH) to support his research into the effects of environmental pollution on drug abuse. In 1995 Texas A&M University honored Nation with a Distinguished Achievement Award where he ranked first out of 2,500 faculty members in teaching performance.

Contents

vii

Preface Acknowledgments Author Biography Chapter 1 Introduction to Research Methodology Common Attempts at Interpreting Information Tenacity Authority Empiricism Understanding Psychology: The Scientific Approach The Scientific Community Characteristics of Scientific Psychology Naturalistic Observation Laboratory Research Historical Roots of the Psychological Laboratory The Structure of the Psychological Laboratory Basic and Applied Research Theory and Research Overview of the Text Building an Experiment Thinking About Research Spotlight on Research	xi xv xvii 1 4 4 5 7 8 9 11 16 18 20 25 27 28 29 29 30	Topic Interests Exploration Compilation: Bringing It Together The Formal Hypothesis The Issue of "Precise Expression" Is It Capable of Being Tested? Stating the Null The Nature of Proof The Model Experiment Chapter 3 The Experiment What is an Experiment? A Beautiful Thing Adventure, Expedition, and Conquest An Act of Faith Effects Have Causes We Can Discover Meaning by Reason Shifting Interpretations: A Personal Story Now I've Got It No, I Don't Yes, I Do The Structure of the Experiment Independent Variables Dependent Variables The Model Experiment	3: 3: 3: 5: 5: 5: 5: 5: 5: 5: 5: 5: 5: 5: 5: 5:
Chapter 2 The Development of the Hypothesis The Structure of the Hypothesis	31 32	Chapter 4 Ethics Truth in Reporting: Scientific Fraud In the Absence of Guidelines	91 92 96

When Guidelines Are Available: Ethical		Chapter 6 Subject Variables and Data	
Principles of Psychologists and Code of		Collection	155
Conduct (APA)	98	Developing and Samples	156
Planning Research (6.06)	98	Populations and Samples	158
Responsibility (6.07)	100	Random Sampling Procedures	163
Institutional Approval (6.09)	101	Stratified Samples	
Informed Consent to Research (6.11)	104	Matched Samples	164
Offering Inducements for Research		Cohort Samples	171 172
Participants (6.14)	110	Generalization Multicultural Issues	175
Deception in Research (6.15)	110	Counterbalancing and Carryover Effects	176
Providing Participants with Information			177
about the Study (6.18)	113	Counterbalancing	178
Research Using Animals as Subjects	116	Carryover Effects	180
The Animal Rights Movement	119	Confounding Selecting Animal Subjects	182
The Model Experiment	120	Concerns over Individual and Genetic	102
		Variation	183
		The Model Experiment	184
Chapter 5 Measurement and Description	121	The Model Experiment	104
Scales of Measurement	122		
Nominal Scales	123	Chapter 7 Experimental Control and the	
Ordinal Scales	123	Research Setting	187
Interval Scales	124	· ·	
Ratio Scales	125	Control Through Operationalism	188
Types of Measurement	126	Hypothetical Constructs and Intervening	
Covert Measurement	127	Variables	191
Empirical Measurement	128	Experimenter Effects	193
Self-Report Measures	130	Biosocial Attributes	193
Measurement Validity	133	Expectancy: The Pygmalion Effect	200
Face Validity	134	Controlling Experimenter Effects	203
Content Validity	136	Multiple Experimenters	204
Construct Validity	137	Selecting and Training Experimenters	206
Criterion-Related Validity	138	Single and Double Blind Experiments	207
Measurement Reliability	139	Experimenter Effects in Animal Research	210
Selection of Equipment	141	Automation and Experimenter Effects	211
Description: Measures of Central Tendency	145	The Model Experiment	213
The Mean: The Arithmetic Average	146		
The Median: The Middle Score	147		
The Mode: The Most Typical Score	147	Chapter 8 Between-Subjects Designs	215
Description: Graphs and Charts	148	Between-Subjects Design Requirements:	
Histograms	149	What Does It Take?	217
Frequency Polygons and Line Graphs	149	One-Factor Two-Group Designs	220
Pie Charts	152	Simple Randomized Design	221
The Model Experiment	153	Stratified Design	223
		•	

CONTENTS	ix

Matched-Pairs Design Cohort Designs One-Factor Multiple-Group Designs Multifactor Designs The Factorial Design Main Effects Simple Interaction Effects Higher-Order Interaction Effects Selecting the Best Between-Subjects Design The Model Experiment Chapter 9 Within-Subjects, Mixed, and Single-Subject Designs	224 224 226 229 230 231 233 238 242 244	Variability and Sampling Error Other Sampling Distributions Statistical Inference and Decision Making What's Error and What's Not? Statistical Significance Type I and Type II Errors Power and Effect Size Choosing the Appropriate Statistical Test The t-test Analysis of Variance Other Statistical Procedures The Model Experiment	317 323 325 326 330 336 336 336 336 341 342
Within-Subjects Designs	246	Chapter 12 - The Scientific Denovt	2/12
Advantages of Within-Subjects Designs Disadvantages of Within-Subjects Designs Types of Within-Subjects Designs Mixed Designs Single-Subject Designs Reversal Designs Multiple-Baseline Designs	247 252 257 262 265 267 271 274	Chapter 12 The Scientific Report Features of Scientific Writing Keep It Short Keep It Simple Broad to Narrow Wrap It Up The APA Style The Final Report	343 344 345 345 346 346 347
The Model Experiment	2/4	The Title Page The Abstract	347 349
Chapter 10 Alternative Research Designs	275	Introduction	350
The Observational Method Concerns in Observational Research Naturalistic Observation The Survey Method	276 279 280 283	Method Results Discussion References	353 357 359 361
Developing Surveys The Case Study The Correlational Method Quasi-Experimental Designs Nonequivalent Control Group Designs	286 291 293 295 296	Author Note Tables and Figures Chapter 12 — The Publication Process	363 364
Time Series Designs The Model Experiment	299 307	Chapter 13 The Publication Process Selecting the Appropriate Journal The APA Publication Process	365 366 367
Chapter 11 Drawing Inferences About Results	309	Author Submits Manuscript to Editor Editor Acknowledges Receipt of Manuscript Reviewers and Editors Review the Manuscript	367 369 369
Sampling Distributions Features of the Sampling Distribution of the	311	Acceptance or Rejection Author Receives Copyright Transfer Form,	371
Mean	313	Signs It, and Returns It to the Editor	373

Editor Sends Manuscript to APA for Editing	373	Chapter 14 The Next Step	381
APA Sends Manuscript to Author(s) for Final Review	375	The Expanding Story The Logical Pathway	382 383
APA Sends Manuscript to Printer for Type- setting	376	Science Meets Art: The Role of Creativity in Research	383
Printer Sends Manuscript, Proofs, and Reprint Order Forms to Author(s)	376	Following Directions Wishing You Well	384
Proofs and Manuscript are Returned to APA		vvisning 100 vveii	385
within 48 Hours	377		
Publication	377	References	387
The Publication Timetable	378	Appendix	401
Looking Back: Our Model Experiment	380	Index	425

Introduction to Research Methodology

Common Attempts at Interpreting Information

Tenacity

Authority

Empiricism

Understanding Psychology: The Scientific Approach

The Scientific Community

Characteristics of Scientific Psychology

Naturalistic Observation

Laboratory Research

Historical Roots of the Psychological Laboratory

The Structure of the Psychological Laboratory

Basic and Applied Research

Theory and Research

Overview of the Text

Building an Experiment

Thinking About Research Spotlight on Research Through memory, each of us is able to fetch moments of our youth. For me, this process often involves recalling my time spent as a young boy growing up in rural Oklahoma and remembering languid summer days free of responsibility, each day buoyed by the promise of discovery. It is in this context that I can recount a child-hood episode which I acted out in one form or another as a matter of routine.

My morning walk had taken me across an open pasture and toward a small pond that had been cast by a natural clay embankment. As I approached the pond, I noticed one of the all-too-familiar great-red-ant beds that pock the southwestern region of the United States. Looking down on the teeming center of the bed, I watched the worker ants move in and about the mound with considerable curiosity and soon discovered a pattern. Each insect would come off the mound and move a foot or so toward a second active mound not more than a couple of feet away. Invariably, the ant would then turn in a direction opposite the second mound and travel at least 20 yards toward a third mound along a trail that had been beaten into the land by low-impact ant treading.

I began to examine the activities of other ants in neighboring communities, and it seemed that much the same pattern would occur. Rather than do what would seem sensible to a nonant observer, the insects would forsake the local environment and hike cross-country to accomplish what could have been achieved closer to their home.

Now, this ant deal caused me considerable discomfort for a few days. In an orderly world, abiding ant phenomena that defy logic and reason can be a source of palpable anxiety. What on earth was going on here? What could produce such a strange behavior in a feral bug which, by definition, should be committed to a life of simplicity? There had to be an answer, and I was bent on getting it.

I read the meager materials on ant behavior from our unpretending home library, but they were of no help. In addition, I inquired among the local sages whom I felt might qualify as ant specialists, but they were equally uninformative. Finally, I placed a phone call to a legitimate entomologist at a nearby university and obtained an answer. The answer was ultimately a chemical one. The ants were responding to chemical tags that had been set down by the queen ant. Each ant in a colony is constrained by a biological imperative to serve only the queen that produces it. Thus the seemingly inappropriate behavior of the worker ants arose—the adjacent mounds I had observed were the beds of different queens; the distant mound was of a common origin.

The nuances of ant life may not be judged by most readers to be in the realm of high theater. However, this ant example illustrates a basic human quality. Each of us has an insatiable curiosity and an irrepressible desire to gather data. For some, the information search may focus on identifying the precise combination of ingredients that yields the ideal cheesecake. Another person may be concerned about recent market declines in pork belly futures and the impact such