Third Edition

The Criminal Justice Network

An Introduction Steven M. Cox John E. Wade

The Criminal Justice Network

An Introduction



Steven M. Cox John E. Wade Western Illinois University

Third Edition



McGraw-Hill

A Division of The McGraw-Hill Companies

COX-WADE: THE CRIMINAL JUSTICE NETWORK, AN INTRODUCTION, Third Edition

Copyright 1998 by The McGraw-Hill Companies, Inc. All rights reserved. Previous Edition(s) 1985, 1989 by Wm. C. Brown Communications, Inc. Printed in the United States of America. Except as permitted under the United States Copyright Act of 1976. No part of this publication may be reproduced or distributed in any form or by any means, or stored in a data base or retrieval system, without the prior written permission of the publisher.



This book is printed on recycled, acid-free paper containing 10% postconsumer waste.

234567890 OPF OPF 90987

ISBN 0-697-12699-4

Editorial director: Phil Butcher Sponsoring editor: Nancy Blaine Project manager: Peggy Selle Production supervisor: Mary Jess Compositor: A-R Editions, Inc. Cover design: Graham Lee, A-R Editions, Inc.

Typeface: 10/12 Times Roman

Printer: Quebecor Printing, Fairfield

Library of Congress Catalog Number: 96-85978

www.mhcollege.com

The Criminal Justice Network



Preface

n this new edition of the book, we continue to provide a comprehensive, practical view of criminal justice in the United States. We still believe that the criminal justice network can best be understood by comparing the day-to-day, practical aspects of the network with the theoretical model on which the network is based. In our view, this approach involves a thorough examination of the role of the public, the uses and abuses of discretion throughout the system, and the effects of political considerations on the day-today operations of the criminal justice network. For example, we feel that it is important to recognize the public as the most crucial (and most often neglected) component of the criminal justice network. Without public cooperation, the police would be severely hampered, the courts would not be properly utilized, probation and parole would be totally unworkable, and the entire system could not be financed. Similarly, the importance of discretion in the criminal justice network cannot be underestimated. Discretion plays an important role at all levels of the network—from the use of discretion by citizens in calling the police, to the use of discretion by police officers and departments in determining how to handle calls from the public, to the use of discretion by the prosecutor in deciding whether a particular case should be prosecuted, to judicial discretion in sentencing.

Superimposed on the criminal justice network is the political structure of the society in which the network exists, and the influence of political decisions and considerations cannot be overlooked.

In the following pages, we have tried to take these practical aspects of criminal justice into consideration as we discuss the various components, procedures, and bases of criminal justice in the United States. We have attempted to define technical terms clearly when they are presented, and we have included personal experiences and practical examples in an attempt to present the introductory student with a basic understanding of both the theoretical and the practical aspects of the criminal justice network.

In chapter 1, the issue of whether we have a criminal justice "system" in the United States is raised, as is the issue of "justice." Also in this chapter, we take a

close look at the role of the public in criminal justice and at some of the underlying assumptions of the criminal justice network.

Chapter 2 emphasizes the importance of political considerations and discretion in the day-to-day operations of the criminal justice network. The pervasive influence of politics—from the selection of local fire and police commissioners, prosecutors, and judges to the appointments made by the president of the United States to fill Supreme Court vacancies—is considered. Relationships among power, authority, and political position are examined to better understand why some segments of the public feel powerless and perceive the criminal justice process in the United States as basically unjust. We point out that politics plays a major role in criminal justice by changing many of the ideals of the "system" into practical realities. The chapter includes a discussion of some of the positive and negative consequences of political considerations for the criminal justice network. The major premise of the section on discretion is that it is a normal and desirable part of the criminal justice network. The exercise of discretion by the public, police, prosecutors and defense counselors, judges, and correctional officials is discussed in terms of such everyday occurrences as testifying in court, setting bail, sentencing, and granting parole or probation.

The relationships among law, criminal law, and justice are explored in chapter 3. The origins, nature, and functions of law are discussed, and law is examined as one type of social control.

In chapters 4 and 5, we discuss various types of crime, beginning with the distinction between felonies and misdemeanors. These chapters deal with white-collar crimes and crimes without victims, or, as we prefer to call them, "crimes without complainants." The feasibility of enforcing laws dealing with these types of offenses is discussed in terms of public perceptions, the exercise of discretion, and the influence of politics, power, and status. Recently defined offenses such as stalking and carjacking are discussed as well.

The police are the topic of chapters 6 and 7. We begin with a brief historical overview of the police and go on to discuss the various functions and responsibilities of the police in contemporary society. Positive and negative aspects of the traditional police organization are discussed, and some recent organizational innovations, including community policing, are introduced. Procedures employed by the police in processing offenders are described in the overall context of the criminal justice network. Legal requirements related to arrest, search, and seizure are analyzed in terms of both theory and practice. Selection and training requirements are also discussed.

An overview of the courts is provided in chapter 8. The dual court system employed in the United States is discussed, and both state and federal systems are explained. The role of the grand jury, the use of the information, and the importance of jury trials are discussed, and the chapter concludes with the presentation and analysis of some pressing problems of contemporary courts.

In chapter 9, court personnel—including the prosecutor, defense counsel, and judge—are considered. The functions and responsibilities of these officials are discussed, as are methods of selection, the exercise of discretion, and the importance to each of plea bargaining.

Chapter 10 deals with pretrial procedures from initial appearance and the setting of bail through the preliminary hearing. Different types of pleas, the arraignment process, the rules of discovery and disclosure, and other pretrial options are considered.

The criminal trial itself is the subject of chapter 11, which includes a comparison of jury and bench trials and an analysis of reasons why the defendant might choose one instead of the other. Moving through the trial sequentially, we explain both the theoretical and the practical significance of opening statements, rules of evidence, and various motions and objections that may be encountered as well as closing arguments, instructions, jury deliberations and verdicts, and sentencing and appeals processes. Chapter 12 discusses the roles of victims and witnesses in the criminal justice network. The fact that victims and friends of victims help shape public perceptions of the network is emphasized, as are the rights of the victim (until recently a largely forgotten person in the criminal justice network). Victim compensation and restitution programs are also described.

Jails and prisons are the topics of chapters 13 and 14. These chapters focus on the purposes and uses of correctional facilities both in theory and in practice. Problems with incarceration are discussed, as are prisoners' rights, capital punishment, intermediate sanctions, and other alternatives to incarceration.

Chapter 15 deals with the juvenile justice network. A brief historical overview is presented, followed by a discussion of juvenile justice procedures. The chapter concludes with a look at some of the problems faced by contemporary juvenile justice practitioners.

Throughout the book, we have tried to provide recent examples to illustrate the points in question. We have added discussions of community policing, stalking, intermediate sanctions, and other new or recycled materials. The appendix helps the reader scan important court decisions mentioned in the book as well as become more familiar with the U.S. Constitution. Discussion questions, references, and suggested readings are provided at the end of each chapter.

Preface xvii

Acknowledgments

A number of people have helped in the preparation of this book. For their encouragement and assistance we would like to thank Professor Donald J. Adamchak, Professor John J. Conrad, Dr. William P. McCamey, Dr. Robert Fischer, Dr. Giri Raj Gupta, William C. Flint, Glenn Hedenberg, and Professor Robert W. Whittenbarger.

We also want to thank the reviewers of the second edition and the manuscript for the third edition for their many helpful suggestions: Henry DeLuca, Westfield State College; Rebecca Donna, Illinois Valley Community College; Gary Feinberg, St. Thomas University (Miami); Terry Miller, Clinton Community College; Charles Ousley, Seminole Junior College; Jim Santor, Community College of Southern Nevada; Tom Todd, Glenville State College; and Mel Wallace, McHenry County College.

For substantive contributions we would like to thank Professor Dennis C. Bliss, Professor Stan Cunningham, Professor G. E. Davila, Professor Gary S. Foster, the late Professor Robert Jaquith, Professor William E. Johnson, Ray Kasak, the late Jerri Pecharich, and Professor Richard Brede.

Special thanks to Joyce Becker and Ann, Matthew, and Melissa Cox for their patience, support, and understanding. Thanks also to Bud and Freda Wade.

Contents

Preface xv Acknowledgments xviii

1

Criminal Justice in the United States:

A Network of Interaction

Key Terms 3

The Forgotten Component: The Public's Role in the Criminal Justice Network 12

Some Key Assumptions 14

Summary 18

Key Terms Defined 19

Discussion Questions 19

References 19

Suggested Readings 20

2

Politics, Discretion, and the Criminal Justice Network

Key Terms 23

The Pervasive Influence of Politics: From the Police and Courts to Corrections 24

Power, Authority, and Politics 31

Recognizing the Consequences of Politics in the Criminal Justice Network 32

Discretionary Justice 33

Public Discretion 36

Police Discretion 36
Prosecutorial and Defense Discretion 39
Judicial Discretion 40
Plea Bargaining as a Form of Discretion 41
Correctional Discretion 41
Summary 42
Key Terms Defined 43
Discussion Questions 43
References 44
Suggested Readings 45

3

Law and Criminal Law

Key Terms 47
The Origins, Nature, and Functions of Law 48
Criminal Law 52
Law in the United States 54
Summary 55
Key Terms Defined 56
Discussion Questions 56
References 57
Suggested Readings 57



Types of Crime: I

Key Terms 59
Some Important Distinctions 60
Crimes against the Person 61
Homicide 61
Assault and Battery 64
Forcible Rape 65
Other Sex Offenses 66
Crimes against Property 67
Robbery 67
Larceny/Theft 67
Burglary 69
Arson 70

Recent Developments in Criminal Law 71

Measuring Crime 72

Victim Survey Research 73

Self-Report Studies 74

Summary 75

Key Terms Defined 75

Discussion Questions 76

References 76

Suggested Readings 77

5

Types of Crime: II

Key Terms 79
Crimes without Complainants 80

Prostitution and Related Offenses 80

Drug Offenses 81

Gambling 82
White-Collar Crime 83

Fraud 85
Organized Crime 88
Summary 88
Key Terms Defined 89
Discussion Questions 89
References 89
Suggested Readings 90

6

The Police: History and Development

Key Terms 93
The Role of the Police in Social Control 94
From Watchmen to Crime Fighters to Community Organizers 94
Current Police Functions 97
Police Law Enforcement Procedures 99
Police Order Maintenance Activities 103
Community Policing 104
Summary 106
Key Terms Defined 107

Discussion Questions 107 References 107 Suggested Readings 108

7

The Police: Organization, Selection, and Training

Key Terms 111

Some Variations on the Traditional Police Organization: Research and Change 114

Selection, Training, and Education of the Police 119

Summary 125

Key Terms Defined 125

Discussion Questions 126

References 126

Suggested Readings 126

8

The Courts: An Overview

Key Terms 129

Basic Concepts 130

The Court Systems 132

State Court Systems 132

The Federal Court System 135

Summary 141

Key Terms Defined 141

Discussion Questions 141

References 142

Suggested Readings 142



Court Personnel

Key Terms 145

The Prosecutor 146

The Defense Counsel 148

The Relationship between the Prosecutor and Defense Counsel 150

viii Contents

The Judge 152
The Probation Officer 154
Managing the Courts 154
Chief Judges 155
Court Clerks 155
Court Administrators 155
Summary 156
Key Terms Defined 157
Discussion Questions 157
References 157
Suggested Readings 158

10

Pretrial Procedures

Key Terms 161 Initial Appearances 162 The Administration of Bail 163 Consequences of Bail 164 Protecting Society 165 The Determination of Bail 166 The Effects of Monetary Bail Formal Charging or Accusation The Grand Jury 168 Information and Preliminary Hearings Arraignment 172 Pretrial Motions and Hearings 174 Motion for Change of Venue 174 Motion for Continuance 175 Motions for Suppression and Exclusion of Evidence 175 Motion for Discovery 175 Motion for Disclosure 176 Motion for Dismissal 176 Summary 176 Key Terms Defined 176 Discussion Questions 177 References 177 Suggested Readings 178

Contents ix

11

Criminal Trial

Key Terms 201

Key Terms 181
The Right to a Speedy Trial 182
Jury Trials: A Great American Myth 183
Plea Bargains 183
Summary and Bench Trials 184
The Jury Trial 185
Order of Trial 189
Sentencing 192
Appeals 195
Summary 195
Key Terms Defined 196
Discussion Questions 197
References 197
Suggested Readings 198



Victims and Witnesses in the Criminal Justice Network

Civil Remedies 207
Restitution 207
Private Insurance 208
State-Subsidized Compensation Programs 208
A Brief Historical Overview of Victim Compensation and Restitution 209
Consequences of Dissatisfied Victims and Witnesses 211
Summary 212
Key Terms Defined 212
Discussion Questions 213
References 213
Suggested Readings 213

Contents

13 Corrections

Key Terms 215
Historical Development 216
The Reform Movement 218
The American Experience 219
The Pennsylvania and Auburn Systems 219
Contemporary Corrections 220
Correctional Objectives 220
Revenge 220
Specific Deterrence 222
General Deterrence 222
Rehabilitation 223
Capital Punishment: Timeless Controversy, Ultimate Penalty 223
A Brief History of Capital Punishment 224
Death Penalty Arguments 225
Court Decisions and the Death Penalty 229
Correctional Organization 229
The Federal Network 230
State Networks 230
Types of Institutions 231
Maximum Security Facilities 231
Medium Security Facilities 231
Minimum Security Facilities 232
Jails 232
History of Jails 232
Control and Organization 232
Jail Problems 233
Evaluating Prison Rehabilitation Programs 233
The Prison Society 234
Rehabilitation versus Custody 235
Education and Vocational Training in Prison 236
Summary 236
Key Terms Defined 237

Contents xi Discussion Questions 237 References 238 Suggested Readings 239



Prisoners' Rights and Alternatives to Incarceration

Key Terms 241
Freedom of Speech 242
Freedom of Religion 243
Cruel and Unusual Punishment 243
Due Process 243
Alternatives to Incarceration 246
Probation 246
Community Correctional Programs 248
Parole 248
Summary 250
Key Terms Defined 250
Discussion Questions 251
References 251
Suggested Readings 252



Juvenile Justice

Key Terms 255
Juvenile Justice: A Historical Overview 256
Defining and Measuring Delinquency 257
Purpose and Scope of Juvenile Court Acts 258
Juvenile Justice Procedures 258
Current Dilemmas in Juvenile Justice 267
Summary 272
Key Terms Defined 272
Discussion Questions 273
References 273
Suggested Readings 273

xii Contents



The U.S. Constitution and Selected Landmark Decisions

Constitution of the United States of America 276
Amendments to Constitution of the United States of America 284
Mapp v. Ohio 291
Miranda v. Arizona 293
Chimel v. California 310
In Re Gault 314

Name and Case Index 330 Subject Index 333

Contents xiii