

# **Epigenetics**

Lyle Armstrong

常州大学山书馆 藏书章



### Garland Science

Vice President: Denise Schanck Senior Editor: Elizabeth Owen Development Editor: Elizabeth Zayatz Editorial Assistant: Louise Dawnay

Production Editor and Page Design: Ioana Moldovan

Typesetter: Georgina Lucas

Illustrator and Cover Designer: Drippy Cat Software Limited

Copyeditor: Bruce Goatly Proofreader: Sally Livitt Indexer: Bill Johncocks

### © 2014 by Garland Science, Taylor & Francis Group, LLC

This book contains information obtained from authentic and highly regarded sources. Every effort has been made to trace copyright holders and to obtain their permission for the use of copyright material. Reprinted material is quoted with permission, and sources are indicated.

A wide variety of references are listed. Reasonable efforts have been made to publish reliable data and information, but the author and the publisher cannot assume responsibility for the validity of all materials or for the consequences of their use.

All rights reserved. No part of this book covered by the copyright hereon may be reproduced or used in any format in any form or by any means—graphic, electronic, or mechanical, including photocopying, recording, taping, or information storage and retrieval systems—without permission of the publisher.

ISBN 978-0-8153-6511-2

### Library of Congress Cataloging-in-Publication Data

Armstrong, Lyle, author.

Epigenetics / Lyle Armstrong.

p.; cm.

Includes bibliographical references. ISBN 978-0-8153-6511-2 (alk. paper)

I. Title.

[DNLM: 1. Epigenomics. 2. Epigenesis, Genetic. 3. Genetic Predisposition to Disease. QU 460]

RB155.5

616'.042--dc23

2013040242

Published by Garland Science, Taylor & Francis Group, LLC, an informa business,

711 Third Avenue, New York, NY, 10017, USA, and 3 Park Square, Milton Park, Abingdon, OX14 4RN, UK.

Printed in the United States of America

15 14 13 12 11 10 9 8 7 6 5 4 3 2 1



Visit our website at http://www.garlandscience.com

### About the author:

Lyle Armstrong is a Reader in Cellular Reprogramming at the Institute of Genetic Medicine in Newcastle University where his research program contributed to the derivation of some of the UK's first human embryonic stem cell lines and the development of the world's first cloned human embryos. He is now working on new methods to reprogram cells into medically useful cells, focusing on the possibility of reversing aging during reprogramming and how this might be valuable for repairing organ damage or treating human diseases using induced pluripotent stem cells. Of particular note are investigations aimed at treating cardiovascular disease and age-related hearing loss. Epigenetics is the cornerstone of this reprogramming process.

# **Epigenetics**

# **Preface**

When this book was first conceived, the motivation to write was simple. My research program focused on analyzing the mechanisms through which epigenetic reprogramming functioned during somatic cell nuclear transfer. Several graduate students were interested in this project but there were few easily digestible texts that could provide an introduction to the subject of epigenetics. That is not to say that excellent textbooks did not exist on the subject, but these were perhaps less suitable for students whose projects were concerned with the impact of epigenetics on cellular functions rather than understanding the basis of epigenetics in its own right.

The book is the result of a long and iterative process. The field of epigenetics has advanced rapidly during the assembly of the text so that several updates have been necessary. I hope that the result is a general introduction that will enable students who need to appreciate how epigenetics can influence cell biology and the onset of human disease. Epigenetics is divided into three sections. Section 1 describes chromatin architecture before going on to the details of DNA methylation and histone acetylation. The second section explains how normal cellular functions (gene expression, the cell cycle, gene imprinting, and differentiation) are affected by epigenetics and how epigenetic modification patterns can be reversed to create pluripotent stem cells. The final section discusses the evidence for epigenetic involvement in disease, with emphasis on cognitive dysfunction and cancer.

For these reasons the book is aimed at a wider audience than those involved in understanding of purely epigenetic phenomena; my hope is that researchers in all areas of cell biology and medicine will find the text useful and informative, and if by reading this they are encouraged to add to the body of knowledge of epigenetics, I will consider the book to have been a success.

### Acknowledgments

I would like to acknowledge the support of my long-suffering family during the writing of this book.

The author and publisher would like to thank the reviewers who provided helpful comments on the original proposal and gave detailed feedback on draft chapters:

Keith Brown (University of Bristol); James Catto (University of Sheffield); Frances Champagne (Columbia University); Hugh Dickinson (University of Oxford); Steven Gray (Trinity College Dublin); Jay L. Hess (University of Michigan); Megan Hitchins (University of New South Wales); Louise Jones (University of York); Diane Lees-Murdock (University of Ulster); Amanda McCann (University College Dublin); Jonathan Mill (Institute of Psychiatry); Peter Meyer (University of Leeds); Fernando Pardo-Manuel de Villena (University of North Carolina at Chapel Hill); Gerald Schatten (University of Pittsburgh); Doris Wagner (University of Pennsylvania); Colum Walsh (University of Ulster).

### **ONLINE RESOURCES**

Accessible from www.garlandscience.com, the Student and Instructor Resource Websites provide learning and teaching tools created for **Epigenetics**. The Student Resource Site is open to everyone, and users have the option to register in order to use book-marking and note-taking tools. The Instructor Resource Site requires registration and access is available only to qualified instructors. To access the Instructor Resource Site, please contact your local sales representative or email science@garland.com. Below is an overview of the resources available for this book. On the Website, the resources may be browsed by individual chapters and there is a search engine. You can also access the resources available for other Garland Science titles.

### For students

**Flashcards** 

Each chapter contains a set of flashcards that allow students to review key terms from the text.

Glossary

The complete glossary from the book can be searched and browsed as a whole or sorted by chapter.

### For instructors

**Figures** 

The images from the book are available in two convenient formats: PowerPoint® and JPEG. They have been optimized for display on a computer.

PowerPoint is a registered trademark of Microsoft Corporation in the United States and/or other countries.

## **BASIC CONCEPTS**

**CHAPTER 1** Introduction to the Study of Epigenetics

CHAPTER 2 The Basis of the Transcription Process

CHAPTER 3 DNA Packaging and Chromatin Architecture

**CHAPTER 4** Modifying the Structure of Chromatin

**CHAPTER 5 DNA Methylation** 

KoKGGKGLGKGGAI

KAARKSAPATGGV

**CHAPTER 6** Post-Translational Modification of Histones

**CHAPTER 7** Histone Modification Machinery

CHAPTER 8 Locus-Specific Control of Histone-Modifying Enzyme Action

# SECTION 4

# **Contents**

	APTER 1 INTRODUCTION TO		3.2	Chains of publicacomes organize into	21
	STUDY OF EPIGENETICS			Chains of nucleosomes organize into chromatin fibers	21
1.1	THE CORE ISSUE: CONTROLLING THE EXPRESSION OF SPECIFIC GENES	1		Chromatin fibers are further organized into euchromatin and heterochromatin	23
1.2	DEFINING EPIGENETICS	1		A variety of mechanisms are involved in compacting chromatin beyond the 30 nm	
1.3	THE NATURE OF EPIGENETIC MARKS	2		fiber stage	24
1.4	THE IMPORTANCE OF EPIGENETICS	2		Chromatin compaction restricts access to the information content of DNA	26
FURT	HER READING	4	KEY	CONCEPTS	26
			FURT	HER READING	27
	APTER 2 THE BASIS OF THE ANSCRIPTION PROCESS		CH/	APTER 4 MODIFYING THE	
2.1	THE NEED FOR SPECIFICITY	7		UCTURE OF CHROMATIN	
2.2	PROMOTERS AND THEIR TATA	_	4.1	CHROMATIN REMODELING	29
	BOXES	8		Chromatin remodeling transiently exposes DNA to binding proteins	29
2.3	ASSEMBLY OF THE PRE-INITIATION COMPLEX	10		Chromatin remodeling is mediated by the SWI/SNF family of proteins in	2)
2.4	INITIATION OF TRANSCRIPTION	11		eukaryotes	30
KEY	CONCEPTS	12		Chromatin remodeling by SWI/SNF works by repositioning nucleosomes	31
FURT	THER READING	12		Transcription factor binding sites are often located in regions of low nucleosome occupancy	32
CHA	APTER 3 DNA PACKAGING		4.2	CHROMATIN MODIFICATION	33
ANI	CHROMATIN ARCHITECTURE			Spontaneous conformational changes and covalent modifications can also expose	
3.1	NUCLEOSOME STRUCTURE AND CHROMATIN	15		DNA to transcription factors	33
	Chromatin consists of DNA plus many	15		Epigenetic modification of DNA or histones regulates nucleosome occupancy	
	proteins	15		and repositioning	35
	The nucleosome is the basic unit of chromatin	16	KEY	CONCEPTS	37
	DNA binds to the histone octamer	17	<b>FUR1</b>	THER READING	37

CH	APTER 5 DNA METHYLATION			Lysine is often acetylated in histone tails	61
5.1	PATTERNS OF DNA METHYLATION	39		Proteins with bromodomains recognize	10
	CpG-rich islands are infrequently methylated	40		and bind to acetylated histones  The multiple methylation states of lysine can alter transcriptional response	62
	CpG-poor islands are frequently methylated	40	6.2	PHOSPHORYLATION OF SERINE AND	
5.2	EFFECTS OF DNA METHYLATION ON TRANSCRIPTION	42	6.3	ADDITION OF UBIQUITIN TO SPECIFIC	65
	Proteins controlling cellular function interact with methylated DNA	42	6.4	LYSINES SUMOYLATION OF LYSINES	66
	Transcription factors and methylated-DNA-binding proteins can repress transcription	44	6.5	BIOTINYLATION OF HISTONES	69
F 0		44	6.6	ADP-RIBOSYLATION OF HISTONES	71
5.3	THE MOLECULES THAT METHYLATE DNA	45	6.7	THE HISTONE CODE HYPOTHESIS	71
	De novo methylation of cytosine establishes the methylation pattern	45	KEY	CONCEPTS	73
	Existing patterns of DNA methylation are maintained	47	FUR	THER READING	73
5.4	Enzyme activity can be controlled by small molecules <i>in vivo</i>				
				APTER 7 HISTONE DIFICATION MACHINERY	
	DNA methyltransferase activity can be controlled transcriptionally	49	7.1	ENZYMES THAT ACETYLATE OR DEACETYLATE HISTONES	77
5.5	METHYLATION REGULATION AT SPECIFIC GENE LOCI	51		Acetyl groups are added by a class of enzymes known as histone acetyltransferases	77
	Histone interaction with DNA methyltransferases affects where DNA is methylated	51		Histone acetyltransferases add acetyl groups to specific lysine residues	77
	Transcription factors may control DNA methyltransferases	52		Histone deacetylase enzymes remove acetyl groups from histone lysine residues	80
	Noncoding RNA may control DNA methyltransferases	53	7.2	ENZYMES THAT METHYLATE OR DEMETHYLATE HISTONES	80
	Noncoding RNA can influence chromatin regulation directly	55		The histone methyltransferases add methyl groups to histone residues	80
5.6	GENOME FUNCTION CONTROL ACROSS SPECIES	56		The SET domain SET 7/9	83 83
KEY	CONCEPTS	57		EZH2 Human SET domain proteins	85 85
				MLL-family proteins	86
FUR	THER READING	57		Non-SET-dependent methyltransferases	87
				The histone arginyl methyltransferases	87
	APTER 6 POST-TRANSLATIONADIFICATION OF HISTONES	AL		Histone methylation is reversible using histone demethylases  Lysine-specific demethylase 1	90
6.1	ACETYLATION AND METHYLATION			Demethylating trimethylated lysine 4 on H3	93
J. 1	OF LYSINE	60		Demethylating methylated arginine	93

CO	NTI	EN'	TS	ix
			-	

7.3	ENZYMES THAT PHOSPHORYLATE OR DEPHOSPHORYLATE HISTONES	96	8.2	COMPLEXES OF THE HISTONE METHYLTRANSFERASES	115
	Kinases catalyze the phosphorylation of specific amino acids on histones	96	8.3	KINASE COMPLEXES FOR HISTONE PHOSPHORYLATION	118
	A variety of serine kinases phosphorylate serine 10 on histone H3 Ribosomal S6 kinases MSK1 and MSK2	98 98 98	8.4	COORDINATION AMONG CHROMATIN-MODIFYING COMPLEXES	119
	Aurora kinases MST1 kinase phosphorylates Ser 14 on	99		HDAC complexes respond to other histone modifications	119
	histone H2B Histone phosphatases remove phosphates	99		Noncoding RNA can regulate histone-modifying complexes	119
	from histone residues	100		Polycomb and trithorax are examples of chromatin activator and repressor	
7.4	UBIQUITIN ON HISTONES	102		complexes controlled by noncoding RNA	120
	E3 ubiquitin ligases add ubiquitin to lysine	102	KEY	CONCEPTS	125
	A variety of enzymes remove ubiquitin from lysine	102	FUR	THER READING	125
7.5	ENZYMES THAT ADD AND REMOVE THE SUMO GROUP ON HISTONES E3 SUMO ligases add the SUMO group to	103	CHAPTER 9 EPIGENETIC CONTROL OF CELL-SPECIFIC GENE EXPRESSION		
	lysine	103	9.1	EPIGENETIC CONTROL OF	
	SUMO-specific proteases remove the SUMO group from lysine	104	7.1	CHROMOSOME ARCHITECTURE	129
7.6	ENZYMES THAT ADD AND REMOVE BIOTIN ON HISTONES	104		The position of DNA within separate subnuclear compartments reflects the expression or repression of genes	129
	Biotinidase and biotin holocarboxylase synthetase can biotinylate histones	104		The nuclear skeleton is central to subnuclear organization	131
	Enzymes that remove biotin from histone lysine residues	105	9.2	SPATIAL ORGANIZATION OF GENE TRANSCRIPTION IN THE NUCLEUS	132
	CONCEPTS	106		The nucleolus is formed from multiple chromatin loops	132
FUR'	THER READING	107		rRNA genes are clustered for transcription in the nucleus	133
	APTER 8 LOCUS-SPECIFIC			rRNA gene structure  Regulation of rRNA gene transcription	134 134
	NTROL OF HISTONE-MODIFYIN ZYME ACTION	IG		Proteins that protect or target rDNA for methylation and demethylation	136
8.1	HISTONE ACETYLATION AND DEACETYLATION AS A PROTEIN	400		Genes transcribed by RNA polymerase II show a different organization  Transcription factories may be	137
	NURD is a well-known deacetylation	109		semi-permanent structures	139
	complex	109	9.3	TRANSCRIPTION FACTORY ORGANIZATION	
	SIN3A acts as a scaffold on which repressor proteins may assemble	110			140
	Protein complexes containing histone acetyltransferases promote transcription	112		The $\beta$ -globin locus control region is subject to epigenetic control	140

	The HOX clusters are also subject to epigenetic control of gene expression RAREs occur in open-chromatin regions	142 144		65 <b>68</b>
	HOX gene expression levels	146		
KEY (	CONCEPTS	147		59
FURT	HER READING	147	FURTHER READING 16	59
CON	APTER 10 EPIGENETIC ITROL OF THE MITOTIC L CYCLE		CHAPTER 12 EPIGENETIC CONTROL OF CELLULAR DIFFERENTIATION	
10.1	S PHASE INVOLVES DNA REPLICATION	149	12.1 FROM CELLULAR TOTIPOTENCY TO PLURIPOTENCY 17	71
	THE CELL DIVIDES IN M PHASE CONCEPTS	153 154	12.2 MAINTENANCE OF PLURIPOTENCY IN EMBRYONIC STEM CELLS 17	73
	HER READING	155	12.3 DIFFERENTIATION OF EMBRYONIC STEM CELLS 17	74
	APTER 11 THE EPIGENETIC IS OF GENE IMPRINTING		12.4 BIVALENT CHROMATIN DOMAINS IN NEURAL STEM CELLS  17	76
11.1	CONTROLLING MONOALLELIC EXPRESSION OF IMPRINTED GENES	157	12.5 CHROMATIN PROFILE OF HEMATOPOIETIC PROGENITORS 17	77
	Imprinted genes share few characteristics in common	157	KEY CONCEPTS 17	78
	Imprinting control regions (ICRs) regulate the imprinted expression of genes	158	FURTHER READING 17	79
	Differentially methylated regions contain imprinting signals	159	CHAPTER 13 REVERSIBILITY	
	Chromatin modifications at DMR sites affect gene imprinting	159	OF EPIGENETIC MODIFICATION PATTERNS	
11.2	EXAMPLES OF IMPRINTING	160	13.1 REPROGRAMMING THE EPIGENOME	
	The imprinting of <i>IGF2/H19</i> is well documented	160	BY SOMATIC CELL NUCLEAR TRANSFER 18	82
	Binding of CTCF at the <i>IGF2/H19</i> imprint control region to an insulator mechanism		What happens to the somatic genome during SCNT?	83
	to control imprinted gene expression  The mechanism by which insulation occurs	161	Epigenetic modification is the basis of SCNT reprogramming 1	185
	is uncertain  There are other examples of imprinting on	162	Epigenetic reprogramming is a normal feature of fertilization that is hijacked by SCNT 1	186
	the same stretch of DNA	163	There are several possible mechanisms by which the somatic genome might be	
11.3	ESTABLISHING DIFFERENTIALLY METHYLATED REGIONS	164	remodeled in SCNT 1  The epigenetic remodeling that occurs in	187
	Most genes undergo demethylation after fertilization	164	SCNT differs from the remodeling that	189
	Imprinted genes retain their DNA methylation patterns at their DMRs during fertilization	165	Some aspects of reprogramming of the somatic epigenome are outside the occyte's capacity	190

Somatic gene expression must be turned off for epigenetic reprogramming to occur in SCNT embryos	191		Assisted reproductive technologies may increase the incidence of imprinting diseases	218
REPROGRAMMING THE EPIGENOME		14.3	EPIGENETICS OF MAJOR DISEASE GROUPS	219
Fusion of somatic cells with pluripotent			Cardiovascular disease is the major killer in high-income countries	219
OCT4 is involved in genome reprogramming			The basic problem in cardiovascular disease is atherosclerosis	220
There are several possible mechanisms by	194		Epigenetic events may promote atherosclerosis by increasing known risk factors	221
of pluripotency factors may work to reprogram genomes	195		Epigenetics has a role in the regulation of arterial hypertension	224
Reprogramming may not be the sole	106		Hypertension increases with age	224
REPROGRAMMING THE EPIGENOME			Cardiac hypertrophy and heart failure also have an epigenetic component	227
BY CELL EXTRACTS  Cell extracts can effect epigenetic	197		Epigenetic drift may contribute to cardiovascular disease	228
reprogramming by providing the needed regulatory factors	197	14.4	EPIGENETICS OF KIDNEY DISEASE	229
Cell extract reprogramming has the potential to be clinically useful	198	14.5	EPIGENETICS OF DIABETES	231
REPROGRAMMING THE EPIGENOME		KEY	CONCEPTS	233
BY INDUCED PLURIPOTENCY	199	FURT	HER READING	234
	201	0117	ADTED 45 EDICENSTICS OF	
Making iPSCs safe for clinical application	203			
CONCEPTS	204			,
HER READING	205	15.1	MEMORY	235
			Memory formation relies on specific regions of the brain	235
	D		Structural changes and plasticity of synapses could be the basis of long-term memory	237
PREDISPOSITION TO DISEASE	208		Epigenetic control of synaptic plasticity may contribute to memory maintenance	237
Life-course epidemiology seeks to explain disease	208	15.2		240
Epigenetics may be the basis of stochastic variation in disease	210		Epigenetic alterations may contribute to the development of Alzheimer's disease	240
IMPRINTING-BASED DISORDERS	210		There is some evidence that epigenetic	
			mechanisms may contribute to Parkinson's disease	243
Imprinting disorders can persist beyond embryogenesis	211			-
	<ul><li>211</li><li>212</li></ul>	15.3	THE IMPACT OF EPIGENETIC CONTROL OF GENE EXPRESSION ON MENTAL HEALTH	
	REPROGRAMMING THE EPIGENOME BY CELL FUSION Fusion of somatic cells with pluripotent cells can reprogram the somatic genome OCT4 is involved in genome reprogramming in heterokaryons There are several possible mechanisms by which the OCT4/SOX2/NANOG trinity of pluripotency factors may work to reprogram genomes Reprogramming may not be the sole purview of ESCs  REPROGRAMMING THE EPIGENOME BY CELL EXTRACTS Cell extracts can effect epigenetic reprogramming by providing the needed regulatory factors Cell extract reprogramming has the potential to be clinically useful  REPROGRAMMING THE EPIGENOME BY INDUCED PLURIPOTENCY Epigenetic reprogramming occurs during iPSC derivation Making iPSCs safe for clinical application CONCEPTS HER READING  APTER 14 EPIGENETIC EDISPOSITION TO DISEASE Life-course epidemiology seeks to explain disease Epigenetics may be the basis of stochastic variation in disease	REPROGRAMMING THE EPIGENOME BY CELL FUSION Fusion of somatic cells with pluripotent cells can reprogram the somatic genome OCT4 is involved in genome reprogramming in heterokaryons There are several possible mechanisms by which the OCT4/SOX2/NANOG trinity of pluripotency factors may work to reprogram genomes REPROGRAMMING THE EPIGENOME BY CELL EXTRACTS Cell extracts can effect epigenetic reprogramming by providing the needed regulatory factors Cell extract reprogramming has the potential to be clinically useful REPROGRAMMING THE EPIGENOME BY INDUCED PLURIPOTENCY Epigenetic reprogramming occurs during iPSC derivation Making iPSCs safe for clinical application CONCEPTS CHER 14 EPIGENETIC DISPOSITION TO DISEASE PREDISPOSITION TO DISEASE PREDISPOSITION TO DISEASE Life-course epidemiology seeks to explain disease Epigenetics may be the basis of stochastic variation in disease 210	reprogramming may not be the sole purview of ESCs  reprogramming by providing the needed regulatory factors  cell extracts can effect epigenetic reprogramming by providing the needed regulatory factors  cell extract reprogramming by providing the potential to be clinically useful  reprogramming occurs during iPSC derivation  Making iPSCs safe for clinical application  APTER 14 EPIGENETIC EDISPOSITION TO DISEASE AND PREDISPOSITION TO DISEASE  PREDISPOSITION TO DISEASE  PREDISPOSITION TO DISEASE  Life-course epidemiology seeks to explain disease  Epigenetics may be the basis of stochastic variation in disease  208  Epigenetics may be the basis of stochastic variation in disease  219  14.3  14.3  14.3  14.3  14.3  14.3  14.4  15.2  192  194  195  196  197  198  197  198  197  14.4  197  14.4  197  14.5  198  14.5  199  14.5  198  14.5  199  199  190  190  190  190  190  19	off for epigenetic reprogramming to occur in SCNT embryos  REPROGRAMMING THE EPIGENOME BY CELL FUSION  Fusion of somatic cells with pluripotent cells can reprogram the somatic genome  COT4 is involved in genome reprogramming in heterokaryons  There are several possible mechanisms by which the OCT4/SOX2/NANOG trinity of pluripotency factors may work to reprogram genomes  Reprogramming may not be the sole purview of ESCs  REPROGRAMMING THE EPIGENOME BY CELL EXTRACTS  Cell extracts can effect epigenetic reprogramming by providing the needed regulatory factors  Cell extract reprogramming bus the potential to be clinically useful  REPROGRAMMING THE EPIGENOME BY INDUCED PLURIPOTENCY  Epigenetic reprogramming occurs during iPSC derivation  Making IPSCs safe for clinical application  CONCEPTS  APTER 14 EPIGENETIC DISPOSITION TO DISEASE  Life-course epidemiology seeks to explain disease  Life-course epidemiology seeks to explain disease  IMPRINTING-BASED DISORDERS  IMPRINTING-BASED DISORDERS  201  14.3 EPIGENETICS OF MAJOR DISEASE Cardiovascular disease is the major killer in high-income countries  The basic problem in cardiovascular disease is atherosclerosis by increasing known risk factors  Epigenetic events may promote atherosclerosis by increasing known risk factors  Epigenetic events may promote atherosclerosis by increasing known risk factors  Epigenetic events may promote atherosclerosis by increasing known risk factors  Epigenetic events may promote atherosclerosis by increasing known risk factors  Epigenetic drift may contribute to cardiovascular disease  Epigenetics has a role in the regulation of arterial hypertension  Hypertension increases with age  Cardiac hypertension  Hypertension  Hypertension  Epigenetic drift may contribute to cardiovascular disease  14.4 EPIGENETICS OF KIDNEY DISEASE  14.5 EPIGENETICS OF LINEASE  14.5 EPIGENETICS OF MADOR PRINTING-BASED DISORDERS  FURTHER READING  CHAPTER 15 EPIGENETIC SOF MEMORY  Memory formation relies on specific regions of the brain  Structural changes and plast

	Epigenetic regulation is a factor in major depressive disorder	247		The mechanisms controlling DNA methylation are imperfect	264
15.4	SUMMARY	251		Abnormal DNA hypomethylation contributes to cancer formation and progression	267
	CONCEPTS	251		Oxidative stress has additional effects on epigenetic processes that impinge	nerana.
FURT	HER READING	252		on cancer	270
				The influence of microRNA on DNA methylation in cancer	271
	APTER 16 EPIGENETICS OF NCER		16.4	HISTONE MODIFICATION PATTERNS AND CANCER	273
16.1	UNCONTROLLED CELL REPLICATION  Loss of control of tissue homeostasis is a	254		How does histone acetylation contribute to tumorigenesis?	273
	root cause of cancer	254		The HAT/HDAC balance requires	
	Tissue homeostasis requires cell death	256		dysregulation of other factors	274
	Loss of control of cell division is also known as cell transformation	256		Histone methylation contributes to tumorigenesis	275
	Dysfunctional genes are the basis of transformation	257	16.5	EXAMPLES OF EPIGENETIC DYSREGULATION LEADING TO	276
16.2	CHANGES LEADING TO NEOPLASTIC TRANSFORMATION	258		CANCER  Hematological malignancies such as leukemia are good examples of epigenetic	2/0
	Oncogenes and tumor suppressor genes are often altered during cancer progression			dysregulation	276
		258		DNA hypermethylation and hypomethylation contribute to the leukemic phenotype	278
	Genomic instability is a common trait of cancer cells	260		How epigenetics contributes to lung cancers	281
	Cancer cells frequently show major disruption in their DNA methylation profiles	261	KEY	CONCEPTS	284
	Impairment of DNA-repair mechanisms enhances cancer progression	262	FURTHER READING		285
16.3	ABNORMAL PATTERNS OF DNA METHYLATION IN CANCER	263	GLC	DSSARY	287
	DNA hypermethylation is typically mediated by DNMT1	263	IND	FX	201

# INTRODUCTION TO THE STUDY OF EPIGENETICS

# 1.1 THE CORE ISSUE: CONTROLLING THE EXPRESSION OF SPECIFIC GENES

The inheritance of the genetic information contained in our DNA has been studied for the past 60 years, with the research efforts culminating in the Human Genome Project. The Human Genome Project aimed to map and sequence every gene in the human organism and to understand how these were positioned in our chromosomes. The successful completion of the project required an internationally coordinated effort by many research groups and has provided the basic information we need to understand how genes work at the molecular level. This latter effort has been described as being part of the "post-genomic" era, and its aims are to understand how information contained in the sequence of DNA bases can be turned into proteins that create the structures of the cell, and how this process is controlled.

The mechanism by which genes are controlled is probably the most active and fascinating area of research in science today. How does one type of cell, for example a fibroblast, "know" that it is different from a neuron or a muscle cell, given that all these cells have essentially the same information about protein synthesis contained in their genomes? At least some of this control of cell type comes from specific transcription factor proteins that instruct some genes to express while others remain silent, but this cannot explain how the cell remembers to only produce other cells of the same type when it divides. Maintaining cellular identity and function is most probably effected by using so-called "epigenetic" mechanisms.

### 1.2 DEFINING EPIGENETICS

The term **epigenetics** seems to be used to explain a wide range of biological observations, so it is useful to have a precise definition of its meaning. The word "epigenetics" was first used by Conrad Waddington in 1942, and his definition of the subject was as follows: "a branch of biology which studies the causal interactions between genes and their products which bring the phenotype into being." With the benefit of modern hindsight, we can see that this is quite a broad description, as it covers most of the mechanisms by which cellular identity and function can be maintained. However, Waddington's concept of a "gene" did not benefit from the investigations of the 1950 and 1960s. In spite of this, his original definition is remarkably close to the epigenetic control of gene transcription that we will cover in later chapters of this book.

- 1.1 THE CORE ISSUE:

  CONTROLLING THE

  EXPRESSION OF SPECIFIC

  GENES
- 1.2 DEFINING EPIGENETICS
- 1.3 THE NATURE OF EPIGENETIC MARKS
- 1.4 THE IMPORTANCE OF EPIGENETICS

Our increasing knowledge of genome functions has refined the definition of epigenetics, and today the term is generally accepted as meaning the study of changes in gene function that are mitotically and/or meiotically heritable and that do not entail a change in the sequence of DNA. We cannot assume that this definition will be final, of course; even with our current knowledge we can see that restricting the focus of epigenetics to "gene" function alone might be viewed as erroneous because there is increasing evidence that epigenetic mechanisms can control the functions of noncoding sequences of DNA (that is, those sections of the genome that do not contain sequences formally identified as genes). However, for the purposes of this broad discussion of the topic of epigenetics, the definition is good enough.

### 1.3 THE NATURE OF EPIGENETIC MARKS

The literal meaning of "epigenetics" is that it is something that is outside the traditional study of genetics. Although it is unlikely that Waddington's original definition would have been conceived with this in mind, the concept of an additional or external control system (that is, one that does not directly originate from the sequence of DNA bases) that is imposed upon genes fits very well with our modern knowledge of epigenetic modifications. Gene control is achieved by semi-reversible covalent chemical modifications of DNA bases and the proteins with which DNA is associated in the cell's nucleus. The description of these modifications and their effects on gene and cell function forms a large part of the topics described in this book.

### 1.4 THE IMPORTANCE OF EPIGENETICS

A system capable of controlling gene function is interesting to most biologists, but some of the possible consequences of epigenetic control of gene expression have also attracted interest from nonscientists because of the impact that our lifestyles may have on the health or behavior of future generations. At a first glance this would seem to go against the "traditional" principles of genetics, because the bulk of the scientific data in the first 50 years of the twentieth century seemed to suggest that the phenotypes of animals and their offspring can be determined solely on the basis of the genes they possess and pass on to future generations. Any changes that occur in phenotype would thus be due only to alterations in the DNA sequences of the genes and would therefore fall under the heading of "evolution" in the Darwinian sense of that word.

The acceptance of Darwin's theories took years, and Darwin's concept of evolution had to compete with several nineteenth-century ideas. The most prevalent of these competing hypotheses was probably the theory of inheritance of acquired characteristics, published by Jean Baptiste Lamarck in 1801. Lamarck suggested that if an organism changes its phenotype to adapt to its environment, those changes could be passed on to its offspring. Darwin suggested that this would not occur and that the only mechanism by which phenotypic change could occur stemmed from some organisms' having variations that help them to survive in their environment and be more successful at producing offspring. Because these useful traits arise from the parent's genes, the only way that the offspring will acquire such a survival advantage is by receiving copies of the advantageous genes from their parents. Ultimately, the evidence generated by the study of genetics supported Darwin, and Lamarck's theory was discredited, leading to a central dogma of twentieth-century biology that the only way for traits to be passed on was through the inheritance of genes and that genes could not be affected by events in the outside world. This belief seemed to stand to reason; after all, it seemed to be common sense that something bad that happened during the life of one's grandfather could not have any effect on one's own health. However, some of the worst events of the twentieth century seem to suggest otherwise.

Epigeneticists often refer to the "Dutch Hunger Winter" of 1944, and we will be making our own references to this World War II event in the chapters describing the impact of epigenetic mechanisms on a variety of diseases. The Hunger Winter resulted from a German blockade of food and fuel shipments into western Holland from September 1944 until the liberation of the country from Nazi German rule in May 1945. The blockade, coupled with a harsh winter, caused widespread famine and resulted in a large number of deaths. Because the Hunger Winter was well documented, it has allowed us to measure the effects of famine on human health. The results of many of these studies suggest a link between starvation of pregnant women and the health of their offspring. Several epidemiological investigations found that the resulting children were more susceptible to diseases such as diabetes, heart disease, and obesity than the children of normally fed mothers. Furthermore, mental illnesses such as schizophrenia seemed to be more prevalent in the children of Hunger Winter mothers. More surprisingly, similar propensities to develop diseases were eventually observed in the grandchildren of Hunger Winter mothers, thereby countering a possible argument that starvation in the mother could simply lead to alteration in the development of her unborn fetus. These data seem to suggest that a grandmother's diet could affect the health of several generations, which further implies that an adaptation to her environment has produced a heritable trait—something that is not supposed to happen if we accept that Darwinian evolution is the only cause of phenotypic change.

One might still be tempted to argue that it was the mother's malnutrition that damaged the developing fetus and therefore caused some form of damage to the child's genes by introducing mutations in the DNA sequences whose harmful effects became evident only later, in adult life; however, recent research indicates otherwise. Prompted by the findings from the Dutch Hunger Winter, more recent studies have focused on the problems arising in the children of men who either are obese or have suffered starvation. It is known that there is a correlation between the pattern of epigenetic marks (mostly DNA methylations) on the insulin-dependent growth factor gene IGF2 and the body mass index of the father, with hypomethylation of this gene being observed in newborns arising from couples in which the father is obese. These data imply that the nutritional state of the father may also contribute to the health of the children; this could only have been transmitted to the child via the father's spermatozoa. Although it is still possible that the starvation or obesity of the father could have introduced mutations into his own IGF2 gene before the child's conception, it seems unlikely that similar mutations would occur in all obese individuals to confer similar IGF2 hypomethylation in the offspring. It is noteworthy that hypomethylation of IGF2 was also observed in the children of Hunger Winter mothers six decades after the children's birth in 1944–1945. There is therefore a considerable body of evidence supporting the acquisition of a heritable trait as a consequence of the nutritional state of the parents.

Other diseases can also be considered to have an epigenetic basis, regardless of how well a child's parents ate. Only 10% of breast cancers that run in families can be linked to known genetic mutations. Exposing pregnant rats to chemicals known to influence breast cancer risk in humans (such as a high-fat diet or the synthetic estrogen ethinyl estradiol) caused female

offspring to develop a higher incidence of mammary tumors than the offspring of rats that were not exposed to these risk agents. Interestingly, the increased risk of breast cancer does not seem permanent, at least for some of the risk agents. For example, the great-granddaughters of rats exposed to a high-fat diet had no greater tumor incidence than those of control pregnant rats. The effects of ethinyl estradiol, however, seemed to be more durable: the great-granddaughters also had an enhanced risk of developing cancer.

We do not know the exact mechanisms by which chemical exposure can alter the pattern of epigenetic modifications in the genomes of affected animals, and this is one of the reasons why epigenetics is such a fascinating and potentially fruitful area of investigation. After all, if certain environmental exposures or behavior patterns in previous generations can influence the health of the current generation, altering those exposures or behaviors in the current generation might improve the health of the next. Better still, if we understand the mechanisms that caused events such as the Dutch Hunger Winter to predispose the descendants of those affected to disease, we might be able to develop methods to prevent the accumulation of harmful epigenetic changes and reduce the disease risk, regardless of environmental influences. The influence of this on human health and well-being could be great indeed.

### **FURTHER READING**

Bird A (2013) Epigenetics: discovery. *New Sci* **217**:ii–iii (doi:10.1016/S0262-4079(13)60030-5).

Burgess DJ (2013) Epigenetics: mechanistic insight into epigenetic inheritance. *Nat Rev Genet* **14**:442 (doi:10.1038/nrg3525).

Eichten S & Borevitz J (2013) Epigenomics: methylation's mark on inheritance. *Nature* 495:181–182 (doi:10.1038/nature11960).

Ferguson-Smith AC & Patti ME (2011) You are what your dad ate. *Cell Metab* 13:115–117 (doi:10.1016/j.cmet.2011.01.011).

Greer EL & Shi Y (2012) Histone methylation: a dynamic mark in health, disease and inheritance. *Nat Rev Genet* **13**:343–357 (doi:10.1038/nrg3173).

Grossniklaus U, Kelly B, Ferguson-Smith AC et al. (2013) Transgenerational epigenetic inheritance: how important is it? *Nat Rev Genet* 14:228–235 (doi:10.1038/nrg3435).

Gruenert DC & Cozens AL (1991) Inheritance of phenotype in mammalian cells: genetic vs. epigenetic mechanisms. *Am J Physiol* **260**:L386–L394.

Hackett JA & Surani MA (2013) Beyond DNA: programming and inheritance of parental methylomes. *Cell* **153**:737–739 (doi:10.1016/j.cell.2013.04.044).

Hackett JA & Surani MA (2013) DNA methylation dynamics during the mammalian life cycle. *Phil Trans R Soc B* **368**:20110328 (doi:10.1098/rstb.2011.0328).

Hanson MA, Low FM & Gluckman PD (2011) Epigenetic epidemiology: the rebirth of soft inheritance. *Ann Nutr Metab* **58** (Suppl 2):8–15 (doi:10.1159/000328033).

Hardison RC (2012) Genome-wide epigenetic data facilitate understanding of disease susceptibility association studies. *J Biol Chem* **287**:30932–30940 (doi:10.1074/jbc.R112.352427).

Heijmans BT, Tobi EW, Stein AD et al. (2008) Persistent epigenetic differences associated with prenatal exposure to famine in humans. *Proc Natl Acad Sci USA* 105:17046–17049 (doi:10.1073/pnas.0806560105).

Hesman Saey T (2013) From great grandma to you. *Science News* **183**:18–21 (doi:10.1002/scin.5591830718).

Ho MW & Saunders PT (1979) Beyond neo-Darwinism—an epigenetic approach to evolution. *J Theor Biol* **78**:573–591 (doi:10.1016/0022-5193(79)90191-7).

Maynard Smith J (1990) Models of a dual inheritance system. *J Theor Biol* **143**:41–53 (doi:10.1016/S0022-5193(05)80287-5).

Moazed D (2011) Mechanisms for the inheritance of chromatin states. *Cell* **146**:510–518 (doi:10.1016/j.cell.2011.07.013).

Monk M (1990) Variation in epigenetic inheritance. *Trends Genet* 6:110–114.

Roberts AR, Huang E, Jones L et al. (2013) Non-telomeric epigenetic and genetic changes are associated with the inheritance of