SEXUAL HARASSMENT IN THE WORKPLACE

Proceedings of New York University 51st Annual Conference on Labor

Edited by Samuel Estreicher

Kluwer Law International

SEXUAL HARASSMENT IN THE WORKPLACE

Proceedings of New York University 51st Annual Conference on Labor

Edited by Samuel Estreicher



Boston • The Hague • London

Published by Kluwer Law International, P.O. Box 85889, 2508 CN The Hague, The Netherlands.

Sold and distributed in the U.S.A. and Canada by Kluwer Law International, 101 Philip Drive, Assinippi Park Norwell, MA 02061, USA tel: 1 781 871 6600; fax: 1 781 681 9045

In all other countries, sold and distributed by Kluwer Law International, P.O. Box 85889, 2508 CN The Hague, The Netherlands tel: 31 70 308 1562; fax: 31 70 308 1555

ISBN 9041188827

All Rights Reserved.

© 1999; 2001 Kluwer Law International
Kluwer Law International incorporates the publishing programs of
Graham & Trotman Ltd, Kluwer Law and Taxation Publishers,
and Martinus Nijhoff Publishers.

No part of the material protected by this copyright notice may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying, recording, or by any information storage and retrieval system, without written permission from the copyright owner.

SEXUAL HARASSMENT IN THE WORKPLACE

Proceedings of New York University 51st Annual Conference on Labor for Aleta,
my little wing,
on our 30th

此为试读,需要完整PDF请访问: www.ertongbook.com

Sexual Harassment in the Workplace

EDITOR'S PREFACE

New York University's Annual Conference on Labor is the premier forum for bringing together academics and practitioners in labor and employment relations and affiliated fields to explore solutions to problems in the American workplace. The Conference is one of many activities of the recently formed Center for Labor and Employment Law at New York University School of Law.

The theme of the 1998 Conference was "Sexual Harassment in the Workplace," an area of burgeoning litigation sparked by the Clarence Thomas-Anita Hill controversy and occasioning three major decisions from the U.S. Supreme Court in its 1998 Term. Perhaps the fastest-growing category of employment litigation in this country, and at the root of class actions against major companies like Mitsubishi, Smith Barney and Merrill Lynch, sexual harassment is a major concern for employers and employees alike.

The issues raised by this litigation require pro-active policy and training efforts by employers, sound counsel, and sustained academic attention. We hope that this volume, which reflects the proceedings of the 51st Annual Conference on Labor, aids that effort.

Among the questions considered within -

- What is the relationship between sexual harassment and other forms
 of gender discrimination: To what extent are sexual harassment
 problems better addressed as problems of "glass ceiling" discrimination against women?
- How should claims of same-sex harassment and sexual favoritism be treated?
- Do employees subjected to sexual harassment have a claim under the National Labor Relations Act in addition to federal and state antidiscrimination remedies?
- Is there a significant risk that regulation of sexual harassment will lead employers to deter workplace expression that should be protected by the First Amendment?
- What are the legal and ethical questions raised by employer efforts to investigate claims of sexual harassment? How are such investigations

Editor's Preface

best handled so as to preserve legitimate privileges protecting against disclosure of confidential communications?

- What rights do accused employees have? Are employers caught between the proverbial "rock" and "hard place," damned if they act against suspected harassers and damned if they do not?
- How have companies that have been the target of high-visibility class action suits, maintained either by the EEOC or private litigants, responded to such challenges, and what sort of systemic relief is possible through negotiated resolutions?
- What is the proper scope of discovery into the mental history of sexual harassment complainants and accused employees?
- What is the proper role of experts in such cases, with particular reference to new social science methodologies such as "social framework" testimony?
- Even before suits are brought, what are the leading companies doing on their own to head off litigation and promote a healthy environment for cooperative effort in the workplace?
- Finally, what contribution can techniques of alternative dispute resolution, with particular reference to mediation, make to appropriate disposition of claims?

We are especially gratified that Gilbert F. Casellas, the outgoing chair of the Equal Employment Opportunity Commission, was our dinner speaker, and that Sheila Wellington, president of Catalyst, a research and advocacy group for women corporate leaders, offered luncheon remarks. (The text of Mr. Casellas' speech is included as chapter 20, and that of Ms. Wellington's remarks is included as chapter 4 in this volume.)

In addition to the papers and commentary provided at the 1998 Conference, we are pleased to include in this volume essays by Professors J. Hoult Verkerke of the University of Virginia School of Law and Jeffrey Rosen of George Washington University School of Law (who also serves as Legal Affairs Editor for The New Republic). Also, the research assistance of Annie Chang (NYU Class of 2000) and secretarial assistance of Rosetta Abraham are gratefully acknowledged.

Samuel Estreicher Faculty Director Center for Labor and Employment Law NYU School of Law

Center for Labor and Employment Law at NYU School of Law

The Center for Labor and Employment Law is a new program established at New York University School of Law. Samuel Estreicher, Professor of Law at New York University and an internationally recognized expert on labor and employment law, serves as the Center's Director. The objectives of the Center are (1) To promote workplace efficiency and productivity, while at the same time recognizing the need for justice and safety in the workplace and respecting the dignity of work and employees; (2) To promote independent, nonpartisan research that would improve understanding of employment issues generally, with particular emphasis on the connections between human resources decisions and organizational performance; (3) To sponsor a graduate program for the next generation of law teachers and leading practitioners in the field; and (4) To provide a forum for bringing together leaders from unions and companies, as well as representatives of plaintiff and defense perspectives, for informal discussions exploring new frameworks for labor-management relations, workplace justice, fair and efficient resolution of employment disputes and representation in the workplace.

> For information: contact Ben Eisenman Telephone: (212) 998-6242 Fax: (212) 995-4341 E-mail: ben.eisenman@nyu.edu

http://www.law.nyu.edu/laborcenter/

Advisory Board

Center for Labor and Employment Law at NYU School of Law

John-Edward Alley, Esq. Alley and Alley/Ford & Harrison LLP

L. Robert Batterman, Esq. Proskauer Rose LLP

William J. Bernstein, Esq. Coca-Cola Company

Frederick D. Braid, Esq. Holland & Knight, LLP

Mark E. Brossman, Esq. Schulte Roth & Zabel LLP

Ernest Allen Cohen, Esq. Masters, Mates & Pilots, ILA, AFL-CIO

Michael A. Curley, Esq. O'Melveny & Myers

Michael Delikat, Esq. Orrick, Herrington & Sutcliffe LLP

Eugene G. Eisner, Esq. Eisner & Hubbard, P.C.

Mindy G. Farber, Esq. *Jacobs*, *Jacobs & Farber*

Lawrence Gold, Esq. Bredhoff & Kaiser

Willis J. Goldsmith, Esq. Jones, Day, Reavis & Pogue

Steven B. Hantler, Esq. DaimlerChrysler

Jerry M. Hunter, Esq. Bryan Cave LLP

Suzanne Johnson, Esq. The Nasdaq-Amex Market Group

Jerome B. Kauff, Esq.

Kauff, McClain & McGuire

Meryl R. Kaynard, Esq.

Meryl R. Kaynard, Esq. Chase Manhattan Bank

Jeffrey S. Klein, Esq. Weil, Gotshal & Manges LLP

Everett E. Lewis, Esq. Lewis, Greenwald, Clifton & Nikolaidis, P.C.

Robert Lewis, Esq. Jackson, Lewis, Schnitzler & Krupman

Lloyd C. Loomis, Esq. Steptoe & Johnson

Frances Milberg, Esq. The Segal Company

Elizabeth W. Millard, Esq. Credit Suisse First Boston

Joseph N. Miniace
Pacific Maritime Association

Woodley B. Osborne, Esq. Osborne & Deutsch

Wayne N. Outten, Esq. Outten & Golden

Andrew Peterson, Esq. Jackson, Lewis, Schnitzler & Krupman

David J. Reilly, Esq. Merck-Medco Managed Care, LLC

David M. Rosoff, Esq. Carton & Rosoff, PC

Victor Schachter, Esq. Schachter, Kristoff, Orenstein & Berkowitz, LLP

Barbara Ann Sellinger, Esq. Epstein, Becker & Green, PC

> Susan P. Serota, Esq. Winthrop, Stimson, Putnam & Roberts

Ronald H. Shechtman, Esq. Pryor, Cashman, Sherman & Flynn David L. Silverberg, Esq. Epstein, Becker & Green, PC

Eric Taussig, Esq.
Philip Morris International Inc.

Scott J. Wenner, Esq. Littler Mendelson, P.C.

J. Andrew Williams, Esq.
Edwards, Ballard, Clark, Barrett
and Carlson, P.A.

Pearl Zuchlewski, Esq. Goodman & Zuchlewski

Ex Officio

Hon. Fred Feinstein National Labor Relations Board

> Jonathan Hiatt, Esq. AFL-CIO

Hon. Peter J. Hurtgen
National Labor Relations Board

Hon. Reginald E. Jones
Equal Employment Opportunity
Commission

Paul H. Tobias, Esq. National Employee Rights Institute

Associate Advisors

Kenneth C. Kowalski Federal Mediation & Conciliation Service

Terrance Nolan, Esq. New York University

Daniel Silverman, Esq. National Labor Relations Board

New York University's 51st Annual Conference on Labor — Faculty

William J. Bernstein, Senior Employee Relations Counsel, Coca-Cola Company

Elise Bloom, Jackson, Lewis, Schnitzler & Krupman

Frederick D. Braid, Holland & Knight LLP

Mark E. Brossman, Shulte Roth & Zabel LLP

Paulette M. Caldwell, New York University School of Law

Francis Carling, Collazo Carling & Mish LLP

Gilbert F. Casellas, President, The Swarthmore Group, Inc.

Patricia Sachs Catapano, Associate General Counsel, Columbia University

Andrea Christensen, Kaye, Scholer, Fierman, Hays & Handler

Michael A. Curley, O'Malveny & Myers LLP

Julie DeMarco, Director of Legal Affairs, New York City Commission on Human Rights

Cynthia Estlund, Columbia University School of Law

Samuel Estreicher, New York University School of Law; O'Melveny & Myers LLP

Mindy G. Farber, Jacobs, Jacobs & Farber

Susan T. Fiske, Dept. of Psychology, University of Massachusetts at Amherst Laurence Gold, Bredhoff & Kaiser

Michael C. Harper, Boston University School of Law

K.C. Hartop, Senior Staff Counsel, DaimerChrysler Corporation

Jerome B. Kauff, Kauff, McClain & McGuire

Meryl R. Kaynard, Vice-President and Assistant General Counsel, Chase Manhattan Bank

Jeffrey S. Klein, Weil, Gotshal & Manges LLP

Henry D. Lederman, Littler, Mendelson, Fastiff, Tichy & Mathiason

James L. Lee, Regional Attorney, Equal Employment Opportunity Commission

New York University's 51st Annual Conference on Labor —Faculty

Arthur Leonard, New York Law School

Everett E. Lewis, Lewis, Greenwald, Clifton & Nikolaidis P.C.

Lloyd C. Loomis, Steptoe & Johnson, formerly Senior Corporate Counsel for Employee Relations, Atlantic Richfield Company

Frances Milberg, Senior Vice-President and General Counsel, The Segal Company

Elizabeth W. Millard, Director and Counsel, Credit Suisse First Boston

Terrance J. Nolan, Associate General Counsel, New York University

Wilson Ortiz, Regional Director, New York State Division on Human Rights

Wayne N. Outten, Outten & Golden

Bettina Plevan, Proskauer Rose LLP

Debra Raskin, Vladeck, Waldman, Elias & Engelhard

David J. Reilly, Senior Vice-President for Labor Relations, Merck-Medco Managed Care, LLC

David M. Rosoff, Carton & Rosoff P.C.

Laura Sager, New York University School of Law

George Saks, formerly Executive Vice-President and Special Counsel, Salomon Smith Barney

Victor Schacter, Schacter, Kristoff, Orenstein & Berkowitz

Margaret Shaw, Wittenberg, Shaw & Ross LLC

Ronald H. Shechtman, Pryor, Cashman Sherman & Flynn

Vicki Schultz, Yale Law School

Dean L. Silverberg, Epstein, Becker & Green PC

Lawrence Solotoff, Solotoff & Solotoff

Kathryn Wikman, Vice-President, Corporate Employee Relations, Chase Manhattan Bank

J. Andrew Williams, Edwards, Ballard, Clark, Barret and Carlson P.S.

Michael J. Yelnosky, Roger Williams University School of Law

Sheila Wellington, President, Catalyst

Pearl Zuchlewski, Goodman & Zuchlewski

Contents

Editor's Prefa	ce	ix
Center for La	bor and Employment Law at NYU School of Law	xi
	Substantive Law of Sexual Harassment in the kplace — at the "Cutting Edge"	1
A. "Mapping	g" Sexual Harassment	1
1. Rela	ationship to Other Forms of Gender Discrimination	1
Chapter 1:	Reconceptualizing Sexual Harassment Vicki Schultz	3
Chapter 2:	Commentary on Schultz, Reconceptualizing Sexual Harassment Laura Sager	159
Chapter 3:	In Defense of Gender-Blindness: A Practical and Philosophical Proposal for Sexual Harassment Law Jeffrey Rosen	169
Chapter 4:	Women's Workplace Power Sheila Wellington	199
2. "Sa	me Sex" Harassment and Sexual Favoritism	207
Chapter 5:	Same-Sex Harassment, Sexual Favoritism and Title VII Arthur S. Leonard	209
Chapter 6:	Sexual Harassment and Sexual Favoritism in the "Gay Nineties" Elise M. Bloom and Michelle E. Phillips	235
Chapter 7:	Commentary on Leonard, Same-Sex Harassment Michael A. Curley	245

Contents

3. Sexua	al Harassment: The Labor Law Dimension	255
Chapter 8:	Sexual Harassment Within the Context of the National Labor Relations Act Jerome B. Kauff and Laura L. Putney	257
Chapter 9:	Sexual Harassment: The Labor Law Dimension Everett E. Lewis and Hope Purdy	273
B. Employer	Liability for Supervisor Conduct	281
Chapter 10:	Answering the Title VII Agency Question: A Policy Basis for Faragher and Ellerth Michael C. Harper	283
Chapter 11:	Employer Liability for Sexual Harassment Under Title VII: Karibian v. Columbia University Patricia S. Catapano	331
Chapter 12:	Commentary on Harper, Answering the Title VII Agency Question <i>Terrance J. Nolan</i>	341
Chapter 13:	The Triumph of Formalism in the Supreme Court's Recent Employer Liability Decisions J. H. Verkerke	345
C. Sexual Ha	arassment Liability and the First ent	361
Chapter 14:	Harassment Law and the First Amendment: A Window on the Role of the Workplace in a Democratic Society <i>Cynthia L. Estlund</i>	363
	e Investigation and Litigation of Sexual rassment Claims	391
A. Investiga Ethical Is	ting a Sexual Harassment Claim: Legal and sues	391
Chapter 15:	Investigating Claims of Sexual Harassment: Legal and Ethical Obligations of Corporate and Outside Counsel Henry D. Lederman	393

		ontents
Chapter 16:	The Role of Counsel in Sexual Harassment Investigations Mark E. Brossman, Laurie C. Malkin and Rachel Fremmer	411
Chapter 17:	Commentary on Lederman, Investigating Claims of Sexual Harassment Lawrence Solotoff and Adam Kipnis	431
B. Rights of	Accused Employees	439
Chapter 18:	No Longer the Fall Guy: The Accused Demand Fair Treatment in Sexual Harassment Cases and Assert Their Rights Victor Schachter	441
Chapter 19:	The At-Will Rule and the Employer's Duty to Investigate and Remedy Workplace Harassment: A Reasonable Regulatory Approach? <i>Michael J. Yelnosky</i>	467
Dinner Addr	ress:	
Chapter 20:	The Equal Employment Opportunity Commission: Challenges and Opportunities for the Twenty-First Century Gilbert F. Casellas	485
C. Discover	y, Evidence and Damages Issues	503
Chapter 21:	Sex Harassment Plaintiffs Must Bare All Frederick D. Braid	505
Chapter 22:	Mental Examinations under Federal Rule of Civil Procedure 35 Pearl Zuchlewski	529
Chapter 23:	Proving Sexual Harassment: Discovery and the Perpetrator David M. Rosoff	539
D. Use Of E	xperts	551
Chapter 24:	Use of Expert Testimony in Sexual Harassment Suits Bettina B. Plevan	553
Chapter 25:	Social Framework Analysis as Expert Testimony in Sexual Harassment Suits Susan T. Fiske and Eugene Borgida	575

Contents

	nployer Policies for Addressing Sexual Harassment ad ADR Techniques	585
A. What Co	mpanies Are Doing on Their Own	585
Chapter 26:	Employer Policies for Addressing Sexual Harassment Meryl R. Kaynard and Cynthia G. Cook	587
	Survey of Company Harassment Policies Sample Legal Department Investigation	629
Appendix C:	Supervision Memorandum Sample Contract Provisions for Staffing Firm	653
Appendix D:	Agreement Sample Joint Defense Agreement	657 671
Chapter 27:	The ARCO Policy on Sexual Harassment Lloyd C. Loomis	677
Appendix A:	You Just Can't Do That!	679
Chapter 28:	The Segal Company's Approach to Sexual Harassment Avoidance Frances Milberg	701
Appendix A Appendix B Appendix C		711 715 721
Chapter 29:	The Sexual Awareness and Prevention Program at Credit Suisse First Boston Elizabeth W. Millard	729
Appendix A:	Policies of Credit Suisse First Boston Against Discrimination and Harassment	735
B. Uses of A	DR	739
Chapter 30:	The Promise of Mediation in Sexual Harassment Cases Margaret L. Shaw	741
Chapter 31:	The New York State Division of Human Rights Mediation Project Wilson P. Ortiz	749

PART I

The Substantive Law of Sexual Harassment in the Workplace — at the "Cutting Edge"

- A. "Mapping" Sexual Harassment
 - 1. Relationship to Other Forms of Gender Discrimination