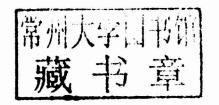
The International Criminal Court in Search of its Purpose and Identity

Edited by Triestino Mariniello



The International Criminal Court in Search of its Purpose and Identity

Edited by Triestino Mariniello





First published 2015 by Routledge

2 Park Square, Milton Park, Abingdon, Oxon, OX14 4RN

and by Routledge

711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

 $\ensuremath{\mathbb{C}}$ 2015 selection of editorial material, Triestino Mariniello; individual chapters, the contributors

The right of Triestino Mariniello to be identified as editor of this work has been asserted by him in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data
A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data A catalog record has been requested for this book

ISBN: 978-0-415-74777-6 (hbk) ISBN: 978-1-315-78063-4 (ebk)

Typeset in Baskerville MT by RefineCatch Limited, Bungay, Suffolk, UK



The International Criminal Court in Search of its Purpose and Identity

The International Criminal Court (ICC) is the first permanent international criminal tribunal, which has jurisdiction over the most serious crimes of concern to the international community as a whole: genocide, crimes against humanity, war crimes, and crimes of aggression. This book critically analyses the law and practice of the ICC and its contribution to the development of international criminal law and policy.

The book focuses on the key procedural and substantive challenges faced by the ICC since its establishment. The critical analysis of the normative framework aims to elaborate ways in which the Court may resolve difficulties which prevent it from reaching its declared objectives in particularly complex situations. Contributors to the book include leading experts in international criminal justice, and cover a range of topics including, inter alia, terrorism, modes of liability, ne bis in idem, victims' reparations, the evidentiary threshold for the confirmation of charges, and sentencing. The book also considers the relationship between the ICC and States, and explores the impact that the new regime of international criminal justice has had on countries where the most serious crimes have been committed. In drawing together these discussions, the book provides a significant contribution in assessing how the ICC's practice could be refined or improved in future cases.

The book will be of great use and interest to international criminal law and public international law.

Triestino Mariniello is a Senior Lecturer in Law at Edge Hill University, UK.

Routledge Research in International Law

Available:

International Law and the Third World

Reshaping Justice

Edited by Richard Falk, Balakrishnan Rajagopal and Jacqueline Stevens

International Legal Theory

Essays and Engagements, 1966–2006 *Nicholas Onuf*

The Problem of Enforcement in International Law

Countermeasures, the Non-Injured State and the Idea of International Community

Elena Katselli Proukaki

International Economic Actors and Human Rights

Adam McBeth

The Law of Consular Access

A Documentary Guide John Quigley, William J. Aceves and S. Adele Shank

State Accountability under International Law

Holding States Accountable for a Breach of Jus Cogens Norms Lisa Yarwood

International Organizations and the Idea of Autonomy

Institutional Independence in the International Legal Order Edited by Richard Collins and Nigel D. White

Self-Determination in the Post-9/11 Era

Elizabeth Chadwick

Participants in the International Legal System

Multiple Perspectives on Non-State Actors in International Law Jean d'Aspremont

Sovereignty and Jurisdiction in the Airspace and Outer Space

Legal Criteria for Spatial Delimitation Gbenga Oduntan

International Law in a Multipolar World

Edited by Matthew Happold

The Law on the Use of Force

A Feminist Analysis

Gina Heathcote

The ICJ and the Development of International Law

The Lasting Impact of the Corfu Channel Case Edited by Karine Bannelier, Théodore Christakis and Sarah Heathcote

UNHCR and International Refugee Law

From Treaties to Innovation

Corinne Lewis

Asian Approaches to International Law and the Legacy of Colonialism

The Law of the Sea, Territorial Disputes and International Dispute Settlement

Edited by Jin-Hyun Paik, Seok-Woo Lee, Kevin Y L Tan

The Right to Self-determination Under International Law

"Selfistans," Secession, and the Rule of the Great Powers

Milena Sterio

Reforming the UN Security Council Membership

The Illusion of Representativeness Sabine Hassler

Threats of Force

International Law and Strategy Francis Grimal

The Changing Role of Nationality in International Law

Edited by Alessandra Annoni and Serena Forlati

Criminal Responsibility for the Crime of Aggression

Patrycja Grzebyk

Regional Maintenance of Peace and Security under International Law

The Distorted Mirror Dace Winther

International Law-Making

Essays in Honour of Jan Klabbers Edited by Rain Liivoja and Jarna Petman

Resolving Claims to Self-Determination

Is There a Role of the International Court of Justice? *Andrew Coleman*

The Rise of Tamil Separatism in Sri Lanka

From Communalism to Secession Gnanapala Welhengama and Nirmala Pillay

The United Nations and Collective Security

Gary Wilson

Justice for Victims before the International Criminal Court Luke Moffett

Public-Private Partnerships and Responsibility under International Law

A Global Health Perspective Lisa Clarke

Cultural Diversity in International Law

The Effectiveness of the UNESCO Convention on the Protection and Promotion of the Diversity of Cultural Expressions Edited by Lilian Hanania

Incitement in International Law

Wibke K. Timmermann

The Cuban Embargo under International Law

El Bloqueo Nigel D. White

Resisting United Nations Security Council Resolutions

Sufyan Droubi

The Changing Nature of Customary International Law

Methods of Interpreting the Concept of Custom in International Criminal Tribunals

Noora Arajärvi

Forthcoming titles in this series include:

Technology and the Law on the Use of Force

New Security Challenges in the Twenty First Century Jackson Maogoto

International Law and Boundary Disputes in Africa

Gbenga Oduntan

Justice in International Law

The Legal, Political, and Moral Dimensions of Indigenous Peoples' Rights Mauro Barelli

Means of Transportation and Registration of Nationality

Transportation Register by International Organizations Vincent P. Cogliati-Bantz

The International Criminal Court in Search of its Purpose and Identity

Edited by Triestino Mariniello

Power and Law in International Society

How International Law Influence International Relations $Mark\ Klamberg$

The Responsibility to Protect in International Law

An Emerging Paradigm Shift Susan Breau

Legal Accountability and Britain's Wars 2000-2014

Peter Rozere

Acknowledgements

On 26 April 2013, Edge Hill University hosted an international conference titled 'The First Ten Years of the International Criminal Court: Achievements and Challenges'. This book includes conference proceedings, as reviewed and amended by their authors, as well as further invited contributions. It was a great occasion to host the Vice-President of the ICC, Judge Cuno Tarfusser. My most sincere thanks to him for accepting our invitation and providing an outstanding keynote speech on the opportunities and challenges of the ICC.

Funding for the organization of this event was generously provided by Edge Hill University under the REF Investment Fund. In this regard, I wish to express my gratitude to the Dean of the Faculty of Arts and Sciences, Prof. George Talbot, and the Head of Department of Law and Criminology, Prof. Franco Rizzuto, for their exceptional support for this conference. My thanks also to the Research & Enterprise Support Office, in particular its Director, Dr Nikki Craske, for continuous assistance.

I was honoured to work with academics and practitioners who are among the major experts in international criminal law. My deepest gratitude goes to all the contributors, who shared with us their expertise and views on the law and practice of the ICC. I sincerely hope that the publication of this book constitutes a starting point of a path that will lead us to share our research interests, and develop collaborative projects.

I wish also to thank the Routledge editorial assistant, Dr Mark Sapwell, for his invaluable support, and Mr Mark de Barros for his constant and irreplaceable help.

My thanks also to Dr Peter Langford for his precious advice during the organization of the conference.

For all the rest, my most heartfelt thanks are for Giulia, source of inspiration and poetry.

Contributors

Editor

Triestino Mariniello holds a PhD in International Criminal Law from the University of Naples 2 and an LLM in Human Rights Law from Queen Mary University of London. His research interests focus on international criminal law, criminal justice and human rights, international humanitarian law and European criminal law. Triestino's academic writing has appeared in a variety of journals, including the American Journal of International Law and Nordic Journal of International Law. He has also been involved in a number of collaborative projects organized, inter alia, by European University Institute, College de France and University of Paris 1. Triestino is editor of the Current Developments of the International Criminal Court section of the International Human Rights Law Review. He has been a visiting researcher at the Humboldt University of Berlin and Grotius Centre for International Legal Studies, Leiden University. He has also been a guest lecturer in numerous universities in Italy, the United Kingdom and Sweden. Triestino is a qualified barrister in Italy and he has served as a visiting professional and associate legal officer at the Pre-Trial Division of the International Criminal Court. He has been consulted as an expert in criminal justice and human rights by governmental and non-governmental organizations, such as the International Bar Association, Italian Ministry of Defence, Italian Training School for Judges and Prosecutors, and the International Observatory on Human Rights. He joined the Department of Law and Criminology at Edge Hill University in September 2012, teaching on the LLM in International Justice and Human Rights.

Contributors

Morris A. Anyah is an attorney who specializes in complex trials and appeals before national and international courts. He has served as counsel for victims before the International Criminal Court and as defence counsel for former Liberian President Charles Taylor before the Special Court for Sierra Leone. He has also served as a Legal Officer within the Office of the Prosecutor at the ICTY, and argued significant genocide cases on appeal before the ICTR Appeals Chamber. He is admitted to several Bars in the United States and is currently in private legal practice in Chicago.

Olympia Bekou is Professor of Public International Law and Head of the International Criminal Justice Unit of the University of Nottingham Human Rights Law Centre. She has also held fellowship and visiting positions in Germany, Australia, France and Turkey, where she researched and taught international criminal law. Olympia has extensive experience in the management of large research grants and is currently leading a number of capacity-building projects. She has provided research and capacity-building support for 63 States, through intensive training to more than 75 international government officials, drafted assistance to Samoa, Fiji and Jamaica, and has been involved in training the Thai Judiciary. She has also undertaken capacity-building missions in post-conflict situations such as Uganda, the DRC and Sierra Leone. Olympia is responsible for the National Implementing Legislation Database of the International Criminal Court's Legal Tools Project and is Deputy Director of the Case Matrix Network.

Inez Braber graduated from the University of Amsterdam School of Law in 2013 with a specialization in international terrorism. She studied in Italy and the Netherlands, is currently finishing her Master's thesis in philosophy on Hannah Arendt and human rights, and plans to pursue a PhD in international criminal law at the University of Amsterdam with Professor Van der Wilt. She is a reporter for the International Criminal Court project of Oxford University Press and works as a professional chef.

Silvia D'Ascoli is an Italian lawyer and international criminal attorney with expertise in the fields of international criminal law and human rights. Since 2007, Silvia has served as Legal Officer for the Office of the Prosecutor (OTP) of the UN International Criminal Tribunal for the former Yugoslavia (ICTY). In this capacity, Silvia has worked for both the Trial and the Appeals Section of the OTP, focusing on war crime cases concerning Kosovo and Bosnia. She is currently working on the case *Prosecutor v Ratko Mladić*. Silvia received her PhD in Law from the European University Institute (Florence, Italy), in 2008. She regularly publishes in international legal journals and is the author of a monograph on *Sentencing in International Criminal Law* (Hart Publishing, 2011). Since 2011, she has been a visiting lecturer in human rights and international tribunals at the Université Catholique de Lille & Paris (Faculté Libre de Droit

de Lille, France). Silvia is also a member of the Justice Rapid Response's Roster of Experts for human rights investigations in conflict zones.

Sarah Finnin is currently employed as an Associate Legal Officer with the Office of the Prosecutor of the International Criminal Tribunal for the former Yugoslavia. Sarah received a PhD in Law from the University of Melbourne in 2011. Her thesis, *Elements of Accessorial Modes of Liability: Article 25(3)(b) and (c) of the Rome Statute of the International Criminal Court*, was published by Martinus Nijhoff in 2012. Sarah worked as a Prosecutor based in Alice Springs in the Northern Territory of Australia between 2011 and 2013. She acted as Senior Associate to Justice Lex Lasry of the Supreme Court of Victoria between 2007 and 2009. In 2004, 2005 and 2007, Sarah made three extended visits to Washington DC to work with the Office of the Chief Defense Counsel for the US Office of Military Commissions. She was engaged as a research assistant at the Asia Pacific Centre for Military Law throughout her undergraduate and postgraduate studies.

Noelle Higgins is a Senior Lecturer in the Law Department at Maynooth University, Ireland. She previously studied and worked in the Irish Centre for Human Rights at the National University of Ireland, Galway. Her main areas of teaching and research are international criminal procedure and international humanitarian law.

Paolo Lobba is a Research Fellow at the University of Bologna (Italy). He holds a PhD in Criminal Law and Procedure from Bologna University in co-tutelle with Humboldt-University Berlin, and has been admitted to the Italian Bar Association since 2011. He worked with the United Nations in Phnom Penh (Cambodia), where he assisted the Extraordinary Chambers in the Courts of Cambodia as Associate Legal Officer. In this capacity, he was assigned to the appellate proceedings in the Duch case, dealing especially with the issues of jurisdiction, illegal detention, sentencing and victims' reparations. Thanks to a number of research grants, including a 10-month post-doctoral scholarship awarded by the German public institution DAAD, he worked with Professor Gerhard Werle at the Humboldt-University Berlin, addressing a wide range of international criminal law questions. His doctoral dissertation analysed the crime of Holocaust denial (and that of other serious crimes) in Europe, examining not only domestic legislation and case law, but also relevant European Union acts and the jurisprudence of the European Court of Human Rights.

Stefano Manacorda (BA University of Naples; LLM Université Paris 1 Panthéon-Sorbonne; PhD University of Florence) is Professor in Criminal Law at the University of Naples 2, where he is also the director of the doctoral programme. Currently he is also a visiting Professor at the Ecole de droit de la Sorbonne, Université Paris, and previously he was visiting Professor at the University of Paris II Panthéon-Assas, at the University of Geneva and the Institut d'Etudes Politiques, Sciences Po in Paris. He has published extensively in the fields of European, Comparative and International Criminal Law. His

research focuses mainly on corporate criminal liability (Preventing Corporate Corruption. The Anti-Bribery Compliance Model, Springer, 2014; La responsabilité pénale des personnes morales: perspectives européennes et internationales, Société de Législation Comparée, 2013), the protection of cultural heritage (Crime in the Art and Antiquities World. Illegal Activities in Cultural Property and Criminal Policy Responses, Springer, 2011) and the right to life (« Devoir de punir? » Le système pénal et la protection internationale du droit à la vie, Société de Législation Comparée, 2013).

Chantal Meloni teaches International Criminal Law at the University of Milan. She is a lawyer and holds a PhD in comparative criminal law from the University of Pavia. In 2006/2007 she worked at the ICC as Legal Assistant in Chambers. She is currently at the Humboldt University of Berlin as an Alexander von Humboldt fellow. Her research interests focus on international criminal law, accountability mechanisms, individual responsibility for international crimes and the protection of victims of international crimes. She is the author of Command Responsibility in International Criminal Law (T.M.C. Asser, 2010) and of several scientific articles published in international journals and collective volumes. She is also the co-editor of Is There a Court for Gaza? A Test Bench for International Justice (T.M.C. Asser/Springer, 2012).

Patricia Pinto Soares has a PhD in Law from the European University Institute, and is currently working as a human rights officer in DRC. Previously, she worked as a legal officer at INTERPOL. During the academic year 2009-2010, she was a researcher at the Center for Transatlantic Relations of SAIS Johns Hopkins University, in Washington DC. She further worked at an international law firm in Lisbon, and gained experience at the Extraordinary Chambers of the Court of Cambodia, in Phnom Penh, in 2007.

Niccolò Pons is an Associate Legal Officer in Pre-Trial Division, Chambers of the International Criminal Court. He previously worked for a Trial Chamber of the Court. Mr Pons is also a Project Consultant for the Fondazione Istituto Gramsci in Rome, Italy, and a lecturer in international criminal procedure at the United Nations Interregional Crime and Research Institute in Turin, Italy. In addition, he is enrolled as an expert/instructor for the Antonio Cassese Initiative for Justice, Peace and Humanity. Mr Pons holds an LLM in international humanitarian law from the Geneva Academy of International Humanitarian Law and Human Rights. He has published in the field of international criminal law and procedure.

Lyal S. Sunga is a Visiting Professor at the Raoul Wallenberg Institute (RWI) for Human Rights and Humanitarian Law in Lund, Sweden, and Special Advisor on Human Rights and Humanitarian Law at the International Development Law Organization in Rome, Italy. He specializes in international human rights law, international humanitarian law and international criminal law, and holds a Bachelor of Arts (Carleton), Bachelor of Laws (Osgoode Hall Law School), Master of Laws in International Human Rights Law (Essex) and PhD in International Law (The Graduate Institute of International Studies). He has given presentations, university courses, lectures and training, and conducted human rights technical assistance in more than 50 countries. From 1994 to 2001, Dr Sunga was Human Rights Officer at the UN Office of the High Commissioner for Human Rights in Geneva, in which capacity he investigated facts and responsibilities relating to the 1994 genocide in Rwanda for the UN Security Council's Commission of Experts on Rwanda. In 2007, he served as Coordinator for the UN Human Rights Council's Group of Experts on Darfur. Over the last 25 years, he has worked or consulted with the UN Security Council, UNOHCHR, UNDP, UNDEF, UNU, UNHCR, UNITAR, UNODC, the ILO, EU, IDLO and Swiss Development Cooperation, as well as with National Human Rights Commissions in the Russian Federation, Ethiopia, Uganda, Nigeria, Bangladesh and Nepal. Sunga has authored two books on international criminal law and publishes widely on such issues as the use of force in international relations, humanitarian intervention, truth and national reconciliation, human security, and techniques of human rights monitoring, investigation and reporting.

Giulio Vanacore has been a post-doctoral researcher in Criminal Law at the University of Urbino since April 2013 (research project on complementarity before the International Criminal Court). He graduated summa cum laude in 2008 at University of Naples Federico II. After three academic years (2008–2011), he wrote a PhD thesis in criminal law titled 'The Admissibility Assessment Before the International Criminal Court and the First Case Law: A Model of Complementarity'. He has been collaborating with Department Chairs of International, Comparative and Italian Criminal Law at the University of Naples 2 and at the University of Urbino, as well as with the Department Chair of International Law at University of Naples Federico II. In 2012, he worked as an intern for the United Nations International Criminal Tribunal for the former Yugoslavia Trial Chambers. In 2013, he participated in an Italian government commission of experts, instituted by the Italian President of the Council of Ministers, with the aim of studying and amending several criminal provisions on organized crime, mafia and economic crimes.

Harmen van der Wilt is Professor of International Criminal Law at the Amsterdam School of Law, University of Amsterdam. His research interests include concepts of criminal responsibility in international criminal law, domestic prosecutions of international crimes, legal reaction to terrorism, International Criminal Law and legal philosophy, history in the courtroom, European Arrest Warrant and transnational crimes. Van der Wilt is a member of the editorial board of the Journal of International Criminal Justice and the Netherlands Yearbook of International Law. He has been a member of the Research council of the EU(F7)-project on the European Arrest Warrant and a member of the Steering Committee of F7 project DOMAC (Impact of International Courts on Domestic Procedures in Mass Atrocity cases). Van der Wilt has been an ad litem Judge in the Criminal Court of Roermond and is currently an ad litem judge in the Extradition Chamber of the District Court in Amsterdam.

Abbreviations

ASP Assembly of States Parties to the Rome Statute

AU African Union

CAR Central African Republic

DDRRR Disarmament, Demobilization, Repatriation, Reintegration and

Resettlement

DRC Democratic Republic of the Congo

ECCC Extraordinary Chambers in the Courts of Cambodia

ECtHR European Court of Human Rights ELN Ejército de Liberación Nacional

FARC Fuerzas Armadas Revolucionarias de Colombia – Ejército del

Pueblo

FARDC Forces Armées de la République Démocratique du Congo FDLR Forces Démocratiques pour la Libération du Rwanda

FNI Front des Nationalistes et Intégrationnistes FPLC Forces Patriotiques pour la Libération du Congo

FRPI Force de Résistance Patriotique de l'Ituri

ICC International Criminal Court
ICJ International Court of Justice
ICT International Criminal Tribunal

ICTJ International Center for Transitional Justice ICTR International Criminal Tribunal for Rwanda

ICTY International Criminal Tribunal for the former Yugoslavia

IHL International Humanitarian Law
 ILC International Law Commission
 JCE Joint Criminal Enterprise
 LRA Lord's Resistance Army

LRV Legal Representative of the Victims
MLC Mouvement pour la Libération du Congo

MNLA National Movement for the Liberation of Azawad

NGO non-governmental organization

OIC Organisation of the Islamic Conference

OPCV Office of Public Counsel for the Victims of the International

Criminal Court

xviii Abbreviations

OTP Office of the Prosecutor of the International Criminal Court

PCIJ Permanent Court of International Justice

PNC Police Nationale Congolaise

RPE Rules of Procedure and Evidence of the International Criminal

Court

SCSL Special Court for Sierra Leone STL Special Tribunal for Lebanon

TFV Trust Fund for Victims

TRC Truth and Reconciliation Commission

UN United Nations

UNHCR United Nations High Commissioner for Refugees

UNOCHA United Nations Office for Coordination of Humanitarian Affairs

UPC Union des Patriotes Congolais

VCLT Vienna Convention on the Law of Treaties

VWU Victims and Witnesses Unit of the International Criminal Court

Contents

Contributors Abbreviations	xii xvii
'One, no one and one hundred thousand': Reflections on the multiple identities of the ICC TRIESTINO MARINIELLO	1
PART I Crimes and modes of liability	15
1 The case for inclusion of terrorism in the jurisdiction of the International Criminal Court HARMEN VAN DER WILT AND INEZ BRABER	17
2 Command responsibility, joint commission and 'control over the crime' approach in the first ICC jurisprudence CHANTAL MELONI	39
PART II Rights of the accused and of victims	59
The right not to be tried twice for international crimes: An overview of the ne bis in idem principle within the Statutes of the ICC and the international criminal tribunals STEFANO MANACORDA AND GIULIO VANACORE	61
4 Balancing rights of the accused with rights of victims before the International Criminal Court MORRIS A. ANYAH	79