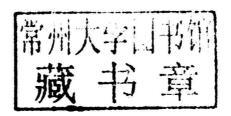
# Environmental Law

Zhu Xiao



# **Environmental Law**

Zhu Xiao



China Environment Press • Beijing

#### 图书在版编目(CIP)数据

环境法=Environmental Law: 英文/竺效著. 一北京: 中国环境出版社, 2014.1

ISBN 978-7-5111-1715-1

I. ①环⋯ II. ①竺⋯ III. ①环境保护法 — 研究—中国—英文 IV. ①D922.684

中国版本图书馆 CIP 数据核字 (2014) 第 019787 号

出版人 王新程 责任编辑 赵惠芬 责任校对 扣志红 封面设计 彭 杉 主桅品牌设计

出版发行 中国环境出版社

(100062 北京东城区广渠门内大街 16号)

网 址: http://www.cesp.com.cn

电子邮箱: bjgl@cesp.com.cn

联系电话: 010-67112765 (编辑管理部)

发行热线: 010-67125803, 010-67113405 (传真)

印 刷 北京中科印刷有限公司

经 销 各地新华书店

版 次 2014年1月第1版

印 次 2014年1月第1次印刷

开 本 787×1092 1/16

印 张 22

字 数 456 千字 定 价 60.00 元

【版权所有。未经许可请勿翻印、转载,侵权必究】 如有缺页、破损、倒装等印装质量问题,请寄回本社更换

## **Preface**

Environment issue has become a complex issue involving many fields such as politics, economy, diplomacy, society, culture, science and technology with multiple dimensions. International negotiations on key environmental topics such as addressing climate change and conservation of biodiversity increasingly become the hot topic of foreign affairs and focus of interests of many countries in the world. This has something to do with deepening understanding of the international communities in the field of environment and development. In particular, there are four historic leaps of human understanding about eco environment issues. The first leap happened at the First United Nations Conference on the Human Environment in Stockholm. Sweden in June of 1972. This conference gives a warning to the whole world that there is "Only One Earth". The Declaration on the Human Development adopted by the conference stresses that "Man has constantly to sum up experience and go on discovering, inventing, creating and advancing." The second leap happened at the United Nations Conference in Environment and Development held in Rio de Janeiro, Brazil in June, 1992. This meeting for the first time considers economic development in the context of environmental protection, identifies the principle of "common but differentiated responsibilities" and puts forward sustainable development strategy. The third leap happened at the World Summit on Sustainable Development held in Johannesburg, South Africa in August of 2002. This meeting identifies that economic development, social progress and environmental protection are the three pillars for sustainable development. The fourth leap occurred at the United Nations Conference on Sustainable Development held in Rio de Janeiro, Brazil in June of 2012. Targeting the theme of "Build Green

Economy to Achieve Sustainable Development and Lift People out of Poverty" and "Institutional Framework for Sustainable Development", this conference has discussed and adopted the outcome document The Future We Want centering on the three objectives of "Securing renewed political commitment for sustainable development", "Assessing the progress and implementation gaps in meeting previous commitments" and "Addressing new and emerging challenges". It has decided to launch the process for achieving the objectives of sustainable development and help developing countries enhance their capacity in sustainable development.

The commencement, growth and development of environmental protection cause of China keep the same pace with that of the world. As the biggest developing country in the world, China makes active contributions to the four leaps in the field of global environment and development. In particular, the Central Committee of Communist Party of China and the State Council put forward a series of new concepts such as the development of ecological civilization, ecological restoration of rivers and lakes, promotion of historic transformations of environmental protection and exploration of new path to environmental protection that protects the environment in the process of development and development in the process of environmental protection; release many major policy measures since the beginning of the "11<sup>th</sup> Five-Year Plan" period to facilitate important changes of environmental protection from understanding to practice.

In view of the difficulties such as still prominent imbalanced, uncoordinated and unsustained development issue, inappropriate industrial structure, increasing resource and environment constraints and evidently more social contradictions in the development of our country, the 18<sup>th</sup> National Congress of Communist Party of China closed in mid November of 2012 for the first time integrates the development of ecological civilization into the five overall arrangements for the cause of socialism with Chinese characteristics. This is a key innovation of the Chinese Government in both concept and practice of development; a

strategic option complying with the new trend of green and low-carbon development of the world; and an effective approach to facilitate our economy and society following the path of comprehensive, coordinated, sustainable and scientific development. The development of ecological civilization is both a key development issue and an important welfare issue. It is conducive to the improvement of eco environment quality, promotion of social harmony and stability and enhancement of sustainable development capacity. We must establish the idea of ecological civilization that respects, complies with and protects nature; put the development of ecological civilization at prominent position, and incorporate it into all aspects and whole process of economic, political, cultural and social development; strive for developing beautiful China, march toward new era of socialist ecological civilization, achieve sustainable development of the Chinese nation and make contributions to global ecological safety.

The Chinese Government firmly adheres to the independent foreign policy of peace, unswervingly follows the path of peaceful development and strives for developing a harmonious world of lasting peace and common prosperity. In international environment cooperation and exchanges, China firmly adheres to the principles of common but differentiated responsibilities, equity and respective capability; proactively lends a helping hand to developing countries, shoulders the obligations and responsibility for foreign aid within our capacity, actively strengthens the unity and cooperation with developing countries, jointly safeguards legitimate rights and interests of developing countries, supports stronger representation and voice of developing countries in international affairs, and will always be a reliable friend and sincere partner of developing countries.

With the Green Envoy Program of China South — South Environment Cooperation, Ministry of Environmental Protection has organized a range of environmental protection training courses for environmental officials and professionals of developing countries over the past few years with participants from the countries of regions like

Africa, West Asia, Oceania, Southeast Asia, Central Asia and Latin America, which have facilitated bilateral and multilateral environmental cooperation; strengthened friendship and mutual understanding and obtained good achievement. To better standardize the management of international environmental training and exchange activities in the future, Ministry of Environmental Protection has organized relevant experts to compile a series of books for environmental training under the Green Envoy Program of China South — South Environmental Cooperation. This series include six books that systematically summarize typical policies, laws and regulations, management skills and professional expertise in environmental protection field of China. With both professional theory and analysis on practical cases, this series of books fill the gap of the textbooks for training overseas participants in the field of environmental protection.

I believe that the publication of this series of books will showcase and publicize new concepts and successful practice of environmental protection of our country, especially important role in publicizing successful experience of our country in the development of ecological civilization and beautiful China



### 丛书编委会

主 编: 唐丁丁

副主编: 宋小智 贾 峰 周国梅

编 委: (按姓氏笔画排序)

方 莉 王 茜 刘 宁 刘之杰 李 霞

洪少贤 唐大为 曾红鹰

编 务: (按姓氏笔画排序)

闫 枫 吴 静 李艳梅 庞 骁 赵 欢

穆照经

#### 《环境法》

作者: 竺 效

主审: 汪 劲

#### 《环境监测》

作者: 段凤魁

主审: 黄 俊

#### 《环境污染防治技术》

作者: 刘静玲 张璐璐 刘之杰

主审: 温雪峰

#### 《环境管理》

作者: 靳 敏

主审: 刘静玲

#### 《国际环境法》

作者:宋英

主审: 徐庆华

#### 《环境与发展》

作者: 董世魁 刘之杰

主审: 夏 光

#### Editorial Board of Book Series

Editor in Chief Tang Dingding

Deputy Editor in Chief Song Xiaozhi Jia Feng Zhou Guomei

The Editorial Members Fang Li Wang Qian Liu Ning Liu Zhijie

Li Xia Hong Shaoxian Tang Dawei

Zeng Hongying

The Editorial Staffs Yan Feng Wu Jing Li Yanmei Pang Xiao Zhao Huan Mu Zhaojing

#### Environmental Law

Author: Zhu Xiao

Reviewer: Wang Jin

#### **Environment Monitoring**

Author: Duan Fengkui

Reviewer: Huang Jun

#### Environmental Pollution Control Technology

Author: Liu Jingling Zhang Lulu Liu Zhijie

Reviewer: Wen Xuefeng

#### **Environmental Management**

Author: Jin Min

Reviewer: Liu Jingling

#### International Environmental Law

Author: Song Ying

Reviewer: Xu Qinghua

#### Environmental and Development

Author: Dong Shikui Liu Zhijie

Reviewer: Xia Guang

# CONTENTS

Chapt	er I	Introduction	l
Chapt	er 2	An Introduction to Environmental Law	5
2.1		cept of Environmental Law	
	2.1.1		
		Laws"	5
	2.1.2		
2.2	Fun	damental Principles of Environmental Law	
	2.2.1	The Preventive Principle	11
	2.2.2	The Principle of Coordinated Development	12
	2.2.3		
	2.2.4	The Public Participation Principle	16
	2.2.5	The Principle of Cooperation	17
2.3	The	Formulation of Environmental Laws	18
	2.3.1	The Primary Basis of Environmental Legislation	18
	2.3.2	The Subjects of Environmental Legislation	32
	2.3.3	Major Procedures of Environmental Legislation	49
2.4	The	Historical Development of Chinese Environmental Laws	51
	2.4.1	The Chaos Preceding the Era of Environmental	
		Legislation	51
	2.4.2	The Period of Preliminary Formation of Environmental	
		Laws	52
	2.4.3	The Initial Development of Environmental Laws	54
	2.4.4	The Formation and Reformation of Environmental Laws	57
2.5	The	Sources of China's Existing Environmental Laws	59
	2.5.1	Sources of Environmental Law	59
	2.5.2	System of Sources of Environmental Law	64
	2.5.3	The Composition of China's Existing Sources of	
		Environmental Laws	69

Chapter 3 Fundamental Legal Regimes for Environmental				
	F	Protection81		
3.1	Envir	onmental Standards82		
	3.1.1	The Concept of the Environmental Standards Regime82		
	3.1.2	The Legal Basis of the Formulation of Environmental		
		Standards82		
	3.1.3	The Classification of Environmental Standards84		
3.2	Envir	onmental Planning93		
	3.2.1	The Concept of Environmental Planning93		
	3.2.2	The Major Contents of the Environmental Planning		
		Laws94		
	3.2.3	The Validity of Environmental Plans96		
3.3	Envir	onmental Impact Assessments97		
	3.3.1	Overview of Environmental Impact Assessments97		
	3.3.2	Environmental Impact Assessment of Planning100		
	3.3.3	Environmental Impact Assessment of Construction		
		Projects		
	3.3.4	The "Three Simultaneities" Relating to EIA113		
3.4	Envir	onmental Administrative Licensing116		
	3.4.1	The MEP Is in Charge of Environmental Licensing117		
	3.4.2	Environmental Licensing Matters in the Charge of		
		Local DEPAs121		
	3.4.3	Legal Liabilities in the Environmental Administrative		
		Licensing Regime		
3.5	Disclo	osure of Environmental Information		
	3.5.1	Introduction to the Disclosure of Environmental		
		Information		
	3.5.2	Disclosure of Governmental Environmental Information 132		
	3.5.3	Disclosure of Enterprise Environmental Information		
3.6	Envir	onmental Emergency Response Management140		
	3.6.1	Legislation on Environmental Emergency Response		
		Management in China141		
	3.6.2	The Organization and Responsibilities of Present EERP		
		Management in China143		
	3.6.3	Legal Mechanisms for Environmental Emergency		
		Response Management in China145		

Chpter	r 4 Co	ontent of Environmental Law in China153
4.1	Gener	ral Introduction to the Content of Existing Environmental
	Law	in China
	4.1.1	General Environmental Laws
	4.1.2	Law on the Prevention and Control of Environmental
		Pollution
	4.1.3	Nature Conservation Law
	4.1.4	Laws on the Circular Management of Substances and
		Energy Conservation161
	4.1.5	Laws on Comprehensive Administration of the
		Environment163
	4.1.6	Environmental Legal Liabilities
4.2	Laws	on the Prevention and Control of Environmental Pollution 173
	4.2.1	Atmospheric Pollution
	4.2.2	Water Pollution
	4.2.3	Marine Pollution
	4.2.4	Noise Pollution
	4.2.5	Environmental Pollution by Solid Waste
	4.2.6	Pollution by Chemical Substances201
	4.2.7	Radioactive Pollution
	4.2.8	Control of Electromagnetic Radiation
	4.2.9	Livestock Pollution
4.3	Natur	e Conservation Law
	4.3.1	The Overview of the Nature Conservation Law212
	4.3.2	Legislation on Wildlife Protection
	4.3.3	Legislation on Wild Plant Species Protection218
	4.3.4	Legislation on Nature Reserves
	4.3.5	Legislation for the Protection of Marine Ecology224
	4.3.6	Legislation for the Protection of Terrestrial Resources225
	4.3.7	Forest Protection Legislation
	4.3.8	Water Protection Legislation
	4.3.9	Grassland Protection Legislation
4.4	Law	on Circular Management of Substances and Energy
	Cons	servation Law
	4.4.1	Concepts of Law on Circular Management of
		Substances and Energy Conservation Law
	4.4.2	Clean Production Promotion Legislation

	4.4.3	Circular Economy Promotion Legislation	235
	4.4.4	Energy Conservation Legislation	238
	4.4.5	Legislation Governing the Use of Renewable Energy	239
Chapt	er 5	Administrative Enforcement of Environmental Law	
5.1	Envir	ronmental Administrative Law Enforcement Subjects	245
	5.1.1		
		Enforcement Institutions in China	245
	5.1.2	The Current Environmental Administrative System in	
		China	247
	5.1.3	Distribution of Environmental Administrative Law	
		Enforcement Powers and Duties among Administrative	
		Departments	248
	5.1.4	The Current Administrative Departments of	
		Environmental Protection at Different Levels	254
5.2	Admi	inistrative Enforcement	255
	5.2.1	Concept, Characteristics and Principles of	
		Environmental Administrative Law Enforcement	255
	5.2.2	Effects of Environmental Administrative Law	
		Enforcement	257
	5.2.3	Modes of Environmental Administrative Law	
		Enforcement	258
	5.2.4	Main Procedures of Environmental Administrative Law	
		Enforcement	262
5.3	Ex-po	ost Supervision of Environmental Administrative Law	
	Enfo	rcement	271
	5.3.1	The Subjects of Ex-post Supervision of Environmental	
		Administrative Law Enforcement	271
	5.3.2	i i	
		Administrative Law Enforcement	272
	5.3.3	Measures for Ex-post Supervision of Environmental	
		Administrative Law Enforcement	272
	5.3.4	Procedures for Ex-post Supervision of Environmental	
		Administrative Law Enforcement	273
	5.3.5	Results of Ex-post Supervision of Environmental	
		Administrative Law Enforcement	
	5.3.6	Duties and Legal Liabilities	275
5.4	Supe	rvision of Environmental Administrative Law	

	Enfor	cement by Counterparts275	
	5.4.1	Environmental Administrative Reconsideration	
	5.4.2	Environmental Administrative Litigation	
Chapte	er 6 L	egal Liabilities of Environmental Law	
6.1	Civil 1	Liability286	
	6.1.1	The Constitutive Elements of Environmental Tort	
		Liability288	
	6.1.2	Environmental Tort Liability Defenses	
	6.1.3	Assumption of Environmental Tort Liability293	
	6.1.4	Accountability Procedures for Environmental Tort	
		Liability294	
6.2	Admi	nistrative Liability295	
	6.2.1	The Constitutive Elements of Environmental	
		Administrative Liabilities	
	6.2.2	Disciplinary Sanctions and Penalties	
6.3	Crimi	nal Liabilities305	
	6.3.1	The Constitutive Elements of Environmental Criminal	
		Liability306	
	6.3.2	Major Environmental Crimes and Corresponding	
		Criminal Liabilities309	
	6.3.3	Basic Procedures for Transferring Suspected	
		Environmental Offenders' Cases by DEPAs320	
Chapte	er 7 (	Conclusion	
		324	
		thor327	
		ments	
Abbreviations and Acronyms331			

#### Chapter 1 Introduction

Environmental protection, in this book, is a general concept that refers to all actions taken by mankind to solve actual or potential environmental problems, coordinate the relationship between human beings and their environment, and ensure sustainable development of the economy and society. In accordance with that conception, the main methods adopted to achieve the goal of environmental protection are engineering techniques, administrative, legal and economic instruments, and education.

Environmental protection and environmental management are two different, though interconnected, concepts. Environmental management realizes its functions of regulating and controlling the human impact on the environment through various management tools within the capacity of an environment or ecology, aiming at harmonization of socioeconomic development and protection of the environment. It is based on the underlying theories of environmental science. Environmental management and environmental protection share the same purposes but differ in the scope of their subjects, their means, and the nature of their activities.

This book aims to prove that environmental laws are indispensable to environmental protection and environmental administration in the Chinese context. Environmental law can be understood to refer to a jurisdiction's panorama of practical legislation for environmental protection.

In addition, the practical experiences of environmental protection in countries under the rule of law, including China, lay the groundwork for this book, demonstrating that environmental protection and environmental administration should be based on the establishment of a comprehensive body of environmental laws, regulations, and rules at every level. In order to properly enforce these laws and regulations in China, an effective law-enforcement mechanism suitable for China's political system is essential. Civil, administrative and criminal liability rules should be used to deter potential infringers, and the law should set out measures to prevent environmental pollution and damage to natural resources. But without access to efficient and just litigation proceedings to make infringers accountable for their behaviour, law-enforcement mechanisms will be meaningless.