The Role of Domestic Courts in Treaty Enforcement

A COMPARATIVE STUDY

Edited by

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THE ROLE OF DOMESTIC COURTS IN TREATY ENFORCEMENT

A Comparative Study

This book examines the application of treaties by domestic courts in eleven countries. The central question is whether domestic courts actually provide remedies to private parties who are harmed by a violation of their treaty-based rights. The analysis shows that domestic courts in eight of the eleven countries – Australia, Canada, Germany, India, the Netherlands, Poland, South Africa, and the United Kingdom – generally do enforce treaty-based rights on behalf of private parties. On the other hand, the evidence is mixed for the other three countries: Israel, Russia, and the United States. In Israel and Russia, the trends are moving in the direction of greater judicial enforcement of treaties on behalf of private parties. The United States is the only country surveyed where the trend is moving in the opposite direction. U.S. courts' reluctance to enforce treaty-based rights undermines efforts to develop a more cooperative global order.

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David Sloss is a Professor of Law and the Director of the Center for Global Law and Policy at Santa Clara University School of Law. He was a faculty member at Saint Louis University School of Law from 1999 to 2008. Professor Sloss has published numerous law review articles related to the domestic application of international law in U.S. courts. His articles have been published in leading journals, such as Stanford Law Review, Cornell Law Review, the American Journal of International Law, the Yale Journal of International Law, the Virginia Journal of International Law, and the Columbia Journal of Transnational Law. Before embarking on an academic career, Professor Sloss spent nine years as a civil servant in the U.S. government. During that time, he helped draft and negotiate several important treaties and other international agreements.

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Preface

As I prepare to send this book to the printer, President Obama is evaluating potential nominees to fill Justice Souter's soon-to-be-vacant slot on the United States Supreme Court. The selection of the next Supreme Court Justice could have significant implications for the international legal system and for the United States' participation in that system.

The last two individuals appointed to the Supreme Court – Chief Justice Roberts and Justice Alito – view international law with a mixture of contempt and indifference, as evidenced by the Chief Justice's 2008 opinion in *Medellin v. Texas* (which Justice Alito joined). They apparently view their job, in part, as one of shielding the domestic legal system from the unwanted intrusion of international law. Their elevation to the nation's highest court exemplifies a broader trend in which the judicial branch in the United States has become a key obstacle to the nation's performance of its international treaty obligations.

This book demonstrates that U.S. judges are out-of-step with their counterparts in other modern democratic nations. In most of the nations surveyed in this volume, domestic courts play a constructive role in promoting compliance with national treaty obligations by providing remedies to private parties who are harmed by a violation of their treaty-based rights. For most of United States history, judges in this nation played a similar role: they routinely enforced treaties on behalf of private parties, as envisioned by the Constitution's founders. Unfortunately, over the past several decades, U.S. presidents have filled the courts with judges who have abandoned the judiciary's traditional mission of enforcing individual rights protected under international law.

President Obama has an historic opportunity to reverse this unfortunate trend by appointing judges who, like their counterparts in other free

countries, will use their judicial power to promote compliance with international treaty obligations, rather than obstructing performance of those obligations. One Supreme Court Justice cannot transform the legal system overnight. But she can help nudge the courts toward an international-law-friendly perspective on the U.S. constitutional system. If this book makes a small contribution to that goal, the editor will be very gratified.

David Sloss Santa Clara, California May 2009

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As a professor, I am always thankful for the generosity of the Deans who support my scholarship. I began this project as a professor at Saint Louis University (SLU). I am grateful to Jeff Lewis, the Dean at SLU, for his consistent generosity in funding my research. I completed this project as a professor at Santa Clara University. Don Polden, the Dean at Santa Clara, has been equally generous in funding my work during the final stages of this project. I am very grateful for his support.

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I presented an early draft of the chapter on the United States in December 2007 at a meeting of the American Society of International Law interest group on international law in domestic courts. Several people at that meeting provided valuable feedback, including Curt Bradley, Jacob Cogan, Duncan Hollis, John McGinnis, Peggy McGuinness, Paul Stephan, David Stewart, Ed Swaine, Melissa Waters, Mark Weisburd, and Ingrid Wuerth. I made fairly drastic revisions in response to their insightful criticisms. I believe that the final chapter is much better, thanks to their comments. I also received valuable feedback on subsequent drafts of the chapter from Katherine Barnes, Robert Chesney, Marina Hsieh, Bradley Joondeph, and Chris Whytock. I thank all of them for their comments, suggestions, and insights. Of course, I alone am responsible for any deficiencies in the final chapter.

I presented a draft of Chapter 1 in December 2008 at a meeting of the Northern California International Law Scholars group. At that meeting, I received valuable comments from Diane Amann, John Barton, David Caron, Anupam Chander, Bill Dodge, Oona Hathaway, and Beth Van Schaack. Others who provided very helpful feedback on Chapter 1 include Anthony Aust, John Dugard, Duncan Hollis, Sean Murphy, John Parry, Andreas Paulus, and Gib van Ert. I am certain that the final product is much better as a result of their comments and suggestions. As above, I am solely responsible for any remaining errors, mistakes, or omissions.

Two people deserve special thanks here. I began this project as a joint venture with Derek Jinks. Derek was my coeditor during the early phases of the project. Although he withdrew midway through the project, I never would have begun the book without his participation. The entire book bears the stamp of his remarkable intellectual energy. Thank you, Derek – I could not have done this without you. Michael Van Alstine wrote the concluding chapter of this book (Chapter 14). Michael is the only person, other than myself, who read every chapter of this book more than once. Michael and I exchanged multiple drafts of Chapters 1 and 14 as we both read successive iterations of the other chapters. I learned a tremendous amount from this intellectual exchange; the final product is undoubtedly much improved as a result of this process.

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