

ART AND COPYRIGHT SECOND EDITION



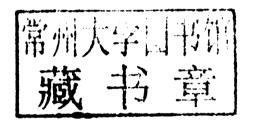
Simon Stokes

Art and Copyright

Second Edition

SIMON STOKES

Solicitor; Partner, Blake Lapthorn; Visiting Research Fellow in Law, The Business School, Bournemouth University





OXFORD AND PORTLAND, OREGON 2012

Published in the United Kingdom by Hart Publishing Ltd 16C Worcester Place Oxford

OX1 2JW Tel: +44 (0)1865 517530

Fax: +44 (0)1865 510710

Email: mail@hartpub.co.uk

Website: http://www.hartpub.co.uk

Published in North America (US and Canada) by Hart Publishing c/o International Specialized Book Services 920 NE 58th Avenue, Suite 300 Portland, OR 97213-3786 USA

Tel: +1 (503) 287-3093 or toll-free: +1 (800) 944-6190

Fax: +1 (503) 280-8832 Email: orders@isbs.com Website: http://www.isbs.com

© Simon Stokes 2012

Simon Stokes has asserted his right under the Copyright, Designs and Patents Act 1988 to be identified as the author of this work.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, without the prior permission of Hart Publishing, or as expressly permitted by law or under the terms agreed with the appropriate reprographic rights organisation. Enquiries concerning reproduction which may not be covered by the above should be addressed to Hart Publishing Ltd at the address above.

British Library Cataloguing in Publication Data
Data Available

ISBN: 978-1-84946-162-7

Typeset by Hope Services, Abingdon Printed and bound in Great Britain by Page Bros (Norwich) Ltd

ART AND COPYRIGHT

In recent years the intellectual property protection afforded to works of art has received increased attention from artists, museums, galleries, auction houses, publishers and their professional staff and legal advisers, as well as from those teaching or studying copyright and/or the law of cultural property. This was the first text to examine in detail the intellectual property rights protecting artistic works and artists' rights generally in the United Kingdom, First published in 2001, Art and Copyright has established itself as a leading text in the field. Now revised and updated, the second edition includes expanded coverage of Artist's Resale Right and the relationship between designs law and artistic works, as well as greater coverage of new media and art, and digital developments generally. It also includes additional precedent materials and checklists. It remains an invaluable work for all those involved in art law and for intellectual property lawyers involved with the exploitation and/or sale of artistic works, as well as for intellectual property academics, researchers, law students, curators, publishers, artists, gallery owners and all those interested in how the law protects artistic works.

Preface

This book is an updated and revised version of the first edition, published some ten or so years ago. At least one reviewer of the first edition commented that it would be helpful to have images in the book, though it is not common in law texts. Images are not included in the second edition, not least to keep the price of the book down, but searches on the Internet will provide access to a number of the cases and related images discussed in this book. The author's website (http://www.artcopyright.org.uk) has links to selected cases, images and related legislation, and it is planned to update the website to highlight significant developments. Appendix B to the book also provides details of some sources of further information.

The acknowledgements in respect of the first edition still stand, and these are noted overleaf. For the second edition I am grateful to Richard Hart and the team at Hart Publishing for taking the book on in the first place and encouraging a second edition. I am also grateful for the assistance of Blake Lapthorn's Information Services team, in particular Helen Gwinn, and to the reviewers of the first edition, some of whose helpful comments I have tried to address in this edition. Thanks are also due to my colleagues Andrea Hayes and Lucy Morris, who helped with the manuscript and Appendix A respectively.

Simon Stokes Clerkenwell 12 November 2011

Acknowledgments (in respect of the First Edition)

This book draws on my work with the Institute of Art and Law in Leicester since 1996. I would like to thank the Institute's Director, Ruth Redmond-Cooper, for giving me the opportunity to deepen my interest in art and copyright.

Tarlo Lyons has provided much needed support on occasion. My secretaries in the last year, Natalie Reynolds and Helen Barnes, helped with the typing of the manuscript. Lucy Awad, trainee solicitor, checked the footnotes and helped with proofreading. Helen Dewar, the firm's librarian, tracked down a large number of cases and press cuttings.

Jonathan DC Turner, Barrister, of 4 Field Court provided updates on the progress of two copyright cases in which he was Counsel and which are discussed in this book: *Designers Guild* and *Antiquesportfolio*. Simon Martin, Partner, Bell Gully, Auckland, provided assistance with some New Zealand materials.

I owe my interest in art to my parents, in particular to my father Roger Smith, an artist, and childhood visits to the Walker Art Gallery.

The greatest debts are to my wife, for her encouragement and support in a project of (seemingly) ever increasing scope, and to my daughter Hannah, for her generally cheerful disposition throughout.

Simon Stokes Clerkenwell 29 January 2001

Frequently Cited Material

I. Books and Articles

Adeney E Adeney, The Moral Rights of Authors

and Performers: An International and Comparative Analysis (Oxford, Oxford

University Press, 2006)

Angelopoulos C J Angelopoulos, 'Freedom of Expression

and Copyright: The Double Balancing Act' (2008) 3 Intellectual Property Quarterly

328-53

Barron (2002) A Barron, 'Copyright Law and the Claims

of Art' (2002) 4 Intellectual Property

Quarterly 368-401

Barron (2004) A Barron, 'The Legal Properties of Film'

(2004) 67(2) Modern Law Review 177-208

Bently L Bently, 'Art and the Making of Modern

Copyright Law' in D McClean and K Schubert (eds), Dear Images Art, Copyright and Culture (London,

Ridinghouse/ICA, 2002)

Bently and Sherman L Bently and B Sherman, 'Copyright

Aspects of Art Loans' in N Palmer (ed),

Art Loans (London, Kluwer, 1996)

Blakeney, 'The Protection of Traditional

Knowledge under Intellectual Property Law' (2000) 6 European Intellectual Property

Review 251-61

Booton D Booton, 'Framing Pictures: Defining Art

in UK Copyright Law' (2003) 1 Intellectual

Property Quarterly 38-68

Bowrey K Bowrey, 'Who's Writing Copyright's

History?' (1996) 6 European Intellectual

Property Review 322

Coombes	R	Coombes,	The	Cultural	Life	of
	Int	ellectual Prof	perties	(Durham,	NC, D	uke

University Press, 1998)

Copinger K Garnett et al, Copinger and Skone James

on Copyright [Current edition is the 16th edn (London, Sweet & Maxwell, 2011); unless otherwise noted, all references herein are to the 14th edn (London, Sweet

& Maxwell, 1999)]

Cornish WR Cornish, Intellectual Property

[Current edition is the 7th edn by Cornish, Llewelyn and Aplin (London, Sweet & Maxwell, 2010); unless otherwise noted, all references herein are to the 4th edn

(London, Sweet & Maxwell, 1999)]

Demarsin et al B Demarsin et al (eds), Art & Law (Brugge,

die Keure, 2008)

Dietz A Dietz, 'Term of Protection in Copyright

Law and Paying Public Domain: A New German Initiative' (2000) European

Intellectual Property Review 506

Dreier and Hugenholz T Dreier and B Hugenholz (eds), Concise

European Copyright Law (Alphen aan den Rijn, Kluwer Law International, 2006)

DuBoff and King LD DuBoff and CO King, Art Law in a

Nutshell, 3rd edn (St Paul, MN, West

Group, 2000)

Dworkin and Taylor G Dworkin and R Taylor, Blackstone's

Guide to the Copyright, Designs and Patents Act 1988 (London, Blackstone

Press, 1989)

Edwards and Waelde L Edwards and C Waelde (eds), Law and

the Internet [Current edition is the 3rd edn (Oxford, Hart Publishing, 2009); unless otherwise noted, references herein are to the 2nd edn (Oxford, Hart Publishing,

2000)]

Françon A Françon, 'Protection of Artists' Moral

Rights on the Internet' in Pollaud-Dulian

(below)

Ginsburg

JC Ginsburg, 'How Copyright Got a Bad
Name for Itself' Research Paper Number
02-46, Columbia Law School Public Law &
Legal Theory Research Paper Group (18
October 2002), available at SSRN (abstract
id 342182) and published in (2002) 26(1)

Columbia Journal of Law and the Arts
61–73

Golvan C Golvan, 'Aboriginal Art and Copyright:

An Overview and Commentary

Concerning Recent Developments' (1999) European Intellectual Property Review 599

Gordon (1993) WJ Gordon, 'A Property Right in Self

Expression: Equality and Individualism in the Natural Law of Intellectual Property'

(1993) 102 Yale Law Journal 1533

Gordon (1999) WJ Gordon, 'Touring the Certainties of

Property and Restitution: A Journey to Copyright and Parody' (Oct 1999) Oxford Electronic Journal of Intellectual Property

Rights

Gredley and Maniatis E Gredley and S Maniatis, 'Parody: A

Fatal Attraction? Part 1: The Nature of Parody and its Treatment in Copyright' (1997) 7 European Intellectual Property

Review 339

Gringras C Gringras, The Laws of the Internet

(London, Butterworths, 1997)

Hansen HC Hansen, 'International Copyright: An

Unorthodox Analysis' (1996) 29 Vanderbilt

Journal of Transnational Law 579

Hansmann and Santilli H Hansmann and M Santilli, 'Authors'

and Artists' Moral Rights: A Comparative Legal and Economic Analysis' (1997) 26

Journal of Legal Studies 95

Hargreaves Review I Hargreaves, Digital Opportunity: A

Review of Intellectual Property and Growth (UK Intellectual Property Office,

May 2011)

Heath and Kamperman Sanders	C Heath and A Kamperman Sanders (eds), New Frontiers of Intellectual Property Law: IP and Cultural Heritage, IIC Studies in Industrial Property and Copyright Law Volume 25 (Oxford, Hart Publishing, 2005)
Hettinger	EC Hettinger, 'Justifying Intellectual Property' (1989) 18 Philosophy & Public Affairs 31
Hughes	J Hughes, 'The Philosophy of Intellectual Property' (1988) 77 Georgetown Law Journal 287
Hutcheon	L Hutcheon, A Theory of Parody: The Teaching of Twentieth-Century Art Forms (London, Methuen, 1985)
Isaac	B Isaac, Brand Protection Matters (London, Sweet & Maxwell, 2000)
Jaszi	P Jaszi, 'Toward a Theory of Copyright: The Metamorphoses of "Authorship"' (1991) 42 Duke Law Journal 455
Johnson	E Johnson, 'Intellectual Property and the Incentive Fallacy' Florida State University Law Review (forthcoming); draft dated 4 May 2011 available at SSRN, id 1746343
Joyce	C Joyce, W Patry, M Leaffer and P Jaszi, Copyright Law, 5th edn (New York, LEXIS, 2000)
Karlen	P Karlen, 'Intellectual Property in Intellectual Creations' (Apr 1999) Copyright World 14
Kearns	P Kearns, The Legal Concept of Art (Oxford, Hart Publishing, 1998)
Kerly	D Kitchin et al, Kerly's Law of Trade Marks and Trade Names, 13th edn (London, Sweet & Maxwell, 2001)
Laddie (1996)	HIL Laddie, 'Copyright: Over-Strength, Over-Regulated, Over-Rated?' (1996) 5
Laddie	European Intellectual Property Review 253 HIL Laddie, P Prescott, M Vitoria et al, The Modern Law of Copyright and

Designs, 3rd edn (London, Butterworths, 2000)

S Lai, The Copyright Protection of Computer Software in the United Kingdom

(Oxford, Hart Publishing, 2000)

Lea

G Lea, 'Moral Rights and the Internet: Some Thoughts from a Common Law Perspective' in Pollaud-Dulian (below)

Merryman and Elsen

JH Merryman and AE Elsen, Law, Ethics and the Visual Arts, 4th edn (The Hague,

Kluwer Law International, 2002)

McClean and Schubert

D McClean and K Schubert (eds), Dear Images Art, Copyright and Culture

(London, Ridinghouse/ICA, 2002)

Millinger

DM Millinger, 'Copyright and the Fine Arts' (1980) 48 George Washington Law

Review 354

Nimmer

MB Nimmer and D Nimmer, Nimmer on Copyright (New York, M Bender, 1998)

Ong

B Ong, 'Originality from Copying: Fitting Recreative Works into the Copyright Universe' (2010) 2 Intellectual Property

Quarterly 165-91

Pila

J Pila, 'An Intentional View of the Copyright Work' (2008) 71(4) Modern

Law Review 535-58

Polakovic

RM Polakovic, 'Should the Bauhaus be in the Copyright Doghouse? Rethinking Conceptual Separability' (1993) 64 University of Colorado Law Review 871

Pollaud-Dulian

F Pollaud-Dulian (ed), The Internet and Authors' Rights (London, Sweet &

Maxwell, 1999)

Rahmatian

A Rahmatian, 'Non-assignability of Authors' Rights in Austria and Germany and Its Relation to the Concept of Creativity in Civil Law Jurisdictions Generally: A Comparison with UK Copyright Law' (2000) 5 Entertainment

Law Review 95

Ricketson	S Ricketson, The Berne Convention for the
	Protection of Literary and Artistic Works:
	1886-1986 (London, Kluwer, 1987)
Rose	M Rose, Authors and Owners (Cambridge,
	MA, Harvard University Press, 1993)
Rosenmeier and Teilmann	M Rosenmeier and S Teilmann (eds), Art
	and Law: The Copyright Debate
	(Copenhagen, DJØF Publishing, 2005)
Rushton	M Rushton, 'Economics and Copyright in
	Works of Artistic Craftsmanship', presented
	at the Shepherd and Wedderburn Centre for
	Research into Intellectual Property and
	Technology (SCRIPT) at the University of
	Edinburgh (30 October 2000), available at
	http://www.law.ed.ac.uk/script/newscript/
	rushton.htm (accessed 13 July 2011)
Russell-Clarke	M Howe QC, Russell-Clarke on Industrial
	Designs [Current (8th) edition is now
	called Russell-Clarke and Howe on
	Industrial Designs (London, Sweet &
	Maxwell, 2010); unless otherwise noted,
	references herein are to the 6th edition
	(London, Sweet & Maxwell, 1998)]
Saunders	D Saunders, Authorship and Copyright
	(London, Routledge, 1992)
Sherman (1994)	B Sherman, 'From the Non-original to the
,	Ab-original: A History' in Sherman and
	Strowel (below)
Sherman (1995)	B Sherman, 'Appropriating the Postmodern:
, , , , , , , , , , , , , , , , , , , ,	Copyright and the Challenge of the New'
	(1995) 4 Social & Legal Studies 31
Sherman and Strowel	B Sherman and A Strowel (eds), Of Authors
	and Origins (Oxford, Clarendon Press,
	1994)
Spence (1996)	M Spence, 'Passing Off and the
• • • • • • • •	Misappropriation of Valuable Intangibles'
	(1996) 112 Law Quarterly Review 472
Spence (1998)	M Spence, 'Intellectual Property and the
=	Problem of Parody' (1998) 114 Law
	Quarterly Review 594
	-

Spence (2007)	M Spence, Intellectual Property (Oxford, Oxford University Press, 2007)
Stamatoudi and Torremans	IA Stamatoudi and PLC Torremans (eds), Copyright in the New Digital Environment, Volume 8 in the Perspectives on Intellectual Property series (London, Sweet & Maxwell, 2000)
Sterling	JAL Sterling, World Copyright Law (London, Sweet & Maxwell, 1999)
Stokes (2006)	S Stokes, Artist's Resale Right: Law and Practice (Leicester, Institute of Art and Law, 2006)
Stokes (2009)	S Stokes, Digital Copyright: Law and Practice, 3rd edn (Oxford, Hart Publishing, 2009)
Teilmann-Lock	S Teilmann-Lock, British and French Copyright: A Historical Study of Aesthetic Implications (Copenhagen, DJØF Publishing, 2009)
Thomas	D Thomas, Copyright and the Creative Artist (London, Institute of Economic Affairs, 1968)
Vaver	D Vaver, 'Intellectual Property: The State of the Art' (2000) 116 Law Quarterly Review 621
von Lewinski	S von Lewinski, <i>International Copyright</i> Law and Policy (Oxford, Oxford University Press, 2008)
Yen	AC Yen, 'The Interdisciplinary Future of Copyright Theory' in M Woodmansee and P Jaszi (eds), <i>The Construction of Authorship</i> (Durham, NC, Duke University Press, 1994)
Zemer	L Zemer, The Idea of Authorship in Copyright (Aldershot, Ashgate, 2007)

xxii Frequently Cited Material

II. Journals/Information Resources

ARTI. Art, Antiquity and Law (Institute of Art

and Law, Leicester/Kluwer)

European Intellectual Property Review FIPR

(Sweet & Maxwell)

ENT LR Entertainment Law Review (Sweet &

Maxwell)

Revue Internationale du Droit d'Auteur RIDA Social Science Research Network electronic SSRN

library

III. UK and European Intellectual Property Law Reports

FSR Fleet Street Reports

European Copyright and Designs Reports **ECDR** Entertainment and Media Law Reports **EMLR**

Reports of Patent Cases RPC

IV. EU Directives

Directive on the legal protection of designs Designs Directive

(Directive 98/71/EC)

Electronic Commerce

Directive on certain legal aspects of infor-Directive mation society services, in particular elec-

tronic commerce, in the Internal Market

(Directive 2000/31/EC)

Information Society

Directive

Directive on the Harmonisation of Certain Aspects of Copyright and Related Rights

in the Information Society (Directive

2001/29/EC)

Directive on the Resale Right for the bene-Resale Right Directive

fit of the Author of an Original Work of

Art (Directive 2001/84/EC)

V. UK Statutes

CDPA Copyright, Designs and Patents Act 1988

Table of Cases

European and International Cases

European Court of Human Rights	
Reklos v Greece, case 1234/05 [2009] ECHR 200 (15 January 2009)	
Von Hannover v Germany (App no 59320) (24 June 2004), (2005) 40 EHRR 1224–5	
European Union	
Bezpe nostní softwarová asociace - Svaz softwarové ochrany v Ministerstvo kultury, Case C-393/09111 Fundación Gala-Salvador Dalí and Visual Entidad de Gestión de	
Artistas Plásticos (VEGAP) v Société des auteurs dans les arts graphiques et plastiques (ADAGP) and Others, Case C-518/08104	
Google France & Google Inc v Louis Vuitton, Case C-236/08155 Infopaq International v Danske Dagblades Forening, Case C-5/08	
Phil Collins and Others, Joined Cases C-92/92 and C-326/92 26, 101 Sociedad General de Autores y Editores de España (SGAE) v	
Rafael Hoteles SA, Case C-306/05	
WIPO	
Gallerina v Mark Wilmshurst (WIPO Case No. D2000-0730)221 Roberts v Boyd (WIPO Case No D2000-0120)220	
Winterson v Hogarth (WIPO Case No D2000-0235)220	
National Cases	
Common Law Jurisdictions	
AG Spalding & Brothers v AW Gamage Ltd (1915) 32 RPC 273 (HL)216	

xxiv Table of Cases

AG v Guardian (No 2) [1988] 3 All ER 640
Agnew & Co v Day (1916) 32 TLR 3495, 23
AMP Inc v Utilux Pty Ltd [1971] FSR 57274
Anacon Corporation Limited and Another v Environmental
Research Technology Limited and Another [1994] FSR 659 34, 36
Antiquesportfolio.com plc v Rodney Fitch and Co Ltd, The
Times (21 July 2000) (ChD)128-9, 138-9, 150, 152
Artnews, LLC v Ecorp.com (2000), Claim No FA0007000095231
(National Arbitration Forum) (22 August 2000)221
Ash and Another v McKennitt and Others [2006] EWCA
Civ 1714 (14 December 2006)223
Ashdown v Telegraph Group Ltd [2001] EWCA Civ 1142;
[2002] RPC 5
Associated Newspapers Ltd v HRH Prince of Wales [2006]
EWCA Civ 1776 (21 December 2006)211
Aubrey Max Sandman v Panasonic U.K. Ltd & Matsushita
Electric Industrial Co Ltd [1998] FSR 65134
Austin v Columbia Gramaphone Co [1917-23] MCC 3986
Autospin (Oil Seals) Ltd v Beehive Spinning (A Firm) [1995]
RPC 68316, 21, 51, 64, 231
Baigent & Another v The Random House Group Ltd [2007]
EWCA Civ 247 (28 March 2007)
Barrett v Universal-Island Records Ltd and Others [2006]
EWHC 1009 (Ch) (15 May 2006)153
Bauman v Fussell [1978] RPC 485 (CA)57
BBC Worldwide Ltd v Pally [1998] FSR 66572-3
Billhöfer Maschinenfabrik GmbH v TH Dixon & Co Ltd [1990]
FSR 105
Blake A Field v Google Inc, 412 F.Supp.2d 1106 (D Nev 2006)154
Bleistein v Donaldson Lithographing Co 188 US 239 (1903) 32
Bonz Group (Pty) Ltd v Cooke [1994] 3 NZLR 21647–8
Bookmakers' Afternoon Greyhound Services Ltd v Wilf Gilbert
(Staffordshire) Limited [1994] FSR 72357, 115
Brabourne v Hough [1981] FSR 79214
Bradbury, Agnew & Co v Day (1916) 32 TLR 3495, 23
Bradbury v Hotten (1872) LR 8 Exch 165
Brandir International v Cascade Pacific Lumber 834 F.2d 1142,
1145 (2d Cir 1987)
Breville Europe Ltd v Thorn EMI Domestic Appliances Ltd
[1995] FSR 7740

Bridgeman Art Library Ltd v Corel Corp, 25 FSupp 2d 421
(SDNY 1998); (2000) 5 Entertainment Law Review 104 127-30,
135-40, 157, 159, 167, 201
Brighton v Jones [2005] FSR 1659
Brigid Foley Ltd v Elliott [1982] RPC 43364
Bristol Conservatories Ltd v Conservatories Custom Built Ltd
[1989] RPC 455 (CA)212
Britain v Hanks (1902) 86 LT 765
British Horseracing Board v William Hill [2005] EWCA Civ 863106
British Leyland v Armstrong [1986] AC 577; [1986] RPC 279 72, 153
British Northrop Ltd v Texteam Blackburn Ltd [1974] RPC 5736, 52
British Telecommunications and Others v One in a Million
and Others [1999] 4 All ER 476219
Brooklyn Institute of Arts and Sciences v Fantastic Sites Inc,
Claim No FA0009000095560 (National Arbitration Forum)
(2 November 2000)222
Brown v Mcasso Music Production Ltd [2006] EMLR 3163
Bulun Bulun and Another v R&T Textiles Pty Ltd [1998] 1082
FCA188-9
Bulun Bulun v Neljam (1988) (unreported)186
Burge v Swarbrick (2007) 232 CLR 336
Burke and Margot Burke Limited v Spicers Dress Designs [1936]
Ch 400 47
Burrow-Giles Lithographic Co v Sarony 111 US 53 (1884)132
Campbell v Acuff-Rose Music (1994) 510 US 569 144-5, 176-7
Campbell v MGN Ltd [2004] UKHL 22 (6 May 2004)224
Canon Kabushiki Kaisha v Green Cartridge Co (Hong Kong)
Ltd [1997] AC 728153
Carlton Illustrators v Coleman [1911] KB 771
Carol Barnhart Inc v Economy Cover Corp (1985) 773 F.2d 411
(2d Cir)71
Carter v Helmsley-Spear, Inc, 861 F Supp 303 (SDNY 1994);
71 F.3d 77 (2nd Cir 1995)96
CBS Songs Ltd and Others v Amstrad Consumer Electronics
plc [1988] 1 AC 101357, 161
Christoffer v Poseidon Film Distributors Ltd [2000]
EIPR N-3451–2
Cie Generale des Establissements Micheline-Michelin & Cie v
CAW-Canada, 71 CPR 3d 348176
Clark v Associated Newspapers [1998] RPC 261 174-5, 212