Volume 1
The Chemical Structure
of Solids

Volume 1 The Chemical Structure of Solids

Edited by N. B. Hannay

Vice President Research and Patents Bell Laboratories Murray Hill, New Jersey

PLENUM PRESS • NEW YORK-LONDON

Library of Congress Cataloging in Publication Data

Hannay, Norman Bruce, 1921-

The chemical structure of solids.

(His Treatise on solid state chemistry, v. 1)

Includes bibliographical references.

1. Solid state chemistry. 2. Chemical bonds. 3. Crystals – Defects. I. Title. II. Series.

QD478.H35 vol. 1 [QD461]

541'.042'1s

[541'.042'1]

ISBN 0-306-35051-3

73-13798

Six-volume set: ISBN 0-306-35050-5

© Bell Telephone Laboratories, Incorporated

Published by Plenum Press, New York A Division of Plenum Publishing Corporation 227 West 17th Street, New York, N.Y. 10011

United Kingdom edition published by Plenum Press, London
A Division of Plenum Publishing Company, Ltd.

Davis House (4th Floor), 8 Scrubs Lane, Harlesden, London, NW10 6SE, England

All rights reserved

No part of this publication may be reproduced in any form without written permission from the publisher

Printed in the United States of America

Volume 1 The Chemical Structure of Solids

Volume 1 • The Chemical Structure of Solids

Volume 2 • Defects in Solids

Volume 3 • Crystalline and Noncrystalline Solids

Volume 4 • Reactivity of Solids

Volume 5 • Changes of State

Volume 6 • Surfaces

Foreword

The last quarter-century has been marked by the extremely rapid growth of the solid-state sciences. They include what is now the largest subfield of physics, and the materials engineering sciences have likewise flourished. And, playing an active role throughout this vast area of science and engineering have been very large numbers of chemists. Yet, even though the role of chemistry in the solid-state sciences has been a vital one and the solid-state sciences have, in turn, made enormous contributions to chemical thought, solid-state chemistry has not been recognized by the general body of chemists as a major subfield of chemistry. Solid-state chemistry is not even well defined as to content. Some, for example, would have it include only the quantum chemistry of solids and would reject thermodynamics and phase equilibria; this is nonsense. Solid-state chemistry has many facets, and one of the purposes of this *Treatise* is to help define the field.

Perhaps the most general characteristic of solid-state chemistry, and one which helps differentiate it from solid-state physics, is its focus on the chemical composition and atomic configuration of real solids and on the relationship of composition and structure to the chemical and physical properties of the solid. Real solids are usually extremely complex and exhibit almost infinite variety in their compositional and structural features.

Chemistry has never hesitated about the role of applied science, and solid-state chemistry is no exception. Hence, we have chosen to include in the field not only basic science but also the more fundamental aspects of the materials engineering sciences.

The central theme of the *Treatise* is the exposition of unifying principles in the chemistry, physical chemistry, and chemical physics of solids. Examples are provided only to illustrate these principles. It has, throughout, a chemical viewpoint; there is, perforce, substantial overlap with some areas of solid-

Foreword

state physics and metallurgy but a uniquely chemical perspective underlies the whole. Each chapter seeks to be as definitive as possible in its particular segment of the field.

The *Treatise* is intended for advanced workers in the field. The scope of the work is such that all solid-state chemists, as well as solid-state scientists and engineers in allied disciplines, should find in it much that is new to them in areas outside their own specializations; they should also find that the treatment of their own particulár areas of interest offers enlightening perspectives.

Certain standard subjects, such as crystal structures, have been omitted because they are so well covered in many readily available standard references and are a part of the background of all solid-state scientists. Certain limited redundancies are intended, partly because they occur in different volumes of the series, but mainly because some subjects need to be examined from different viewpoints and in different contexts. The first three volumes deal with the structure of solids and its relation to properties. Volumes 4 and 5 cover broad areas of chemical dynamics in bulk solids. Volume 6 treats both structure and chemical dynamics of surfaces.

N.B.H.

Preface to Volume 1

No aspect of chemistry is more fundamental to the science than is the study of the nature of the chemical bond. Solids exhibit the complete range of bonding behavior and offer opportunity, therefore, for gaining special insight into the nature of interatomic binding forces. The regularity of many solids facilitates experimental and theoretical examination of chemical bonds and allows the interpretation of the properties of solids in fundamental atomic terms. This volume is concerned with these aspects of solid-state chemistry. Thus it furnishes a fundamental basis for later volumes.

The ideal solid would be perfectly ordered and geometrically regular, chemically pure and stoichiometric. No real solid is found in this state, and some of the most interesting and important properties of solids depend upon departure from the ideal. The study and control of these deviations from the perfect solid are a principal concern of solid-state chemists. Later volumes of the *Treatise* will be concerned with defects in solids in relation to physical and chemical properties. Here we lay the foundation by examining the nature of defects and the equilibria controlling their concentrations. In addition, the characterization of solids is included; it would seem almost self-evident that the measurement of departures of a solid from the ideal chemical and geometrical state is a *sine qua non* in the study of the imperfect solid, yet it has all too often been overlooked or treated casually.

N.B.H.

Cha	pter l		
Che	mical	Bonds in Solids	1
	J. C.	Phillips	
1.	Why	Solids Are Different from Molecules	1
	1.1.	Quantum Theory of Chemical Bonds	5
	1.2.	The Five Solid Types	6
	1.3.	Bonds and/or Bands?	9
2.	Cryst	tal Structures and Cohesive Energies of the Elements	11
	2.1.	Valence Groupings	11
	2.2.	Shell Effects	12
	2.3.	Transition Series	14
3.	Binary Compounds and Alloys		17
	3.1.	Minerals	17
	3.2.	Semiconductors	22
	3.3.	Intermetallic Solutions	28
4.	Chemical Bonding and Physical Properties		
	4.1.	Classical Polarizabilities	31
	4.2.	Dispersion	32
	4.3.	Covalent and Ionic Energies	34
	4.4.	Chemical Trends in Physical Properties	37
5.	Sumi	mary	39
		rences	40

	apter 2		
Ene	ergy B		4
	D. V	Veaire	
1.	Intro	oduction	4
	1.1.	Historical Remarks	4
	1.2.	The Independent-Electron Approximation	4
2.	Ener	gy Bands in General	4
3.		Classical Descriptions of Energy Bands in Periodic Systems	5
	3.1.	Introduction	5
	3.2.	Two Classical Limits—Tight Binding and Nearly Free Electron	5
	3.3.	Tight Binding Theory	5
	3.4.	Wannier Functions	5
	3.5.	Nearly-Free-Electron Theory.	5
	3.6.		6
	3.7.	Pseudopotentials	6
			U
	3.8.	Orthogonalized Plane Wave, Augmented Plane Wave, and Related Methods	6
4.	App	roximations, Interpolations, Perturbations	6
	4.1.	Introduction	6
	4.2.	Moment Methods	6
	4.3.	Nearly-Free-Electron Perturbation Theory	6
	4.4.	The $\mathbf{k} \cdot \mathbf{p}$ Method	7
	4.5.	Small-k Expansions for KKR Theory	7
5.	Som	e Relevant Experiments	7
٥.	5.1.	Introduction	7
	5.2.	Soft X-Ray Emission and Absorption	7
	5.3.	Optical Spectroscopy	7
	5.4.	Fermi Surface Analysis	8
6.		cal Band Structures	8
0.	6.1.	Introduction	8
	6.2.	Simple Metals	8
	6.3.	Alkali Halides	8
	6.4.	Group IV Semiconductors	8
	6.5.	The III–V and II–VI Semiconductors	8
			1000
	6.6.	Silicon Dioxide	9
	6.7.	Transition Metals	9
-	6.8.	Transition Metal Compounds	9
7.		ordered Solids	9
	7.1.	Introduction	9
	7.2.	Definition of Problems	9
	7.3.	The Density of States in an Alloy	9
	1 /	The Anderson Droblems	

	7.5. Topological Disorder	101
	7.6. Applications	103
8.	Conclusion	106
	Acknowledgments	106
	References	107
CI.	2	
	apter 3	
Fac	etors Controlling the Formation and Structure of Phases W. B. Pearson	115
1	Introduction	116
1.	Introduction	115
2.	Practical Prediction of Phase Stability	119
	2.1. Metals: Use of Thermodynamic Data	119
2	2.2. Valence Compounds: Use of Crystal Chemical Knowledge	123
3.	General Structural Consequences of Bonding Types	124
	3.1. Ionic Crystals	124
	3.2. Compounds with Saturated Covalent Bonds	127
	3.3. Metallic Phases	128
	3.4. A Priori Separation of Structure Types	129
4.	Atomic Size and Structural Constraint	133
5.	Factors Influencing the Stability of Crystal Structures	136
	5.1. Electrochemical Factor	136
	5.2. Geometric Effects	137
	5.3. Energy Band Effects	146
	5.4. Environmental Factors	161
6.	Distortions of Crystal Structures	164
	6.1. Distortions Arising from Cation–Cation Bonds	165
	6.2. Jahn–Teller Distortions	168
	6.3. Spin-Orbit Coupling Distortions	169
	6.4. Magnetic Exchange Energies	170
	6.5. Mechanical Instability	170
7.	Epilogue	171
	Appendix—Structure Diagrams	171
	Acknowledgments	172
	References	172
CI		
	apter 4	175
Str	ructure and Composition in Relation to Properties J. H. Wernick	175
1.	Magnetic Behavior	176
	1.1. Introduction	176
	1.2. The 3d Transition Elements	180

	1.3.	Rare Earth Metals	182
	1.4.	Role of Local Atomic Environment Regarding Develop-	
		ment of Atomic Moments and Long-Range Order	187
	1.5.	Directional Ordering and Magnetic Anisotropy	194
	1.6.	Magnetic Oxides	199
	1.7.	Magnetic Semiconductors	205
	1.8.	Linear and Two-Dimensional Magnetic Behavior	214
	1.9.	Amorphous Magnetic Materials	217
	1.10.	Summary	218
2.		rconducting Behavior	219
	2.1.	Introduction	219
	2.2.	The Cr ₃ Si (β-W) and Transition Metal Nitride and Carbide	
		Phases. Electron Concentration and Lattice Instability	225
	2.3.	Role of Stoichiometry and Atomic Order	234
	2.4.	Metastable Superconducting Phases	236
	2.5.	Paramagnetic Impurities in Superconductors	237
	2.6.	Ternary Superconducting Chalcogenides	238
	2.7.	Superconductivity of Degenerate Semiconductors	239
	2.8.	Summary	240
3.	Diele	ectric Materials	240
	3.1.	Ferroelectrics	240
	3.2.	Piezoelectrics	247
	3.3.	Nonlinear Optical Materials	249
	3.4.	Electrooptic and Pyroelectric Materials	257
	3.5.	Summary	258
4.	Mecl	hanical Behavior	259
	4.1.	Introduction	259
	4.2.	Elastic Behavior	260
	4.3.	Plastic Behavior	267
	4.4.	Summary	271
	Ackr	nowledgments	272
		rences	272
α		ř.	
	apter		202
Int		tion to Chemical and Structural Defects in Crystalline Solids rris E. Fine	283
	IVIO	IIIS E. FINE	
1.	Intro	oduction	283
2.	Poir	nt Defects	287
3.	Disl	ocations	291
4.	Plan	nar Defects	310
5.	Volu	umetric Defects	322
	Ack	nowledgments	329
	D of	erences	330

	apter 6 fect Equilibria in Solids	
De	George G. Libowitz	
1.	Introduction	
	1.1. Native Defects	
	1.2. Law of Mass Action and Point Defects	
	1.3. Electronic Defects	
	1.4. Energetics of Defect Formation	
2.	Native Defects	
	2.1. Defect Equilibria in Elemental Crystals	
	2.2. Defect Equilibria in Binary Compounds	
	2.3. Nonstoichiometry—Equilibria with External Phases	
	2.4. Ionization of Defects	
	2.5. Relationship between Mass Action Law and Statistical	
	Thermodynamics	
	2.6. Defect Interactions	
3.	Multicomponent Systems	
	3.1. Equilibria Involving Foreign Atoms	
	3.2. Multicomponent Compounds	
4.	Extended Defects	
	Acknowledgment	
	References	
	apter 7 aracterization of Solids—Chemical Composition	
	W. Wayne Meinke	
1.	Introduction	
2.	Current Capability for Determination of Chemical Composition	
	2.1. Introduction	
	2.2. General Over iew	
	2.3. Analytical Techniques: Present Status	
	2.4. Precision and Sensitivity of Analytical Techniques	
3.	Application of Current Techniques to Characterization of	
	Materials	
	3.1. Characterization of Major Phase	
	3.2. Characterization of Minor Phases and Impurities	
	3.3. Characterization of Surfaces	
4.	Utilization of Existing Techniques	
A.W.	4.1. Literature Examples	
	4.2. Factors Determining Use	
	Acknowledgments	
	References	

	apter 8	427
Str	ructural Characterization of Solids R. E. Newnham and Rustum Roy	437
	R. E. Newilliam and Rustum Roy	
1.	Introduction	437
2.	Structural Characterization by Optical Techniques	439
	2.1. Morphology	439
	2.2. Bulk Optical Properties	441
	2.3. Scattering Studies	444
	2.4. Surface Characterization	444
	2.5. Particle Size and Shape	448
3.	Structural Characterization by X-Ray Diffraction	451
	3.1. X-Ray Powder Methods	451
	3.2. Single-Crystal X-Ray Methods	458
	3.3. Temperature and Pressure Experiments	467
	3.4. X-Ray Topography and Interferometry	474
4.	Electron Methods for Materials Characterization	476
	4.1. Electron Microscopy	476
	4.2. Electron Diffraction	480
	4.3. Scanning Electron Microscopy	484
5.	Neutron Scattering from Solids	487
	5.1. Neutron Sources	487
	5.2. Interactions with Matter	489
	5.3. Structure Analysis with Neutrons	490
	5.4. Magnetic Structure Analysis	491
	5.5. Lattice and Spin Dynamics	493
6.	Spectroscopy and Local Symmetry	496
	6.1. Absorption Spectra in the Visible Range	497
	6.2. Infrared Absorption Spectroscopy	499
	6.3. Raman Spectra	501
	6.4. Soft X-Ray Spectra	501
	6.5. Electron Spin Resonance	503
	6.6. Nuclear Magnetic Resonance	506
	6.7. Mössbauer Effect	510
	6.8. Electron Spectroscopy	513
	6.9. Acoustic Spectroscopy	514
7.	Physical Properties as Characterization Tools	515
	7.1. Introduction	515
	7.2. Some Crystal Physical Generalizations	516
	7.3. Dielectric Measurements	518
	7.4. Electrical Characterization of Solids	519
	7.5. Magnetic Measurements.	521

	of Volume 1
Acknowledgment	529
References	
ndex	535

Chemical Bonds in Solids

J. C. Phillips Bell Laboratories Murray Hill, New Jersey

1. Why Solids Are Different from Molecules

Most of the ideas about chemical bonds that are contained in the collective wisdom of science have been refined inductively from the great body of chemical knowledge concerning the structure, properties, and reactivities of molecules in gases. Therefore to discuss chemical bonding of atoms in solids, one begins by stressing how it differs from chemical bonding of molecules in gases. Above all, most solids are much denser than corresponding molecules. For example, in the diatomic molecule Na +Cl - each ion has one nearest neighbor, but in the Na⁺Cl⁻ crystal each ion has six nearest neighbors. The diatomic molecule has a dipole moment, which is important in many properties. The diatomic crystal has no permanent dipole moment. Generally speaking, the symmetry of the crystal is much higher than that of the molecule. Most solids are "three dimensional"; those solids that are composed of layers and chains of atoms exhibit many molecular characteristics, while most of what we have to say applies to chemical bonds in "three-dimensional" solids. The cases of layers, chains, and surfaces are special and are treated as such elsewhere in these volumes.

The formation of chemical bonds between atoms brings about a redistribution of electronic charge relative to a simple superposition of atomic charge densities. One may characterize many of the properties of an atom in two ways: by its ground-state charge distribution (which determines the atomic size), and by the accessibility of its first

few excited states. When a second atom interacts with the first one the interaction can be thought of primarily as an electric dipole one, which polarizes the first atom and leads to partial occupation of excited states. Much of the polarizability of an isolated atom is associated with excitations into the ionization continuum. In a molecule some of the oscillator strength associated with ionization of the atoms is transferred to bonding \rightarrow antibonding excitations. Nonbonded or lone-pair electrons on the "outside" of the molecule retain a large part of their oscillator strength in ionization processes. (This accounts in part for some of their special properties.) In the solid, on the other hand, except for atoms on or very near the surface, almost all the oscillator strength is associated with excitation of the atoms considered as part of the medium. Thus while the identity and properties of atoms are partially preserved in low-polarizability molecules, great care should be exercised in extending atomistic concepts into the discussion of chemical bonding in much more highly polarizable solids.

As a simple illustration of this theme, consider the specific problem of the crystal structures of the alkali halides. These are the simplest salts known, and chemical theories of their properties are discussed at greater length in Section 3.1. However, the structures of these very simple ionic crystals illustrate in the most direct way how much different solids are from molecules.

The compounds of formula M⁺X⁻ are expected to be well represented by electrostatic models because the electronic configurations of the cations M⁺ and the anions X⁻ are isoelectronic to those of inert gases such as Ne and Ar. It now seems reasonable to discuss alternative crystal structures in terms of mechanical models based on electrostatic attractions of rigid spheres. So long as the cation and anion sphere radii are nearly equal, the crystal structure favored by this model will be one with the largest possible number of oppositely charged ions coordinated around each central ion. This is the CsI structure (eightfold coordination, with each ion surrounded by oppositely charged ions located at the corners of a cube centered on the first ion). However, a simple geometric calculation shows that when the radius ratio $\rho = r_+/r_-$ of the sphere radii is less than 0.732 the eight anions will come into mutual contact, thereby determining a larger cation-anion separation than would have been fixed by cation-anion repulsion alone. As a result, the NaCl structure (sixfold coordinated, nearest neighbors centered on cube faces) will be favored. Finally, when $\rho = 0.414$ the six anions come into contact, and again