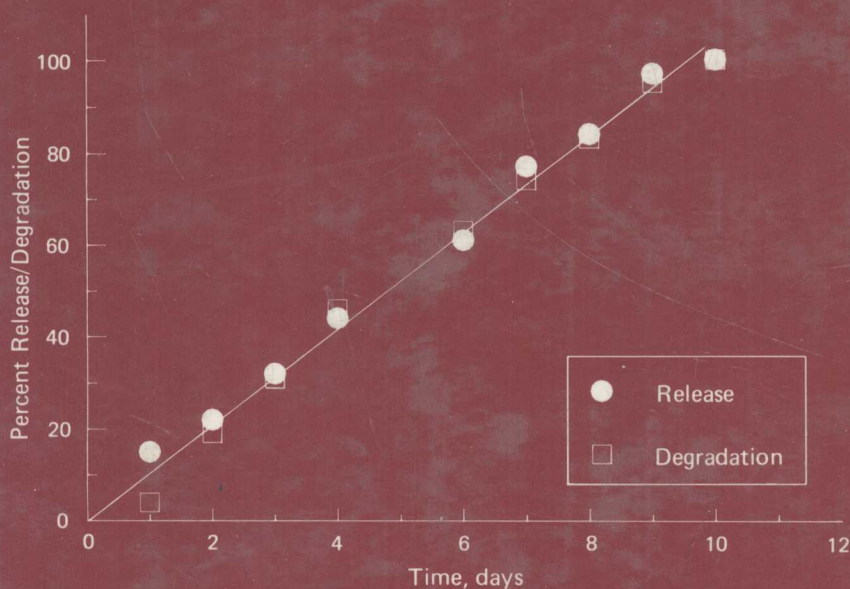


Biodegradable Polymers as Drug Delivery Systems



edited by
Mark Chasin
Robert Langer

Biodegradable Polymers as Drug Delivery Systems

edited by

Mark Chasin

Nova Pharmaceutical Corporation
Baltimore, Maryland

Robert Langer

Massachusetts Institute of Technology
Cambridge, Massachusetts



Marcel Dekker, Inc.

New York • Basel • Hong Kong

Library of Congress Cataloging-in-Publication Data

Biodegradable polymers as drug delivery systems/edited by Mark Chasin, Robert Langer.

p. cm. -- (Drugs and the pharmaceutical sciences; v. 45)

Includes bibliographical references.

Includes index.

ISBN 0-8247-8344-1 (alk. paper)

1. Drug delivery systems. 2. Polymers--Metabolism. 3. Drugs--Vehicles--Biodegradation. I. Chasin, Mark. II. Langer, Robert S. III. Series

[DNLM: 1. Biodegradation. 2. Drugs--administration & dosage.

3. Infusion Pumps, Implantable. 4. Polymers--therapeutic use. W1 DR893B v. 45/QV 800 B615]

RS199.5.B56 1990

615'.19--dc20

DNLM/DLC

for Library of Congress

90-3908

CIP

This book is printed on acid-free paper.

Copyright © 1990 by MARCEL DEKKER, INC. All Rights Reserved

Neither this book nor any part may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, microfilming, and recording, or by any information storage and retrieval system, without permission in writing from the publisher.

MARCEL DEKKER, INC.

270 Madison Avenue, New York, New York 10016

Current printing (last digit):

10 9 8 7 6 5 4 3 2 1

PRINTED IN THE UNITED STATES OF AMERICA

Biodegradable Polymers as Drug Delivery Systems

DRUGS AND THE PHARMACEUTICAL SCIENCES

A Series of Textbooks and Monographs

Edited by

James Swarbrick

School of Pharmacy

University of North Carolina

Chapel Hill, North Carolina

- Volume 1. PHARMACOKINETICS, *Milo Gibaldi and Donald Perrier*
(out of print)
- Volume 2. GOOD MANUFACTURING PRACTICES FOR
PHARMACEUTICALS: A PLAN FOR TOTAL QUALITY
CONTROL, *Sidney H. Willig, Murray M. Tuckerman, and*
William S. Hitchings IV (out of print)
- Volume 3. MICROENCAPSULATION, *edited by J. R. Nixon*
- Volume 4. DRUG METABOLISM: CHEMICAL AND BIOCHEMICAL
ASPECTS, *Bernard Testa and Peter Jenner*
- Volume 5. NEW DRUGS: DISCOVERY AND DEVELOPMENT,
edited by Alan A. Rubin
- Volume 6. SUSTAINED AND CONTROLLED RELEASE DRUG DELIVERY
SYSTEMS, *edited by Joseph R. Robinson*
- Volume 7. MODERN PHARMACEUTICS, *edited by Gilbert S.*
Banker and Christopher T. Rhodes
- Volume 8. PRESCRIPTION DRUGS IN SHORT SUPPLY: CASE
HISTORIES, *Michael A. Schwartz*
- Volume 9. ACTIVATED CHARCOAL: ANTIDOTAL AND OTHER
MEDICAL USES, *David O. Cooney*
- Volume 10. CONCEPTS IN DRUG METABOLISM (in two parts), *edited*
by Peter Jenner and Bernard Testa
- Volume 11. PHARMACEUTICAL ANALYSIS: MODERN METHODS
(in two parts), *edited by James W. Munson*
- Volume 12. TECHNIQUES OF SOLUBILIZATION OF DRUGS,
edited by Samuel H. Yalkowsky

- Volume 13. ORPHAN DRUGS, *edited by Fred E. Karch*
- Volume 14. NOVEL DRUG DELIVERY SYSTEMS: FUNDAMENTALS, DEVELOPMENTAL CONCEPTS, BIOMEDICAL ASSESSMENTS, *Yie W. Chien*
- Volume 15. PHARMACOKINETICS, Second Edition, Revised and Expanded, *Milo Gibaldi and Donald Perrier*
- Volume 16. GOOD MANUFACTURING PRACTICES FOR PHARMACEUTICALS: A PLAN FOR TOTAL QUALITY CONTROL, Second Edition, Revised and Expanded, *Sidney H. Willig, Murray M. Tuckerman, and William S. Hitchings IV*
- Volume 17. FORMULATION OF VETERINARY DOSAGE FORMS, *edited by Jack Blodinger*
- Volume 18. DERMATOLOGICAL FORMULATIONS: PERCUTANEOUS ABSORPTION, *Brian W. Barry*
- Volume 19. THE CLINICAL RESEARCH PROCESS IN THE PHARMACEUTICAL INDUSTRY, *edited by Gary M. Matoren*
- Volume 20. MICROENCAPSULATION AND RELATED DRUG PROCESSES, *Patrick B. Deasy*
- Volume 21. DRUGS AND NUTRIENTS: THE INTERACTIVE EFFECTS, *edited by Daphne A. Roe and T. Colin Campbell*
- Volume 22. BIOTECHNOLOGY OF INDUSTRIAL ANTIBIOTICS, *Erick J. Vandamme*
- Volume 23. PHARMACEUTICAL PROCESS VALIDATION, *edited by Bernard T. Loftus and Robert A. Nash*
- Volume 24. ANTICANCER AND INTERFERON AGENTS: SYNTHESIS AND PROPERTIES, *edited by Raphael M. Ottenbrite and George B. Butler*
- Volume 25. PHARMACEUTICAL STATISTICS: PRACTICAL AND CLINICAL APPLICATIONS, *Sanford Bolton*
- Volume 26. DRUG DYNAMICS FOR ANALYTICAL, CLINICAL, AND BIOLOGICAL CHEMISTS, *Benjamin J. Gudzinowicz, Burrows T. Younkin, Jr., and Michael J. Gudzinowicz*

- Volume 27. MODERN ANALYSIS OF ANTIBIOTICS, *edited by Adorjan Aszalos*
- Volume 28. SOLUBILITY AND RELATED PROPERTIES, *Kenneth C. James*
- Volume 29. CONTROLLED DRUG DELIVERY: FUNDAMENTALS AND APPLICATIONS, Second Edition, Revised and Expanded, *edited by Joseph R. Robinson and Vincent H. L. Lee*
- Volume 30. NEW DRUG APPROVAL PROCESS: CLINICAL AND REGULATORY MANAGEMENT, *edited by Richard A. Guarino*
- Volume 31. TRANSDERMAL CONTROLLED SYSTEMIC MEDICATIONS, *edited by Yie W. Chien*
- Volume 32. DRUG DELIVERY DEVICES: FUNDAMENTALS AND APPLICATIONS, *edited by Praveen Tyle*
- Volume 33. PHARMACOKINETICS: REGULATORY • INDUSTRIAL • ACADEMIC PERSPECTIVES, *edited by Peter G. Welling and Francis L. S. Tse*
- Volume 34. CLINICAL DRUG TRIALS AND TRIBULATIONS, *edited by Allen E. Cato*
- Volume 35. TRANSDERMAL DRUG DELIVERY: DEVELOPMENTAL ISSUES AND RESEARCH INITIATIVES, *edited by Jonathan Hadgraft and Richard H. Guy*
- Volume 36. AQUEOUS POLYMERIC COATINGS FOR PHARMACEUTICAL DOSAGE FORMS, *edited by James W. McGinity*
- Volume 37. PHARMACEUTICAL PELLETIZATION TECHNOLOGY, *edited by Isaac Ghebre-Sellassie*
- Volume 38. GOOD LABORATORY PRACTICE REGULATIONS, *edited by Allen F. Hirsch*
- Volume 39. NASAL SYSTEMIC DRUG DELIVERY, *Yie W. Chien, Kenneth S. E. Su, and Shyi-Feu Chang*
- Volume 40. MODERN PHARMACEUTICS, Second Edition, Revised and Expanded, *edited by Gilbert S. Banker and Christopher T. Rhodes*
- Volume 41. SPECIALIZED DRUG DELIVERY SYSTEMS: MANUFACTURING AND PRODUCTION TECHNOLOGY, *edited by Praveen Tyle*
- Volume 42. TOPICAL DRUG DELIVERY FORMULATIONS, *edited by David W. Osborne and Anton H. Amann*

- Volume 43.** DRUG STABILITY: PRINCIPLES AND PRACTICES,
Jens T. Carstensen
- Volume 44.** PHARMACEUTICAL STATISTICS: PRACTICAL AND
CLINICAL APPLICATIONS, Second Edition, Revised
and Expanded, *Sanford Bolton*
- Volume 45.** BIODEGRADABLE POLYMERS AS DRUG DELIVERY
SYSTEMS, *edited by Mark Chasin and Robert Langer*

Additional Volumes in Preparation

Preface

Over the past decade, the use of polymers for the administration of pharmaceutical and agricultural agents has increased dramatically. This field of controlled release technology has changed from being merely useful in research to having a significant clinical impact. As we look ahead toward advances in the next decade, one of the areas of greatest practical consequence in medical therapeutics of controlled release technology will be the development of biodegradable polymer systems. Such polymers offer the great advantage of enabling either site-specific or systemic administration of pharmaceutical agents without the need for subsequent retrieval of the delivery system. These polymers offer many other advantages as well.

While this book is focused on drug delivery, the value of biodegradable polymers is not limited to this field. Biodegradable polymers will be useful in other areas of medical therapeutics, such as sutures and bone plates and other types of prostheses. The polymers will also be useful in nonmedical fields, for disposable plastics, bottles, diapers and many other entities.

It was our intention in formulating this book to take selected polymers that have been widely studied and provide a comprehensive review of their properties, synthesis, and formulations. We hope that this will be useful to individuals who have been in the field for a long time and who would like to have all the information together in one place, as well as to individuals who are new to the field and would like to understand more about the various properties of biodegradable polymers.

Mark Chasin
Robert Langer

Contributors

Harry R. Allcock Department of Chemistry, The Pennsylvania State University, University Park, Pennsylvania

Simon Bogdansky Department of Drug Delivery, Nova Pharmaceutical Corporation, Baltimore, Maryland

Henry Brem Departments of Neurosurgery, Ophthalmology, and Oncology, The Johns Hopkins University School of Medicine, Baltimore, Maryland

Mark Chasin Department of Technology Development, Nova Pharmaceutical Corporation, Baltimore, Maryland

Daan J. A. Crommelin Department of Pharmaceutics, Faculty of Pharmacy, University of Utrecht, Utrecht, The Netherlands

Abraham Domb Department of Drug Delivery, Nova Pharmaceutical Corporation, Baltimore, Maryland

Stuart Grossman Department of Oncology, The Johns Hopkins Oncology Center, Baltimore, Maryland

Jorge Heller Controlled Release and Biomedical Polymers Department, SRI International, Menlo Park, California

Joachim Kohn Department of Chemistry, Rutgers, The State University of New Jersey, New Brunswick, New Jersey

Robert Langer Department of Chemical Engineering, Massachusetts Institute of Technology, Cambridge, Massachusetts

Cato Laurencin Division of Health Sciences and Technology, Massachusetts Institute of Technology, Cambridge, Massachusetts; Department of Orthopedic Surgery, Massachusetts General Hospital, Harvard Medical School, Boston, Massachusetts

Kam Leong Department of Biomedical Engineering, The Johns Hopkins University, Baltimore, Maryland

Danny H. Lewis Stolle Research and Development Corporation, Decatur, Alabama

Edith Mathiowitz Department of Chemical Engineering, Massachusetts Institute of Technology, Cambridge, Massachusetts

Ulla K. Nässander Department of Pharmaceutics, Faculty of Pharmacy, University of Utrecht, Utrecht, The Netherlands

Pierre A. M. Peeters* Department of Pharmaceutics, Faculty of Pharmacy, University of Utrecht, Utrecht, The Netherlands

Colin G. Pitt Pharmaceutics and Drug Delivery, Amgen Inc., Thousand Oaks, California

Eyal Ron[†] Massachusetts Institute of Technology, Cambridge, Massachusetts

Randall V. Sparer Inter_x Research Corporation, Merck, Sharp & Dohme Research Laboratories, Lawrence, Kansas

Gert Storm[‡] Department of Pharmaceutics, Faculty of Pharmacy, University of Utrecht, Utrecht, The Netherlands

Gaylen M. Zentner Inter_x Research Corporation, Merck, Sharp & Dohme Research Laboratories, Lawrence, Kansas

Current Affiliation:

*Institute for Pharmaceutical and Biomedical Consultancy, Pharma Bio-Research International B. V., Assen, The Netherlands

†Genetics Institute, Cambridge, Massachusetts

‡Liposome Technology, Inc., Menlo Park, California

Biodegradable Polymers as Drug Delivery Systems

Contents

<i>Preface</i>	<i>iii</i>
<i>Contributors</i>	<i>vii</i>
1. Controlled Release of Bioactive Agents from Lactide/ Glycolide Polymers <i>Danny H. Lewis</i>	1
2. Polyanhydrides as Drug Delivery Systems <i>Mark Chasin, Abraham Domb, Eyal Ron, Edith Mathiowitz, Kam Leong, Cato Laurencin, Henry Brem, Stuart Grossman, and Robert Langer</i>	43
3. Poly- ϵ -Caprolactone and Its Copolymers <i>Colin G. Pitt</i>	71
4. Poly(ortho esters) <i>Jorge Heller, Randall V. Sparer, and Gaylen M. Zentner</i>	121
5. Polyphosphazenes as New Biomedical and Bioactive Materials <i>Harry R. Allcock</i>	163
6. Pseudopoly(amino acids) <i>Joachim Kohn</i>	195

7. Natural Polymers as Drug Delivery Systems <i>Simon Bogdanský</i>	231
8. Liposomes <i>Ulla K. Nässander, Gert Storm, Pierre A. M. Peeters, and Daan J. A. Crommelin</i>	261
<i>Index</i>	339

1

Controlled Release of Bioactive Agents from Lactide/Glycolide Polymers

DANNY H. LEWIS Stolle Research and Development Corporation,
Decatur, Alabama

I. INTRODUCTION

For more than two decades, the delivery of bioactive agents from polymeric materials has attracted the considerable attention of investigators throughout the scientific community. Polymer chemists, chemical engineers, pharmaceutical scientists, and entomologists are among those seeking to design predictable, controlled delivery systems for bioactive agents ranging from insulin to rodenticides. This challenge has been a formidable one to date as evidenced by the small number of fully developed products based on this concept. One must realize, however, that only in the past 10 years with the onsurge of biotechnology has research in controlled drug delivery benefited from the intense dedication of resources. With the availability of new molecules, often with short biological half-lives and relatively high molecular weights, the need for reliable controlled release systems has been apparent.

The trend in drug delivery technology has been toward biodegradable polymer excipients requiring no follow-up surgical removal once the drug supply is depleted. The advantages of biodegradable polymers have been described (1-3). Unfortunately, investigators seeking advanced drug delivery systems are severely limited in candidate polymeric materials as evidenced by the relatively small number of systems described in this text. Historically, designers of drug delivery systems have "borrowed" polymeric materials originally developed for other applications. Only one or two synthetic polymers have been developed specifically for use in controlled release formulations.

The most widely investigated and advanced polymers in regard to available toxicological and clinical data are the aliphatic polyesters based on lactic and glycolic acids. The family of homo- and copolymers derived from these monomers has received considerable attention since about 1973 as excipients for drug delivery. Features such as biocompatibility, predictability of biodegradation kinetics, ease of fabrication, and regulatory approval in commercial suture applications have attracted investigators to lactic/glycolic polymers. During the 1970s, a wealth of literature on polyglycolic acid sutures became available. Much of this pioneering work resulted from studies at U.S. suture companies including American Cyanamid and Johnson and Johnson, and also at the U.S. Army Institute of Dental Research (4,5). Those early studies clearly demonstrated the nontoxic nature of the polymers and provided biodegradation data for various types of implants. Although the bulk of this work was aimed at suture applications, the potential for drug delivery from these polymers became quite obvious.

The biodegradable polyesters have attracted attention in a variety of biomaterial applications including tracheal replacement (6), ventral herniorrhaphy (7), ligament reconstruction (8,9), dental repairs (10), fracture repair (11-15), and surgical dressings (16). Among the first reports of polylactic acid used for controlled release were those of Boswell (17), Yolles (18), Wise (19), Sinclair (20), and Beck (21). These research teams were seeking delivery systems for such agents as narcotic antagonists, contraceptive hormones, and other conventional drug compounds. Early efforts were directed to the homopolymer of lactic acid rather than the copolymers. This was primarily due to the limited availability of the glycolide comonomer. Recently, the full range of monomers and polymers has become rather easily accessible through major chemical companies. DuPont now provides the lactide/glycolide polymers under the trade name Medisorb. This availability of materials has greatly broadened the scope of possibilities for designing drug delivery systems.

II. SYNTHESIS

The homo- and copolymers of lactic and glycolic acids are synthesized by the ring-opening melt condensation of the cyclic dimers, lactide and glycolide (22,23). Due to the asymmetrical β carbon of lactic acid, D and L stereoisomers exist, and the resulting polymer can be either D, L, or racemic DL. The polymerizations are usually conducted over a period of 2-6 hr at about 175°C in the melt. Organotin catalysts are normally utilized with stannous chloride and stannous octoate being the most common. Other catalysts such as *p*-toluene sulfonic acid and antimony trifluoride have been successfully employed on a limited basis. Lauryl alcohol is often added to control molecular weight during synthesis.

As with most polymerizations, monomer purity is highly critical in the synthesis of polylactides. Differential scanning calorimetry (DSC) purity of 99.9% or greater is usually required with the starting lactide and glycolide materials. Low monomer acidity is also a critical parameter. Free acid of 0.05% or less is normally required for achieving a high molecular weight polymer. Of equal importance, however, are the environmental conditions, particularly humidity levels, in the processing areas. Most failed glycolide polymerizations can be traced to high levels of humidity or high monomer acidity.

III. POLYMER CHARACTERISTICS

A well-proven advantage of the lactide/glycolide polymers is no doubt the available versatility in polymer properties and performance characteristics. For wide applications in controlled drug delivery, it is imperative that a range of rates and durations of drug release be achievable. A broad spectrum of performance characteristics with the polylactides can be obtained by careful manipulation of four key variables: monomer stereochemistry, comonomer ratio, polymer chain linearity, and polymer molecular weight. Because the mechanism of biodegradation is simple hydrolysis of the ester linkages, it is apparent how each of these factors plays an important role in the in vivo performance of the lactide/glycolide materials. Crystallinity and water uptake are key factors in determining the rates of in vivo degradation.

The racemic poly(DL-lactide) DL-PLA is less crystalline and lower melting than the two stereoregular polymers, D-PLA and L-PLA. Further, the copolymers of lactide and glycolide are less crystalline than the two homopolymers of the two monomers. In addition, the lactic acid polymer, because of the methyl group, is more hydrophobic than the glycolide polymer.

Table 1 provides a summary of the glass transition temperatures of several lactide/glycolide polymers. T_g values range from about 40 to 65°C. Poly(L-lactide) has the highest T_g at about 65°C.

Rate of hydration of the polymeric materials has been shown to be an important consideration in regard to drug release. Gilding and Reed (24) demonstrated that water uptake increases as the glycolide ratio in the copolymer increases. The extent of block or random structure in the copolymer can also affect the rate of hydration and the rate of degradation (25). Careful control of the polymerization conditions is required in order to afford reproducible drug release behavior in a finished product. Kissel (26) showed drastic differences in water uptake between various homopolymers and copolymers of caprolactone, lactide, and glycolide.

Siemann (27) recently determined the solubility parameters and densities of a group of biodegradable polyesters. Solubility parameters