

◆ 国际通用MBA教材 ◆

加拿大毅伟管理学院
清华大学经管学院

共同策划、推荐

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国际管理： 教程与案例

包铭心 莫礼训 菲利普 M. 罗森茨韦格 / 著

International Management: Text and Cases

Paul W. Beamish Allen Morrison Philip M. Rosenzweig

4th Edition

英文版 · 第4版



机械工业出版社
China Machine Press



McGraw-Hill



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Paul W. Beamish, Allen Morrison, Philip M. Rosenzweig: International Management:
Text and Cases -4th ed.

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本书版权登记号: 图字: 01-98-1039

图书在版编目(CIP)数据

国际管理: 教程与案例: 英文/(加)包铭心(Beamish, P. W.)等著. -影印版. -北京:
机械工业出版社, 1998.7
(国际通用MBA教材)

ISBN 7-111-06548-4

I. 国… II. 比… III. ①国际贸易-教材-英文 ②国际贸易-案例-英文 IV. F74

中国版本图书馆CIP数据核字(98)第15253号

机械工业出版社(北京市西城区百万庄大街22号 邮政编码 100037)

责任编辑: 刘露明

审读人: 崔长青

北京第二外国语学院印刷厂印刷·新华书店北京发行所发行

2000年5月第2版第1次印刷

787mm × 1092mm 1/16 · 40印张

定价: 68.00元

凡购本书, 如有倒页、脱页、缺页, 由本社发行部调换

序 言 1

此次首批专为中国影印的系列教材直接配合中国工商管理硕士课程的各门必修课程，而且是由加拿大西安大略大学毅伟管理学院的任课教授们专门参予选择的。

毅伟管理学院是加拿大西安大略大学（UWO）所属的一所专业学院，而西安大略大学是加拿大历史最悠久的大学之一。毅伟管理学院的本校在安大略省伦敦市，同时在多伦多的密西沙加设有分校，而且最近在香港也建立了分校。

历史地看，毅伟管理学院一直是管理学开发领域的领导者，现将部分成就列后，标示毅伟管理学院日新月异的发展：

- 1922 年，创立了加拿大第一个培养本科生的商学系。
- 1932 年，开始出版杂志《毅伟商学季刊》。该杂志发行到 25 个国家，发行量多达 10 000 册，深受学术界和管理界读者的喜爱。
- 1948 年，在加拿大首次设立行政管理人员培训计划以及工商管理硕士课程。
- 1961 年，首创了加拿大的第一个商学博士课程。
- 1974 年，被联邦政府正式指定为加拿大第一个（现在已发展到 8 个）国际商业研究中心（CIBS，即美国 CIBERS 的前身），联邦外交部对该中心持续提供财政支援。
- 1975 年，毅伟管理学院成立了自己的出版社。该部门目前拥有 2 000 个加拿大的案例，并成为哈佛案例的加拿大资料交换所。1998 年，毅伟管理学院的案例已分销至 20 多个国家的 100 多所学院和 100 多家企业。毅伟管理学院是全世界管理学案例研究的第二大制作者，每年向校外读者分销案例教材超过 100 万册。
- 1978 年开始实施第一项国际学生交换计划，目前该交换计划已增至 20 余项。
- 1984 年毅伟管理学院在加拿大联邦政府的支持下，在伦敦市组建国家管理学研究与开发中心的过程中发挥了主导作用。
- 1984 年，是毅伟管理学院和清华大学连续合作开始的第一年，合作内容包括：交换访问学者、教材编写与出版以及各种培训活动。
- 1992 年，毅伟管理学院被选为主导性的国际商学刊物，即《国际商学研究杂志》在 1993 年至 1997 年间的编辑总部。
- 1993 年，《加拿大商学》杂志根据由各大聘用公司首席执行官、人力资源管理人员和求职顾问等人士组成的所有评选组得出的全方位评比结果，将毅伟管理学院列为加拿大培养工商管理硕士的最佳学院，并且这一结论被逐年的评比一再肯定。1994 年《亚洲企业》杂志又将毅伟管理学院列于亚洲公认的 25 所世界最佳商学院的行列中。
- 1997 年，《国际管理学杂志》将毅伟管理学院评为国际战略管理学文献的主要贡献者，并领先于哈佛大学与西北大学凯洛格商学院。《商业周刊》将毅伟管理学院列为全世界最佳国际商学院之一，和欧洲管理学院（INSEAD）及伦敦商学院（LBS）并列，而且《美国新闻与世界报导》将毅伟管理学院选为全世界在行政管理人员培训

计划方面最佳的 15 所商业学院之一。

至 1998 年，毅伟管理学院拥有 65 名全职教授，他们每年负责教授 600 名普通课程的和行政管理人员培训课程的工商管理硕士生、300 名本科生、40 名博士生，以及范围广泛的非学位课程。

负责挑选这批 MBA 通用教材的教师们均具有著名商学院（如：哈佛、沃顿、密西根、麻省理工学院和毅伟管理学院等）的博士学位，每位教授都对大批现有教材进行了仔细筛选，确保为中国市场提供最佳教材。相信您会对他们的选择表示满意。

加拿大西安大略大学毅伟管理学院

PREFACE 1

The texts in this initial series of books were selected to directly correspond to each of the required courses in China's MBA programs. The books were selected for reprinting by faculty members at the Ivey Business School, The University of Western Ontario, Canada.

The Richard Ivey School of Business is a professional School within The University of Western Ontario (UWO), one of Canada's oldest universities. Although Ivey is based in London, Ontario, it also has campuses in Mississauga (Toronto), Ontario and most recently, in Hong Kong.

Historically the School has been a leader in management development. A partial list of achievements follows.

- In 1922, the first undergraduate business department in Canada was established.
- In 1932, the school began to publish its own journal. Known as *Ivey Business Quarterly*, it reaches out to both academic and management audiences and enjoys a circulation of 10 000 in 25 countries.
- In 1948, Canada's first executive development program and first MBA program were established.
- In 1961, Canada's first Ph. D. program in business was introduced.
- In 1974, official designation was received by the Federal Government as Canada's first Centre for International Business Studies (CIBS).
- In 1975, Ivey opened its own case and publications office. This office now holds an inventory of 2 000 cases. In 1998, Ivey cases were being distributed to over 100 teaching institutions and 100 corporations in over 20 countries. Ivey is the second largest producer of management case studies in the world (and the largest producer of Asian cases), with over 1 000 000 copies studied each year by people outside the university.
- In 1978, commencement of its first international student exchange program took place. Over twenty such exchange programs are now in place.
- In 1984, the School took a leading role in establishing the National Centre for Management Research and Development in London with support from the Canadian Federal Government.
- 1984 also marked the beginning of a continuing linkage with Tsinghua University, one

which has involved visiting scholars, materials development and publication, and various training initiatives.

- In 1992, Ivey was selected to be the editorial home for the 1993-1997 period for the *Journal of International Business Studies*, the leading international business journal.
- In 1993, *Canadian Business* magazine's survey rated Ivey as the top MBA School in Canada, according to all groups: CEOs, human resources executives, and placement consultants. This ranking has been re-confirmed every year. In 1994, *Asia, Inc.* rated Ivey among the World's Top 25 Business Schools for Asians.
- In 1997, the *Journal of International Management* named Ivey the world's leading contributor in the previous decade to the international strategic management literature, ahead of Harvard & Kellogg. Business Week magazine ranked Ivey as one of the top international business schools in the world, alongside INSEAD and LBS; and *US News* and *World Report* selected Ivey as one of the top 15 business school in the world for executive development programs.

As of 1998, the School had 65 full-time faculty who annually taught 600 regular and executive MBA, 300 undergraduate, and 40 Ph. D. students, plus executives in a wide range of non-degree programs.

The faculty members who selected each book for reprinting all have Ph. D. s from major business schools such as Harvard, Wharton, Michigan, M. I. T., and Ivey. Each professor carefully reviewed the various books available to ensure the best possible choices of material for the China market. We are confident you will be pleased with their selection.

Richard Ivey School of Business
The University of Western Ontario

序 言 2

中国的改革开放事业催生了中国的现代管理教育，社会主义市场经济体制的确立，为中国的管理教育开辟了广阔的发展空间，使中国的管理教育，尤其是工商管理硕士（Master of Business Administration, MBA）的教育进入了一个全新的发展阶段。1991年，国务院学位办刚刚批准在部分高校中试办MBA教育时，只有九所院校得到授权，当年只招收了86名学生；到1994年，授权院校增加到26所，招生规模扩大为1230名；而在不久前结束的1998年招生工作中，已有56所院校得到了授权，这些院校共招收了4000名MBA学生。这一事实生动地说明了MBA教育在我国正方兴未艾。根据美国的经验，MBA学位占每年硕士学位授予人数的四分之一，中国目前这一比例只占到百分之五，因此，从发展的前景看，MBA教育在中国前程远大。

然而，也应看到，中国的MBA教育还刚刚起步，在培养人的各个环节：师资、课程与教材方面还很落后，难以适应经济发展的需要，与发达国家的情况相比差距是十分巨大的。加强国际合作与交流，大胆地借鉴、引进世界上一切优秀的MBA教育方面的教学内容、方法和手段，特别是系统地引进国外优秀的MBA教材，在此基础上坚持贯彻“以我为主，博采众长，融合提炼，自成一家”的指导方针，是逐步提高师资水平、更新专业知识、不断改进课程结构与内容、努力改革教学方法、引进案例教学、从而大大缩小中国与发达国家的差距、迅速提高中国MBA教育水平的重要步骤。

为此，清华大学经济管理学院与加拿大西安大略大学毅伟管理学院（Richard Ivey School of Business, The University of Western Ontario）合作，联合推出一套能反映当代水平、体现国际规范、可供中国借鉴的MBA核心课程的教材。清华大学的经管学院成立于1984年，是国务院学位办首批授权举办MBA教育的九所院校之一，1997~1998学年度招收MBA学生400多名，现拥有全国最大规模的MBA教育项目；毅伟管理学院成立于1948年，是加拿大最好的商学院，拥有很高的国际声誉，在MBA的培养方面具有丰富的经验和很强的力量，它所培养的12000名毕业生中有六分之一成为其所在机构的董事会主席、副主席，总裁、副总裁，首席执行官或经理。

两院推出的这套MBA教材共十八种，大致可分为四部分。第一部分是反映管理主要内容的《管理学》（Richard L. Daft）、《战略管理》（Arthur A. Thompson, Jr. and A. J. Strickland III）、《市场营销学基础：全球管理》（William D. Perreault, Jr. and Jerome McCarthy）、《人力资源管理》（Lawrence S. Kleiman）、《国际管理》（Paul W. Beamish, Allen Morrison and Philip M. Rosenzweig）、《经理人员的沟通》（Norman B. Sigband and Arthur H. Bell）与《管理沟通：原理与实践》（Michael E. Hattersley and Linda McJannet）；第二部分是反映企业资产负债管理、投融资与财务活动和企业会计理论与实践的《公司财务原理》（Richard A. Brealey and Stewart C. Myers）、《投资学精要》（Zvi Bodie, Alex Kane and Alan J. Marcus）、《财务会计》（Clyde P. Stickney and Roman L. Weil）与《管理会计》（Ronald W. Hilton）；第三部分是反映企业管理技术方面的《管理科学导论》（David R. Anderson, Dennis J. Sweeney

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and Thomas A. Williams)、《信息时代的管理信息系统》(Stephen Haag, Maeve Cummings and James P. Dawkins)、《商务与经济统计》(David R. Anderson, Dennis J. Sweeney and Thomas A. Williams)与《生产与运作管理》(Richard B. Chase, Nicholas J. Aquilano and F. Robert Jacobs);最后一部分是反映管理基础内容的《经济学原理》(N. Gregory Mankiw)、《商业伦理学》(David J. Fritzsche)和《商法与监管环境》(Ronald A. Anderson, David P. Twomey, Ivan Fox and Marianne Moody Jennings)。

在这套 MBA 教材的挑选中,在版权允许的范围内,既照顾了课程的结构,又考虑了 MBA 的特点。反映最新成果、理论结合实际、突出案例分析、作者知名度高是挑选中注意把握的基本原则。毅伟管理学院还特意组织了 17 名教授专为这套教材编配了案例集。这套教材可以做教师的教学参考书,教师可以运用书中的原理与国情相结合,逐渐发展出有中国特色的 MBA 教材;它也可以做 MBA 学生的教科书,帮助学生掌握市场经济的原理与规律,以便分析、解决中国的实际问题。另外,所有具有英文阅读能力的企业界与经济界人士、对经济管理有兴趣的高校学生,都可以把它作为系统学习经济管理知识、了解市场经济的规范的学习材料,以便更好地理解经济管理问题,增长分析、处理经济管理问题的才干。

朱镕基总理在 1994 年写给清华大学经济管理学院建院十周年的贺信中曾明确指出:“建设有中国特色的社会主义,需要一大批掌握市场经济的一般规律、熟悉其运行规则、而又了解中国企业实情的经济管理人才。”他在 1996 年提出“管理科学、兴国之道”,希望在中国“掀起一股学习管理、加强管理、发展管理科学、加强管理培训的热潮”。我们联合推出这套书,就是希望能在普及市场经济的一般规律与运行规则的知识、促进管理教育在中国的发展、提高中国企业的管理水平方面做一点实际的工作。

清华大学经济管理学院

1998 年 6 月 16 日

to our children
Katie, Christine, Alexander & Daniel Beamish
Robert, Andrew, Rachel & William Morrison
Thomas & Caroline Rosenzweig
Anne & Carly Inkpen

International Management is intended as an *international*, international-management book. The first three editions were used in over 200 universities and colleges in over 20 countries. The fourth edition, we hope, will have even wider usage. Why our optimism with this edition? In brief, it is because the book focuses on issues of international management common and important to businesspeople everywhere.

International Management is about how firms become and remain international in scope. It is about the experiences of firms of all sizes, from many countries, as they come to grips with an increasingly competitive global environment. It is about the practice of management when a home-market perspective is no longer enough to achieve and sustain success. Through carefully selected comprehensive case studies and integrated text material, this book bridges both the internationalization process and multinational management.

Many texts focus on ongoing management issues in the world's larger MNEs—the lower-right-hand cell in the following matrix. This is an important area, but one that presupposes a body of knowledge that most students do not possess. Our text takes a broader view, examining small and medium-sized firms as well as large MNEs, and the process of internationalization as well as the challenges of ongoing management in multinationals.

	<i>Internationalization</i>	<i>Ongoing Multinational Management</i>
<i>Small/Medium-Sized Firms</i>	Smaller domestic firms moving abroad	Global niche competitors
<i>Larger MNEs</i>	Larger firms moving into more markets	Large, full-scale global competitors

The first half of *International Management* helps to demystify international business so a meaningful study of multinational management can occur. We focus on *internationalization*—developing an awareness of the impact of international forces on the firm's future and establishing and conducting transactions with firms internationally. We provide

an understanding about the basic modes of involvement and deciding when each is most appropriate. For each mode, both inward- and outward-looking perspectives can be considered: licensing (as licensor and licensee), trade (exporting and importing), joint ventures (with foreign companies abroad and at home), and subsidiaries (establishing foreign affiliates and as part of a foreign-controlled affiliate). As the left-hand cells in the matrix suggest, these issues are relevant for firms of all sizes.

In the second half of the book, focus is on how to establish a balance between the sometimes conflicting demands of the multinational headquarters, the multinational subsidiary, and the governments of all the countries in which the MNE operates. The cases are not limited to the experiences of the world's largest MNEs—they are also about smaller companies that must be global to survive and about the management of small subsidiaries. Nor are the cases solely focussed on the experience of MNEs from one country. In 1970, two-thirds of the world's largest companies were from the U.S.A. Now only about one-quarter are U.S.-headquartered.

International Management is intended for use in international business and international management courses at the undergraduate, graduate, and executive levels. It can serve as the basis for an overarching course that deals with internationalization and multinational management, or for courses in each. The chapters of text material can (and should) be supplemented with readings of the instructor's choice. Many of the suggested supplementary readings are from *Journal of International Business Studies*, which provides blanket permission to photocopy articles for classroom use at no charge.

In response to suggestions from the users and reviewers of the third edition, this edition contains more cases (31 versus 28) and more chapters of text (13 versus 12). Of the 31 cases, 18 are new to the fourth edition while others have been significantly revised and updated. The new cases were selected on the basis of managerial relevance, overall fit with suggested themes, availability, and the evaluations we received from a survey of the faculty users of the third edition. In regard to text material, there is an entirely new chapter on Global Leadership, while all other chapters have been revised and updated. All but one of the chapters were (co)authored by us or one of our current or past colleagues. This has allowed us the opportunity to shape the body of text material into an integrated whole.

The cases in ***International Management*** have been extensively classroom-tested by us and colleagues around the world in executive, MBA, and undergraduate programs. One measure of their quality is that a number of them have been translated—into Japanese, French, Chinese, Spanish, Russian, and Indonesian. As well, several have won awards. For example, the Russki Adventures and Brent Spar cases received EFMD Case Competition Awards.

A videotape containing material relevant to a number of the cases is available from McGraw Hill. Use of the video is preferable but not essential.

Acknowledgments

The individual we most wish to acknowledge is Harold Crookell, a coauthor on the first edition. He passed away suddenly in 1991 and is sorely missed by all who knew him. His spirit of intellectual curiosity and dedication to the improvement of international management permeate this volume.

The authors are deeply indebted to a number of colleagues and institutions for the intellectual and financial support we have received:

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Stewart Black, Center for Global Assignments and University of Michigan
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 Ellen Cook, University of San Diego
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 John Stanbury, University of Indiana—Kokomo
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 Robert Vidal, University of Cincinnati
 Leland Wooton, Southern Methodist University

Input on the cases contained in the various editions has been received from:

Rafiq Ahmen, A. Ali, Joe Anderson, William J. Arthur, John Banks, Edgar Barrett, Brad Brown, Marie Burkhead, Jafor Chowdhury, Susan Crockett, Chris Demchak, John Dutton, L.R. Edleson, Nick Fry, Sanjay Goel, Robert Grosse, Ruth Gunn, S.D. Guzell, Steven H. Hanks, Louis Hébert, Mary Howes, S. Kumar Jain, Dale Kling, R. Kustin, Neng Liang, Clair McRostie, Alan Murray, Behnam Nakhai, Kent Neupert, R.F. O'Neil, Y.S. Paik, Less Palich, S. Porth, Rich Pouder, Mohammad Pourheydarian, Krishnan Ramaya, Kathy Rehbein, Lawrence Rhyne, Kendall Roth, Carol Sanchez, Bill Scheela, Jason Schweizer, Hendrick Seturie, Trudy Somers, William C. Sproull, John Stanbury, Phil Van Auken, Tom Voight, William A. Ward, Marion White, Georgie Willcox, Patrick Woodcock, and George Yates.

We are grateful to all of these individuals and have tried to be as responsive as possible to their suggestions.

Finally, we wish to express our appreciation to our colleagues at Ivey. Richard Ivey School of Business is the second-largest producer of management case studies in the world. Seventeen of the 31 cases in this edition originated at Ivey. Any ongoing undertaking of this magnitude requires a great deal of financial and intellectual support. We receive this at Ivey.

**Paul W. Beamish
 Allen J. Morrison
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