Early China Special Monograph Series, Number One

A

CONCORDANCE

OF THE

XIAOTUN NANDI

ORACLE-BONE INSCRIPTIONS



Edward L. Shaughnessy James F. Shaughnessy, Jr. 小屯南地甲骨索

夏夏落芳含,清

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PREFACE

The name Xiaotum red needs no introduction to anyone familiar with the historiography of ancient China. Since the beginning of the twentieth century when bone and shell pieces bearing archaic inscriptions were first traced to this village on the cutskirts of the city of Anyang so in Henan province, Xiaotun has been virtually synonymous with the newly developing field of oracle-bone studies. Xiaotun has also played an almost equally important role in the development of modern archaeology within China. When Academia Sinica was established in the mid-1920's, its first intensive project, spanning the years 1928-1937, was the archaeological excavation of Xiaotun. And after the establishment of the People's Republic of China in 1949, Xiaotun was given the honor of being among the first sites to be declared a National Cultural Preservation Site. This status has not only preserved Xiaotun but has also allowed archaeological work there to proceed on an almost continual basis until the present day.

Despite the great archaeological importance of Xiaotun as the primary source of inscribed oracle bones from the Shang dynasty, however, it was not until 1972 that a significant corpus of these bones was excavated under conditions of scholarly control. Working in an area to the south of Xiaotun village (whence the name Xiaotun nandi refer to Locus South), archaeologists from the Institute of Archaeology of the then Chinese Academy of Sciences (since transferred to the Chinese Academy of Social Sciences) discovered over four-thousand pieces of inscribed oracle bone bearing more than seven-thousand discrete inscriptions. The publication of the initial excavation report, which included detailed records of the stratigraphic context of the bones, prompted a vigorous debate over the periodization of all oracle-bone inscriptions and has already led to conclusions that almost certainly require significant revisions in this most basic of methodologies in

^{1.} In the winter of 1936-37, archaeologists affiliated with Academia Sinica excavated a pit, YH 127, that would prove to contain some seventeen-thousand pieces of turtleshell. While this discovery certainly ranks as one of, if not the, greatest in the history of oracle-bone studies, its archaeological significance was diminished somewhat because the turmoil prevailing in north China at that time did not allow the archaeologists to carefully excavate the pit in situ.

^{2.} For the initial site report, Zhongguo kexueyuan kaogu yanjiusuo Anyang gongzuodui中國科學院考古研究所安陽工作隊, "1973 nian Anyang Xiaotun nandi fajue jianbao"年安陽小屯南地發掘門報, Kaogu 考古1975.1: 27-46. The first report on the significance of the inscriptions themselves is found in Xiao Nan 內爾, "Anyang Xiaotun nandi faxian de Duizu buci - jianlun Duizu buci de shidai ji qi xiangguan wenti" 安陽小屯南地發現的信組卜辭·兼論信組卜辭的時代及其相關問題 Kaogu 1976.4:234-241. The final report is included in Zhongguo shehui kexueyuan kaogu yanjiusuo中國社會科學院考古研究所, Xiaotun nandi jiagu 小屯南地甲晉 (Shanghai: Zhonghua shuju, 1980), vol. I, pt. 1, 3-72.

oracle-bone studies.³ With the recent publication of rubbings and transcriptions of the complete corpus of these inscriptions,⁴ similar advances in such related fields as Shang history and linguistics can be expected as well.

It is often said that bibliography is the key to research in the social sciences. In a similar fashion, it might be said that concordances are the keys to philological research. That this is particularly true for the field of oracle-bone studies, which by now contains an inscriptional corpus that no individual could hope to master, has been well demonstrated by the enthusiastic reception accorded the monumental concordance Inkyo bokuji sōrui 歌声声, which set as its goal the inclusion of virtually all inscriptions published to that date. Since the Xiaotun nandi inscriptions were discovered only after the publication of this concordance, however, they are of course not included in it. We therefore decided to prepare the present concordance in an attempt to fill this significant lacuna in oracle-bone reference aids.

^{3.} The first notice of the ramifications of the Xiaotun nandi oracle bones with regard to the broader question of all oracle-bone periodization was the article "Anyang Xiaotun nandi faxian de Duizu buci" (see note 2) published by the excavators of the site. They subsequently published further research in an article entitled "Lun Wu Yi Wen Ding buci"論武之文工下離, Guwenzi yanjiu 古文字研究 3 (1980), 43-79, but the conclusions presented there have been challenged by a series of authoritative studies; see Li Xueqin 李华段, "Xiaotun nandi jiagu yu jiagu fenqi"小屯南地甲骨與甲骨分期, Wenwu 文物 1981.5:27-33; Qiu Xigui 奏錫圭, "Lun Lizu buci de shidai"論歷組下離的時代, Guwenzi yanjiu 6 (1981), 262-320, and Lin Yun **>>□, "Xiaotun nandi fajue yu Yinxu jiagu duandai"小屯南地段短段成甲骨髓代, Guwenzi yanjiu 9 (1984), 111-154. For a brief discussion of this debate, see Edward L. Shaughnessy, "Recent Approaches to Oracle-Bone Periodization: A Review," Early China 8 (1982-83), 1-13.

^{4.} Rubbings of all of the inscriptions are contained in the two parts of Volume One of <u>Xiaotun nandi jiaqu</u> (see note 2). Volume Two of this work (bearing a publication date of 1983 but not actually issued until 1986) is in three parts, containing hand-copies of all of the inscriptions, a complete transcription into kaishu xx xx forms, and a concordance of individual graphs and particularly those identified as proper nouns. Just before the transcription in this work was issued, a separate transcription was published by the same press: Yao Xiaosui % and Xiao Ding AT, Xiaotun nandi jiaqu kaoshi 小坛南北東京地區 表现 (Beijing: Zhonghua shuju, 1985). Some remarks concerning the relative merits of these several works are given on the following pages.

^{5.} Shima Kunio, <u>Inkyo bokuji sorui</u> (Tokyo: Kyuko shoin, 1969; revised second edition, 1971).

In preparing the concordance, we have followed Professor Shima's lead in one important technical respect. As in Inkyo bokuji sorui, each entry in the present concordance is given together with the entire text of the inscription in which it appears. This complete linguistic context is crucial to determining the specific use or meaning of a word in question and seems to us to be a necessary condition for any true concordance of oracle-bone inscriptions. The present concordance also incorporates two important technical differences from Shima's concordance. First, unlike <u>Inkyo bokuji sōrui</u>, in which inscriptions are rendered in a stylized version of oracle-bone script, we have decided to present the text transcribed, whenever possible, into modern Chinese graph forms. While transcription inevitably entails a greater degree of interpretation than does copying and thus introduces greater possibility of error, we decided that this liability was cutweighed by the benefits of making the concordance accessible to scholars in related fields who may not be familiar with oracle-bone script. Second, also unlike <u>Inkyo bokuji sõrui</u> and other concordances of oracle-bone inscriptions in which frequently occurring lexical items are abridged, the present concordance provides complete listings for each and every word in the inscriptional corpus. (The only exception to this rule is in the case of ganzhi compounds, which have been treated as single entries but which are complete in that respect.) It is our belief that such complete listings will allow some statistical control to be brought to the analysis of these common words and in the case of the ganzhi days of divination, for instance, may help us to understand the patterns of Shang daily life.

In producing any concordance, the first and most crucial decision is to establish the basic text. The transcription that we have chosen to use as the basis for the concordance, and which is given on pages 1-125 of the text, is that prepared by Yao Xiaosui 卵毒 and Xiao Ding 片 丁 as <u>Xiaotun nandi jiaqu kaoshi</u>小屯南地甲骨考釋 and published in 1985. Although, as noted above, transcription invariably involves interpretation and there will certainly be places where the readings given by the authors of this transcription are open to question, the same would be true of any other transcription or of any revisions that we might suggest. Since Professor Yao and Mister Xiao are among the most eminent paleographers now active in the study of oracle-bone inscriptions, we decided that the interests of consistency would be best served by following their readings systematically and for us not to introduce any substantive changes into their text. The few changes that we have made have been on the order of correcting copyists' mistakes. These changes have been of three types. First and simplest, several cases in which the entry numbers of inscriptions were miswritten have been corrected. Second, several cases in which graphs have been

^{6.} For example, number 283 in their text should read 583, 107.3 should read 107.1, 333.3 should read 333.2, 1020 should read 1012, the second 2842.1 should read 2842.3, 3758.1-5 should read 3759.1-5, and 4554.1-2 should read 4555.1-2. It should be noted too that for the purposes of this concordance, we have changed the notation of the

miswritten as graphically similar graphs have been corrected. instance, in inscription 607 wu jia 成甲 is obviously a copyist's error for the ganzhi compound wushen rep and has been corrected accordingly. Third, in a few cases where a failure to indicate breaks within incomplete inscriptions has obviously altered the content of the inscription, ellipses have been added. For instance, in Yao and Xiao's text number 2438.7 reads r ... 令证或 J. Comparison with inscription 2438.5 on the same piece, which reads r 令零直轉证或 J, reveals that 2438.7 should actually read г... 令 ... 油或」, as given in our transcription on page 73. However, since this sort of change involves a degree of interpretation, we have corrected only the most egregious examples.8 Finally, there are also a number of cases in which the reading of graphs on a single piece of bone is unclear as to direction (whether to be read from right-to-left or from left-to-right) or to the relationship between two or more inscriptions. Yao and Xiao have frequently declined to transcribe these ambiguous cases, giving instead a rough facsimile of the piece. Since due to the structure of the concordance this resort was not available to us, we have generally redrawn these inscription in linear form and have provided together with the transcription a note indicating that the reading is uncertain. In doing so, we have followed a convention used by Yao and Xiao elsewhere in their transcription, providing notes calling attention to particular aspects of unusual inscriptions. These notes too have been included in the transcription presented by us.

There is one other feature of Yao and Xiao's transcription that we would have liked to have corrected. Due perhaps to the fact that it was the result of a collaboration between two scholars working separately, there are a number of orthographic inconsistencies within the transcription. For instance, the oracle-bone graph \forall is sometimes rendered $\underline{zai} \not\equiv and$ sometimes $\underline{cai} \not\equiv ;$ the oracle-bone graph m is sometimes rendered $\underline{yi} \equiv and$ sometimes $\underline{yi} \bowtie ;$ the oracle-bone graph m is sometimes rendered as $\underline{zhong} \not\equiv ;$ sometimes as m and sometimes as m, and so on, with one collaborator usually preferring a more direct transcription and the other a more extended one. Since there were too many of these cases for us to indicate systematically all possible readings, and since at any rate these decisions involve levels of interpretation that we had resolved to avoid, we have simply given the reading that appears in the transcription. This has unfortunately resulted in two different concordance entries for these single oracle-

twenty-three pieces given in the appendix from Mt 1-23 to 5001-5023.

^{7.} Other examples of miswritten graphs would include the gong \equiv of 249.4 written incorrectly as guan \equiv , \Rightarrow of 1126 written incorrectly as cai \Rightarrow , the wang zai $\rightleftharpoons \mapsto$ of 2172 written incorrectly as wang \equiv zai, and the dui \equiv of 3423 written incorrectly as \neq is \Rightarrow of 2172 written incorrectly as \Rightarrow of 2172 written incorrectly as \Rightarrow \Rightarrow of 3423 written incorrectly as \Rightarrow of 2172 written incorrectly as \Rightarrow \Rightarrow of 3423 written incorrectly as \Rightarrow of 3423 writ

^{8.} Other inscriptions in which we have inserted ellipses are number 4071, where 「又致于高」has been rewritten as 「又致于高且...」.

bone graphs. In most cases, it has been possible to juxtapose them within the body of the concordance so that μ , m and $\overline{\mu}$, for instance, appear sequentially as numbers 08.080601, 08.080602 and 08.081002. However, in a few cases, such as with $\overline{zai} \approx \text{and } \overline{cai} \Rightarrow$, since the different graphs have distinct radicals, and since the concordance is arranged on the basis of the 540 Shuowen radicals, as is traditional in reference works dealing with Chinese paleography, it has not been possible to indicate their identity. Our frustration in this regard points to the need for rigorous consistency in future transcription projects.

We are confident at least that the present concordance reflects the most rigorous standards of consistency. This confidence derives from the fact that the concordance has been generated almost entirely by computer. The transcription was first entered into the computer as it appears on pages 1-125. The most difficult feature of this entry process, aside from making every effort to ensure that the text was as error-free as possible, was the creation of over one-thousand graphic forms that do not exist in standard Chinese orthography. regard, too, the graphs were drawn to resemble as closely as possible the stylized transcriptions given by Yao Xiaosui and Xiao Ding. Although it is probably not possible, at least at the present stage of technological development, for computer-drawn graphs to be as precise or elegant as those that are hand-drawn, nevertheless we believe that the graphs presented here are both accurate and reasonably attractive.9 After having entered the transcription into the computer, it was then translated into an ASCII-file and sorted with a standard database The first step was to create a list of all unique graphs. Each graph was then assigned a sequence number based on its Shuowen radical and total stroke number, and then resorted on the basis of this sequence. The complete text was next subjected to a global search and sort operation, according to this sequence, for each unique graph, retrieving the complete text of each inscription in which the graph appears. 10 This sorted database file was reconverted to a Chinese word-processing file and printed using a Chinese-character print driver. Finally, it was also possible to use the same unique-graph file to generate a separate index (pp. 347-359) based on total stroke number and to indicate in that index the total number of occurrences of each graph.

⁹. In fact, of all the graphs encountered in the text, there was only one, concordance number 15.003501, that could not be drawn within the parameters of a 24x24 bit-map. As a tribute to the complexity of this graph, and as a challenge to others who are interested in using computers to work with Chinese inscriptions, we have used the rubbing of one of the two inscriptions in which the graph occurs, number 2598, as the cover illustration for this book.

^{10.} In cases where a single graph is found two or more times within a single inscription, the inscription has been retrieved once for each occurrence. For further details regarding the organization of the concordance, see the Specifications of Organization on p. ix.

While this entire process is conceptually straight-forward, it is necessary to mention one major problem that haunted us throughout the work. The Chinese word-processing system that we used supports only a single created character file of no more than 606 characters at any one time. Since it was necessary to create more than one-thousand graphs for the transcription, this constraint on the number of created characters therefore made it impossible to treat the text as a whole. Instead, it was necessary to divide it roughly in half, with one character file used for the first half and two others used for the second half. Since this affected not only the sort routine but also the printer functions as well, it was necessary to print three separate concordances and then to use the traditional method of cutting and pasting to combine them into a single concordance. Although this made the final generation of the concordance cumbersome for us and somewhat less attractive on the printed page, we remain confident that we have accounted for all graphs and occurrences.

The work that went into the production of this concordance was a collaboration in the fullest and best sense of the word. 11 Three different people with three different skills cooperated to produce an end result that could not have been achieved by any of them individually. Ed was responsible for the conception and design of the project, and for the final reading of the transcription and the sequence of the concordance. Fangpei was solely responsible for the major task of entering the entire text into the Chinese word-processing system and also drawing all of the created character forms. Jim was not only responsible for all phases of the database computer work but he also brought his considerable organizational skills to the final production job, enabling the three of us, working together, to produce the cameraready copy in just over three days. We look forward to the day when the computer generation of concordances for all sorts of Chinese language materials will be routine. In the meantime, we offer this concordance of the Xiaotun nandi oracle-bone inscriptions both as a practical reference aid for scholars working in the field of oracle-bone studies and also as a first attempt to apply computer technology to that field.

^{11.} We are also pleased to acknowledge support from the Center for East Asian Studies of the University of Chicago and from the American Council of Learned Societies for portions of the production costs.

SPECIFICATIONS OF ORGANIZATION

- 1. With certain minor revisions, the transcription is as given in Yao Xiaosui and Xiao Ding, <u>Xiaotun nandi jiagu kaoshi</u> (Beijing: Zhonghua shuju, 1985).
- The concordance is arranged according to the sequence of the 540 Shuowen radicals. Each graph has been assigned a nine-place number indicating its place in the sequence: the two digits before the decimal indicate the chapter in Shuowen in which the radical is found; the first two digits after the decimal indicate the sequential order of the radical within each chapter (see the Shuowen Radical Chart on pages xiixiii), the next two digits indicate the total number of strokes in the graph itself, and the final two digits are an arbitrary sequence number for this concordance. Thus, the number 14.240500 for the graph = indicates that the graph's radical is the twenty-fourth radical of chapter fourteen, that the graph has five strokes, and that it is the first graph listed in this concordance under that radical (by convention in this concordance when the graph is itself a Shuowen radical, its sequence number is 00). This numbering system is used not only for standard kaishu forms but also, to the extent possible, for oracle-bone forms of graphs. In cases where a graph does not occur in the Shuowen or in other dictionaries and has two or more components that might be identified as its radical, the component that is most prominent has been arbitrarily selected as the radical and the graph is not indexed twice. Oracle-bone graphs for which it has not been possible to determine any Shuowen radical have been placed in a fifteenth chapter and are arranged by order of total strokes.
- 3. As a further aid to locating graphs, there is a separate index arranged according to total stroke number (pages 347-359). For oraclebone forms of graphs, each apparent incision has been counted as one stroke. In the case of curved strokes, a semi-circle is regarded as one stroke (thus, \bowtie is counted as four strokes, \diamondsuit as three strokes), while a semi-oval is counted as two strokes (thus, \rightsquigarrow is counted as three strokes). Since some variation is inevitable in the counting of these strokes, it may be necessary to search under two or more stroke numbers in the Stroke-Number Index to locate a particular oracle-bone graph.
- 4. In addition to the numerical code for each graph, the <u>Cang Jie</u> alphabetic code by which it is accessed in the Chinese computer system is also supplied.
- 5. Each inscription in which a graph occurs is listed in its entirety in the order of the entry number of the inscription. If a graph occurs two or more times in a single inscription, the inscription is listed the number of times the graph occurs.
- 6. Complete ganzhi compounds are sub-indexed as a unit under the individual gan and appear only once.

凡例

- 除了少數更改之外。本案引之正文部份以能考述。肖丁含著的《小屯南地甲骨考釋》》 (北京:中華書局。1985)之隸定為依據。
- 2. 本零引按〈說文〉〉五百四十部編排,每字均有九位數字以定其次序先後:圓點前的無位數字指該字所從部首在〈說文〉〉中的卷號:點後的頭兩位數字指該部首在卷內次序(見說文部首表,頁12-13);次兩位數字為該字的筆劃認數:最後兩位數字為本零引的專用順序號,例如"甲"字以 14.240500 為號:"14.24"指甲字所從之部首為〈《說文》卷十四四的第二十四個部首,"05"表示甲字之筆劃共為五筆,而最後的"00"則表示甲字為本所引所列該部下之第一字。〈〈說文〉〉所無之甲骨字形亦以〈〈說文〉〉部首為序,倘若某一甲骨字形含有兩個或上的說文部首時,則擇最顯明的一個為其部首,在其他的部首下該字便不再列入。至於有些甲骨字形根本無法找到相應的〈〈說文〉〉部首,這些字就都列在本零引之第十五卷。其先後順序完全看該字筆劃之多察。
- 3. 本索引亦構有筆劃檢字表(頁347-359)。甲骨字形的筆劃則視刻度的多寡而定。過到曲刻時,凡半圓形的刻度都算作一劃。(例如"出"數作四劃。"⑤"字數作三劃)。 凡半隔圖形之刻度都算作兩劃。因此"V"則算作三劃。由于有些甲骨字形的筆劃較難確定。讀者與編者對某一字的數法便有可能不盡相同。過到這種情況,讀讀者養心在相臨的筆劃中查找一下。
- 4. 除了數字以外,本常引亦給出電子計算機中文輸入所最常用的倉額碼,凡前面冠有"Z" 者為獨者自續之文字,不在中文電腦所提供的標準漢字之內。
- 5. 多條卜辭含有同一字時,其在該字下之排列亦以卜辭在正文中順序之先後為準,如同一字在某一條卜辭多次出現,則按該字出現的次數將全條卜辭反復列出相應之次數,
- 6. 干支作為一整體來處理,分別列在各天干之下並僅出現一次.

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                 42 43 44
         39
                         45 46 47 48 49 50 51
    了弄
         卯辰巳午未申酉酋戊亥
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      1.2
2
      「不早」
3
      「 ... 牢王受又 」
      [今日戊王其田不而]
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6.2
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7.1
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9.3
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9.6
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9.8
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10.2
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      「癸酉貞旬亡田」
15
      「重點祝」
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      「甲申卜...」
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      「牢王受又」
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22.2
       「召在內...」
23
       「弗藝隻」
24
       「重乙巳用伐」
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       「... 匕壬罪... 」
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27.2
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30.2
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39.2
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「弘吉」
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