Handbook of Downstream Processing

Edited by
ELLIOTT GOLDBERG
Director of Process Engineering
Lockwood Greene Engineers, Inc.,
New York

BLACKIE ACADEMIC & PROFESSIONAL

An Imprint of Chapman & Hall

London · Weinheim · New York · Tokyo · Melbourne · Madras

Published by Blackie Academic & Professional, an imprint of Chapman & Hall, 2-6 Boundary Row, London SE1 8HN, UK

Chapman & Hall, 2-6 Boundary Row, London SE1 8HN, UK

Chapman & Hall GmbH, Pappelallee 3, 69469 Weinheim, Germany

Chapman & Hall USA, Fourth Floor, 115 Fifth Avenue, New York NY 10003, USA

Chapman & Hall Japan, ITP-Japan, Kyowa Building, 3F, 2-2-1 Hirakawacho, Chiyoda-ku, Tokyo 102, Japan

DA Book (Aust.) Pty Ltd, 648 Whitehorse Road, Mitcham 3132, Victoria, Australia

Chapman & Hall India, R. Seshadri, 32 Second Main Road, CIT East, Madras 600 035, India

First edition 1997

© 1997 Chapman & Hall

Typeset in 10/12pt Times by AFS Image Setters Ltd, Glasgow

Printed in Great Britain at the University Press, Cambridge

ISBN 0 7514 0364 4

Apart from any fair dealing for the purposes of research or private study, or criticism or review, as permitted under the UK Copyright Designs and Patents Act, 1988, this publication may not be reproduced, stored, or transmitted, in any form or by any means, without the prior permission in writing of the publishers, or in the case of reprographic reproduction only in accordance with the terms of the licences issued by the Copyright Licensing Agency in the UK, or in accordance with the terms of licences issued by the appropriate Reproduction Rights Organization outside the UK. Enquiries concerning reproduction outside the terms stated here should be sent to the publishers at the Glasgow address printed on this page.

The publisher makes no representation, express or implied, with regard to the accuracy of the information contained in this book and cannot accept any legal responsibility or liability for any errors or omissions that may be made.

A catalogue record for this book is available from the British Library Library of Congress Catalog Card Number: 95-81419

[∞] Printed on permanent acid-free text paper, manufactured in accordance with ANSI/NISO Z39.48-1992 (Permanence of Paper)

Preface

The last two decades have seen a phenomenal growth of the field of genetic or biochemical engineering and have witnessed the development and ultimately marketing of a variety of products—typically through the manipulation and growth of different types of microorganisms, followed by the recovery and purification of the associated products.

The engineers and biotechnologists who are involved in the full-scale process design of such facilities must be familiar with the variety of unit operations and equipment and the applicable regulatory requirements.

This book describes current commercial practice and will be useful to those engineers working in this field in the design, construction and operation of pharmaceutical and biotechnology plants. It will be of help to the chemical or pharmaceutical engineer who is developing a plant design and who faces issues such as:

- Should the process be batch or continuous or a combination of batch and continuous?
- How should the optimum process design be developed?
- Should one employ a new revolutionary separation which could be potentially difficult to validate or use accepted technology which involves less risk?
- Should the process be run with ingredients formulated from water for injection, deionized water, or even filtered tap water?
- Should any of the separations be run in cold rooms or in glycol jacketed lines to minimize microbial growth where sterilization is not possible?
- Should the process equipment and lines be designed to be sterilizedin-place, cleaned-in-place, or should every piece be broken down, cleaned and autoclaved after every turn?

Handbook of Downstream Processing focuses on the operations which promise to produce genetically engineered materials for use in producing end products such as enzymes, antibiotics, hormones, peptides, polypeptides, proteins, amino acids, veterinary drugs, steroids, herbicides, growth promoters, liposomes, diagnostic kits for infectious diseases, and many other materials that focus on pharmaceutical needs.

Contributors to this volume come largely from industry—from both engineering and pharmaceutical/biotech firms and from equipment manufacturers. The remainder come from academia.

The choice of chapters for this volume was dictated by the editor's

XXIV PREFACE

experience and modified by recommendations and suggestions by knowledgeable associates.

The chapters have been grouped into three categories: Unit operations; Specialized techniques; Engineering and design.

Many chapters belong in two categories, some in all three, and some do not fit comfortably in the categories in which they have been included. All the chapters are excellent reviews of the areas of technology currently used in the field of interest.

Unit operations

Descriptions of unit operations currently in use for the separation and purification or downstream processing of products developed by biochemical processing, including lysing, filtration, drying, extraction, affinity adsorption, membrane technology, electrodialysis, chromatography, precipitation, mixing and distillation.

Specialized techniques

Some of the specialized techniques used for processing materials being purified are included in the unit operations above and in the engineering and design sections below, and include chapters on CIP/SIP systems, clean room design, clean room testing and certification, sterilization and pure water facilities.

Engineering and design

Biotech facility project execution, controls and automation, bulk pharmaceutical plant design, optimization of downstream processing of protein, pharmaceutical finishing operations, wastewater treatment, offsite construction, regulatory considerations and validation.

Most of the chapters include references to current papers, which are not intended to be exhaustive.

Many contributed in the development of this book. Firstly, thanks to the chapter authors who are listed on p. xix. Then there are the unsung reviewers who made their critiques and offered suggestions for each chapter. We owe a depth of gratitude to the following reviewers: Richard Lang, Sheldon Finkler, Joel Kirman, and Robert Torregrossa, all of Lockwood Greene: Robert Clement and Peter Notwick of Jacobs Engineering; Jack Kearns and Anthony Dearning of Liposome Inc.; Francessa McBride of Triad Technologies; Mark Milano of Merck and Co.; John Bergen of E&V Services; Tom Davis, Consultant; Jim Banafato of Plastic Engineered Products; Dave Alterman of E.I. Associates Inc.; Richard Lesnik of John Brown E&C and Louis Bernero.

PREFACE XXV

My debts are numerous to the associates and others who patiently put up with many requests for information, comments, questions, etc. Special gratitude is due to Kevin Sowter, Senior Vice President of Lockwood Greene, who had much to do with the origin of this book.

Thanks to Dr. Bruce Eckman for his useful contributions and direction during the formative stages of this volume, and appreciation to Ed Bomba for his patience and useful recommendations. Many thanks are due to the administrative personnel who performed the typing and corrections, including Peggy Burkhard, Courtenay Adams and Sarah Davis (who was helpful on the research end). Special appreciation is due to the Lockwood Greene and Jacobs Engineering Management, who were encouraging and generous with their time during the development of this volume. Thanks to David Bursik Esq. for legal advice.

Lastly, thanks to my wife, Shirley, for putting up with the many hours I spent during weekends working on this handbook instead of enjoying the company of our family.

E.G.

Contents

Cor	ntributors	xix
Pre	Preface	
Cor	nversion table	xxvii
1	Mechanical disruption of cells	1
	J.R. MILLIS	
	1.1 Introduction	1
	1.2 Homogenizers	2 2 2 4
	1.2.1 Principle of operation	2
	1.2.2 Influence of pressure	
	1.2.3 Influence of valve design	4
	1.2.4 Influence of temperature 1.2.5 Influence of cell concentration	+
	1.3 Bead mills	5 5 5 7
	1.3.1 Principle of operation	 5
	1.3.2 Kinetics	5
	1.3.3 Influence of agitator speed	7
	1.3.4 Influence of bead size and volume	7
	1.3.5 Influence of flow rate	8
	1.3.6 Influence of cell concentration	8
	1.3.7 Influence of temperature	8
	1.3.8 Influence of equipment design	9
	1.4 Microorganisms	10
	1.4.1 Literature	10
	1.4.2 Yeasts	11
	1.4.3 Bacteria	11
	1.5 Economics of cell disruption	11
	1.5.1 Capital investment	11
	1.5.2 Operating costs	13
	1.6 Selection and optimization of cell-disruption equipment	15
	1.6.1 Manufacturers	15
	1.6.2 Criteria for monitoring degree of lysis	16
	1.6.3 General considerations	17
	References	18
2	Conventional filtration	20
	R. WOLTHUIS and V.C.F. DICHIARIA	
	2.1 Introduction	20
	2.2 Theory of filtration	20 21
	2.2.1 Practical considerations	23
	2.3 Classification of separators	23
	2.4 Filtration performance	24
	2.4.1 Reslurry washing	25
	2.4.2 Displacement washing	25

viii CONTENTS

	2.5 The effect of pressure	26
	2.6 Limitations of filtration theory 2.7 Filter aids	28 29
	2.7.1 Precoating with filter aid	29
	2.7.1 Trecoating with finer and 2.7.2 Incorporating filter aid with the sludge	29
	2.7.3 Using a special precoat filter	29
	2.8 Filtration drying technology	30
	2.9 Comparison and selection of filter types	31
	2.10 Small-scale filtration	31
	2.11 Filtration equipment	32
	2.11.1 Discontinuous Nutsche-type pressure filter	32
	2.11.2 Nutsche-type filter/dryer	35
	2.11.3 Continuous rotary pressure filter 2.12 Ultra-fine filtration	36 38
	2.12.1 Mechanisms of filtration	39
	2.12.1 Mechanisms of intration 2.12.2 Depth versus surface removal	42
	2.12.3 Filter ratings	44
	2.12.4 Hydrophile and hydrophobic filters	45
	2.12.5 Filter validation	45
	References	47
3	Pharmaceutical applications of liquid-liquid extraction	48
3	K.E. CROWELL	40
	3.1 Introduction	48
	3.2 Fundamentals	49
	3.2.1 Equilibrium	49
	3.2.2 Mass transfer	53
	3.2.3 Hydrodynamic factors	55
	3.3 Commercial extractors	57
	3.3.1 Stagewise extractors	57
	3.3.2 Differential extractors	60
	3.3.3 Centrifugal extractors	62
	3.3.4 Agitated columns	64
	3.4 Process design and scale-up	66
	3.5 Potential for protein purification	66
	Nomenclature	67 68
	References Further reading	69
	Further reading	09
4	Affinity adsorption	70
	D.J. ODDE	
	4.1 Introduction	70
	4.2 Affinity adsorption	70
	4.2.1 Adsorbent preparation	70
	4.2.2 Adsorbent stability	77
	4.2.3 Equilibrium models	79
	4.2.4 Operation	82
	4.3 Summary	87
	References	88
5	Membrane separations in downstream processing	90
J	•	70
	W. EYKAMP	90
	5.1 Background and need	70

	CONTENTS	ix
5.2	What membranes are	91
5.3	Membrane history	92
5.4	Membrane taxonomy	95
	5.4.1 How they are made	95
	5.4.2 Membrane ratings	99
5.5	Large applications outside the field	106
	5.5.1 Hemodialysis	106
	5.5.2 Wine microfiltration	107
	5.5.3 Dairy industry applications	107
	5.5.4 Juice filtration 5.5.5 Water filtration	108 108
	5.5.6 Nanofiltration	109
5.6	Fluid management	109
27.0	5.6.1 Dead-end flow	110
	5.6.2 Cross flow	110
5.7	Filtration	115
	5.7.1 Comparison wih membranes	115
5.8	Concentration polarization	116
5.9	Membrane components	118
	5.9.1 Membranes	118
	5.9.2 Modules	118
5.10	Applications to biotechnology	127
	5.10.1 Protein fractionation using ultrafiltration	127
	5.10.2 Membrane reactors 5.10.3 Enzymes	131
	5.10.4 Sterile filtration	132 132
	5.10.5 Process microfiltration	133
	5.10.6 Nanofiltration	135
5.11	Manufacturers	135
	rences	137
Elec	ctrodialysis	140
	•	170
	DAVIS and D.A. GLASSNER	
6.1		140
6.2	Ion-exchange membranes	141
	6.2.1 Membrane types	143
	6.2.2 Membrane properties 6.2.3 Water transport	145
	6.2.4 Special membranes	146 146
6.3	ED stacks	140
6.4	Applications of ED	150
6.5	Bipolar membranes to produce acids and alkalis	153
6.6	Membrane fouling	155
6.7	Specific electrodialysis applications in biotechnology	157
	6.7.1 Removal of salt from bioproducts	157
	6.7.2 Recovery of organic acids	159
6.8	Electrodialysis process design for bioprocessing	161
	6.8.1 Process selection	161
	6.8.2 Data required for design	162
	6.8.3 Special considerations for biotechnology applications	163
	6.8.4 Choice of eletrodialysis membrane	163
	6.8.5 Operating configuration 6.8.6 Process optimization	164
6.9	6.8.6 Process optimization Original equipment manufacturers	164 165
	rences	165
		10.5

X CONTENTS

7	Large-scale column chromatography—a GMP manufacturing	
	perspective	167
	J. EDWARDS	
	7.1 Introduction	167
	7.2 Large-scale chromatography concerns during the process-development stage	167
	7.2.1 Resin compatibility with caustic and acid sanitization agents	168
	7.2.2 Chromatography resin lot consistency	170
	7.2.3 Column buffer volumes required	170
	7.2.4 Gradient elution points to consider	171
	7.2.5 Drawbacks of fractionating product elution peaks	171
	7.3 Scale-up of large-scale chromatography operations	172 173
	7.3.1 Information collected and documented during process scale-up	174
	7.3.2 Process scale-up procedures7.3.3 Challenges encountered during scale-up	174
	7.3.4 Compatibility of materials of construction with processing	176
	7.3.5 Environmental contamination control	176
	7.4 Preparations for large-scale GMP column chromatography operations	177
	7.4.1 Equipment selection	177
	7.4.2 Evaluation of facility requirements	179
	7.4.3 System design and construction	180
	7.4.4 System qualifications	181
	7.4.5 Production documentation	182
	7.5 Conclusions	183 184
	Further reading	104
8	Product recovery and purification via precipitation and crystallization	185
	C.A. SCHALL and J.M. WIENCEK	
	8.1 Introduction	185
	8.2 Equilibrium behavior in solid-liquid systems	185
	8.2.1 Solid-liquid equilibria	185
	8.3 Theories of crystallization and precipitation kinetics	190
	8.3.1 Homogeneous nucleation	191
	8.3.2 Growth by surface nucleation	193 197
	8.4 Equipment design considerations	197
	8.4.1 Population balances and crystal-size distribution 8.4.2 Controlled cooling	199
	8.4.2 Controlled cooling 8.5 Special considerations for precipitation processes	201
	8.6 Summary	201
	References	202
		-03
9	Lyophilization	203
	J.W. SNOWMAN	202
	9.1 Introduction	203 204
	9.2 History of lyophilization	204
	9.3 Principles of lyophilization 9.3.1 Freezing	206
	9.3.1 Freezing 9.3.2 Freezing methods	207
	9.3.3 Sublimation	208
	9.3.4 Secondary drying	209
	9.4 Principles of equipment	210
	9.5 Specification of freeze-dryers	217
	9.5.1 Sizing	217

		CONTENTS	χi
		9.5.2 Overall concept	218
		9.5.3 Construction features	219
		9.5.4 Stopper-closing system	220
		9.5.5 Refrigeration system 9.5.6 Vacuum system	221 223
	9.6	Control systems	223
		Good manufacturing practices	224
	- • •	9.7.1 Protection against operator errors	227
		9.7.2 Protection against failure of utilities	227
		9.7.3 Protection against lyophilizer failure	228
	9.8	Application End point determination	228 229
		Leakage	230
		The cost of lyophilization	232
		Future considerations	233
	Refe	rences	234
10	D	ing in the above continue and biotochardom Goldo	235
10	•	ring in the pharmaceutical and biotechnology fields	255
		. MUJUMDAR and D.S. ALTERMAN	• • •
		Introduction	235 235
	10.2	Dryers for pharmaceutical and biotechnology products 10.2.1 Basic principles	237
		10.2.2 Classification of dryers	240
		10.2.3 Properties of pharmaceutical products	240
		10.2.4 Commonly used dryer types	241
		10.2.5 Some selected applications	242
		10.2.6 Combined filtration/drying	243 245
		10.2.7 Flash-dryers 10.2.8 Drum dryers	240
		10.2.9 Fluidized-bed dryers	246
		10.2.10 Mixer-dryers	248
		10.2.11 Vacuum dryers	248
		10.2.12 Paddle dryers	248
		10.2.13 Vacuum band dryers	249 250
		10.2.14 Freeze dryers 10.2.15 Spray dryers	250 252
	10.3	Drying in the pharmaceutical and biotechnology fields	253
		10.3.1 Removal of solvent vapor	254
		10.3.2 Condensation	254
		10.3.3 Carbon-bed adsorption	257
		References Further reading	259 260
		Turner reading	
1 1	Ster	rilization in the pharmaceutical and biotechnology industry	261
	PΜ	1. ARMENANTE and A.C. KIRPEKAR	
		Definitions and classification of sterilization processes	261
		Thermal sterilization	263
		11.2.1 Kinetics of microbial thermal death and thermal degradation of media	264
		11.2.2 Sterilization requirements	273
		11.2.3 Batch sterilization	274 279
		11.2.4 Continuous sterilization of media 11.2.5 Dry-heat sterilization of non-aqueous media and pharmaceutical	2.9
		products	292
		11.2.6 Sterilization validation	294

J

xii CONTENTS

	11.3 Sterilization via filtration	295
	11.3.1 Filtration mechanisms and types of filters	295
	11.3.2 Filtration of fermentation air and other gases	297
	11.3.3 Filtration of fermentation media and liquid products	299
	11.3.4 Validation and integrity testing	300
	11.4 Chemical sterilization	302
	11.4.1 Mode of action of antimicrobial chemicals	303
	11.4.2 Sterilizing and disinfecting agents	303
	11.5 Ultraviolet light and radiation sterilization	305
	11.5.1 Basic physics	305
	11.5.2 Ultraviolet (UV) radiation	305
	11.5.3 Ionizing radiations	306
	References	306
12	Pharmaceutical packaging operations	309
	W.C. CZANDER and R.V. LEUNG	
	12.1 Introduction	309
	12.2 Pharmaceutical filling and packaging systems	310
	12.2.1 Liquids packaging	310
	12.2.2 Solids packaging	312
	12.3 Packaging-line clearance and set-up	313
	12.3.1 Packaging-line clearance	313
	12.3.2 Packaging-line set-up	314
	12.4 Packaging-line start-up	314
	12.4.1 In-process checks	315
	12.4.2 Line stoppages	315
	12.5 Packaging-line completion and clean-out	315
	12.6 Packaging trends	316
	12.6.1 Pre-qualified suppliers	316
	12.6.2 Bar-coding	317
	12.6.3 Equipment	317
	12.6.4 Computer control	317
,13	Clean-in-place and sterilize-in-place systems	318
	J.A. COVEY and J. BROWN	
	13.1 CIP basics	318
	13.1.1 Historical background	318
	13.1.2 Cleaning using detergents	318
	13.1.3 Disinfection and sterilization	319
	13.1.4 Cleaning procedures	320
	13.1.5 CIP/SIP definitions	320
	13.2 System design	320
	13.2.1 The 3A standards	320
	13.2.2 Mechanical design	321
	13.2.3 SIP design considerations	325
	13.3 Types of CIP systems	327
	13.3.1 Re-use system	328
	13.3.2 Single-use units	329
	13.3.3 Solution-recovery units	329
	13.4 CIP cleaning process	331
	13.5 CIP control system and documentation	332
	13.5.1 Documentation and monitoring	333
	References	334

CONTENTS	X111

14	Controls and automation for biotechnology and pharmace industries	utical 335
		333
	P.W. YANG	220
	14.1 Introduction	335
	14.2 Biotechnology control system	337 337
	14.2.1 Process equipment 14.2.2 Process operations	337
	14.2.3 Process operations	338
	14.3 Bulk pharmaceutical control system	340
	14.3.1 Process equipment	340
	14.3.2 Process operations	341
	14.3.3 Process controls	342
	14.4 Control hardware and software	343
	14.4.1 General considerations	343
	14.4.2 Operator station	344
	14.4.3 Engineering workstation	348
	14.4.4 Historical trend unit	351
	14.4.5 Controller and input/output cards	351
	14.4.6 Data-highway communication	354
15	Agitation in fermenters and bioreactors	357
	R.J. McDONOUGH	
	15.1 Mechanically stirred fermenters	357
	15.1.1 Introduction	357
	15.1.2 Fluid dynamics—flow and shear	358
	15.1.3 Gas-liquid dispersions	364
	15.1.4 Mass transfer 15.1.5 Impeller types, geometries, and location	369
	15.1.5 Impeller types, geometries, and location 15.1.6 Power requirements and effect of tank shape on power	375 378
	15.1.7 Gas hold-up and flooding	381
	15.1.8 Sparging devices	384
	15.1.9 Heat transfer	388
	15.2 Pneumatically agitated fermenters	397
	15.2.1 Introduction to bubble columns and airlifts	397
	15.2.2 Theoretical and actual performance	399
	15.2.3 Fluid dynamics	402
	15.2.4 Mass transfer	405
	15.3 Scale-up considerations	407
	15.3.1 Macro- and micro-scale mixing	407
	15.3.2 Mass transfer scale-up	414
	References	416
16	Distillation in the pharmaceutical industry	417
	R.F. WILCOX	
	16.1 Introduction	417
	16.1.1 Overview	417
	16.1.2 Molecular interactions	417
	16.1.3 Phase equilibria	418
	16.2 Design of a distillation system	426
	16.2.1 Distillation methods	426
	16.2.2 Distillation design criteria	443
	References	452
	Bibliography	453

xiv CONTENTS

17	Hig	h purity water	456
	SF	TSHKIN	
	17.1	Introduction	456
	1 /.1	17.1.1 Water - the normal state of purity	456
	17.2	Feedwaters and their characteristics	458
	17.3	Pretreatment and operations	462
		17.3.1 Types of purification systems	462
		17.3.2 Activated carbon	462
		17.3.3 Reverse osmosis	464
		17.3.4 Distillation	464
		17.3.5 Ion exchange	465
	. ~ .	17.3.6 Other pretreatment methods	466
	17.4	Major treatment for high purity water 17.4.1 Introduction	468 468
		17.4.1 Introduction 17.4.2 Pretreatment of RODI water	477
		17.4.2 RODI preparation	478
		17.4.4 Regeneration	480
		17.4.5 Piping delivery systems	481
	17.5	RODI storage	481
	17.6	<u> </u>	483
		17.6.1 WFI storage loop	483
	17.7	Ç	484
	17.8	* * · · · · · · · · · · · · · · · · · ·	485
		17.8.1 Application of GMP (good manufacturing practice)	485
		17.8.2 Approach to validation	486
		17.8.3 Relationship between CGMPs and validation 17.8.4 Program implementation	487 487
		17.8.4 Program implementation 17.8.5 Validation scope	489
		17.8.6 HVAC environmental certification	490
	Appe	endix 1	491
		endix 2	505
	Refe	rences	508
	Bibli	ography	508
18		facility design process	509
	S. L	ITMAN	
	18.1	Introduction	509
	18.2		509
	18.3	Spatial relationship diagrams	511
	18.4 18.5	Design development Detail design - construction documents and specifications	511 512
	18.6	Facility design elements	512
	10.0	18.6.1 Components of biotechnical/pharmaceutical facilities	512
		18.6.2 Separation/containment philosophies	514
		18.6.3 Material/personnel/equipment flows	514
		18.6.4 Conflicting requirements of FDA/CBER and building codes	515
	18.7	Biopharmaceutical design elements	517
		18.7.1 Biological hazard classifications	517
		18.7.2 Room classifications	521
		18.7.3 Characteristic finishes of clean rooms	522
	10.0	18.7.4 Components used to achieve airtight separation	526
	18.8	Conclusion	528 529
	DIDII	ography	3.29

CONTENTS	XV
CONTENTS	45.7

S. NORWOOD 19.1 Introduction 19.2 Standards 19.2.1 Institute of Environmental Sciences Standard IES-RP-CC 006-84 19.2.1 Federal Standard 209D 51 19.2.3 NEBB procedural standards for certified testing of clean rooms 52 19.2.4 Other standards 53 19.2.4 Other standards 53 19.3.1 Independents 53 19.3.2 Divisions of larger companies 53 19.3.2 Divisions of larger companies 53 19.3.2 Divisions of larger companies 53 19.3.3 Other companies 53 19.4.1 HEPA leak testing 54 19.4.1 HEPA leak testing 54 19.4.2 Velocity and uniformity 54 54 19.4.3 Total airflow 54 19.4.4 Room differential pressure 54 19.4.5 Airborne particle counts 54 19.4.6 Temperature and humidity control 54 54 19.4.8 Airflow parallelism and dispersion 54 19.4.9 Room recovery 54 19.4.10 Induction leak test 54 19.4.11 Noise level testing 55 19.4.12 Light level tests 55 19.4.12 Light level tests 55 19.4.12 Light level tests 55 19.4.13 Vibration testing 55 19.5.2 Specifications 55 19.5.3 Bid documents 55 19.5.4 Interpretation of the bids 55 19.5.5 Dealing with test failures 55 19.5.6 Dealing with test failures 55 19.5.1 Scheduling the certification 55 55 19.5.2 Dealing with test failures 55 19.5.3 Dealing with test failures 55 19.5.4 Interpretation of the bids 55 19.5.5 Dealing with test failures 55 19.5.6 Dealing with test failures 55 19.5.6 Dealing with test failures 55 19.5.7 Dealing with test failures 55 19.5.8 Dealing with test failures 55 19.5.9 D	19	Clea	n room testing and certification	530
19.2 Standards 19.2.1 Institute of Environmental Sciences Standard IES-RP-CC 006-84 19.2.2 Federal Standard 209D 19.2.3 NEBB procedural standards for certified testing of clean rooms 19.2.4 Other standards 19.3.1 Independents 533 19.3.2 Divisions of larger companies 533 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 19.4.5 Airborne particle counts 543 19.4.5 Airborne particle counts 544 19.4.5 Airborne particle counts 545 19.4.6 HEPA fliter pressure drop 19.4.8 Airflow parallelism and dispersion 547 19.4.1 HEPA fliter pressure drop 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.2 Specification 554 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 556 19.6.3 Dealing with test failures 557 19.6.4 The final report 558 19.6.4 The final report 557 19.6.5 Validation requirements 558 19.6.4 The final report 557 19.6.5 Validation requirements 558 19.6.4 The recipe 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.8 Finishing requirements 562 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.8 Prospective strategy 566 20.8 Finishing requirements 568 20.8 Finishing requirements 568 20.8 Finishing requirements 568 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.5 20.5 20.5 20.5 20.5 20.5		S. N	ORWOOD	
19.2.1 Institute of Environmental Sciences Standard IES-RP-CC 006-84 19.2.2 Federal Standard 2091 19.2.3 NEBB procedural standards for certified testing of clean rooms 19.2.4 Other standards 532 19.3.1 Independents 533 19.3.2 Divisions of larger companies 534 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 549 19.4.12 Vibration testing 550 19.4.12 Nibration testing 551 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 The certification 554 19.6.2 Working with the certification 554 19.6.2 Working with the certification 555 19.6.2 Working with the certification 556 19.6.2 Working with test failures 557 19.6.4 The final report 557 19.6.5 Validation requirements 558 20.3 Process requirements 559 20.4.1 Master production record 560 20.4.1 Master production record 561 20.6 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.9 Prospective strategy 566 20.9 Prospective stra				530
19.2.2 Federal Standard 209D 19.2.3 NEBB procedural standards for certified testing of clean rooms 19.2.4 Other standards 532 19.2.4 Other standards 533 19.3.1 Independents 533 19.3.2 Divisions of larger companies 533 19.3.2 Divisions of larger companies 533 19.3.2 Divisions of larger companies 533 19.4.4 Certification tests 534 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 539 19.4.12 Light level tests 551 19.4.12 Light level tests 551 19.5.1 Responsibilities 552 19.5.2 Specification 554 19.5.1 Responsibilities 552 19.5.2 Specification 554 19.6.1 Scheduling the certification 554 19.6.1 Scheduling with the certification 554 19.6.2 Working with the certification 555 19.6.2 Working with the certification 555 19.6.2 Working with the certification 555 19.6.2 References 556 20.4 The final report 555 20.4 The final report 555 20.4 The final report 556 20.4 The final report 557 20.4 The standardions 558 20.3 Process requirements 559 20.4 The standardions 558 20.3 Process requirements 550 20.4 The standardion record 560 20.4.2 Batch production record 561 20.6 Finishing requirements 561 20.8 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 567 20.5 20.5 20.5 20.5 20.5 20.5 20.5 20.5 20.5		19.2	Standards	
19.2.3 NEBB procedural standards for certified testing of clean rooms 19.2.4 Other standards 532 19.3.1 Independents 533 19.3.1 Independents 533 19.3.2 Divisions of larger companies 533 19.3.2 Divisions of larger companies 533 19.3.3 Other companies 533 19.4 Certification tests 534 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.6 Temperature and humidity control 547 19.4.8 Airflow parallelism and dispersion 547 19.4.8 Airflow parallelism and dispersion 547 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 552 19.5.3 Bid documents 554 19.6.1 The certification of the bids 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 556 19.6.3 Dealing with test failures 557 19.6.4 The final report 558 19.6.4 The final report 550 20.4 The recipe 560 20.4.1 Master production record 561 20.4 Finishing requirements 551 20.5 Validation requirements 551 20.8 Finishing requirements 551 20.8 Finishing requirements 552 20.8 Finishing requirements 553 20.8 Finishing requirements 554 20.8 Finishing requirements 555 20.9 Prospective strategy 566 20.9 Prospective strategy 20.9 Prospective strategy 20.9 Pr			19.2.1 Institute of Environmental Sciences Standard IES-RP-CC 006-84	
19.2.4 Other standards			19.2.2 Federal Standard 209D	
19.3 Testing and certification companies 19.3.1 Independents 19.3.2 Divisions of larger companies 19.3.3 19.3.2 Divisions of larger companies 19.3.3 19.4 Certification tests 19.4.1 HEPA leak testing 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.5 Airborne particle counts 543 19.4.6 Temperature and humidity control 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 556 20.9 Prospective strategy 20.4.1 Master production record 561 20.5 Validation requirements 552 20.7 Records and report requirements 562 20.8 Finishing requirements 562 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 20.6 20.5 20.9 Prospective strategy 20.6 20.5 20.9 Prospective strategy 20.6 20.5 20.5 20.9 Prospective strategy 20.6 20.5				
19.3.1 Independents 19.3.2 Divisions of larger companies 533 19.3.2 Divisions of larger companies 533 19.4 Certification tests 534 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 553 19.6.4 The certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 556 19.6.3 Dealing with test failures 557 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 20.4.1 Master production record 20.4.2 Batch production record 561 20.5 Finishing requirements 562 20.5 Validation requirements 562 20.5 Validation requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 567 20.5				
19.3.2 Divisions of larger companies 19.3.3 Other companies 533 19.3.3 Other companies 533 19.4 Certification tests 534 19.4.1 HEPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.5 Airborne particle counts 543 19.4.5 Airborne particle counts 545 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.8 Airflow parallelism and dispersion 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 556 19.6.4 The final report 557 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4.1 Master production record 20.4.2 Batch production record 561 20.5 Validation requirements 562 20.5 Validation requirements 562 20.5 Validation requirements 562 20.7 Records and report requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 563 20.8 Finishing requirements 564 20.8 Finishing requirements 565 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.5 20.9 Prospective strategy 20.5		19.3		
19.3.3 Other companies 533 19.4 Certification tests 534 19.4.1 HFPA leak testing 534 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HFPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 19.6.7 Foresamply 556 20 Regulatory considerations 557 20.1 Historical considerations 557 20.2 Front-end considerations 557 20.4 Master production record 560 20.5 Validation requirements 550 20.6 Finishing requirements 560 20.7 Records and report requirements 561 20.8 I FDA inspections 565 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.5 Validation record 561 20.9 Prospective strategy 560 20.1 Validation report requirements 562 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strategy 560 20.1 Validation report requirements 565 20.9 Prospective strat				
19.4 Certification tests 19.4.1 HEPA leak testing 19.4.2 Velocity and uniformity 540 19.4.3 Total airflow 542 19.4.4 Room differential pressure 543 19.4.5 Airflowre particle counts 544 19.4.5 Airflowre particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.8 Airflow parallelism and dispersion 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 552 19.5.3 Bid documents 553 19.6.2 Working with the certifier 554 19.6.1 Velocity 556 19.6.2 Working with test failures 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 20.2 Front-end considerations 557 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4.1 Master production record 20.4.2 Batch production record 560 20.5 Validation requirements 561 20.5 Validation requirements 562 20.7 Records and report requirements 562 20.9 Prospective strategy 566 20.9 Prospective strategy 567				
19.4.1 HEPA leak testing 19.4.2 Velocity and uniformity 534 19.4.2 Velocity and uniformity 542 19.4.4 Room differential pressure 543 19.4.5 Airborne particle counts 544 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 539 19.4.10 Induction leak test 539 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.3 Bid documents 552 19.5.3 Bid documents 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 20.2 Front-end considerations 557 20.2 Front-end considerations 557 20.2 Front-end considerations 557 20.4 I Master production record 20.4.1 Master production record 20.4.2 Batch production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 562 20.6 Finishing requirements 562 20.7 Records and report requirements 562 20.8 Philosophical considerations 563 20.9 Prospective strategy 560 20.5 Production record 560 20.9 Prospective strategy 560 20.5 Production strategy 20.4 Production strategy 20.		10.4		
19.4.2 Velocity and uniformity 19.4.3 Total airflow 19.4.3 Total airflow 19.4.4 Room differential pressure 19.4.5 Airborne particle counts 19.4.5 Airborne particle counts 19.4.6 Temperature and humidity control 19.4.7 HEPA filter pressure drop 19.4.8 Airflow parallelism and dispersion 19.4.9 Room recovery 19.4.10 Induction leak test 19.4.11 Noise level testing 19.4.12 Light level tests 19.4.13 Vibration testing 19.4.13 Vibration testing 19.5.1 Responsibilities 19.5.2 Specifications 19.5.2 Specifications 19.5.3 Bid documents 19.5.3 Bid documents 19.5.4 Interpretation of the bids 19.6.1 Scheduling the certification 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 19.5.6 References 19.6.4 The final report 19.5.6 The final report 19.5.7 The final report 19.5.8 The final report 19.5.9 The recipe 19.6.9		19.4		
19.4.3 Total airflow 19.4.4 Room differential pressure 542 19.4.5 Airborne particle counts 543 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 539 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 20.2 Front-end considerations 557 20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 562 20.6 Finishing requirements 562 20.7 Records and report requirements 562 20.8 Philosophical considerations 564 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.5 Facility 567 20.6 Finishing requirements 562 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.9 Prospective strategy 567 20.9 Prospective strategy 567 20.5 Prospective strategy 567 20.6 Finishing requirements 562 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.9 Prospective strategy 567				
19.4.4 Room differential pressure 19.4.5 Airborne particle counts 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 19.6.4 The final report 555 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 20.4.1 Master production record 20.5 Validation requirements 561 20.5 Validation requirements 561 20.5 Validation requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8 Philosophical considerations 565 20.9 Prospective strategy 566 20.9 Prospective strategy 560 20.9 Prospective strategy 20.4 20.5 20.7 20.5 20.7 20.5 20.7 20.5 20.7 20.5 20.7 20.5 20.7				
19.4.5 Airborne particle counts 19.4.6 Temperature and humidity control 545 19.4.7 HEPA filter pressure drop 547 19.4.8 Airflow parallelism and dispersion 547 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References Bibliography 556 20 Regulatory considerations 557 20.2 Front-end considerations 557 20.4 The recipe 560 20.4.1 Master production record 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8 Philosophical considerations 565 20.9 Prospective strategy 566 References 567 20.9 Prospective strategy 566 References 567				
19.4.6 Temperature and humidity control 19.4.7 HEPA filter pressure drop 19.4.8 Airflow parallelism and dispersion 19.4.9 Room recovery 19.4.10 Induction leak test 19.4.11 Noise level testing 19.4.11 Noise level testing 19.4.12 Light level tests 19.4.13 Vibration testing 19.5.1 Responsibilities 19.5.2 Specifications 19.5.2 Specification contractor 19.5.1 Responsibilities 19.5.2 Responsibilities 19.5.2 19.5.2 Specifications 19.5.3 Bid documents 19.5.4 Interpretation of the bids 19.6.5 Consideration 19.6.6 Working with the certifier 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 19.6.5 Specifications 19.6.5 Specification 19.6.5 Specification 19.6.5 Specification 19.6.5 Specification 19.6.6 Specification 19.6.7 Specification 19.6.8 Specification 19.6.9 Specification 19.6.9 Specification 19.6.9 Specification 19.6.1 Specification 19.6.1 Specification 19.6.2 Specification 19.6.2 Specification 19.6.3				
19.4.7 HEPA filter pressure drop 19.4.8 Airflow parallelism and dispersion 19.4.9 Room recovery 19.4.9 Room recovery 19.4.10 Induction leak test 19.4.11 Noise level testing 19.4.12 Light level tests 19.4.13 Vibration testing 19.4.13 Vibration testing 19.5.1 Possibilities 19.5.1 Responsibilities 19.5.2 Specifications 19.5.3 Bid documents 19.5.4 Interpretation of the bids 19.6.1 Scheduling the certification 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 19.6.4 The final report 19.6.5 Possibility 19.6.2 Pront-end considerations 19.6.4 The final report 19.6.5 Pront-end considerations 19.6.2 Pront-end considerations 19.6.3 Process requirements 19.6.4 Process requirements 19.6.5 Pront-end considerations 19.6.2 Pront-end considerations 19.6.3 Process requirements 19.6.4 Process requirements 19.6.5 Pront-end considerations 19.6.5 Pront-end considerations 19.6.1 Process requirements 19.6.2 Pront-end considerations 19.6.3 Process requirements 19.6.4 Process requirements 19.6.5 Pront-end considerations 19.6.5 Pront-end conside				
19.4.8 Airflow parallelism and dispersion 19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 19.6.4 The final report 555 19.6.4 The final report 555 556 55				
19.4.9 Room recovery 549 19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 19.6.5 The final report 555 19.6.6 The final report 555 19.6.7 The final report 555 19.6.8 The final report 556 19.6.9 Prospective strategy 560 20.8 Philosophical considerations 562 20.9 Prospective strategy 566 References 560 20.9 Prospective strategy 566 References 566 References 566 References 566 20.9 Prospective strategy 566 References 567 20.9 Prospective strategy 566 References 567 20.9 Prospective strategy 566 References 567 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.9 Prospective strategy 566 20.9 Prospective strategy 567 20.9 Prospective strategy 567 20.9 Prospective strategy 566 20.9 Prospective strategy 566 20.9 References 567 20.9 Prospective strategy 566 20.9 Pro				
19.4.10 Induction leak test 549 19.4.11 Noise level testing 550 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.4.13 Vibration testing 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4.1 Master production record 20.4.2 Batch production record 561 20.6 Finishing requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 566 References 567				
19.4.11 Noise level testing 19.4.12 Light level tests 551 19.4.13 Vibration testing 551 19.4.13 Vibration testing 551 19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.3 Bid documents 554 19.5.4 Interpretation of the bids 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 Bibliography 556 20 Regulatory considerations 557 20.2 Front-end considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4.1 Master production record 20.4.2 Batch production record 20.4.2 Batch production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567 20.5 20.9 Prospective strategy 566 References 567 20.9 Prospective strategy 567 20.9 20.9 Prospective strategy 567 20.9 Prospective strategy 568 20.9 Prospective strategy 567 20.5 2				549
19.4.12 Light level tests 19.4.13 Vibration testing 551 19.5 Selecting a certification contractor 19.5.1 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 557 20 Regulatory considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 20.4.1 Master production record 20.4.2 Batch production record 560 20.5 Validation requirements 561 20.6 Finishing requirements 561 20.7 Records and report requirements 562 20.8 Philosophical considerations 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567 20.6 References 560 20.8 References 560 20.9 Prospective strategy 566 References 567 20.9 References 560 20.9 Prospective strategy 566 References 567				550
19.4.13 Vibration testing 551 19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 560 20.5 Validation requirements 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				551
19.5 Selecting a certification contractor 551 19.5.1 Responsibilities 552 19.5.2 Specifications 552 19.5.3 Bid documents 552 19.5.4 Interpretation of the bids 554 19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 557 20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.5 Validation requirements 561 20.6 Finishing requirements 562				551
19.5.2 Specifications 19.5.3 Bid documents 19.5.4 Interpretation of the bids 19.6.1 The certification 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 19.6.5 References 19.6.4 The final report 19.6.5 Bibliography 19.6.5 References 19.6.6 The final report 19.6.6 The final report 19.6.7 The final report 19.6.8 The final report 19.6.9 The final report 19.6.9 The final report 19.6.0 The final report 19.6.0 The final report 19.6.1 The final report 19.6.2 The final report 19.6.2 The final report 19.6.3 The final report 19.6.4 The final report 19.6.5 The final report 19.6.5 The final report 19.6.6 The final report 19.6.7 The final report 19.6.8 The final report 19.6.9 The final report 19.6.9 The final report 19.6.0 The recipe T		19.5		
19.5.3 Bid documents 19.5.4 Interpretation of the bids 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report References Bibliography 20 Regulatory considerations W.S. KLETCH 20.1 Historical considerations 20.2 Front-end considerations 20.3 Process requirements 20.4 The recipe 20.4.1 Master production record 20.4.2 Batch production record 20.4.2 Batch production record 20.4.3 Master production record 20.5 Validation requirements 20.6 Finishing requirements 20.7 Records and report requirements 20.8 Philosophical considerations 20.8.1 FDA inspections 252 264 265 266 266 267 2667 267				
19.5.4 Interpretation of the bids 19.6.1 The certification 19.6.1 Scheduling the certification 19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 19.6.5 The final report 19.6.5 The final report 19.6.6 The final report 19.6.7 The final report 19.6.8 The final report 19.6.9 The final report 19.6.9 The final report 19.6.0 The final report 19.6.1 The final report 19.6.1 The final report 19.6.2 The final report 19.6.2 The final report 19.6.3 The final report 19.6.4 The final report 19.6.5 The final report 19.6.5 The final report 19.6.5 The final report 19.6.6 The			19.5.2 Specifications	
19.6 The certification 554 19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 557 20.1 Historical considerations 558 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 <			19.5.3 Bid documents	552
19.6.1 Scheduling the certification 554 19.6.2 Working with the certifier 555 19.6.3 Dealing with test failures 555 19.6.4 The final report 555 References 556 Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 557 20.1 Historical considerations 558 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
19.6.2 Working with the certifier 19.6.3 Dealing with test failures 19.6.4 The final report 555 References Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 20.1 Historical considerations 558 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 20.4.1 Master production record 20.4.2 Batch production record 20.4.2 Batch production record 20.4.2 Finishing requirements 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References		19.6		
19.6.3 Dealing with test failures 19.6.4 The final report References Bibliography 20 Regulatory considerations W.S. KLETCH 20.1 Historical considerations 20.2 Front-end considerations 20.3 Process requirements 20.4 The recipe 20.4.1 Master production record 20.4.2 Batch production record 20.4.2 Batch production record 20.4.5 Validation requirements 20.6 Finishing requirements 20.7 Records and report requirements 20.8 Philosophical considerations 20.8 Philosophical considerations 20.9 Prospective strategy 206 References 255 255 255 256 257 258 258 259 259 250 250 250 250 250 250 250 250 250 250				
19.6.4 The final report				
References 556 Bibliography 556 20 Regulatory considerations 557 W.S. KLETCH 30.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.5 Validation requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
Bibliography 556				
20 Regulatory considerations 557 W.S. KLETCH 20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
W.S. KLETCH 20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567		Biblic	ography	330
W.S. KLETCH 20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567	20	Regi	ulatory considerations	557
20.1 Historical considerations 557 20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567		_	•	
20.2 Front-end considerations 558 20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				557
20.3 Process requirements 559 20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.4 The recipe 560 20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.4.1 Master production record 560 20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567			•	
20.4.2 Batch production record 561 20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567		20.4		
20.5 Validation requirements 561 20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.6 Finishing requirements 562 20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567		20.5		
20.7 Records and report requirements 563 20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.8 Philosophical considerations 564 20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.8.1 FDA inspections 565 20.9 Prospective strategy 566 References 567				
20.9 Prospective strategy 566 References 567		20.0		
References 567		20.9		
References			,	
				567

xvi CONTENTS

21	Validation	569
	B. ECKMAN	
	21.1 Federal regulation of food and drugs — the past is prologue	569
	21.2 Basic concepts and definitions	570
	21.3 Validation approach	580
	21.4 Validation master plan	582
	21.5 Prequalification phase activities	583
	21.6 Qualification phase activities	585
	21.7 Validation phase (performance qualification) activities	587
	21.8 On-going activities	589
	21.9 Validation of HVAC systems	591 593
	21.10 Computer system validation Definitions	593
	Lexicon	595
	References	598
22	Project execution for the design and construction of a biotechnology facility	601
	S.K. YU	
	22.1 Introduction	601
	22.2 Project management	601
	22.3 Defining project scope	603
	22.4 Process design	604
	22.4.1 Bioreactors	606
	22.4.2 High purity tubing systems	607
	22.5 Passivation	610
	22.6 Cleaning and sterilization requirements	610
	22.7 Biocontainment	611
	22.8 Coordination	613
	22.9 Facility design	613
	22.9.1 Architectural layout	614
	22.9.2 HVAC system 22.9.3 Utility systems	614
	22.9.4 Example of a typical facility	615 617
	22.9.5 Facility cost	619
	22.10 Instrumentation and control	619
	22.11 Construction	620
	22.12 FDA regulations and licensing requirements	620
	22.13 Validation	621
	References	622
23	Bulk pharmaceutical and biopharmaceutical plant design	(22
	considerations	623
	R. LESNIK	
	23.1 Basis of comparison	623
	23.2 Facility layout	624
	23.2.1 Bulk pharmaceuticals	624
	23.2.2 Modular construction	627
	23.2.3 Biopharmaceuticals	628
	23.3 Processes and unit operations	630
	23.3.1 Bulk pharmaceuticals 23.3.2 Biopharmaceuticals	630
	23.3.2 Diopharmaceuticais	633

		CONTENTS	xvii
	23.4	Equipment and piping systems	634
		23.4.1 Bulk pharmaceuticals	634
		23.4.2 Biopharmaceutical equipment and piping	642
	23.5	Materials and materials handling	645
		23.5.1 Bulk pharmaceuticals	645
	22.4	23.5.2 Biopharmaceuticals	646
	23.6	HVAC and utilities systems	647 647
		23.6.1 HVAC 23.6.2 Steam	648
		23.6.3 Water	649
		23.6.4 Air and other gases	650
	23.7	Waste disposal and handling	651
	23.7	23.7.1 Bulk pharmaceuticals	651
		23.7.2 Biopharmaceuticals	653
	23.8	Safety considerations	655
		23.8.1 Bulk pharmaceuticals	655
		23.8.2 Biopharmaceuticals	655
	23.9	Regulatory considerations	656
	_		
24	-	imization of protein recovery using computer-aided process	
	desi	gn tools	658
	D.P	. PETRIDES and E.S. SAPIDOU	
	24.1	Introduction	658
	24.2	Computer-aided bioprocess design	658
		24.2.1 Product and project selection	659
		24.2.2 Research and development planning	659
		24.2.3 Environment and safety issues	659
		24.2.4 Improved communication	659
		24.2.5 Retrofit of existing facilities	660
	24.3	Analysis of β -galactosidase production using process simulation	661
		24.3.1 Background	661
		24.3.2 Market analysis	662
		24.3.3 Design basis	662
		24.3.4 Process description	662
		24.3.5 Process scheduling	666
		24.3.6 Material balances	666 667
		24.3.7 Process economics 24.3.8 Optimization and sensitivity analysis	671
	24.4	Conclusions	680
		rences	680
25	Off-	site construction	682
	А. Т	ERGEVORKIAN	
	25.1	Engineering of off-site construction	684
	25.2	Off-site construction project cost elements	686
	25.3	Shipping and installation	687
	25.4	Summary	687
26	Was	ste water treatment in the pharmaceutical industry	688
		CHEN and K. CROWELL	
		Biological treatment	688
	40.1	Diological illeatillent	COUR

xviii contents

	26.1.1	Equalization	68
	26.1.2	Neutralization	68
	26.1.3	Types of activated sludge systems	69
		Biotower/trickling filter	69
	26.1.5	Sequencing batch reactor (SBR)	69
	26.1.6	Biological waste inactivation	69
26.2	Physica	al treatment	69
	26.2.1	Activated carbon	69
	26.2.2	Air and stream stripping	69
	26.2.3	Heavy metals removed	7()
Biblio	ography	•	70

Index 705