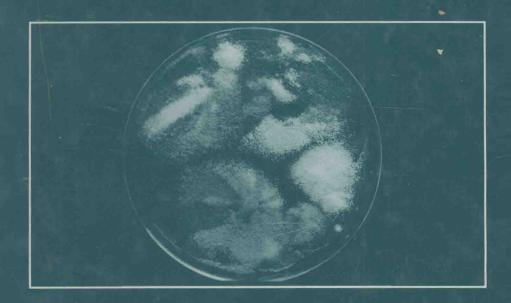
## BIOTECHNOLOGY HANDBOOKS • 7

Series Editors: Tony Atkinson and Roger F. Sherwood



# Aspergillus

Edited by J. E. Smith

# Aspergillus

Edited by

J. E. Smith

University of Strathclyde Glasgow, Scotland

Plenum Press • New York and London

#### Library of Congress Cataloging-in-Publication Data

```
Aspergillus / edited by J.E. Smith.
p. cm. -- (Biotechnology handbooks : v. 7)
Includes bibliographical references and index.
ISBN 0-306-44545-X
1. Aspergillus--Biotechnology. 2. Fungi--Biotechnology.
I. Smith, John E. II. Series.
TP248.27.F86A873 1993
660'.62--dc20 93-39484
CIP
```

#### ISBN 0-306-44545-X

© 1994 Plenum Press, New York A Division of Plenum Publishing Corporation 233 Spring Street, New York, N.Y. 10013

## All rights reserved

No part of this book may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, microfilming, recording, or otherwise, without written permission from the Publisher

Printed in the United States of America

# Aspergillus

## **BIOTECHNOLOGY HANDBOOKS**

Series Editors: Tony Atkinson and Roger F. Sherwood

PHLS Centre for Applied Microbiology and Research

Division of Biotechnology Salisbury, Wiltshire, England

- Volume 1 PENICILLIUM AND ACREMONIUM Edited by John F. Peberdy
- Volume 2 BACILLUS
  Edited by Colin R. Harwood
- Volume 3 CLOSTRIDIA Edited by Nigel P. Minton and David J. Clarke
- Volume 4 SACCHAROMYCES
  Edited by Michael F. Tuite and Stephen G. Oliver
- Volume 5 METHANE AND METHANOL UTILIZERS Edited by J. Colin Murrell and Howard Dalton
- Volume 6 PHOTOSYNTHETIC PROKARYOTES
  Edited by Nicholas H. Mann and Noel G. Carr
- Volume 7 ASPERGILLUS Edited by J. E. Smith

A Continuation Order Plan is available for this series. A continuation order will bring delivery of each new volume immediately upon publication. Volumes are billed only upon actual shipment. For further information please contact the publisher.

## Contributors

- J. G. Anderson Department of Bioscience and Biotechnology, University of Strathclyde, Glasgow G1 1XW, Scotland
- M. Bensoussan ENSBANA, Départment de Microbiologie-Biotechnologie, Université de Bourgogne, 21000 Dijon, France
- W. M. Fogarty Department of Industrial Microbiology, University College, Dublin 4, Ireland
- P. Gervais ENSBANA Laboratoire de Génie des Procédés Alimentaires et Biotechnologiques, Université de Bourgogne, 21000 Dijon, France
- L. M. Harvey Department of Bioscience and Biotechnology, University of Strathclyde, Glasgow G1 1XW, Scotland
- J. R. Kinghorn Plant Science Laboratory, School of Biological and Medical Science, University of St. Andrews, Fife KY169TH, Scotland
- **Z. Kozakiewicz** International Mycological Institute, Bakeham Lane, Egham, Surrey TW20 9TY, England
- C. W. Lewis Department of Bioscience and Biotechnology, University of Strathclyde, Glasgow G1 1XW, Scotland
- **B. McNeil** Department of Bioscience and Biotechnology, University of Strathclyde, Glasgow G1 1XW, Scotland
- Robert A. Samson Centraalbureau voor Schimmelcultures, 3740 AG Baarn, The Netherlands
- **D. Smith** International Mycological Institute, Bakeham Lane, Egham, Surrey TW20 9TY, England
- J. E. Smith Department of Bioscience and Biotechnology, University of Strathclyde, Glasgow G1 1XW, Scotland
- S. E. Unkles Plant Science Laboratory, School of Biological and Medical Science, University of St. Andrews, Fife KY16 9TH, Scotland
- **Shigeomi Ushijima** Research and Development Division, Kikkoman Corporation, 399 Noda, Noda City, Chiba Prefecture 278, Japan

## **Preface**

The genus Aspergillus has a worldwide distribution and is one of the most common of all groups of fungi. They are possibly the greatest contaminants of natural and man-made organic products, and a few species can cause infections in man and animals. The aspergilli are also one of the most important mycotoxin-producing groups of fungi when growing as contaminants of cereals, oil seeds, and other foods. Not all aspergilli are viewed as troublesome contaminants, however, as several species have had their metabolic capabilities harnessed for commercial use.

The aspergilli have long been associated in the Far East with the koji stage of several food fermentations, particularly soy sauce and miso, and subsequently as a source of useful enzymes. The ability of these fungi to produce several organic acids, especially citric acid, has created major industrial complexes worldwide. Traditional methods of strain development have been extensively studied with the industrial strains, while more recently, recombinant DNA technology has been applied to the aspergilli with emphasis on heterologous protein production.

In compiling this book, I have been fortunate to have the full enthusiastic involvement of the authors, and to them I extend my very grateful thanks for mostly being on time and for producing such readable and authoritative chapters.

Collectively, we hope that our efforts will strengthen the scientific understanding of this intriguing group of filamentous fungi and further their use in the field of biotechnology.

John Smith

Glasgow, Scotland

## Contents

## Chapter 1

Taxonomy—Current Concepts of Aspergillus Systematics	
Robert A. Samson	
1. Introduction 2. Criteria for Classification and Identification 2.1. Morphology 2.2. Other Methods for Classification and Identification 3. Nomenclature 3.1. Anamorph—Teleomorph Names 3.2. Conservation and Protection of Names 4. Current Taxonomic Scheme 4.1. Subgenus Aspergillus 4.2. Subgenus Fumigati Gams et al. 4.3. Subgenus Ornati Gams et al. (= A. ornatus group Raper & Fennell) 4.4. Subgenus Clavati Gams et al. 4.5. Subgenus Nidulantes 4.6. Subgenus Circumdati Gams et al. 4.7. Subgenus Stilbothamnium (Hennings) Samson & Seifert References	1 2 2 3 5 5 6 7 7 8 9 10 13 18 18
Chapter 2	
Physiology of Aspergillus	23
Z. Kozakiewicz and D. Smith	
1. Introduction	23 23

## x CONTENTS

2.1. Effect of a <sub>w</sub> on Germination and Sporulation	25
3. Temperature	26
3.1. Low Temperature	2
3.2. Freezing Injury	2
3.3. Tolerance to Freezing	29
4. pH	33
5. Gas Composition	34
6. Mycotoxin Production	34
6.1. Fungal Factors	35
6.2. Environmental Factors	35
7. Summary	37
References	38
Chapter 3	
Improvement of Industrial Aspergillus Fungi	41
Shigeomi Ushijima	
<ol> <li>Breeding by Protoplast Fusion of Koji Mold, Aspergillus sojae         <ol> <li>Formation of Protoplasts</li> <li>Protoplast Fusion</li> <li>Isolation of Heterozygous Diploids</li> <li>Properties of the Fused Green Strain</li> </ol> </li> <li>Improvement of Enzyme Productivities through Mutation or Haploidization of Heterozygous Diploids Obtained by Protoplast Fusion of Aspergillus sojae</li> </ol>	41 42 44 44 45
2.1. Mutation of Heterozygous Diploids	47
2.2. Haploidization of Heterozygous Diploids	47
2.3. Enzyme Productivities of Haploidized Strains	49
2.4. Estimation of DNA Content	51
3. Interspecific Protoplast Fusion between Aspergillus oryzae	<i>J</i> 1
and Aspergillus sojae	53
3.1. Protoplast Fusion	54
3.2. Isolation of Heterozygous Diploids	54
3.3. Phenotypes of Heterozygous Diploids	54
3.4. Haploidization of Fusants	54 54
3.5. Conidia DNA Content	55
3.6. Electrophoretic Discrimination of Alkaline	Ji
	55
	57
3.7. Phenotypes of Haploidized Strains	37

	3.8. Hydrolyzing-Enzyme Productivities of the Fusants and	
	the Haploidized Segregants	58
4.	Interspecific Electrofusion between Protoplasts of Aspergillus	
	oryzae and Aspergillus sojae	59
	4.1. Phenotypic Characters of Fusants and Haploidized	
	Segregants	60
5.	Interspecific Hybridization of Aspergillus awamori	
	and Aspergillus oryzae by Protoplast Fusion	62
6.	Intraspecific Protoplast Fusion of Aspergillus niger	62
	References	62
	1	
C	hapter 4	
M	olecular Genetics and Expression of Foreign Proteins in the	
	enus Aspergillus	65
G	enus Asperguius	03
Ī.	R. Kinghorn and S. E. Unkles	
J	O The state of the	
1.	Introduction	65
2.	Methodology	66
	2.1. Isolation of Aspergillus Genes	66
	2.2. Transformation of the Model Fungus Aspergillus	
	nidulans	68
	2.3. Transformation of Other Aspergilli	74
	2.4. Development of Systems to Analyze Gene Expression	76
	2.5. Electrophoretic Karyotypes of Aspergilli	77
	2.6. Classification and Differentiation of Species	
	and Strains	79
3.	Expression of Foreign Proteins	80
	3.1. Calf Chymosin	82
	3.2. Human Interferon α-2	86
	3.3. Human Tissue Plasminogen Activator	86
	3.4. Hen Egg White Lysozyme	87
	3.5. Porcine Pancreatic Prophospholipase A <sub>2</sub>	88
	3.6. Cattle Tick Cell-Surface Glycoprotein	89
	3.7. Human Interleukin-6	89
	3.8. Human Lactoferrin	90
	3.9. Factors That Affect Heterologous Expression	91
	References	93

## xii CONTENTS

Cha	pter	5
~~~~		_

Solid-State Fermentations of the Genus Aspergulus 10		
P. Gervais and M. Bensoussan		
2. Fungal Growth Characteristics in a Solid-State Medium102.1. Radial Extension Rate and Hyphal Growth Rate102.2. Branching102.3. Biomass102.4. Differentiation and Metabolite Production10	01 03 04 04 05 05	
3. Dynamic and Thermodynamic Properties of a Solid-State		
	06	
3.2. Heat and Mass Transfer Properties in a Solid-State	06 12	
4. Influence of Physicochemical Parameters of the Medium	14	
in Solid-State Fermentation	14 14	
4.2. Other Physicochemical Parameters	21	
5. Aspergillus Products	24	
	24	
	28	
	30	
r	34	
References	34	
Chapter 6		
Liquid Fermentation Systems and Product Recovery of Aspergillus	41	
L. M. Harvey and B. McNeil		
2. Submerged Cultivation142.1. Morphology142.2. Shear Effects143. Current Technology14	41 42 42 44	
3.1. Stirred Tank Reactors	44	

CONTENTS	xiii

	3.2.	Airlift Bioreactors	146
	3.3.	Modes of Operation of Fermentation Processes	146
4.	Citri	c Acid Production	150
	4.1.	Introduction	150
	4.2.	Organisms	150
	4.3.	Production Processes	150
	4.4.	Conclusions	154
5.	Enzy	me Production	154
	5.1.	Introduction	154
	5.2.	Production Processes	156
	5.3.	Glucoamylase	157
	5.4.	Conclusions	158
6.	Hete	rologous Protein Production	158
	6.1.	Introduction	158
	6.2.	Production of Calf Chymosin in Aspergillus	161
	6.3.	Possible Limitations to Heterologous Protein	
		Production	161
	6.4.	Physiological Control	163
	6.5.	Conclusions	166
7.	Dow	nstream Processing	166
	7.1.	Citric Acid	167
	7.2.	Enzymes	169
	7.3.	Integrated Fermentation and Recovery	172
8.	Over	rall Conclusions	172
	Refe	rences	173
C	apte	r 7	
GI	iapic	1 /	
TC		SAL Comme Astron III	122
Er	izymo	es of the Genus Aspergillus	177
<b>TA7</b>	<b>N</b> 1		
VV.	. M. I	Fogarty	
,	τ	1 4	1 77
		roduction	177
		duction and Recovery of Enzymes	178
		wnstream Processing and Recovery	180
4		ylolytic Enzymes of Aspergillus Species	181
	4.]	the second of th	182
	4.2	A second	183
	4.3	the contraction of the contracti	185
	4.4	L. Pullulan 4-glucanohydrolase	186

## xiv CONTENTS

4.5.	Enzymes of Aspergilli and Degradation of Raw
	Starch 1
	olytic Enzymes
	Production of Pectinolytic Enzymes 1
5.2.	Applications
<ol><li>Cellul</li></ol>	ases 1
7. Xylan	ases 1
7.1.	Endo-xylanases 1
7.2.	β-Xylosidases 1
7.3.	Exo-xylanase 1
8. Lipas	es 1
9. Gluco	se-Transforming Enzymes 1
9.1.	Glucose Oxidase
	Glucose Dehydrogenases 1
	inases 1
10.1.	
10.2.	Applications 2
11. Misce	llaneous Enzymes 2
	Catalase 2
11.2.	Glycerol Oxidase
11.3.	Keratinase 2
11.4.	Acylase
11.5.	β-Galactosidase
11.6.	Endo-β-glucanase
11.7.	Pterin Deaminase
11.8.	Uricase
11.9.	Naringinase
	. Tannase
	usions
	ences
Kelei	ences
Chapter 8	8
•	
Health-R	elated Aspects of the Genus Aspergillus 2
C W Los	wis, J. G. Anderson, and J. E. Smith
o. W. Let	vis, j. O. Aliucison, and j. E. Silliui
1 1	
	uction 2
	gillosis 2
2.1. C	linical Conditions 2

3. Mycotoxicosis	227
3.1. Overview	227
3.2. Aflatoxins	230
3.3. Other Mycotoxins	235
3.4. Mycotoxicosis and Animal Health	237
4. Epidemiology, Host Susceptibility, and High-Risk Groups	240
4.1. Compromised Host	240
4.2. Drug Treatment	242
4.3. Hospital Infection Complications	242
4.4. Dietary and Geographic Factors	244
4.5. Occupational Disease	247
4.6. Indoor Environment	251
5. Other Medical Aspects	253
5.1. Sick Building Syndrome	253
5.2. Fungal Volatiles	254
6. Conclusions	255
References	256
Index	263

# Taxonomy—Current Concepts of *Aspergillus* Systematics

1

ROBERT A. SAMSON

## 1. INTRODUCTION

Species of Aspergillus belong to the first fungal organisms that were cultivated on artifical media and studied for their biochemical properties, and they are one of the most common fungi in man's environment. Since ancient times, Aspergillus species have been used in fermentation of food in Japan and other Asian countries, and the early discovery of their ability to produce organic acids was made at the turn of the century. It is therefore not surprising that the aspergilli used or encountered in biotechnology play a significant role.

The first description of Aspergillus dates from 1727, when P. A. Micheli provided a meager diagnosis and simple illustrations, but a clear depiction of the characteristics of the genus. After this first description, many taxa were described, and Thom and Church (1926) were the first to revise the genus Aspergillus with the acceptance of 69 species divided into 11 groups based on colony morphology and characteristics of the aspergillum. Later, Thom and Raper (1945) comprised 77 species with 10 varieties placed in 14 groups. In their monographic treatment, Raper and Fennell (1965) accepted 132 species subdivided into 18 groups. The generic and species concept was well circumscribed, and this monograph is still accepted. Since 1965, many new taxa have been described, and the validity of these species has been reviewed by Samson (1979; 1992). The genus now contains more than 200 species.

Two International Workshops on *Penicillium* and *Aspergillus* (Samson and Pitt, 1985, 1990) had a great impact on the current taxonomic

ROBERT A. SAMSON • Centraalbureau voor Schimmelcultures, 3740 AG Baarn, The Netherlands.

Aspergillus, edited by J. E. Smith. Plenum Press, New York, 1994.

schemes of Aspergillus. The contributions have also revealed the significance for the systematics of this important genus of a multidisciplinary approach including biochemistry, molecular biology, and serology. Recently, several molecular studies on problematic but important aspergilli have been performed, and the potential taxonomic value is apparent. An accurate, fast detection of species and isolates might be possible in the near future. This will be particularly required for Aspergillus strains that have undergone various modifications for specific biotechnogical applications. Often, these strains will not produce typical morphological structures any more, and recognition will be possible only at the molecular level. At this time, however, the results of molecular studies need careful evaluation with a good comparison of morphological characters.

#### 2. CRITERIA FOR CLASSIFICATION AND IDENTIFICATION

## 2.1. Morphology

Morphological structures are still important characters for classification. Aspergillus species typically produce the aspergillum, a conidiophore with an aseptate stipe terminating in a vesicle, on which the conidiogenous cells (phialides and metulae) are borne. These phialides produce conidia in long, dry chains with different pigmentation and ornamentation. These structures can be readily seen with a light microscope equipped with an oil immersion lens. In addition to the typical structure of the aspergillum, sclerotia and fruiting bodies with Hülle cells that can be typical for the species can also be produced. Also used for species delimitation, however, are colony color and diameter, characteristics of the vegetative mycelium, exudate (droplets on colony surface), reverse, and soluble pigment (colors in the agar around the colony).

Scanning electron microscopy (SEM) has been applied in the systematics of *Aspergillus*; in particular, the ornamentation of thick-walled ascospores allows examination by SEM in the unfixed, air-dried state. Conidia and other structures are less resistant to shrinkage, and artifacts may develop during fixation and examination of conidia by SEM.

Most aspergilli can be readily identified when cultivated on Czapek and malt extract agars. Samson and Pitt (1985) recommended Czapek yeast agar (CYA) and malt extract agar (MEA) for most anamorphic species. For teleomorphic species, cornmeal agar, oatmeal agar, and CBS malt agar were recommended. For xerophilic species, Czapek agar or CYA with 20% sucrose or malt yeast extract 40% sucrose agar should be used. Cultures should be incubated for 5–7 days at 25°C for anamorphic species and 10–14 days for teleomorphic species.

Some specialized media are used for the isolation and identification of potentially aflatoxigenic aspergilli. Bothast and Fennell (1974) and Pitt et al. (1983) proposed Aspergillus Differential medium and Aspergillus Flavus and Parasiticus Agar, respectively, which make detection of A. flavus and A. parasiticus possible within 3 days. Another medium containing the antibiotic bleomycin distinguishes A. parasiticus from A. sojae (Klich and Mullaney, 1989). On this medium, growth of both species is somewhat reduced, but A. sojae produced very restricted colonies, while A. parasiticus colonies were at least 3 mm in diameter within 6 days. These selective media are especially appropriate for fast detection of mycotoxinogenic isolates in food commodities.

Practical laboratory guides for identification of common aspergilli that are based primarily on morphology and colony characters were published by Pitt and Hocking (1985), Samson and Van Reenen-Hoekstra (1988), Klich and Pitt (1988a), and Tzean *et al.* (1990).

## 2.2. Other Methods for Classification and Identification

Besides the convential characters of the colony and morphological structure, several new approaches have been investigated (Samson and Frisvad, 1991a,b; Samson, 1992).

The use of enzyme profiles has been studied for a limited number of Aspergillus isolates by a number of investigators (Nealson and Garber, 1967; Nasuno, 1971, 1972a,b, 1974; Kurzeja and Garber, 1973; Cruickshank and Pitt, 1990; Sugiyama and Yamatoya, 1990; Yamatoya et al., 1990). In some cases, taxonomic relationships could be elucidated, but as yet this technique has not provided a reliable system for identification. However, profiles of secondary metabolites including mycotoxins have been shown to be useful in Aspergillus systematics. Samson et al. (1990) clearly distinguished nine taxa within the genus Neosartorya by ascospore morphology and the profile of secondary metabolites. Frisvad and Samson (1990) compared the morphological characteristics of many isolates of A. fumigatus and related taxa with their secondary metabolite profiles and were able to confirm the existing species delimitation. Klich and Pitt (1988b) investigated the relationship between species and secondary metabolite production in Section Flavi and found a good correlation between the production of aflatoxins  $B_1 + B_2$ ,  $G_1 + G_2$ , and cyclopiazonic acid and the morphological characteristics of the species.

The distribution of ubiquinone systems, often in combination with electrophoretic comparison of enzymes, has been used by several Japanese researchers (Kuraishi et al., 1990; Matsuda et al., 1992). These chemotaxonomic approaches indicate relationships between groups, but