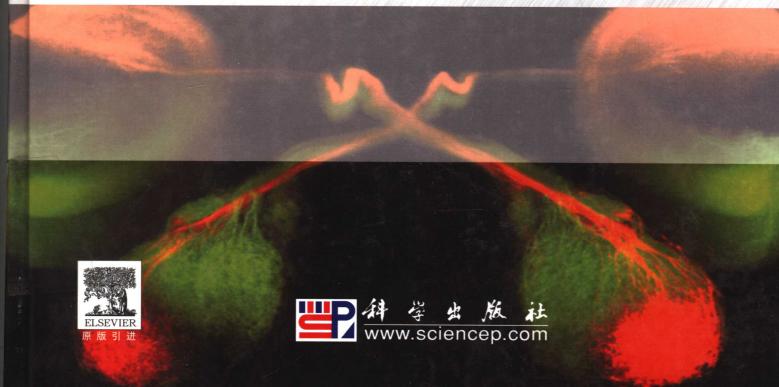


# Development of the Nervous System 神经系统发育

(第二版)

Dan H. Sanes, Thomas A. Reh, William A. Harris



## **Development of the Nervous System**

Second Edition

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**斜 学 出 版 社** 北 京

#### 图字:01-2006-7325号

This is an annotated version

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Copyright © 2006, Elsevier Inc.

ISBN 13: 978-0-12-618621-5

ISBN 10: 0-12-618621-9

ISBN 13: 978-0-12-369447-8(CD-ROM)

ISBN 10: 0-12-369447-7(CD-ROM)

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#### 图书在版编目(CIP)数据

神经系统发育:英文/(美)萨兹(Sanes, D. H.)等编著.一影印本. 一北京:科学出版社,2007

ISBN 978-7-03-018282-1

I.神··· II. 萨··· III. 神经系统-发育-英文 IV. R322. 8

中国版本图书馆 CIP 数据核字(2006)第 152449 号

责任编辑:田慎鹏/责任印制:钱玉芬/封面设计:耕者设计工作室

北京东黄城根北街 16 号 邮政编码:100717

http://www.sciencep.com

北京住信达艺术即刷有限公司 印刷

科学出版社发行 各地新华书店经销

2007年1月第 一 版

开本:889×1194 1/16

2007年1月第一次印刷

印张:24 3/4

印数:1-2 500

字数:682 000 -

定价:68.00元 (附光盘)

如有印装质量问题,我社负责调换

#### 第一版序

众所周知人脑是我们已知世界中最为复杂的一个物体,数以十亿计的细胞和细胞间数以万亿计的各种联系是其中最为奥妙的部分。探寻神经系统中细胞产生感觉、行为以及高级精神活动的方式已经成为科学界中成果最为丰硕的一个领域。但是,神经科学家们已经意识到他们研究的是一个不断发生着变化的目标。生长和改变与脑的功能是一个统一的整体,并且只有在此统一的基础上我们才能研究其它任何与之相关的东西。行为胚胎学家 George Coghill 指出,"人体实际上就是一部机器,但是这部机器在其有限的生命、感知和成长中在不断自我创造和自我运行"。因此,为了认识脑,我们需要了解这部机器是怎样起源以及在其一生的时间中它是怎样变化的。

脑的构成是一系列发育阶段的整合体,由最初少量胚胎细胞成为神经前体细胞开始,随着神经细胞之间联系的形成以及它们电活动特征的显现,到脑开始处理信息并且介导行为功能。虽然一些潜在的环路在胚胎发育期就已经构建在神经系统中,但脑与这个世界的联系却是不断更新的,并逐步改变着脑的功能构筑。这些变化发生的机制似乎是一个渐进的过程,它在发育过程中对脑的结构进行塑造。因此,本书涵盖了这些发育阶段的每一步骤,所以涉及的面相对广泛。

理解神经系统的发育对广大生物学家来说有着重要意义,对发育的研究引领我们看到许多生物体 在进化中的联系。上世纪关于种系发生和个体发生的法则已经被目前更深层次的理解所代替,即进化 发生的方式会受到发育中变化的影响。当然,脑也毫无例外地遵循这样的原则。因此,我们认为,理 解发育的进程怎样随时间而改变将有助于深入理解令我们更加人性化的进化过程。

本书的目的就是为高年级学生或那些有生物学背景的研究工作者者提供一个神经发育过程的纲要,这与广泛的文献综述在目的上是完全不同的。在最近一次的 MEDLINE 搜索中发现(以神经/神经元/神经的和发育/胚胎学/成熟为检索词),从 1966 年到 1999 年,在神经发育领域发表的论文已达到 56 840 篇,而我们只阅读了这些文章和 1966 年以前的数千篇文献中的一小部分。为了解决这个实际问题,我们参考了权威的书籍、最新的文献综述和会议论文,并对我们在本书中选用的实验都进行了咨询。即便如此,疏漏也在所难免。因此,有兴趣的学生可以通过查阅文献和综述对某些部分作更加详细的了解。另一个需要考虑的就是,我们是在分子生物学的变革开始后来编写这本高年级生物学教材的,所以涉及的主题大部分都包括了分子的特性。除此以外,关于某一族分子最有启发性的实验在非神经组织中常常都已经进行过了,即使我们仅选择一些在神经系统中作用较明确的基因和蛋白质,也可能会使大多数章节看上去就像一长串单词的堆砌。因此,在给学生最新信息和努力抓住他们兴趣的两者之间,我们找到一个平衡点来写这本书,把最新的综述文章作为我们写书时的附录供学生参考,而避免罗列繁多的分子家族。

本书在写作过程中得到了许多科学家的大力支持,他们是(按照字母顺序): Chiye Aoki, Michael Bate, Olivia Bermingham-McDonogh, John Bixby, Sarah Bottjer, Martin Chalfie, Hollis Cline, Martha Constantine-Paton, Ralph Greenspan, Voker Hartenstein, Mary Kintner, Sue McConnell, Ilona Miko, Ronald Oppenheim, Thomas Parks, David Raible, Henk Roelink, Edwin Rubel, John Rubenstein, David Ryugo, Nancy Sculerati, Carla Shatz, and Tim Tully。

(蔡文琴 译)

#### 第二版序

众所周知人脑是我们已知世界中最为复杂的一个物体,数以十亿计的细胞和细胞间数以万亿计的各种联系是其中最为奥妙的部分。尽管我们对于脑的认识还远远不够,但是研究组成神经系统的神经元和胶质细胞产生感觉、行为和高级精神活动的方式已经成为科学研究中成果最丰硕的领域。然而,越来越多的神经科学家意识到他们研究的是一个不断发生变化的目标,它的改变与脑的功能是一个整体,构成了学习、感知和行为的基础。因此,为了认识脑的功能,我们必须理解这些神经环路是如何发生的,以及它们在成熟过程中以怎样的方式被调节。Santiago Ramón y Cajal 是现代神经科学的奠基人之一,他之所以可以在研究神经系统细胞构成方面获得杰出的成就,在很大程度上是因为他的研究目标是胚胎脑,换句话说,他选择的研究对象是"年轻的树,正处于成长阶段,而不是已经完全长成而不再变化的森林"。

脑的构成是一系列发育阶段的整合体,由最初少量胚胎细胞成为神经前体细胞开始,到接近行为出现为止,这就是本书所涵盖的内容。脑与世界的联系总是在不断地更新,同时这些更新也改变着脑内突触的联系。这种变化发生的机制似乎是一个渐进的过程,它在发育过程中对脑的结构进行塑造。

对发育的研究使我们可以洞察生物体之间在进化中的关系。上世纪关于种系发生和个体发生的法则已经被目前更深层次的理解所代替,即进化发生的方式会受到发育中变化的影响。当然,脑也毫无例外地遵循这样的原则。因此,我们认为,理解发育的进程怎样随时间而改变将有助于深入理解令我们更加人性化的进化过程。

本书的目的就是为高年级学生和有一些生物学背景的研究者提供一个关于神经发育过程的纲要,这与广泛的文献综述在目的上是完全不同的。在第一版中,我们已经提到本领域在 1966 年到 1999 年期间约有 54 000 篇论文发表。而在已经过去的四年中又有 25 000 篇论文发表(以神经/神经元/神经的和发育/胚胎学/成熟以及 2000~2004 为检索词)。因为我们不可能把所有研究中的精彩部分完全囊括,所以在给学生最新信息和努力抓住他们兴趣的两者之间,我们找到一个平衡点来写这本书,把最新的综述文章作为我们写书时的附录供学生参考,而避免罗列繁多的分子家族。有兴趣的学生可以通过查阅文献和综述对某些部分作更加详细的了解。

本书在讨论和编辑过程中得到了以下这些人员的帮助,他们是 Chiye Aoki, Michael Bate, Carla Shatz, Ford Ebner, Edward Gruberg, Christine Holt, Lynne Kiorpes, Vibhakar Kotak, Tony Movshon, Ron Oppenheim, Sarah Pallas, Sheryl Scott, Tim Tully, and Lance Zirpel。

最后还要感谢本书的编辑 Johannes Menzel, 衷心感谢他的帮助、建议和鼎力支持。

Dan H. Sanes Thomas A. Reh William A. Harris 2005年7月

(蔡文琴 译)

### Preface to the First Edition

The human brain is said to be the most complex object in our known universe, and the billions of cells and trillions of connections are truly wonders of enormous proportions. The study of the way that the cellular elements of the nervous system work to produce sensations, behaviours, and higher order mental processes has become a most productive area of science. However, neuroscientists have come to realize that they are studying a moving target: growth and change are integral to brain function and form the very basis by which we can learn anything about it. As the behavioral embryologist George Coghill pointed out, "Man is, indeed, a mechanism, but he is a mechanism which, within his limitations of life, sensitivity and growth, is creating and operating himself." To understand the brain, then, we need to understand how this mechanism arises and the ways in which it can change throughout a lifetime.

The construction of the brain is an integrated series of developmental steps, beginning with the decision of a few early embryonic cells to become neural progenitors. As connections form between nerve cells and their electrical properties emerge, the brain begins to process information and mediate behaviors. Some of the underlying circuitry is built into the nervous system during embryogenesis. However, interactions with the world continuously update and adapt the brain's functional architecture. The mechanisms by which these changes occur appear to be a continuation of the processes that sculpt the brain during development. Since the text covers each of these developmental steps, it is relatively broad in scope.

An understanding of the development of the nervous system has importance for biologists in a larger context. Studies of development have led to insights into the evolutionary relationships among organisms. The dogma of phylogeny and ontogeny of

the last century has been superseded by a deeper understanding of the ways in which evolutionary change can be effected through changes in development. The brain is no exception to these rules. We should expect that insight into the evolution of that which makes us most human will be gained from an appreciation of how developmental processes are modified over time.

The goal of this text is to provide a contemporary overview of neural development for undergraduate students or those who have some background in the filed of biology. This intent is not compatible with a comprehensive review of the literature. A recent MEDLINE search of publications in the field of neural development [(neural or neuron or nervous) and (development or embryology or maturation)] yielded 56,840 papers published between 1966 and 1999. We admit, up front, to having read only a fraction of these papers or of the thousands that were published before 1966. As a practical matter, we made use of authoritative books, contemporary review articles, hallway conversations, and e-mail consultations to select the experiments that are covered in our text. Even so, we expect that important contributions have been missed inadvertently. Therefore, advanced students will find themselves quickly turning to specialized texts and reviews. Another compromise that comes from writing an undergraduate biology book well after the onset of the revolution in molecular biology is that all subjects now have a rather broad cast of molecular characters. In addition, the most instructive experiments on a particular class of molecules have often been performed on nonneural tissue. Even if we chose to cover only the genes and proteins whose roles have been best characterized in the nervous system, most chapters would run the risk of sounding like a (long) list of acronyms. Therefore,

we charted a compromise between the need to update students and our strong inclination to hold their attention. The book does not contain exhaustive lists of molecular families, and the most current articles must serve as an appendix to our text.

Among the many scientists who helped us through discussions, unpublished findings, or editorial comment are (in alphabetical order) Chiye Aoki, Michael Bate, Olivia Bermingham-McDonogh, John Bixby, Sarah Bottjer, Martin Chalfie, Hollis Cline, Martha Constantine-Paton, Ralph Greenspan, Voker Hartenstein, Mary Beth Hatten, Christine Holt, Darcy Kelley, Chris Kintner, Sue McConnell, Ilona Miko, Ronald Oppenheim, Thomas Parks, David Raible, Henk Roelink, Edwin Rubel, John Rubenstein, David Ryugo, Nancy Sculerati, Carla Shatz, and Tim Tully.

#### Preface to the Second Edition

The human brain—perhaps the most complex object in our universe—is composed of billions of cells and trillions of connections. It is truly a wonder of enormous proportions. Although we are far from a thorough understanding of our brains, study of the way that the cellular constituents of the nervous system, the neurons and glia, work to produce sensations, behaviors, and higher order mental processes has been a most productive area of science. However, more and more, neuroscientists are realizing that we are studying a moving target-growth and that changes are integral to brain function, forming the very basis for learning, perception, and performance. To comprehend brain function, then, we must understand how the circuits arise and the ways in which they are modified during maturation. Santiago Ramón y Cajal, one of the founders of modern neuroscience, was able to make his remarkable progress in studies of the cellular makeup of the nervous system in large part because of his work with the embryonic brain, choosing to study "the young wood, in the nursery stage...rather than the... impenetrable . . . full grown forest."

The construction of the brain is an integrated series of developmental steps, starting with the decision of a few early embryonic cells to become neural progenitors and nearing completion with the emergence of behavior, which is the scope of this book. Interactions with the world continuously update and adapt synaptic connections within the brain, and the mechanisms by which these changes occur are fundamentally a continuation of the same processes that sculpted the emerging brain during embryogenesis.

Studies of development have also led to insights about the evolutionary relationships among organisms. The dogma of phylogeny and ontogeny of the last century has been superseded by our current deeper understanding of the ways in which evolutionary change can be effected through changes

in development. The brain is no exception to these rules, and we can expect that much insight into the evolution of that which makes us most human will be gained from an appreciation of how developmental processes are modified over time.

The goal of this text is to provide a contemporary overview of neural development both for undergraduate students and for those who have some background in the field of biology. This intent is not compatible with a comprehensive review of the literature. In the first edition, we noted that there were about 54,000 papers published in this field between 1966 and 1999. Another 25,000 have appeared during the past 4 years (using the search string "neural or neuron or nervous" and "development or embryology or maturation" and 2000:2004). We charted a compromise between the need to update students and our strong inclination to hold their attention. The book does not contain exhaustive lists of molecular families, and the most current review articles must serve as an appendix to our text. Since the text does not encompass many exciting areas of research, students will find themselves quickly turning to specialized texts and reviews.

Among those who helped us through discussion and editorial comment are: Chiye Aoki, Michael Bate, Carla Shatz, Ford Ebner, Edward Gruberg, Christine Holt, Lynne Kiorpes, Vibhakar Kotak, Tony Movshon, Ron Oppenheim, Sarah Pallas, Sheryl Scott, Tim Tully, and Lance Zirpel.

Finally, we acknowledge our editor, Johannes Menzel, with particular gratitude, for his help, advice, and perseverance.

Dan H. Sanes Thomas A. Reh William A. Harris July 2005

## 目 录

第一版序 ······	х
第二版序	xii
1. 神经系统简介	. 1
神经元的发育和进化	. ]
多细胞动物早期胚胎学	. 1
神经组织的衍化	. ;
神经组织发生时与相邻组织的相互作用	. ç
神经诱导物的分子特征	13
神经诱导的保守性 ·····	16
调控成神经细胞分离时外胚层细胞间的相互作用 ······	
脊椎动物中的 Notch、Delta 和 Achaete Scute 基因 ·····	
颈板活化的联合诱导作用 ·····	27
小结 ·····	28
2. 极化与分裂	29
神经系统的区域特征 ·····	29
前后轴与 HOX 基因 ·····	30
HOX 基因在神经系统的功能 ·····	33
信号传递分子引导形成脊椎动物身体的前后轴:头和尾	36
发育中脑的构建中心 ······	39
端脑发育、前脑前部基因组(prosomeres)与 PAX 基因 ·····	42
神经管的背腹极化	46
背侧神经管与神经嵴	
大脑皮层的构成 ·····	52
小结 ······	55
3. 发生与迁移	57
细胞周期基因控制发育中神经元产生的数量 ·····	62
祖细胞间相互作用调节细胞数量	
神经元和神经胶质细胞的发生 ·····	69
大脑皮质的组织发生 ·····	
室管膜下区:神经发生的第二个区域	
小脑皮质的神经发生 ·····	
神经元迁移的分子机制·····	78
胚胎后期与成年的神经发生 ·····	
小结 ······	Q.Γ.

4. 定向与分化	87
固定细胞系中的转录次序 ······	
定向作用的时空调整 ······	
不对称细胞分裂及分裂后细胞的不同命运	93
细胞间相互作用产生复杂化 · · · · · · · · · · · · · · · · · · ·	94
通过细胞间及细胞与局部环境的相互作用实现特化和分化 ·····	97
感应性与组织发生	100
内外因素的相互作用对组织发生的影响	
细胞类型的空间及层次分布	
小结	109
5. 轴突生长与导向 ······	
生长锥	
动态的细胞骨架	
生长锥怎样持续生长?	
什么给生长锥提供导向信号?	
细胞黏附及其标记的通路	
排斥性导向信号	
趋化性、梯度性及局部信号	
视觉通路	
中线	
吸引与排斥:脱敏作用及适应性	
信号转导	
小结	138
6. 靶标选择 ·····	
脱离纤维束	
靶位点的识别及进入	
末梢在靶位点中减速前进并形成分支	
边界效应阻止不当的靶点定位	
局部解剖学定位图	
Ephrin 及其化学专一性 ····································	
走行线路的易变性及其精确的调整	
突触终末在三维空间即特异板层中的定位	
细胞和突触的靶点定位	
发现靶点	
小结	170
7. 自然发生的神经元死亡	
神经元死亡时呈现怎样的状态?	
前体细胞的早期消亡	
多少分化后的神经元会死亡?	174

神经元依赖于突触靶点的存活	176
NGF (神经生长因子): 靶源性促存活因子	
神经营养素家族	
神经营养素受体 TRK(酪氨酸受体激酶家族)家族	
神经营养素信号怎样到达胞浆?	
P75 神经营养素受体 ····································	
促神经元存活因子开辟新天地	
细胞存活的内分泌调节	
细胞死亡需要特殊蛋白质合成	192
细胞内信号传递 ······	
死亡因子。胱天蛋白酶	
BCL-2 蛋白: 凋亡的调节者 ······	
靶点的突触传递	201
细胞存活的中枢调节	
小结	206
8. 突触形成及功能	
新形成的突触是怎样的?	
突触功能的首要标志	
形成突触的决定因素	
<b>黏性突触</b> ······	
生长锥转变为突触前终末	
神经肌肉接头处受体成簇化分布意味着突触后成分的分化	
突触前终末诱导突触后受体聚集	
Agrin: 一种跨突触的成簇化信号分子	
Agrin 的突触后反应 ·······	
中枢神经系统中的受体成簇化信号分子	
中枢神经系统中膜内蛋白与受体聚集	···· 230
新受体的表达及嵌入	233
神经元的活化调节受体的表达	235
Neuregulin: 突触后转录的一个调控分子 ······	
信号传递的成熟及受体亚型的转变	
神经递质重摄取过程的成熟	
短期可塑性	
突触抑制的表现	
突触抑制在发育中是否就是抑制性的?	
小结	244
9. 突触连接的精细度	
突触连接的早期形式	
清除功能性突触 ······	
轴突分支的细化或清除	250

部分终末扩展或保持稳定状态	
神经活动调节突触连接	
感觉信息编码特征反映突触的重排	262
神经活动有助于感觉定位的调校	
自发活动以及传入信息分析	
可塑性的多种形式存在时间限制	
突触跨越微小空间距离的相互作用	271
异突触抑制	
清除突触后受体	
细胞内钙的参与	276
NMDA 受体及钙信号传递 ······	
第二信使系统的作用	
Metabotropic 受体 ······	
信息采集调控突触连接	280
沉默性突触	
内环境稳态:改变越多,越保持原状	
抑制性突触连接的可塑性	
突触对神经元形态的影响	
小结	287
10. 行为的发生	
行为的个体发生	
遗传及环境机制	
环境对行为发生的决定作用	
动物的第一次运动	
自发运动的机制	
胚胎的运动:不协调的还是整体性的?	
主动性在协调性行为发生中的作用	
阶段特异性行为	
对世界感知的开始	299
对婴儿的提问	
锐利的视觉	
精确的听觉	302
与性有关的特殊行为	
遗传的性别	
性激素信号分子	306
性激素调控脑的性别	
遗传调控脑的性别	
在脑中歌唱	
从生殖腺到脑	
学习与记忆	311
妈妈在哪里?	312

恐惧与厌恶	314
脑与脑之间的信息获得 ······	317
语言	319
小结	321
参考文献	
索引	361

#### Contents

#### Preface for the First Edition xi Preface for the Second Edition xiii

#### 1. Neural Induction 1

Development and Evolution of Neurons 1
Early Embryology of Metazoans 1
Derivation of Neural Tissue 3
Interactions with Neighboring Tissues in Making Neural Tissue 9
The Molecular Nature of the Neural Inducer 13
Conservation of Neural Induction 16
Interactions Among the Ectodermal Cells in Controlling Neuroblast Segregation 20
Notch, Delta, and Achaete Scute Genes in Vertebrates 25
Linking Induction to Proneural Activity 27
Summary 28

#### 2. Polarity and Segmentation 29

Regional Identity of the Nervous System 29
The Anterior-Posterior Axis and HOX Genes 30
HOX Gene Function in the Nervous System 33
Signaling Molecules that Pattern the Anterior-Posterior
Axis in Vertebrates: Heads or Tails 36
Organizing Centers in the Developing Brain 39
Forebrain Development, Prosomeres, and PAX Genes 42
Dorsal-Ventral Polarity in the Neural Tube 46
Dorsal Neural Tube and Neural Crest 50
Patterning the Cerebral Cortex 52
Summary 55

#### 3. Genesis and Migration 57

Cell-Cycle Genes Control the Number of Neurons Generated during Development 62 Cell Interactions Control the Number of Cells Made by
Progenitors 63
The Generation of Neurons and GLIA 69
Cerebral Cortex Histogenesis 71
The Subventricular Zone: A Secondary Zone of
Neurogenesis 75
Cerebellar Cortex Histogenesis 76
Molecular Mechanisms of Neuronal Migration 78
Postembryonic and Adult Neurogenesis 82
Summary 85

#### 4. Determination and Differentiation 87

Transcriptional Hierarchies in Invariant Lineages 88
Spatial and Temporal Coordinates of Determination 91
Asymmetric Cell Divisions and Asymmetric Fate 93
Generating Complexity through Cellular Interactions
Specification and Differentiation through Cellular
Interactions and Interactions with the Local
Environment 97
Competence and Histogenesis 100
The Interplay of Intrinsic and Extrinsic Influences in
Histogenesis 102
Interpreting Gradients and the Spatial Organization of
Cell Types 106
Summary 109

#### 5. Axon Growth and Guidance 111

The Growth Cone 114
The Dynamic Cytoskeleton 116
What Do Growth Cones Grow On? 121
What Provides Directional Information to Growth
Cones? 123
Cell Adhesion and Labeled Pathways 124
Repulsive Guidance 127

Chemotaxis, Gradients, and Local Information 130
The Optic Pathway 133
The Midline 134
Attraction and Repulsion: Desensitization and
Adaptation 134
Signal Transduction 137
Summary 138

#### 6. Target Selection 145

Defasiculation 145
Target Recognition and Entry 147
Slowing Down and Branching 148
Border Patrol and Prevention of Inappropriate
Targeting 149
Topographic Mapping 152
Chemospecificity and Ephrins 153
Shifting and Fine Tuning of Connections 158
The Third Dimension, Lamina-Specific
Termination 162
Cellular and Synaptic Targeting 164
Sniffing Out Targets 166
Summary 170

#### 7. Naturally Occurring Neuron Death 173

What does Neuron Death Look Like? Early Elimination of Progenitor Cells 174 How Many Differentiated Neurons Die? 174 Survival Depends on the Synaptic Target 176 NGF: A Target-Derived Survival Factor 180 The Neurotrophin Family 182 The TRK Family of Neurotrophin Receptors 184 How does the Neurotrophin Signal Reach the Soma? 186 The P75 Neurotrophin Receptor 186 The Expanding World of Survival Factors Endocrine Control of Cell Survival 190 Cell Death Requires Protein Synthesis 192 Intracellular Signaling 193 Caspases: Agents of Death 196 BCL-2 Proteins: Regulators of Apoptosis Synaptic Transmission at the Target 201 Afferent Regulation of Cell Survival 202 Summary 206

#### 8. Synapse Formation and Function 207

What Do Newly Formed Synapses Look Like? 211
The First Signs of Synapse Function 215
The Decision to Form a Synapse 218
The Sticky Synapse 220

Converting Growth Cones to Presynaptic Terminals 221 Receptor Clustering Signifies Postsynaptic Differentiation at NMJ 222 Presynaptic Terminals Induce Receptor Aggregation 224 Agrin, a Transynaptic Clustering Signal 226 Postsynaptic Response to Agrin 227 Receptor Clustering Signals in the CNS 229 Internal Membrane Proteins and Receptor Aggregation in the CNS 230 The Expression and Insertion of New Receptors 233 Neuronal Activity Regulates Receptor Expression 235 Neuregulin, a Regulator of Postsynaptic Transcription 236 Maturation of Transmission and Receptor Isoform Transitions 238 Maturation of Transmitter Reuptake 241 Short-Term Plasticity 242 Appearance of Synaptic Inhibition 243 Is Inhibition Really Inhibitory during Development? 243 Summary 244

#### 9. Refinement of Synaptic Connections 247

The Early Pattern of Connections 247 Functional Synapses are Eliminated 249 Axonal Arbors are Refined or Eliminated Some Terminals Expand or Remain Stable 255 Neural Activity Regulates Synaptic Connections Sensory Coding Properties Reflect Synapse Rearrangement 262 Activity Contributes to the Alignment of Sensory Maps 265 Spontaneous Activity and Afferent Segregation 267 Many Forms of Plasticity have a Time Limit 271 Synapses Interact Over a Short Distance 271 Heterosynaptic Depression 272 Postsynaptic Receptors are Eliminated 274 Involvement of Intracellular Calcium 276 NMDA Receptors and Calcium Signaling 276 The Role of Second Messenger Systems 278 Metabotropic Receptors 279 Gain Control 280 Silent Synapses 282 Homeostasis: The More Things Change, the More They Stay the Same 283 Plasticity of Inhibitory Connections 284 Synaptic Influence on Neuron Morphology Summary 287

#### 10. Behavioral Development 289

Behavioral Ontogeny 289 Genetic and Environmental Mechanisms 290 CONTENTS

ix

Environmental Determinants of Behavioral
Development 291
The First Movements 291
The Mechanism of Spontaneous Movements 293
Embryonic Movements: Uncoordinated or Integrated? 294
The Role of Activity in the Emergence of Coordinated
Behavior 296
Stage-Specific Behaviors 297
Beginning to Make Sense of the World 299
Asking Babies Questions 300
Sharp Eyesight 301
Acute Hearing 302
Sex-Specific Behavior 305
Genetic Sex 306

Hormonal Signals 306
Hormonal Control of Brain Gender 307
Genetic Control of Brain Gender 308
Singing in the Brain 309
From Gonads to Brain? 310
Learning to Remember 311
Where's Mamma? 312
Fear and Loathing 314
Getting Information from One Brain to Another 317
Language 319
Summary 321

References 323 Index 361 1

## Neural Induction

## DEVELOPMENT AND EVOLUTION OF NEURONS

Almost as early as multicellular animals evolved, neurons have been part of their tissues. Metazoan nervous systems range in complexity from the simple nerve net of the jellyfish to the billions of specifically interconnected neuron assemblies of the human brain. Nevertheless, the neurons and nervous systems of all multicellular animals share many common features. Voltage-gated ion channels are responsible for action potentials in the neurons of hydras, as they are in people. Synaptic transmission between neurons in nerve nets is basically the same as that in the cerebral cortex in humans (Figure 1.1). This book describes the mechanisms responsible for the generation of these nervous systems, highlighting examples from a variety of organisms. Despite the great diversity in the nervous systems of various organisms, underlying principles of neural development have been maintained throughout evolution.

It is appropriate to begin a book concerned with the development of the nervous system with an evolutionary perspective. The subjects of embryology and evolution have long shared an interrelated intellectual history. One of the major currents of late-nineteenth-century biology was that a description of the stages of development would provide the key to the path of evolution of life. The phrase "ontogeny recapitulates phylogeny" was important at the start of experimental embryology (Gould, 1970). Although the careful study of embryos showed that they did not resemble the adult forms of their ancestors, it is clear that new forms are built upon the structures of biological predecessors. One aim of this book is to show how an understanding of the development of the nervous

system will give us insight into its evolution. It is also wise to remember, as Dobzhansky pointed out, that "nothing in biology makes sense except in the light of evolution."

#### EARLY EMBRYOLOGY OF METAZOANS

The development of multicellular organisms varies substantially across phyla; nevertheless, there are some common features. The cells of all metazoans are organized as layers. These layers give rise to the various organs and tissues, including the nervous system. These layers are generated from the egg cell through a series of cell divisions and their subsequent rearrangements (Figure 1.2). The egg cells of animals are typically polarized, with an "animal pole" and a "vegetal pole." This polarity is often visible in the egg cell, since the vegetal pole contains the yolk, the stored nutrient material necessary for sustaining the embryo as it develops. Once fertilized by the sperm, the egg cell undergoes a series of rapid cell divisions, known as cleavages. There are many variations of cleavage patterns in embryos, but the end result is that a large collection of cells, the blastula, is generated over a relatively short period of time. In many organisms the cells of the blastula are arranged as a hollow ball, with an inner cavity known as a blastocoel. Those cells at the vegetal pole will ultimately develop as the gut, whereas those at the animal pole will give rise to the epidermis and the nervous system. Cells in between the animal and vegetal poles will generate mesodermal derivatives, including muscles and internal skeletal elements. The rearrangement of this collection of cells into the primary (or germ) layers is called