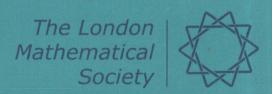
# London Mathematical Society Lecture Note Series 364

# Partial Differential Equations and Fluid Mechanics

Edited by James C. Robinson and José L. Rodrigo



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# Partial Differential Equations and Fluid Mechanics

Edited by

JAMES C. ROBINSON & JOSÉ L. RODRIGO

University of Warwick







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- 216 Stochastic partial differential equations, A. ETHERIDGE (ed)
- 217
- 218
- 221
- 222
- 223
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- 226
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- 230 Semigroup theory and its applications, K.H. HOFMANN & M.W. MISLOVE (eds) 221
- 232
- 234
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- 236
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- 240
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- 253 254
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- 263
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- 267

- 267 Surveys in combinatorics, 1999, J.D. LAMB & D.A. PREECE (eds)
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- Global attractors in abstract parabolic problems, J.W. CHOLEWA & T. DLOTKO Topics in symbolic dynamics and applications, F. BLANCHARD, A. MAASS & A. NOGUEIRA 279 (eds)
- Characters and automorphism groups of compact Riemann surfaces, T. BREUER 280
- Explicit birational geometry of 3-folds, A. CORTI & M. REID (eds)
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- 285
- 286 Topics on Riemann surfaces and Fuchsian groups, E. BUJALANCE, A.F. COSTA & E. 287
- MARTÍNEZ (eds) Surveys in combinatorics, 2001, J.W.P. HIRSCHFELD (ed)

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  292 A quantum groups primer, S. MAJID

Second order partial differential equations in Hilbert spaces, G. DA PRATO & J. ZABCZYK 203

295

206

Second order partial differential equations in Hilbert spaces, G. DA PR Introduction to operator space theory, G. PISIER Geometry and integrability, L. MASON & Y. NUTKU (eds) Lectures on invariant theory, I. DOLGACHEV The homotopy category of simply connected 4-manifolds, H.-J. BAUES Higher operads, higher categories, T. LEINSTER (ed) 297

299

Higher operaus, higher tategories, 1. Interest (eds) are groups and hyperbolic 3-manifolds, Y. KOMORI, V. MARKOVIC & C. SERIES (eds) Introduction to Möbius differential geometry, U. HERTRICH-JEROMIN Stable modules and the D(2)-problem, F.E.A. JOHNSON 300 301

302 Discrete and continuous nonlinear Schrödinger systems, M.J. ABLOWITZ, B. PRINARI & A.D. 303

Discrete and continuous nonlinear Schrödinger systems, M.D. ABLOWLED, S. TARLOWLED, TRUBATCH Number theory and algebraic geometry, M. REID & A. SKOROBOGATOV (eds) Groups St Andrews 2001 in Oxford I, C.M. CAMPBELL, E.F. ROBERTSON & G.C. SMITH (eds) Groups St Andrews 2001 in Oxford II, C.M. CAMPBELL, E.F. ROBERTSON & G.C. SMITH (eds) Geometric mechanics and symmetry, J. MONTALDI & T. RATIU (eds) Surveys in combinatorics 2003, C.D. WENSLEY (ed.)

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306

307

308

309

- 310 311
- Groups: topological, combinatorial and arithmetic aspects, T.W. MÜLLER (ed) Foundations of computational mathematics, Minneapolis 2002, F. CUCKER et al (eds) 312 Transcendental aspects of algebraic cycles, S. MÜLLER-STACH & C. PETERS (eds) 313
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315

- Linear logic in computer science, T. EHRHARD, P. RUET, J.-Y. GIRARD & P. SCOTT (eds) Advances in elliptic curve cryptography, I.F. BLAKE, G. SEROUSSI & N.P. SMART (eds) Perturbation of the boundary in boundary-value problems of partial differential equations, D. 317 318

HENRY Double affine Hecke algebras, I. CHEREDNIK 319

L-functions and Galois representations, D. BURNS, K. BUZZARD & J. NEKOVÁŘ (eds) Surveys in modern mathematics, V. PRASOLOV & Y. ILYASHENKO (eds) 320

321

Recent perspectives in random matrix theory and number theory, F. MEZZADRI & N.C. SNAITH 323

324 325

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Synthetic differential geometry (2nd Edition), A. KOCK The Navier-Stokes equations, N. RILEY & P. DRAZIN 333

- 334
- 336

337 338

- The Navier-Stokes equations, N. RILEY & P. DRAZIN
  Lectures on the combinatorics of free probability, A. NICA & R. SPEICHER
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- 342
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346

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- 348
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Trends in stochastic analysis, J. BLATH, P. MÖRTERS & M. SCHEUTZOW (eds) 353

Groups and analysis, K. TENT (ed) 354

Non-equilibrium statistical mechanics and turbulence, J. CARDY, G. FALKOVICH & K. 355

356

- GAWEDZINE and big Galois representations, D. DELBOURGO
  Algebraic theory of differential equations, M.A.H. MACCALLUM & A.V. MIKHAILOV (eds)
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#### Preface

This volume is the result of a workshop, "Partial Differential Equations and Fluid Mechanics", which took place in the Mathematics Institute at the University of Warwick, May 21st–23rd, 2007.

Several of the speakers agreed to write review papers related to their contributions to the workshop, while others have written more traditional research papers. All the papers have been carefully edited in the interests of clarity and consistency, and the research papers have been externally refereed. We are very grateful to the referees for their work. We believe that this volume therefore provides an accessible summary of a wide range of active research topics, along with some exciting new results, and we hope that it will prove a useful resource for both graduate students new to the area and to more established researchers.

We would like to express their gratitude to the following sponsors of the workshop: the London Mathematical Society, the Royal Society, via a University Research Fellowship awarded to James Robinson, the North American Fund and Research Development Fund schemes of Warwick University, and the Warwick Mathematics Department (via MIR@W). JCR is currently supported by the EPSRC, grant EP/G007470/1.

Finally it is a pleasure to thank Yvonne Collins and Hazel Higgens from the Warwick Mathematics Research Centre for their assistance during the organization of the workshop.

Warwick, December 2008 James C. Robinson José L. Rodrigo

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# Contents

	eface	page ix
Lis	t of contributors	Х
1	Shear flows and their attractors $M.$ Boukrouche & $G.$ Lukaszewicz	1
2	Mathematical results concerning unsteady flows of chemically reacting incompressible fluids  M. Bulíček, J. Málek, & K.R. Rajagopal	26
3	The uniqueness of Lagrangian trajectories in Navier–Stokes flows $M.\ Dashti\ \mathcal{E}\ J.C.\ Robinson$	54
4	Some controllability results in fluid mechanics $E.\ Fern\'andez-Cara$	64
5	Singularity formation and separation phenomena in boundary layer theory F. Gargano, M.C. Lombardo, M. Sammartino, & V. Sciacca	81
6	Partial regularity results for solutions of the Navier-Stokes system I. Kukavica	121
7	Anisotropic Navier–Stokes equations in a bounded cylindrical domain  M. Paicu & G. Raugel	146
8	The regularity problem for the three-dimensional Navier-Stokes equations  J.C. Robinson & W. Sadowski	185
9	Contour dynamics for the surface quasi-geostrophic equation	185
1.0	J.L. Rodrigo	207
10	Theory and applications of statistical solutions of the Navier–Stokes equations  R. Rosa	228
	D DOSO	

## Shear flows and their attractors

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#### Abstract

We consider the problem of the existence and finite dimensionality of attractors for some classes of two-dimensional turbulent boundarydriven flows that naturally appear in lubrication theory. The flows admit mixed, non-standard boundary conditions and time-dependent driving forces. We are interested in the dependence of the dimension of the attractors on the geometry of the flow domain and on the boundary conditions.

#### 1.1 Introduction

This work gives a survey of the results obtained in a series of papers by Boukrouche & Łukaszewicz (2004, 2005a,b, 2007) and Boukrouche, Łukaszewicz, & Real (2006) in which we consider the problem of the existence and finite dimensionality of attractors for some classes of two-dimensional turbulent boundary-driven flows (Problems I–IV below). The flows admit mixed, non-standard boundary conditions and also time-dependent driving forces (Problems III and IV). We are interested in the dependence of the dimension of the attractors on the geometry of the flow domain and on the boundary conditions. This research is motivated by problems from lubrication theory. Our results generalize some earlier ones devoted to the existence of attractors and estimates of their dimensions for a variety of Navier–Stokes flows. We would like to mention a few results that are particularly relevant to the problems we consider.

Most earlier results on shear flows treated the autonomous Navier–Stokes equations. In Doering & Wang (1998), the domain of the flow is

an elongated rectangle  $\Omega = (0, L) \times (0, h), L \gg h$ . Boundary conditions of Dirichlet type are assumed on the bottom and the top parts of the boundary and a periodic boundary condition is assumed on the lateral part of the boundary. In this case the attractor dimension can be estimated from above by  $c\frac{L}{h}Re^{3/2}$ , where c is a universal constant, and  $Re = \frac{Uh}{V}$  is the Reynolds number. Ziane (1997) gave optimal bounds for the attractor dimension for a flow in a rectangle  $(0, 2\pi L) \times (0, 2\pi L/\alpha)$ , with periodic boundary conditions and given external forcing. The estimates are of the form  $c_0/\alpha \leq dim\mathcal{A} \leq c_1/\alpha$ , see also Miranville & Ziane (1997). Some free boundary conditions are considered by Ziane (1998), see also Temam & Ziane (1998), and an upper bound on the attractor dimension established with the use of a suitable anisotropic version of the Lieb-Thirring inequality, in a similar way to Doering & Wang (1998). Dirichlet-periodic and free-periodic boundary conditions and domains with more general geometry were considered by Boukrouche & Łukaszewicz (2004, 2005a,b) where still other forms of the Lieb-Thirring inequality were established to study the dependence of the attractor dimension on the shape of the domain of the flow. The Navier slip boundary condition and the case of an unbounded domain were considered recently by Mucha & Sadowski (2005).

Boundary-driven flows in smooth and bounded two-dimensional domains for a non-autonomous Navier–Stokes system are considered by Miranville & Wang (1997), using an approach developed by Chepyzhov & Vishik (see their 2002 monograph for details). An extension to some unbounded domains can be found in Moise, Rosa, & Wang (2004), cf. also Łukaszewicz & Sadowski (2004).

Other related problems can be found, for example, in the monographs by Chepyzhov & Vishik (2002), Doering & Gibbon (1995), Foias et al. (2001), Robinson (2001), and Temam (1997), and the literature quoted there.

Formulation of the problems considered.

We consider the two-dimensional Navier-Stokes equations,

$$u_t - \nu \Delta u + (u \cdot \nabla)u + \nabla p = 0 \tag{1.1}$$

and

$$\operatorname{div} u = 0 \tag{1.2}$$

in the channel

$$\Omega_{\infty} = \{ x = (x_1, x_2) : -\infty < x_1 < \infty, \ 0 < x_2 < h(x_1) \},$$

where the function h is positive, smooth, and L-periodic in  $x_1$ .

Let

$$\Omega = \{ x = (x_1, x_2) : 0 < x_1 < L, \ 0 < x_2 < h(x_1) \}$$

and  $\partial\Omega = \bar{\Gamma}_0 \cup \bar{\Gamma}_L \cup \bar{\Gamma}_1$ , where  $\Gamma_0$  and  $\Gamma_1$  are the bottom and the top, and  $\Gamma_L$  is the lateral part of the boundary of  $\Omega$ .

We are interested in solutions of (1.1)–(1.2) in  $\Omega$  that are L-periodic with respect to  $x_1$  and satisfy the initial condition

$$u(x,0) = u_0(x) \quad \text{for} \quad x \in \Omega, \tag{1.3}$$

together with the following boundary conditions on the bottom and on the top parts,  $\Gamma_0$  and  $\Gamma_1$ , of the domain  $\Omega$ .

#### Case I. We assume that

$$u = 0$$
 on  $\Gamma_1$  (1.4)

(non-penetration) and

$$u = U_0 e_1 = (U_0, 0)$$
 on  $\Gamma_0$ . (1.5)

Case II. We assume that

$$u.n = 0$$
 and  $\tau \cdot \sigma(u, p) \cdot n = 0$  on  $\Gamma_1$ , (1.6)

i.e. the tangential component of the normal stress tensor  $\sigma \cdot n$  vanishes on  $\Gamma_1$ . The components of the stress tensor  $\sigma$  are

$$\sigma_{ij}(u,p) = \nu \left( \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} \right) - p \, \delta_{ij}, \qquad 1 \le i, j \le 3,$$
 (1.7)

where  $\delta_{ij}$  is the Kronecker symbol. As for case I, we set

$$u = U_0 e_1 = (U_0, 0)$$
 on  $\Gamma_0$ . (1.8)

Case III. We assume that

$$u = 0$$
 on  $\Gamma_1$  and  $(1.9)$ 

$$u = U_0(t)e_1 = (U_0(t), 0)$$
 on  $\Gamma_0$ , (1.10)

where  $U_0(t)$  is a locally Lipschitz continuous function of time t.

Case IV. We assume that

$$u = 0$$
 on  $\Gamma_1$ .  $(1.11)$ 

We also impose no flux across  $\Gamma_0$  so that the normal component of the velocity on  $\Gamma_0$  satisfies

$$u \cdot n = 0 \quad \text{on} \quad \Gamma_0, \tag{1.12}$$

and the tangential component of the velocity  $u_{\eta}$  on  $\Gamma_0$  is unknown and satisfies the Tresca law with a constant and positive friction coefficient k. This means (Duvaut & Lions, 1972) that on  $\Gamma_0$ 

$$|\sigma_{\eta}(u,p)| < k \Rightarrow u_{\eta} = U_0(t)e_1$$
 and  $|\sigma_{\eta}(u,p)| = k \Rightarrow \exists \lambda \geq 0 \text{ such that } u_{\eta} = U_0(t)e_1 - \lambda \sigma_{\eta}(u,p),$  (1.13)

where  $\sigma_{\eta}$  is the tangential component of the stress tensor on  $\Gamma_0$  (see below) and

$$t \mapsto U_0(t)e_1 = (U_0(t), 0)$$

is the time-dependent velocity of the lower surface, producing the driving force of the flow. We suppose that  $U_0$  is a locally Lipschitz continuous function of time t.

If  $n = (n_1, n_2)$  is the unit outward normal to  $\Gamma_0$ , and  $\eta = (\eta_1, \eta_2)$  is the unit tangent vector to  $\Gamma_0$  then we have

$$\sigma_n(u, p) = \sigma(u, p) \cdot n - ((\sigma(u, p) \cdot n) \cdot n)n, \tag{1.14}$$

where  $\sigma_{ij}(u, p)$  is the stress tensor whose components are defined in (1.7).

Each problem is motivated by a flow in an infinite (rectified) journal bearing  $\Omega \times (-\infty, +\infty)$ , where  $\Gamma_1 \times (-\infty, +\infty)$  represents the outer cylinder, and  $\Gamma_0 \times (-\infty, +\infty)$  represents the inner, rotating cylinder. In the lubrication problems the gap h between cylinders is never constant. We can assume that the rectification does not change the equations as the gap between cylinders is very small with respect to their radii.

This article is organized as follows. In Sections 1.2 and 1.3 we consider Problem I: (1.1)–(1.5), and Problem II: (1.1)–(1.3), (1.6), and (1.8). In Section 1.4 we consider Problem III: (1.1)–(1.3), (1.9), and (1.10). In Section 1.5 we consider Problem IV: (1.1)–(1.3), and (1.11)–(1.13).

# 1.2 Time-independent driving: existence of global solutions and attractors

In this section we consider Problem I: (1.1)–(1.5), and Problem II: (1.1)–(1.3), (1.6), and (1.8) and present results on the existence of unique global-in-time weak solutions and the existence of the associated global attractors.

Homogenization and weak solutions.

Let u be a solution of Problem I or Problem II, and set

$$u(x_1, x_2, t) = U(x_2)e_1 + v(x_1, x_2, t),$$

with

$$U(0) = U_0$$
,  $U(h(x_1)) = 0$ , and  $U'(h(x_1)) = 0$ ,  $x_1 \in (0, L)$ .

Then v is L-periodic in  $x_1$  and satisfies

$$v_t - \nu \Delta v + (v \cdot \nabla)v + Uv_{,x_1} + (v)_2 U'e_1 + \nabla p = \nu U''e_1$$
 (1.15)

and

$$\operatorname{div} v = 0,$$

together with the initial condition

$$v(x,0) = v_0(x) = u_0(x) - U(x_2)e_1.$$

By  $(v)_2$  in (1.15) we have denoted the second component of v. The boundary conditions are

$$v = 0$$
 on  $\Gamma_0 \cup \Gamma_1$ 

for Problem I, and

$$v = 0$$
 on  $\Gamma_0$ ,  $v \cdot n = 0$  and  $\tau \cdot \sigma(v) \cdot n = 0$  on  $\Gamma_1$ 

for Problem II.

Now we define a weak form of the homogenized problem above. To this end we need some notation. Let  $C_L^{\infty}(\Omega_{\infty})^2$  denote the class of functions in  $C^{\infty}(\Omega_{\infty})^2$  that are L-periodic in  $x_1$ ; define

$$\widetilde{V} = \{ v \in \mathcal{C}_L^{\infty}(\Omega_{\infty})^2 : \operatorname{div} v = 0, \ v = 0 \text{ at } \Gamma_0 \cup \Gamma_1 \}$$

for Problem I, and

$$\widetilde{V}=\{v\in\mathcal{C}_L^\infty(\Omega_\infty)^2:\ \mathrm{div}\,v=0,\ v_{|\Gamma_0}=0,\ v\cdot n_{|\Gamma_1}=0\}$$

for Problem II; and let

$$V = \text{closure of } \widetilde{V} \text{ in } H^1(\Omega) \times H^1(\Omega),$$
 and   
  $H = \text{closure of } \widetilde{V} \text{ in } L^2(\Omega) \times L^2(\Omega).$ 

We define the scalar product and norm in H as

$$(u, v) = \int_{\Omega} u(x)v(x) dx$$
 and  $|v| = (v, v)^{1/2}$ ,

and in V the scalar product and norm are

$$(\nabla u, \nabla v)$$
 and  $|\nabla v|^2 = (\nabla v, \nabla v)$ .

We use the notation  $\langle \cdot, \cdot \rangle$  for the pairing between V and its dual V', i.e.  $\langle f, v \rangle$  denotes the action of  $f \in V'$  on  $v \in V$ .

Let

$$a(u, v) = \nu(\nabla u, \nabla v)$$
 and  $B(u, v, w) = ((u \cdot \nabla)v, w)$ .

Then the natural weak formulation of the homogenized Problems I and II is as follows.

#### Problem 1.2.1 Find

$$v \in \mathcal{C}([0,T];H) \cap L^2(0,T;V)$$

for each T > 0, such that

$$\frac{\mathrm{d}}{\mathrm{d}t}(v(t),\Theta) + a(v(t),\Theta) + B(v(t),v(t),\Theta) = F(v(t),\Theta),$$

for all  $\Theta \in V$ , and

$$v(x,0) = v_0(x),$$

where

$$F(v,\Theta) = -a(\xi,\Theta) - B(\xi,v,\Theta) - B(v,\xi,\Theta),$$

and  $\xi = Ue_1$  is a suitable background flow.

We have the following existence theorem (the proof is standard, see, for example, Temam, 1997).

**Theorem 1.2.2** There exists a unique weak solution of Problem 1.2.1 such that for all  $\eta$ , T,  $0 < \eta < T$ ,  $v \in L^2(\eta, T; H^2(\Omega))$ , and for each t > 0 the map  $v_0 \mapsto v(t)$  is continuous as a map from H into itself. Moreover, there exists a global attractor for the associated semigroup  $\{S(t)\}_{t>0}$  in the phase space H.

# 1.3 Time-independent driving: dimensions of global attractors

The standard procedure for estimating the global attractor dimension, which we use here, is based on the theory of dynamical systems (Doering & Gibbon, 1995; Foias et al., 2001; Temam, 1997) and involves