

Multi-Step Enzyme Catalysis

Biotransformations and Chemoenzymatic Synthesis



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Edited by Eduardo Garcia-Junceda







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The Editor

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Library of Congress Card No.: applied for

British Library Cataloguing-in-Publication DataA catalogue record for this book is available from the British Library.

Bibliographic information published by the Deutsche Nationalbibliothek The Deutsche Nationalbibliothek lists this

publication in the Deutsche Nationalbibliografie; detailed bibliographic data are available on the Internet at http://dnb.d-nb.de>.

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Printed in the Federal Republic of Germany Printed on acid-free paper

Cover Design Grafik-Design Schulz,
Fußgönheim

Typesetting SNP Best-set Typesetter Ltd.,
Hong Kong

Printing betz-druck GmbH, Darmstadt

Binding Litges & Dopf GmbH, Heppenheim

ISBN: 978-3-527-31921-3

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Preface

"The abundance of substances of which animals and plants are composed, the remarkable processes whereby they are formed and then broken down again have claimed the attention of mankind of old, and hence from the early days they also persistently captivated the interest of chemists".

Emil Fischer, Nobel Lecture, 1902

"The chemist who designs and completes an original and esthetically pleasing multistep synthesis is like the composer, artist or poet who, with great individuality, fashions new forms of beauty from the interplay of mind and spirit".

Elias James Corey, Nobel Lecture, 1990

Nature has always been a permanent source of inspiration for chemists but, as Emil Fischer brightly indicated in his Nobel award acceptance lecture in 1902, it is not only the vast diversity of compounds that living beings are capable of creating, but also the extraordinary strategies of synthesis deployed. Evidently, the catalysts used by living beings – enzymes – are key to Nature's Synthesis Strategies. Emil Fischer himself foretold, a few paragraphs further into his lecture, that chemistry would employ enzymes at large and – to our greatest surprise, bearing in mind that these words were written as early as 1902 – that artificial enzymes would be tailor-made to serve its purposes.

The longing of biocatalysis to transfer to the laboratory the exquisite efficiency shown by enzymes in Nature has begun to become a reality since the late 1980s, with the invention of the polymerase chain reaction (PCR). The level of development and access brought about by the PCR to genetic material handling and transformation, has allowed the number of available enzymes to grow exponentially. Modifying the catalytic properties of enzymes to adapt them to their new environment in a test tube has become a reality. We have learned to imitate the strategies used by Nature to create new enzymes, and to adapt the existing ones to new synthetic needs. Eventually, Emil Fischer's prediction has come true.

Living beings do not use enzymes in isolation, however. A large portion of the extraordinary synthetic effectiveness that enzymes display in Nature comes from the fact that living beings apply a multistep synthesis strategy, catalyzed by enzymes acting sequentially. It is the utilization of more- or less-complicated biosynthetic routes that allows living beings to build complex structures from simple elements; to obtain and to store energy; and to know and to communicate with their environment. The jointed action of a sequence of enzymes can make irreversible a reversible process, eliminate inhibition problems caused by product excess, or prevent the lack of substrate scattered on the bulk solution. Evidently, in order to develop, biocatalysis could not look away from these and other synthesis opportunities served by multistep reactions. The level of relevance attained by the development of this synthetic strategy in the field of biocatalysis and biotransformation is evidenced by the celebration in April 2006 of the first Symposium on Multistep Enzyme-Catalyzed Processes, organized jointly by the Applied Biocatalysis Research Centre at Gratz and the European Federation of Biotechnology Section of Applied Biocatalysis (ESAB), to which this book is indebted.

The aim of this handbook is to bring together various key aspects to cover the broad field of multistep enzyme-catalyzed processes, from the 'simplest' system in which one or a few isolated enzymes are used alone or in combination with nonenzyme-catalyzed steps, to the most 'complex' system in which artificial or natural pathways are created or even whole cells are modified to be used as synthetic factories.

I would like thank all those authors who have participated in this exciting project for their superb work, valuable time and remarkable efforts; and in particular, I thank Elke Maase and Stefanie Volk at Wiley-VCH for their patience, friendliness and precious help in editing.

I hope that you enjoy reading this book, and that it can serve as an inspirational source and stimulus to researchers of all levels – especially the youngest – who are working in the biocatalysis field.

Madrid, July 2008

Eduardo García-Junceda

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Contents

Preface XI

List of Contributors XIII

1	Asymmetric Transformations by Coupled Enzyme and Metal Catalysis: Dynamic Kinetic Resolution 1
	Mahn-Joo Kim, Jaiwook Park, and Yoon Kyung Choi
1.1	Introduction 1
1.2	Some Fundamentals for DKR 2
1.2.1	Enzymes for Kinetic Resolution 2
1.2.2	Metal Catalysts for Racemization 3
1.2.3	Enzyme–Metal Combination for DKR 5
1.2.4	(R)- and (S)-Selective DKR 5
1.3	Examples of DKR 6
1.3.1	First DKR of Secondary Alcohols 6
1.3.2	DKR of Secondary Alcohols with Racemization Catalyst 1 6
1.3.3	DKR of Secondary Alcohols with Racemization Catalyst 2 8
1.3.4	DKR of Secondary Alcohols with Racemization Catalyst 3 9
1.3.5	DKR of Secondary Alcohols with Racemization Catalyst 4 10
1.3.6	DKR of Secondary Alcohols with Racemization Catalyst 5 10
1.3.7	DKR of Secondary Alcohols with Racemization Catalyst 6 11
1.3.8	DKR of Secondary Alcohols with Racemization Catalyst 7 12
1.3.9	DKR of Secondary Alcohols with Air-Stable Racemization Catalysts 13
1.3.10	DKR of Secondary Alcohols with Racemization Catalyst 10 14
1.3.11	DKR of Secondary Alcohols with Aluminum Catalysts 14
1.3.12	DKR of Secondary Alcohols with Vanadium Catalysts 15
1.4	Conclusions 16
	References 17

2	Chemoenzymatic Routes to Enantiomerically Pure Amino Acids and Amines 21 Nicholas J. Turner
2.1	Introduction 21
2.2	Amino Acids 23
2.3	Amines 33
	References 38
3	Oxidizing Enzymes in Multi-Step Biotransformation Processes 41
	Stephanie G. Burton and Marilize le Roes-Hill
3.1	Oxidizing Enzymes in Biocatalysis 41
3.2	Classes of Oxidizing Enzymes 41
3.3	Mechanisms of Biological Oxidation and Implications for Multi-Enzyme Biocatalysis 44
3.4	Multi-Step Biotransformation Processes Involving Oxidation 45
3.5	Design and Development of New Multi-Enzyme Oxidizing Processes 48
3.5.1	Coupling Redox Enzymes 48
3.5.2	Cofactor Recycle in Multi-Step Oxidizing Biocatalytic Systems 51
3.6	Examples of Multi-Enzyme Biotransformation Processes Involving Oxidizing Enzymes 52
3.6.1	Coupling of Oxidases with Non-Redox Enzymes 53
3.6.2	Biocatalytic Systems Involving Coupled Oxidizing Enzymes 53
3.7	Multi-Enzyme Systems in Whole-Cell Biotransformations and Expression of Redox Systems in Recombinant Hosts 55
3.8	Other Applications of Multi-Enzyme Oxidizing Systems 56
3.9	Conclusions 58
	References 58
4	Dihydroxyacetone Phosphate-Dependent Aldolases in the Core of Multi-Step Processes 61
	Laura Iturrate and Eduardo García-Junceda
4.1	Introduction 61
4.2	DHAP-Dependent Aldolases 63
4.2.1	Problem of DHAP Dependence 63
4.2.2	DHAP-Dependent Aldolases in the Core of Aza Sugar Synthesis 68
4.2.3	Combined Use of Aldolases and Isomerases for the Synthesis of
	Natural and Unnatural Sugars 71
4.2.4	DHAP-Dependent Aldolases in the Synthesis of Natural Products 73
4.3	Fructose-6-Phosphate Aldolase: An Alternative to DHAP-Dependent
	Aldolases? 76
4.4	Conclusions 78
	References 79

5	Multi-Enzyme Systems for the Synthesis of Glycoconjugates 83 Birgit Sauerzapfe and Lothar Elling
5.1	Introduction 83
5.2	In Vitro and In Vivo Multi-Enzyme Systems 85
5.3	Combinatorial Biocatalysis 86
5.3.1	Synthesis and In Situ Regeneration of Nucleotide Sugars 88
5.3.2	Synthesis of Oligosaccharides, Glycopeptides and Glycolipids Oligosaccharides 94
5.4	Combinatorial Biosynthesis 97
5.4.1	Synthesis of Oligosaccharides with Metabolically Engineered Cells 98
5.5	Conclusions 102
	References 102
6	Enzyme-Catalyzed Cascade Reactions 109
	Roger A. Sheldon
6.1	Introduction 109
6.2	Enzyme Immobilization 110
6.3	Reaction Types: General Considerations 111
6.4	Chiral Alcohols 112
6.5	Chiral Amines 114
6.6	Chiral Carboxylic Acid Derivatives 121
6.7	C–C Bond Formation: Aldolases 127
6.8	Oxidations with O_2 and H_2O_2 130
6.9	Conclusions and Prospects 131
	References 132
7	Multi-modular Synthases as Tools of the Synthetic Chemist 137
	Michael D. Burkart and Junhua Tao
7.1	Introduction 137
7.2	Excised Domains for Chemical Transformations 139
7.2.1	Function of Individual Domains and Domain Autonomy 139
7.2.2	Heterocyclization and Aromatization 139
7.2.3	Macrocyclization 144
7.2.4	Halogenation 147
7.2.5	Glycosylation 150
7.2.6	Methyltransferases 151
7.2.7	Oxidation 153
7.3	Conclusions 155
	References 156

VIII	Content	Contents		
	8	Modifying the Glycosylation Pattern in Actinomycetes by Combinatorial Biosynthesis 159		
		José A. Salas and Carmen Méndez		
	8.1	Bioactive Natural Products in Actinomycetes 159		
	8.2	Deoxy Sugar Biosynthesis and Gene Clusters 161		
	8.3	Characterization of Sugar Biosynthesis Enzymes 161		
	8.4	Strategies for the Generation of Novel Glycosylated Derivatives 165		
	8.4.1	Gene Inactivation 165		
	8.4.2	Gene Expression 166		
	8.4.3	Combining Gene Inactivation and Gene Expression 166		
	8.4.4	Endowing a Host with the Capability of Synthesizing Different		
		Sugars 166		
	8.5	Generation of Glycosylated Derivatives of Bioactive Compounds 166		
	8.5.1	Macrolides 167		
	8.5.2	Aureolic Acid Group 175		
	8.5.3	Angucyclines 181		
	8.5.4	Anthracyclines 186		
	8.5.5	Indolocarbazoles 191		
	8.5.6	Aminocoumarins 193		
		References 194		
	9	Microbial Production of DNA Building Blocks 199		
	9	Jun Ogawa, Nobuyuki Horinouchi, and Sakayu Shimizu		
	9.1	Introduction 199		
	9.2	Screening of Acetaldehyde-Tolerant Deoxyriboaldolase and Its		
	7.2	Application for DR5P Synthesis 200		
	9.3	Construction of Deoxyriboaldolase-Overexpressing <i>E. coli</i> and Metabolic		
	7.5	Analysis of the <i>E. coli</i> Transformants for DR5P Production from		
		Glucose and Acetaldehyde 201		
	9.4	Efficient Production of DR5P from Glucose and Acetaldehyde by		
		Coupling of the Alcoholic Fermentation System of Baker's Yeast and		
		Deoxyriboaldolase-Expressing E. coli 203		
	9.5	Biochemical Retrosynthesis of 2'-Deoxyribonucleosides from Glucose		
		Acetaldehyde and a Nucleobase: Three-Step Multi-Enzyme-Catalyzed		
		Synthesis 204		
	9.6	One-Pot Multi-Step Enzymatic Synthesis of 2'-Deoxyribonucleoside from		
		Glucose, Acetaldehyde and a Nucleobase 206		
	9.7	Improvement of the One-Pot Multi-Step Enzymatic Process for Practical		
		Production of 2'-Deoxyribonucleoside from Glucose, Acetaldehyde		
		and a Nucleobase 207		
	9.8	Conclusions 208		

References 210

	Combination of Biocatalysis and Chemical Catalysis for the Preparation
	of Pharmaceuticals Through Multi-Step Syntheses 213
	Vicente Gotor-Fernández, Rosario Brieva, and Vicente Gotor

- 10.1 Introduction: Biocatalysis and Chemical Catalysis 213
- 10.2 Pharmaceuticals with Hydrolases 214
- 10.2.1 Enzymatic Hydrolysis 214
- 10.2.2 Enzymatic Transesterification 219
- 10.2.3 Enzymatic Aminolysis 222
- Pharmaceuticals with Oxidoreductases 226 10.3
- 10.4 Pharmaceuticals with Lyases 227
- 10.5 Conclusions 230 References 231

Index 235

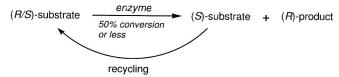
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Asymmetric Transformations by Coupled Enzyme and Metal Catalysis: Dynamic Kinetic Resolution

Mahn-Joo Kim, Jaiwook Park, and Yoon Kyung Choi

1.1 Introduction

The enzymatic resolution of racemic substrates now is a well-established approach for the synthesis of single enantiomers [1, 2]. A representative example is the kinetic resolution of secondary alcohols via lipase-catalyzed transesterification for the preparation of enantiomerically enriched alcohols and esters [3]. The enzymatic resolution in general is straightforward and satisfactory in terms of optical purity, but it has an intrinsic limitation in that the theoretical maximum yield of a desirable enantiomer cannot exceed 50%. Accordingly, additional processes such as isolation, racemization and recycling of unwanted isomers are required to obtain the desirable isomer in a higher yield (Scheme 1.1).

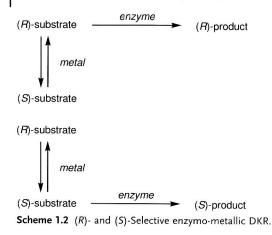


Scheme 1.1 (R)-Selective enzymatic resolution with recycling of unreacted (S)-substrate.

The limitation of enzymatic resolution, however, can be overcome by introducing an efficient catalyst for racemization of substrate into the resolution, leading to the process called dynamic kinetic resolution (DKR) [4]. Theoretically, DKR can provide single enantiomeric products [99% enantiomeric excess (e.e.) or greater] in 100% yield in the case where a highly efficient racemization catalyst is combined with a highly enantioselective enzyme. In the last decade, several metal-based catalysts have been developed for the racemization and successfully incorporated into the resolution process [5]. Now a wide range of racemic substrates can be converted to enantiomeric products of high optical purity in good yields via the enzymometallic DKR (Scheme 1.2). This chapter covers these developments with detailed examples.

Multi-Step Enzyme Catalysis: Biotransformations and Chemoenzymatic Synthesis Edited by Eduardo Garcia-Junceda Copyright © 2008 WILEY-VCH Verlag GmbH & Co. KGaA, Weinheim ISBN: 978-3-527-31921-3

2 1 Asymmetric Transformations by Coupled Enzyme and Metal Catalysis



1.2 Some Fundamentals for DKR

1.2.1 Enzymes for Kinetic Resolution

The resolution of a racemic substrate can be achieved with a range of hydrolases including lipases and esterases. Among them, two commercially available lipases, *Candida antarctica* lipase B (CALB; trade name, Novozym-435) and *Pseudomonas cepacia* lipase (PCL; trade name, Lipase PS-C), are particularly useful because they have broad substrate specificity and high enantioselectivity. They display satisfactory activity and good stability in organic media. In particular, CALB is highly thermostable so that it can be used at elevated temperature up to 100°C.

The lipase-catalyzed resolutions usually are performed with racemic secondary alcohols in the presence of an acyl donor in hydrophobic organic solvents such as toluene and *tert*-butyl methyl ether (Scheme 1.3). In case the enzyme is highly enantioselective (E = 200 or greater), the resolution reaction in general is stopped at nearly 50% conversion to obtain both unreacted enantiomers and acylated enantiomers in enantiomerically enriched forms. With a moderately enantioselective enzyme (E = 20–50), the reaction carries to well over 50% conversion to get unreacted enantiomer of high optical purity at the cost of acylated enantiomer of lower optical purity. The enantioselectivity of lipase is largely dependent on the structure of substrate as formulated by Kazlauskas [6]: most lipases show

Scheme 1.3 Lipase-catalyzed resolution of secondary alcohols.