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and Mathematical Physics

GENERAL EDITOR  
W. V. D. HODGE

No. 24

INVARIANTS OF  
QUADRATIC DIFFERENTIAL  
FORMS

BY  
OSWALD VEBLEN

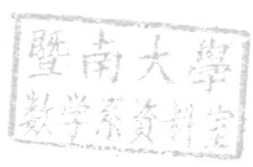
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# INVARIANTS OF QUADRATIC DIFFERENTIAL FORMS

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BY

OSWALD VEBLEN



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## PREFACE

WHEN I was asked to write this tract I was given the privilege of making any possible use of the tract by my old friend J. E. Wright, which was published under the same title about twenty years ago. In these twenty years, however, so much has happened to change our view of the subject that I am sure Wright would have written an entirely new tract if he had lived—and that is what I have done.

It is not merely that many new discoveries have been made, but since the advent of Relativity the subject has been so much studied and expounded with a view to its applications that it now seems possible to say that certain methods are definitely accepted as of primary interest and certain others left to one side as of less consequence to science as a whole. I have tried to set forth the parts of the subject which are important for the applications as fully as the space available would permit and therefore have been forced to leave out several of the questions which Wright included. I have also tried to make the tract elementary in the sense that fundamental definitions are carefully formulated. This has necessarily made the preliminary part of the book long as compared with the rest, and has crowded out material on the applications of the subject which I wrote with more pleasure than some of the pages actually included. However, there are so many books on Relativity, and doubtless will be so many others applying differential invariant theory to Electromagnetic theory, Dynamics, and Quantum theory that one may perhaps be forgiven for not trying to include the applications in these few pages.

Differential geometry has also been crowded out. It seemed important to illustrate the general ideas by the simple case from which they are generalized, namely, elementary geometry. This left no room for higher differential geometry, not even for a discussion of infinitesimal parallelism. But the geometrical point of view is

accessible in several recent books\* with which this one is not intended to compete. Its purpose is rather to assist the students of differential geometry and mathematical physics by setting forth the underlying differential invariant theory. So it is not entirely by accident that the book ends with a formula which can be of interest only to a reader who intends to go forward to the problems in which it is used.

My thanks are due to several of my colleagues and students at Princeton who have made helpful suggestions either when reading the manuscript or during my lectures on the subject. I am particularly indebted to Dr J. M. Thomas and Mr M. S. Knebelman who have read the whole of the manuscript, and the proof sheets as well.

\* On differential geometry we may mention D. J. Struik, *Mehrdimensionale Differentialgeometrie*, Berlin, 1922; J. A. Schouten, *Der Ricci-Kalkül*, Berlin, 1924; E. Cartan, *La Géométrie des espaces de Riemann*, Paris, 1925; T. Levi-Civita, *Lezioni di Calcolo Differenziale assoluto*, Rome, 1925 (English translation, London, 1927); L. P. Eisenhart, *Riemannian Geometry*, Princeton, 1926; on differential invariants in general, R. Weitzenböck, *Invariantentheorie*, Groningen, 1923.

OSWALD VEBLEN

PRINCETON, N. J.

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## FORMAL PRELIMINARIES

## 1.. The summation convention.

The theory of differential invariants, like the theory of algebraic invariants, is essentially formal. It has many geometrical and physical applications which have played a large rôle in the development of the theory. Yet, after all, it is the actual formulas which are the essential subject matter of the theory. This, at least, is the point of view which we are adopting at present, and so we shall devote a chapter to questions of notation before we try to say what a differential invariant is.

Recent advances in the theory of differential invariants and the wide use of this theory in physical investigations have brought about a rather general acceptance of a particular type of notation, the essential feature of which is the systematic use of subscripts and superscripts and the resulting abandonment of all sorts of notations for special operations. The only operations for which we shall employ special signs are addition, subtraction, multiplication, division, differentiation and integration. These, with the usual run of symbols for functions and sets of functions, are found to suffice for all our purposes.

We shall follow the usage of Einstein of indicating a summation by means of a repeated index: i.e. any term in which the same index (subscript or superscript) appears twice shall stand for the sum of all terms obtainable by giving the index all possible values. Thus, for example, if  $i$  can take on the values\* from 1 to  $n$ ,

$$(1.1) \quad a_1 x^1 + a_2 x^2 + \dots + a_n x^n = a_i x^i.$$

Before the advent of Relativity, this expression would have been written

$$\sum_{i=1}^n a_i x^i.$$

The innovation consists simply in leaving off the summation sign whenever an index is repeated. Its only inconvenience arises when

\* The superscript  $k$  in  $x^k$  does not mean that  $x$  is raised to the power  $k$  but is merely an index to distinguish among  $n$  variables,  $x^1, x^2, \dots, x^n$ .

we wish to speak of the general term in an expression like (1.1) without carrying out the summation. But in the theory of differential invariants this situation arises so rarely that the inconvenience is negligible in comparison with the advantages of the notation.

The repeated index is sometimes called a *dummy* or an *umbral* index because, like a variable of integration, the symbol for it in any expression can be changed without affecting the meaning of the expression. Thus

$$a_i x^i = a_k x^k.$$

We shall have to deal with sets of quantities

$$(1.2) \quad T_{ij\dots k}^{ab\dots c}$$

which are in general functions of  $n$  variables  $x^1, x^2, \dots, x^n$ . If there are  $p$  superscripts and  $q$  subscripts each taking values from 1 to  $n$ , the expression (1.2) indicates a set of  $n^{p+q}$  quantities. By setting a subscript and a superscript equal to each other and summing according to the summation convention we can get a new set of quantities, for example,

$$P_{j\dots k}^{b\dots c} = T_{aj\dots k}^{ab\dots c}.$$

This operation is called *contraction* (German, *Verjüngung*).

When we have two sets of quantities and multiply every quantity in one set by every quantity in the other set, for example,

$$P_{ij\dots k}^{ab\dots c} Q_{lm\dots p}^{de\dots f} = R_{ij\dots klm\dots p}^{ab\dots cde\dots f},$$

we get a new and more numerous set of quantities of the same type. This operation is called *multiplication*. When we have two sets of quantities indicated by the same numbers of subscripts and of superscripts a new set of quantities of the same type is obtained by *addition*,

$$P_{ij\dots k}^{ab\dots c} + Q_{ij\dots k}^{ab\dots c} = S_{ij\dots k}^{ab\dots c}.$$

As an illustration of these operations we may write the formula for a multiple power series

$$A + A_i x^i + \frac{1}{2} A_{ij} x^i x^j + \frac{1}{3!} A_{ijk} x^i x^j x^k + \dots$$

A set of quantities such as (1.2) is said to be *symmetric* in any set of indices provided that the value of the symbol (1.2) is unaltered by any permutation of the indices in question. For example, if

$$\Gamma_{jk}^i = \Gamma_{kj}^i,$$

the quantities  $\Gamma$  are *symmetric* in the subscripts. A set of quantities is said to be *alternating* (or antisymmetric or skew-symmetric) in a given set of indices providing it is unchanged by any even permutation\* of the set of indices in question and merely changed in sign by any odd permutation of the same indices. For example, if

$$\Gamma_{jk}^i = -\Gamma_{kj}^i,$$

the quantities  $\Gamma$  are alternating in the subscripts.

## 2. The Kronecker deltas.

The theory of determinants and allied expressions is essentially a theory of alternating sets of quantities, and can be made to depend on certain fundamental alternating sets of quantities which have only the values 0 and +1 and -1. These sets of quantities are known as generalized Kronecker deltas because of their analogy with the Kronecker delta which is already well known. The latter is defined as follows:

$$\delta_j^i = 1, \text{ if } i = j; \text{ and } \delta_j^i = 0, \text{ if } i \neq j.$$

Hence using the summation convention

$$(2.1) \quad \delta_i^i = n,$$

$$\text{and} \quad \delta_j^i a_i = a_j, \text{ and } \delta_j^i a^j = a^i.$$

If  $x^1, x^2, \dots, x^n$  are independent variables,

$$(2.2) \quad \frac{\partial x^i}{\partial x^j} = \delta_j^i.$$

The generalized Kronecker delta has  $k$  superscripts and  $k$  subscripts, each running from 1 to  $n$ , and is alternating both in superscripts and subscripts. It is denoted by

$$\delta_{j_1 j_2 \dots j_k}^{i_1 i_2 \dots i_k}.$$

If the superscripts are distinct from each other and the subscripts are the same set of numbers as the superscripts, the value of the symbol is +1 or -1 according as an even or an odd permutation is required to arrange the superscripts in the same order as the subscripts; in all other cases its value is 0.

\* It is proved in books on algebra that any permutation of  $n$  objects can be brought about by a finite number of transpositions of pairs of these objects, and that the number of transpositions required to bring about a given permutation is always even or always odd. If this number is even the permutation is said to be even. In the opposite case the permutation is said to be odd.

For example, if  $n = 3$  and  $k = 2$

$$0 = \delta_{ij}^{11} = \delta_{ij}^{22} = \delta_{11}^{ij} = \delta_{13}^{12}, \text{ etc.} \quad 1 = \delta_{12}^{12} = \delta_{13}^{13} = \delta_{21}^{21}, \text{ etc.} \\ -1 = \delta_{21}^{12} = \delta_{31}^{13} = \delta_{12}^{21}, \text{ etc.}$$

Using these symbols, the general formula for any two-rowed determinant formed from the matrix

$$\begin{pmatrix} x^1 x^2 \dots x^n \\ y^1 y^2 \dots y^n \end{pmatrix}$$

is 
$$x^i y^j - x^j y^i = \delta_{ab}^{ij} x^a y^b.$$

In like manner we can represent differential expressions which are analogous to determinants. For example, if  $A_1, A_2, \dots, A_n$  are functions of  $x^1, x^2, \dots, x^n$ ,

$$\frac{\partial A_i}{\partial x^j} - \frac{\partial A_j}{\partial x^i} = \delta_{ij}^{ab} \frac{\partial A_a}{\partial x^b}.$$

The general three-rowed determinant formed from the matrix

$$\begin{pmatrix} A_1 & A_2 & A_3 & \dots & A_n \\ B_1 & B_2 & B_3 & \dots & B_n \\ C_1 & C_2 & C_3 & \dots & C_n \end{pmatrix}$$

is 
$$\delta_{ijk}^{abc} A_a B_b C_c = \begin{vmatrix} A_i & A_j & A_k \\ B_i & B_j & B_k \\ C_i & C_j & C_k \end{vmatrix}.$$

If  $A_i$  and  $B_i$  are analytic functions of  $x^1, x^2, \dots, x^n$ , we can form determinant-like expressions as follows:

$$\delta_{ijk}^{abc} A_a \frac{\partial B_b}{\partial x^c} = A_i \left( \frac{\partial B_j}{\partial x^k} - \frac{\partial B_k}{\partial x^j} \right) + A_j \left( \frac{\partial B_k}{\partial x^i} - \frac{\partial B_i}{\partial x^k} \right) + A_k \left( \frac{\partial B_i}{\partial x^j} - \frac{\partial B_j}{\partial x^i} \right).$$

If a set of quantities  $T$  is symmetric in two or more subscripts

$$(2.3) \quad \delta_{pq\dots r}^{ij\dots l} T_{ij\dots l}^{ab\dots c} = 0,$$

and if it is symmetric in two or more superscripts an analogous relation holds. If a set of quantities  $A$  is alternating in its subscripts, which are  $k$  in number,

$$(2.4) \quad \delta_{pq\dots r}^{ij\dots l} A_{ij\dots l} = k! A_{pq\dots r}.$$

3. In studying determinants it is often advantageous to use two other permutation symbols defined as follows:

$$(3.1) \quad \epsilon^{i_1 i_2 \dots i_n} = \delta_{12\dots n}^{i_1 i_2 \dots i_n} = \delta_{i_1 i_2 \dots i_n}^{12\dots n} = \epsilon_{i_1 i_2 \dots i_n}.$$

Thus  $\epsilon$  is  $+1$  or  $-1$  according as the subscripts or superscripts are obtained from the natural numbers  $1\ 2\ \dots\ n$  by an even or an odd permutation; otherwise it is zero. The number of indices on an epsilon is always  $n$ . By the definition of a determinant,

$$(3.2) \quad \alpha = |a_j^i| = \begin{vmatrix} a_1^1 & a_2^1 & \dots & a_n^1 \\ a_1^2 & a_2^2 & \dots & a_n^2 \\ \vdots & \vdots & \ddots & \vdots \\ a_1^n & a_2^n & \dots & a_n^n \end{vmatrix} \\ = \epsilon^{i_1 i_2 \dots i_n} a_{i_1}^1 a_{i_2}^2 \dots a_{i_n}^n = \epsilon_{i_1 i_2 \dots i_n} a_1^{i_1} a_2^{i_2} \dots a_n^{i_n}.$$

For example, a generalized Kronecker delta is a determinant of the simple Kronecker deltas,

$$(3.3) \quad \delta_{j_1 j_2 \dots j_k}^{i_1 i_2 \dots i_k} = \epsilon_{ab\dots c} \delta_{j_1}^{i_a} \delta_{j_2}^{i_b} \dots \delta_{j_k}^{i_c}.$$

(Here we are applying the summation convention to indices and subscripts of indices.) From either of the expansions in (3.2) it is evident that interchanging two rows of the determinant changes its sign. Hence for any permutation of rows

$$(3.41) \quad a \epsilon^{ab\dots c} = \epsilon^{ij\dots k} a_i^a a_j^b \dots a_k^c.$$

Likewise, for any permutation of columns

$$(3.42) \quad a \epsilon_{ab\dots c} = \epsilon_{ij\dots k} a_a^i a_b^j \dots a_c^k.$$

It is an obvious corollary of these two formulas that  $a = 0$  if any two rows or any two columns of the determinant are identical.

The formula for the product of two determinants may be derived as follows:

$$(3.5) \quad ab = a \epsilon_{ab\dots c} b_1^a b_2^b \dots b_n^c \\ = \epsilon_{ij\dots m} a_a^i a_b^j \dots a_c^m b_1^a b_2^b \dots b_n^c \\ = \epsilon_{ij\dots m} (a_a^i b_1^a) (a_b^j b_2^b) \dots (a_c^m b_n^c) \\ = |c_j^i|,$$

where

$$(3.6) \quad c_j^i = a_a^i b_j^a = a_1^i b_j^1 + a_2^i b_j^2 + \dots + a_n^i b_j^n.$$

The formula for the expansion of a determinant in terms of the elements of the first column and their cofactors may be obtained as follows:

$$(3.7) \quad a = a_1^{i_1} \epsilon_{i_1 i_2 \dots i_n} a_2^{i_2} \dots a_n^{i_n} = a_1^i A_i^1,$$



or, in case  $a \neq 0$ ,

$$(4.3) \quad x^k = \frac{b^i A_i^k}{a},$$

which is Cramer's rule for the solution of linear equations.

### 5. Functional determinants.

In our work the determinant which appears most frequently is the Jacobian of  $n$  functions of  $n$  variables

$$y^i(x^1, x^2, \dots, x^n),$$

which is defined by the equation

$$(5.1) \quad \frac{\partial(y^1, y^2, \dots, y^n)}{\partial(x^1, x^2, \dots, x^n)} = \left| \frac{\partial y}{\partial x} \right| \\ = \epsilon^{i_1 i_2 \dots i_n} \frac{\partial y^1}{\partial x^{i_1}} \frac{\partial y^2}{\partial x^{i_2}} \dots \frac{\partial y^n}{\partial x^{i_n}}.$$

For  $n$  functions  $z^i(y^1, y^2, \dots, y^n)$  a fundamental theorem on partial differentiation states that

$$(5.2) \quad \frac{\partial z^i}{\partial x^j} = \frac{\partial z^i}{\partial y^k} \frac{\partial y^k}{\partial x^j}.$$

Hence by the theorem on multiplication of determinants (3.6) the functional determinants satisfy the equation

$$(5.3) \quad \left| \frac{\partial z}{\partial x} \right| = \left| \frac{\partial z}{\partial y} \right| \left| \frac{\partial y}{\partial x} \right|.$$

In case the functions  $z^i$  are such that

$$z^i(y^1, y^2, \dots, y^n) = x^i,$$

(5.2) becomes

$$(5.4) \quad \frac{\partial x^i}{\partial y^k} \frac{\partial y^k}{\partial x^j} = \delta_j^i,$$

and (5.3) reduces to

$$(5.5) \quad \left| \frac{\partial y}{\partial x} \right| = \frac{1}{\left| \frac{\partial x}{\partial y} \right|}.$$

For a fixed value of  $j$ , (5.4) may be regarded as a set of  $n$  linear equations for the determination of  $n$  unknowns,  $\partial y^k / \partial x^j$ , the coefficients being the  $n^2$  quantities  $\partial x^i / \partial y^k$ . Solving these equations according to § 4, we find

$$(5.6) \quad \frac{\partial y^i}{\partial x^j} = \frac{\text{cofactor of } \frac{\partial x^j}{\partial y^i} \text{ in } \left| \frac{\partial x}{\partial y} \right|}{\left| \frac{\partial x}{\partial y} \right|}$$

Other formulas about functional determinants are:

$$(5.7) \quad \delta_{ij\dots k}^{ab\dots c} \left| \frac{\partial y}{\partial x} \right| = \frac{\partial (y^a y^b \dots y^c)}{\partial (x^i x^j \dots x^k)},$$

and

$$(5.8) \quad \delta_{ij\dots k}^{ab\dots c} = \frac{\partial (x^a x^b \dots x^c)}{\partial (x^i x^j \dots x^k)}.$$

6. By partial differentiation the formulas (5.4) give rise to the following formulas which are often useful:

$$(6.1) \quad \frac{\partial^2 y^i}{\partial x^a \partial x^b} \frac{\partial x^a}{\partial y^j} + \frac{\partial^2 x^a}{\partial y^j \partial y^k} \frac{\partial y^i}{\partial x^a} \frac{\partial y^k}{\partial x^b} = 0;$$

$$(6.2) \quad \frac{\partial^2 y^i}{\partial x^a \partial x^b} \frac{\partial x^a}{\partial y^j} \frac{\partial x^b}{\partial y^k} + \frac{\partial^2 x^a}{\partial y^j \partial y^k} \frac{\partial y^i}{\partial x^a} = 0;$$

$$(6.3) \quad \frac{\partial^3 y^i}{\partial x^a \partial x^b \partial x^c} \frac{\partial x^a}{\partial y^j} + \frac{\partial^2 y^i}{\partial x^a \partial x^b} \frac{\partial^2 x^a}{\partial y^j \partial y^k} \frac{\partial y^k}{\partial x^c} + \frac{\partial^3 x^a}{\partial y^j \partial y^k \partial y^l} \frac{\partial y^i}{\partial x^a} \frac{\partial y^k}{\partial x^b} \frac{\partial y^l}{\partial x^c} \\ + \frac{\partial^2 x^a}{\partial y^j \partial y^k} \frac{\partial^2 y^i}{\partial x^a \partial x^c} \frac{\partial y^k}{\partial x^b} + \frac{\partial^2 x^a}{\partial y^j \partial y^k} \frac{\partial y^i}{\partial x^a} \frac{\partial^2 y^k}{\partial x^b \partial x^c} = 0;$$

and so on.

## 7. Derivative of a determinant.

In case the elements of a determinant are functions of  $(x^1, x^2, \dots, x^n)$  we have by differentiating (3.2)

$$(7.1) \quad \frac{\partial a}{\partial x^j} = \epsilon_{i_1 i_2 \dots i_n} \left( \frac{\partial a_{i_1}^{i_1}}{\partial x^j} a_{i_2}^{i_2} \dots a_{i_n}^{i_n} + a_{i_1}^{i_1} \frac{\partial a_{i_2}^{i_2}}{\partial x^j} \dots a_{i_n}^{i_n} + \dots \right) \\ = \frac{\partial a_{i_1}^{i_1}}{\partial x^j} A_{i_1}^1 + \frac{\partial a_{i_2}^{i_2}}{\partial x^j} A_{i_2}^2 + \dots \\ = \frac{\partial a_c^b}{\partial x^j} A_b^c.$$

In case the determinant in question is the Jacobian of a transformation of coordinates, (5.1), (7.1) reduces to

$$(7.2) \quad \frac{\frac{\partial}{\partial x^j} \left| \frac{\partial y}{\partial x} \right|}{\left| \frac{\partial y}{\partial x} \right|} = \frac{\partial^2 y^b}{\partial x^j \partial x^c} \frac{\partial x^c}{\partial y^b}.$$

## 8. Numerical relations.

The permutation symbols satisfy a number of numerical relations which are easily verified by counting the number of terms which



appear in the various sums. Thus we have

$$\delta_{pj}^{ij} = (n-1)\delta_{pj}^i, \text{ and } \delta_{ij}^{ij} = n(n-1),$$

$$(8.1) \quad \delta_{j_1 j_2 \dots j_r i_1 i_2 \dots i_r i_{r+1} \dots i_k}^{i_1 i_2 \dots i_r i_{r+1} \dots i_k} = \frac{(n-r)!}{(n-k)!} \delta_{j_1 j_2 \dots j_r}^{i_1 i_2 \dots i_r},$$

$$(8.2) \quad \delta_{i_1 i_2 \dots i_k}^{i_1 i_2 \dots i_k} = \frac{n!}{(n-k)!},$$

$$(8.3) \quad \epsilon^{ab\dots m} \epsilon_{ab\dots m} = n!,$$

$$(8.4) \quad \epsilon^{i_1 \dots i_k i_{k+1} \dots i_n} \epsilon_{j_1 \dots j_k i_{k+1} \dots i_n} = (n-k)! \delta_{j_1 \dots j_k}^{i_1 \dots i_k},$$

$$(8.5) \quad \epsilon^{i_1 \dots i_k i_{k+1} \dots i_n} \delta_{i_{k+1} \dots i_n}^{j_{k+1} \dots j_n} = (n-k)! \epsilon^{i_1 \dots i_k j_{k+1} \dots j_n},$$

$$(8.6) \quad \delta_{j_1 \dots j_k j_{k+1} \dots j_r}^{i_1 \dots i_k i_{k+1} \dots i_r} \delta_{p_{k+1} \dots p_r}^{j_{k+1} \dots j_r} = (r-k)! \delta_{j_1 \dots j_k p_{k+1} \dots p_r}^{i_1 \dots i_k i_{k+1} \dots i_r},$$

$$(8.7) \quad \delta_{j_1 \dots j_k j_{k+1} \dots j_r}^{i_1 \dots i_k i_{k+1} \dots i_r} \delta_{i_{k+1} \dots i_r}^{j_{k+1} \dots j_r} = \frac{(n-k)!}{(n-r)!} (r-k)! \delta_{j_1 \dots j_k}^{i_1 \dots i_k},$$

$$(8.8) \quad \epsilon_{i \dots j k \dots l m} = (-1)^p \epsilon_{i \dots j m k \dots l},$$

if  $p$  is the number of indices  $k \dots l$ .

### 9. Minors, cofactors, and the Laplace expansion.

The  $k$ -rowed minors of a determinant  $a$  are defined by the formula

$$(9.1) \quad a_{j_1 j_2 \dots j_k}^{i_1 i_2 \dots i_k} = \delta_{p_1 p_2 \dots p_k}^{i_1 i_2 \dots i_k} a_{j_1}^{p_1} a_{j_2}^{p_2} \dots a_{j_k}^{p_k} \\ = \delta_{j_1 j_2 \dots j_k}^{p_1 p_2 \dots p_k} a_{p_1}^{i_1} a_{p_2}^{i_2} \dots a_{p_k}^{i_k}.$$

Thus the one-rowed minors are the elements  $a_j^i$  themselves, the two-rowed minors are the determinants,

$$\begin{vmatrix} a_{j_1}^{i_1} & a_{j_1}^{i_2} \\ a_{j_2}^{i_1} & a_{j_2}^{i_2} \end{vmatrix},$$

and so on. The determinant is given by

$$(9.2) \quad a = \frac{1}{n!} a_{i_1 i_2 \dots i_n}^{i_1 i_2 \dots i_n},$$

and we also have

$$(9.3) \quad a \delta_{j_1 \dots j_k}^{i_1 \dots i_k} = \frac{1}{(n-k)!} a_{j_1 \dots j_k i_{k+1} \dots i_n}^{i_1 \dots i_k i_{k+1} \dots i_n}.$$

The cofactor of the  $k$ -rowed minor (9.1) is the determinant

$$(9.4) \quad A_{i_1 i_2 \dots i_k}^{j_1 j_2 \dots j_k} = \frac{1}{(n-k)!} \delta_{i_1 i_2 \dots i_n}^{j_1 j_2 \dots j_n} a_{j_{k+1}}^{i_{k+1}} \dots a_{j_n}^{i_n} \\ = \frac{1}{((n-k)!)^2} \delta_{i_1 \dots i_n}^{j_1 \dots j_n} a_{j_{k+1} \dots j_n}^{i_{k+1} \dots i_n},$$

which is equivalent to (3.10) if  $k = 1$ .