WORLD POLITICS TREND AND TRANSFORMATION

FIFTH EDITION



Charles W. Kegley, Jr. Eugene R. Wittkopf

Charles W. Kegley, Jr. / Eugene R. Wittkopf

University of South Carolina

Louisiana State University

WORLD POLITICS

TREND AND TRANSFORMATION

Fifth Edition

St. Martin's Press New York

For Linda and Barbara

Executive editor: Don Reisman

Manager, publishing services: Emily Berleth Editor, publishing services: Doug Bell Project management: Till & Till, Inc. Production supervisor: Joe Ford Text design: Patrice Fodero Graphics: TCSystems, Inc.

Maps: Maryland CartoGraphics, Inc.

Cover photo: Copyright © COMSTOCK, INC./Mike and Carol Werner

Library of Congress Catalog Card Number: 94-65227

Copyright @ 1995 by St. Martin's Press, Inc.

All rights reserved. No part of this book may be reproduced, stored in a retrieval system, or transmitted by any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, except as may be expressly permitted by the applicable copyright statutes or in writing by the Publisher.

Manufactured in the United States of America.

98765 fedcba

For information, write: St. Martin's Press, Inc. 175 Fifth Avenue New York, NY 10010

ISBN: 0-312-10658-0

Published and distributed outside North America by THE MACMILLAN PRESS LTD Houndmills, Basingstoke, Hampshire RG21 2XS and London Companies and representatives throughout the world.

ISBN 0-333-63760-7

A catalogue record for this book is available from the British Library.

ACKNOWLEDGMENTS

Acknowledgments and copyrights are continued at the back of the book on page 612, which constitute an extension of the copyright page.

PREFACE

Since publication of the fourth edition of this book in 1993, the world has continued to witness dramatic changes. The end of the Cold War, the third great-power conflict of the twentieth century, is propelling a transformation of world politics whose scope and dimensions continue to unfold daily. The end of East-West competition has marked the end of bipolarity, the disintegration of the Soviet external empire and the Soviet Union itself, the emergence of powerful economic rivals to the United States (from China, Japan, and Germany to the European Union), and the triumph of liberalism and democratic capitalism over competing ideologies. Yet in this emerging new system, nuclear weapons—so dominant a part of world politics since World War The have not receded in importance, despite the Strategic Arms Reduction Treaty ISALART) and other monumental disarmament agreements. The threat of new nuclear powers remains, and this could undermine the fragile nonproliferation regime. At the same time, economic power increasingly rivals military power as an instrument of stategrant, and empreonic trade bloes in Europe and Asia portend the emergence of a multipolar world. In addition was and other forms of violence inspired by ethnic and harddalist animosities long suppressed by the Cold War have erupted with thereasing frequency and ferocity, bullets and bloodshed still cast their shadow over the late twentierh century

Understanding the rapid changes unleashed in the wake of the Cold War poses an enormous challenge to scholars and policymakers alike. There no longer exists a principal area in world affairs, and no agreement exists about the dimensions of world politics that will be most important in the twenty-first century. This uncertainty

requires that we confront not only the evidence of change but also of continuities in world politics. It makes it imperative that we also probe the theoretical underpinnings of our knowledge and ask not only what is new but also how we know what we know about the forces of change and continuity in the world around us.

World Politics: Trend and Transformation is a comprehensive treatment of the theory and evidence that inform our understanding of the pattern of relations among global actors, the historical developments that underlie them, and how they have been affected by the pace of change of today's world.

The book is divided into five parts. Part I explains the macro, or holistic, view of world politics that frames the book's analyses. It focuses on the contending analytic perspectives that scholars and policymakers have developed to comprehend the kaleidoscopic trends and transformations occurring in world politics. We draw on these theoretical traditions throughout the remainder of the book, using them to enhance an understanding of contemporary world politics.

Part II examines the principal actors on the world stage. Nation-states necessarily command particular attention, and we examine the nature of their decision-making processes, world views, national capabilities, and position in the international hierarchy, all of which propel their efforts to adapt policies to changes in their external environments. Great-power rivalries, as played out in the three global conflicts of the twentieth century and the North-South conflict between the world's rich and poor countries since World War II, are given prominent attention. We also examine the role of international institutions and other nonstate actors, with particular emphasis on international organizations and nongovernmental organizations (such as the United Nations and multinational corporations) and on nonstate entities such as recently resurgent ethnonational movements. This treatment explores the capacity of each of these actors to shape contemporary world politics, and the ways in which the international environment, in turn, shapes their character.

Parts III and IV probe the nature of transnational policy issues. Issues comprising material well-being and countries' efforts to provide for their citizens' general welfare are examined in Part III. Here we explore the nature of the world political economy, the forces promoting conflict and cooperation within the new global marketplace, and developments in demography, the environment, and resources. Although these issues have long been important on the global agenda, many analysts now believe that increasingly they will figure more prominently in the currency of world politics.

In Part IV we examine peace and security and the factors underlying preparations for national defense, the nature of power and influence, the role of military force, and the causes and control of war and other forms of violence in world politics. These, of course, are concerns that have traditionally received the most attention in discussions of world politics, and, as noted, while the end of the Cold War may have changed their character, it has not diminished the compelling need to understand military power and the causes and consequences of changes in its distribution.

We conclude World Politics: Trend and Transformation in Part V, where we explore how the underlying trajectories in world politics might influence future trends. Our concern here is how today's world will affect tomorrow's world as well as the policy problems recent developments create for the twenty-first century on the horizon.

NEW TO THIS EDITION

Readers familiar with the previous edition of the book, published in 1993, will quickly recognize that its structure and organization remain intact, but the global changes that have occurred since have caused us to revisit and refine every passage in the book. We also seized this opportunity simultaneously to expand the book's theoretical coverage and to enhance its pedagogical effectiveness. The result is a thoroughly revised text.

In short, the fifth edition captures and explains all the major changes that have occurred since publication of the fourth edition in 1993. The revised text describes a transformed international system that no longer includes a Soviet empire, a Soviet Union run by a Soviet Communist Party, a Warsaw Pact, a Yugoslavia, a Czechoslovakia, a South Africa firmly based in apartheid and run by Afrikaners who still had Nelson Mandela imprisoned and who gave no sign of ever being willing to substantially change their ways, and governments and institutions in a host of other places—Japan and NATO to name but two—whose previous political composition and familiar policy purposes seemed all but permanently installed to us. The world has been turned upside down, and the fifth edition of World Politics explains the meaning of these transformations—and others—for the twenty-first century.

Several examples illustrate how thoroughly this edition has been revised:

- The survey of theories has been reorganized to (1) emphasize more the role of perceptions and images, (2) introduce feminist theories and the feminist critique of realism, (3) bring rival theories to the realist perspective up to date, (4) introduce the postmodern critique better and more thoroughly, and (5) reposition regime and hegemonic stability theory to more accurately show how they lie at the intersection of realism and liberalism.
- Neoliberalism is elaborated as a newly popular theoretical umbrella that gives meaning to the European Union, democratization, free trade, international law, international organizations, and other dimensions of global change and cooperation.
- Hegemonic stability theory and the contribution of classical and structural realism, or neorealism, to understanding of the forces that inhibit international cooperation are given revised treatment. Both theoretical thrusts are used to explain the importance of the relative position of U.S. power, in comparison with ascending great powers like China and Japan, and how these changes relate to the world political economy and the emergent multipolar international political system.
- The regionalization of the world political economy, as manifested in transnational capital flows and the emergence of regional monetary and trade blocs, is covered more thoroughly.
- The treatment of the global marketplace has been expanded and updated, so as to cover the recently approved and enlarged North American Free Trade Agreement (NAFTA), the General Agreement on Tariffs and Trade (GATT),

and other trade agreements, as well as neomercantilist attempts to impose new protective barriers to trade.

- The treatment of nonstate actors is refined and updated to better picture
 the place and performance of intergovernmental international organizations
 (IGOs), nongovernmental organizations (NGOs), and ethnonational movements in the global arena.
- The coverage of the global refugee problem, migration, and expatriates is expanded, along with a better historical description of the origins of this growing problem.
- New sections deal with ethnicity in international politics and in particular the causes of ethnonationalism and its consequences as a source of international conflict.
- The cultural dimensions of world politics are treated more heavily, with particular attention given to the thesis that a "clash of civilizations and/or cultures" will replace the East-West conflict as the globe's primary axis.
- Greater attention is explicitly given to the moral and ethical dimensions of many of the problems discussed (war, refugees, gender issues, political repression, income disparities, minority persecution, etc.).
- The chapter on great-power relations has been totally reorganized (1) to compare three global wars in the twentieth century, (2) to account for the probability that two rivals (the United States and the USSR) will be replaced by at least five (the United States, Russia, China, Japan, and Germany), and (3) to look to the future of a twenty-first-century multipolar system.
- The chapter on national security has been revised and expanded to provide a
 comparison of the evolving national security strategies of the United States,
 Russia, China, Japan, and Germany, as well as to treat the rise of regional
 powers in a shifting strategic environment.
- The shifting strategic/military balance is assessed in terms of disarmament agreements such as START and the Chemical Weapons Convention, but more pointedly in terms of the continuing arms trade and threat of new nuclear weapon states that could undermine the prospects for renewal of the Nuclear Nonproliferation Treaty in 1995.
- The analysis of changes in warfare captures the rise of separatist revolts and changes in the incidence of interstate wars since the Cold War and accommodates recent thinking about the roots of violence.
- The institutional characteristics of the European Union and its changing character as a nonstate actor are brought up to date, along with an enlarged North Atlantic Treaty Organization (NATO) and changes in its membership, geographical scope, and strategic doctrine.
- In the wake of the Cold War and the Persian Gulf War, the prospects for multilateral cooperation and collective security as a substitute for the balanceof-power mechanism in the management of future international conflict are

examined, and treatment of UN peacekeeping and peacemaking operations and the reconfigured NATO is expanded.

- Just war theory is updated in light of recent developments in international law.
- Trends toward democratization of the world, and the countertrends away from that liberalization and their consequences, are freshly examined.
- New maps and cartograms have been added, all designed to enhance the clarity of the text and contribute to readers' geographic literacy.
- The glossary has been amended to facilitate the book's accessibility by providing definitions of key terms and esoteric concepts.

The list of those to whom we are indebted for their contributions of time and insight to this book continues to grow. In addition to those who have helped so generously in the past, we are especially pleased to acknowledge the contributions to this edition made by Arthur G. Atkins, Shannon Lindsey Blanton, Mark N. Crislip, Michael Gubser, Pamela R. Howard, Pavel Kaźmierczyk, John N. Kinnas, Dunbar Lockwood, Jeffrey Morton, Christina Payne, Gregory A. Raymond, Joseph Reap, Linda S. Schwartz, and Franke Wilmer. We would also like to thank our colleagues who reviewed the manuscript for St. Martin's Press and offered many helpful comments and suggestions: Eileen M. Crumm, University of Southern California; Patrick J. Haney, Miami University; and D. Michael Shafer, Rutgers University. The many professional staff members at St. Martin's Press contributed importantly to the final production of the book. Mary Hugh Lester, our charming and responsive editor, eased the strains of final production measurably, and Don Reisman, our editor and friend, was unfailing in his support and encouragement throughout a project that exceeded our wildest time and effort estimates. Finally, we are appreciative of the professional assistance provided by Suzanne Mieso and Russell Till for the book's production.

Charles W. Kegley Jr. Eugene R. Wittkopf

Note to Instructors

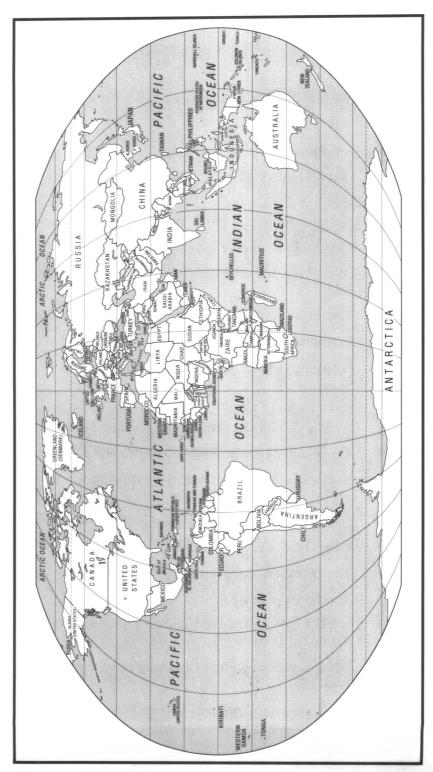
We would like to note the availability of an Instructor's Manual, authored by Gregory A. Raymond of Boise State University. This manual closely follows the major themes of World Politics and has been extensively class tested. Featured in the manual are chapter outlines, thematic summaries, learning objectives, key terms, teaching suggestions, and—for each chapter—approximately five essay and twenty-five multiple-choice questions—the latter of which are graded for degree of difficulty. Additionally, the manual contains enlarged versions of the major maps and line art that appear in the text, for use as transparency masters. The manual is available in both print form and in formats for IBM-compatible and Macintosh computers. For more information, please write or call St. Martin's Press, College Desk, 175 Fifth Avenue, New York, NY 10010 (1-800-446-8923) or Fax (212) 780-0115; or contact your local St. Martin's Press sales representative.

About the Authors

CHARLES W. KEGLEY JR. received his doctorate from Syracuse University. Currently, he is Pearce Professor of International Relations at the University of South Carolina. President of the International Studies Association (1993–1994), Kegley has held appointments at Georgetown University, the University of Texas, Rutgers University, and the People's University of China. He is the editor of Controversies in International Relations Theory: Realism and the Neoliberal Challenge (St. Martin's Press, 1995) and The Long Postwar Peace (HarperCollins, 1991). With Gregory A. Raymond, Kegley is the coauthor of A Multipolar Peace? Great-Power Politics in the Twenty-First Century (St. Martin's Press, 1994) and When Trust Breaks Down: Alliance Norms and World Politics (University of South Carolina Press, 1990).

EUGENE R. WITTKOPF received his doctorate from Syracuse University. Currently R. Downs Poindexter Professor of Political Science at Louisiana State University, Wittkopf is a past president of the Florida Political Science Association and of the International Studies Association/South. He has also held appointments at the University of Florida and the University of North Carolina at Chapel Hill. Wittkopf is the author of Faces of Internationalism: Public Opinion and American Foreign Policy (Duke University Press, 1990) and the editor of the second editions of The Future of American Foreign Policy (St. Martin's Press, 1994) and The Domestic Sources of American Foreign Policy (St. Martin's Press, 1994).

Together, Kegley and Wittkopf have coauthored and edited several texts and readers for St. Martin's Press, including American Foreign Policy: Pattern and Process, fourth edition (1991); The Future of American Foreign Policy (1992); The Nuclear Reader: Strategy, Weapons, War, second edition (1989); and The Domestic Sources of American Foreign Policy (1988). They are also the coeditors of The Global Agenda: Issues and Perspectives, fourth edition (McGraw-Hill, 1995).



POLITICAL MAP OF THE WORLD

SUMMARY TABLE OF CONTENTS

• • •

CHANGE AND TRANSFORMATION IN WORLD POLITICS	1
1 Introducing Trend and Transformation in World Politics: Themes	3
2 The Study of World Politics: Rival Perspectives in Changing Contexts	10
RELATIONS AMONG GLOBAL ACTORS	43
3 Foreign Policy Decision Making: Coping with International Circumstances	45
4 Great-Power Politics: Past, Present, and Future	73
5 THE NORTH-SOUTH CONFLICT: ROOTS AND CONSEQUENCES OF GLOBAL INEQUALITIES	111
Organizations, Multinational Corporations, and	
ETHNONATIONAL MOVEMENTS	149
	2 THE STUDY OF WORLD POLITICS: RIVAL PERSPECTIVES IN CHANGING CONTEXTS RELATIONS AMONG GLOBAL ACTORS 3 FOREIGN POLICY DECISION MAKING: COPING WITH INTERNATIONAL CIRCUMSTANCES 4 GREAT-POWER POLITICS: PAST, PRESENT, AND FUTURE 5 THE NORTH-SOUTH CONFLICT: ROOTS AND CONSEQUENCES OF GLOBAL INEQUALITIES 6 NONSTATE ACTORS IN WORLD POLITICS: INTERNATIONAL

PART III	PROMOTING THE GENERAL WELFARE	19
Chapter (7 THE INTERNATIONAL POLITICAL ECONOMY: THE RICH COUNTRIES' PURSUIT OF PROSPERITY	199
Chapter 8	THE THIRD WORLD IN THE NEW GLOBAL MARKETPLACE: TRADE, AID, DEBT, AND DEVELOPMENT	252
CHAPTER S	THE GLOBAL COMMONS: POPULATION PRESSURES AND THREATS TO THE ENVIRONMENT	291
Chapter 10) Fueling Growth: Oil, Energy, and Resource Power	333
PART IV	PROVIDING FOR THE COMMON DEFENSE	369
Chapter 11	THE MILITARY QUEST FOR NATIONAL SECURITY: ARMS AND THE CHANGING CHARACTER OF POWER	371
Chapter 12	RESORT TO FORCE: ARMED CONFLICT BETWEEN STATES AND WITHIN THEM	428
Chapter 13	MILITARY PATHS TO PEACE: ALLIANCES, THE BALANCE OF POWER, AND ARMS CONTROL	468
Chapter 14	Political Paths to Peace: International Law, Organization, and Integration	507
Part V T	OWARD THE TWENTY-FIRST CENTURY	549
Chapter 15	THE GLOBAL PREDICAMENT: FIFTEEN QUESTIONS FOR THE DAWN OF THE MILLENNIUM	551
References		566
Glossary		597
AUTHOR INDEX	(613
Subject Index		623

Contents

• •

Part I	Cı	HANGE AND TRANSFORMATION IN WORLD POLITICS	1
Chapter	1	Introducing Trend and Transformation in World Politics: Themes	3
		Continuity and Change in World Politics Predicting the Problematic Future	4 7
		Organizing Inquiry: A Framework for Analysis Suggested Readings	8 8
Chapter	2	THE STUDY OF WORLD POLITICS: RIVAL PERSPECTIVES IN CHANGING CONTEXTS	10
		Image and Reality in World Politics	11
		Images of Reality	14
		THE SOURCES OF IMAGES	14
		THE NATURE OF IMAGES	15
		THE ROLE OF IMAGES IN INTERNATIONAL POLITICS	15
		Sources of Image Change	16

	Understanding World Politics: The Elusive Quest for Theory	17
	Current History	18
	Political Idealism	19
	THE IDEALIST WORLD VIEW	19
	THE IDEALIST REFORM PROGRAM	20
	Political Realism	21
	THE REALIST WORLD VIEW	22
	REALISM IN THE NUCLEAR AGE	23
	THE LIMITATIONS OF REALISM	24
	REALISM'S CONTINUING RELEVANCE	25
	The Behavioral Approach	25
	SCIENCE VERSUS TRADITIONALISM	25
	A SCIENCE OF INTERNATIONAL POLITICS?	26
	POSTBEHAVIORALISM	27
	POSTMODERNISM	28
	Extending Realism: The Neorealist Structural Approach	28
	A SYSTEMS THEORY OF INTERNATIONAL POLITICS	29
	IMPLICATIONS OF SYSTEMIC CONSTRAINTS	30
	Neoliberalism	31
	COMPLEX INTERDEPENDENCE AS A WORLD VIEW	. 33
	INTERNATIONAL REGIMES	33
	LIBERAL FEMINIST THEORY	35
	International Politics in a World of Change	35
	Rival Theories and Evidence	36
	HEGEMONIC STABILITY THEORY	36
	LONG-CYCLE THEORY	38
	WORLD-SYSTEM THEORY	38
	DEPENDENCY THEORY	38
	COMPARATIVE FOREIGN POLICY	38
	Levels of Analysis	39
	World Politics: The Analytical Challenge	40
	Suggested Readings	40
Part II	RELATIONS AMONG GLOBAL ACTORS	43
Chapter	3 Foreign Policy Decision Making: Coping with	
	International Circumstances	45
	The Unitary Actor and Rational Decision Making	46
	States as Unitary Actors	46

CONTENTS	XIII
Policy Making as Rational Choice	4 7
Impediments to Rational Choice	49
The Bureaucratic Politics of Foreign Policy Decision Making	51
Foreign-Policy-Making Organizations	52
Bureaucracy, Efficiency, and Rationality	52
THE LIMITS OF BUREAUCRATIC ORGANIZATION	53
ATTRIBUTES OF BUREAUCRATIC BEHAVIOR	55 54
THE CONSEQUENCES OF BUREAUCRATIC POLICY MAKING	56
The Role of Leaders in Foreign Policy Decision Making	58
Leaders as Makers and Movers of World History Factors Affecting Leaders' Capacity to Lead	58 60
Limits to the Hero-in-History Model	62
Other Determinants of Foreign Policy Behavior	62
Geopolitics	63
Military Capabilities	65
Economic Development	65
Type of Government	66
DEMOCRACIES' FOREIGN POLICY PERFORMANCE	67
CONSEQUENCES OF THE SPREAD OF DEMOCRACY	69
Constraints on Foreign Policy Making in a Transforming World:	7.1
Problems and Prospects Suggested Readings	71 72
80 8	
CHAPTER 4 GREAT-POWER POLITICS: PAST, PRESENT, AND FUTURE	73
The Quest for Great-Power Hegemony	74
The First World War	76
The Causes of World War I	76
STRUCTURALISM	76
RATIONAL CHOICE	78
OTHER EXPLANATIONS	78
The Consequences of World War I	79
The Second World War	81
The Causes of World War II	82
PROXIMATE CAUSES	82
The Consequences of World World	84
The Consequences of World War II	85
The Cold War as History	88

The Causes of the Cold War	88
A CONFLICT OF INTERESTS	88
IDEOLOGICAL INCOMPATIBILITIES	89
MISPERCEPTIONS	90
The Cold War's Characteristics	91
CONFRONTATION, 1945–1962	92
FROM COEXISTENCE TO DÉTENTE, 1963-1978	95
FROM RENEWED CONFRONTATION TO RAPPROCHEMENT, 1979–1991	97
2000	
The Cold War's Consequences	99
THE PROBLEMATIC RUSSIAN FUTURE LESSONS FROM THE COLD WAR'S END	99 100
The Future of Great-Power Politics after the Cold War	100
Post-Cold War Scenarios	
A Twenty-First-Century Multipolar World	104 105
THE CHALLENGE OF MULTIPOLARITY	107
RESPONDING TO MULTIPOLARITY'S CHALLENGE	107
Suggested Readings	110
Chapter 5 The North-South Conflict: Roots and Consequences of Global Inequalities	111
•	111
The Rise and Fall of European Empires	112
The First Ways of Furgasian Lyang II	113
The First Wave of European Imperialism The Second Wave of European Imperialism	116 117
ECONOMIC EXPLANATIONS OF THE NEW IMPERIALISM	
POLITICAL EXPLANATIONS OF THE NEW IMPERIALISM	119 120
Colonialism and Self-Determination in the	120
Interwar Period	121
The End of Empire	122
Profiles and Projections: Global Disparities in Income and Wealth	123
Third World Diversity	123
LEAST DEVELOPED COUNTRIES	123
OIL-EXPORTING COUNTRIES	127
NEWLY INDUSTRIALIZED COUNTRIES	127
Measuring Economic Development and Standards of Living	129

Impediments to Growth in a Typical Developing	
Country	133
Dominance and Dependence in International	
Economic Relations	136
LIBERAL ECONOMIC DEVELOPMENT THEORY	136
DEPENDENCY THEORY	136
WORLD-SYSTEM THEORY	138
Beyond Dependence: The Foreign Policy Goals of Third World Nations	140
A New International Economic Order	140
Equal Rights	141
Political Autonomy	142
Nonalignment	142
Military Might	144
The End of Third Worldism?	146
Suggested Readings	148
Chapter 6 Nonstate Actors in World Politics: International Organizations, Multinational Corporations, and Ethnonational Movements	149
International Organizations	150
The United Nations	154
FALSE START: THE UNITED NATIONS DURING	154
THE COLD WAR	155
FRESH START: THE UNITED NATIONS AFTER	133
THE COLD WAR	157
ORGANIZING THE UNITED NATIONS: SYSTEM	
AND STRUCTURE	157
THE UNS SHIFTING PURPOSES AND PRIORITIES	162
The European Union	163
ORGANIZATIONAL COMPONENTS AND DECISION-MAKING	
PROCEDURES	164
SUPRANATIONALISM OR POOLED SOVEREIGNTY? EUROPE: AN ECONOMIC GIANT	169
EUROPE: A POLITICAL DWARF AND A MILITARY WORM?	170 172
Other Regional Organizations	
Multinational Corporations	175
-	176
The Multinational Corporation's Global Reach and Economic Power	122
Economic I ower	177

Contents