

THE INTERNATIONAL CRYOGENICS MONOGRAPH SERIES

General Editors: K. D. Timmerhaus, Alan F. Clark, and Carlo Rizzuto



Cryogenic Regenerative Heat Exchangers

Robert A. Ackermann

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Robert A. Ackermann

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Preface

This text provides a comprehensive discussion of the theory and design of regenerative heat exchangers for cryogenic applications. Previous texts have treated regenerators as a small subsection of the larger topic of continuous gas-to-gas heat exchangers. However, with the emergence of regenerative devices in cryogenic applications and the recent commercialization of many of these devices, a text devoted exclusively to regenerative heat exchangers is now appropriate.

Regenerators represent an important class of heat exchangers, in which heat exchange between two fluids occurs through an intermediate exchange with a matrix of high-heat-capacity material. This unique operation leads to a periodic heat exchange between two fluids, in place of the continuous heat exchange found in counterflow heat exchangers. This periodic nature of regenerators contributes to two important design characteristics: First, the use of a matrix material leads to a high-performance, compact geometry and, second, the periodicity of the flow leads to complex mathematical analyses based on time-dependent temperature and heat transfer parameters. The compact construction and periodic nature are important regenerator characteristics and are largely responsible for the many successful commercial applications they have enjoyed. The complexity of the analyses, however, has led to the need for costly development programs to design regenerators for new applications. This book explores these diverse characteristics with the intent of providing engineers with the theoretical background and data required to design a regenerator. In particular, we explore the requirements and use of regenerators in cryogenic applications, where the characteristics of high efficiency, small size, and low pressure drop have enabled small cryogenic refrigerators to achieve substantial commercial success over the last 25 years. This book is intended to provide engineers with both the theory and practical aspects of regenerator design so that they can effectively use them in the development of advanced cryogenic devices.

The text is organized into five chapters dealing with historical developments, theory, practical applications, design data, and numerical solutions.

Chapter 1 reviews the historical development of cryogenic refrigeration and the use of regenerators in these devices. The history of regenerator designs is

significant because it illustrates the broad spectrum of regenerator applications and their varied design features. The regenerative heat exchanger was first developed by Dr. Robert Stirling in 1816 for use in his hot air engine. From this important beginning, regenerators have achieved success in such diverse applications as high-temperature gas turbines and low-temperature cryogenic refrigerators. In cryogenic applications, the regenerator has been instrumental in the development of small refrigerators for military infrared systems and in commercial uses involving cryopumping and cooling of superconducting magnets in medical imaging systems. It is the emergence of these applications and the importance of cryogenic refrigerators in advancing this commercialization that provide the foundation for this book.

Chapter 2 presents the development of the thermal and fluid dynamic equations required to analyze regenerator performance. The discussion includes the development of the basic differential equations that govern the heat transfer and fluid flow characteristics of a regenerator. These equations describe the temperature distributions in the matrix material and fluid as functions of both space and time and lead to a complex set of differential equations for which no closed-form solutions exist. To provide insight into the operation of a regenerator, we present the concept of an ideal regenerator and make several assumptions that reduce the complexity of the differential equations. This simplification enables the definition of a set of design parameters that characterize basic regenerator performance. The chapter concludes with a review of several prominent matrix geometries and the development of the mathematical formulas that define the heat transfer and flow characteristics of these geometries. The geometries include woven screen, spheres, gaps, and wound foils.

Chapter 3 covers the classical solutions of the ideal regenerator equations and discusses the effects of longitudinal thermal conduction and variable matrix heat capacity on regenerator performance. The review of classical solutions presents Hausen's comparison of the temperature changes in a regenerator with those of a recuperative heat exchanger and discusses the important similarities and differences between these two types of heat exchangers. The review also covers Nusselt's derivation of an integral equation to describe the fluid temperature distributions based on a defined initial matrix temperature distribution and Iliffe's numerical solution of Nusselt's integral equation, which provides an important description of the cyclic matrix and fluid temperature distributions in a regenerator.

Because of the importance of variable specific heat on cryocooler performance at very low temperatures, below 10 K, we conclude the chapter by considering recent developments of the use of rare-earth intermetallic compounds in very-low-temperature applications. These materials exhibit a magnetic phase transition that manifests itself in a large increase in specific heat at the transition temperature. By using these materials, researchers for the first time have been

able to extend the operational range of regenerative cryocoolers to below the normal boiling temperature of helium, a remarkable achievement that has great commercial potential in the field of superconductivity.

Chapter 4 presents regenerator design and performance data. The material is a compilation of data that have appeared in technical publications over the past 30 years, including a review of the test and data reduction methods most commonly used to obtain the heat transfer coefficient, the longitudinal thermal conductivity, and the heat transfer efficiency of porous materials. The data presented are for a variety of matrix geometries and materials, including coarse and fine bronze and stainless-steel woven wire mesh screens and lead spheres. The results include room-temperature data obtained by researchers at Stanford University during the 1950s and 1960s using a single-blow test rig and more recent cryogenic temperature data for temperatures down to 20 K obtained using periodic flow test apparatus. The objective of this chapter is to provide a comprehensive file of useful data for engineers to use in the design of regenerators for new cryogenic applications.

Chapter 5 deals with numerical techniques used to solve the complex non-ideal performance equations and methods for optimizing regenerator performance. Numerical methods represent an open form of solution to the regenerator equations in which the differential equations are replaced with difference equations and solutions are obtained by a stepwise iterative process. The development of the difference equations, the solution of these equations, the necessary conditions for convergence, and the accuracy of the solutions are presented for a first-order model that assumes constant flow and pressure and zero fluid stored energy. It is shown that by describing the regenerator as a series of parallel flow elements with the fluid and matrix material represented as parallel flow streams, good accuracy, with reasonable amounts of computational time, can be achieved.

Regenerator optimization is illustrated with an example of the optimization of a regenerator for a Stirling cycle refrigerator. The criteria for optimizing the regenerator and the development of the equations relating the key parameters to regenerator performance are presented for this example. The equations are developed through a phasor representation of the pressure and volume variations in a Stirling cryocooler and the use of the Schmidt method of analysis to relate regenerator performance to such critical regenerator parameters as matrix geometry, length, diameter, and matrix porosity.

The final chapter, Chapter 6, presents a discussion of regenerators used in cryogenic refrigeration equipment. The application and performance of a regenerative heat exchanger in low-temperature equipment is presented by considering Stirling, Gifford-McMahon, pulse tube, and magnetic cryocoolers. The operation of the regenerator in each of these devices is discussed, and the importance of the regenerator to the overall efficient operation of the cryocooler is examined.

Unique operating features of cryocoolers that produce deviations from ideal regenerator theory are discussed.

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Robert A. Ackermann

List of Symbols

PRIMARY SYMBOLS

A_{ff}	Fluid axial free flow area
A_m	Matrix thermal conduction heat transfer area
A_n	Thermal conduction heat transfer area normal to the flow; for a regenerator $A_n = A_m$; also, fluid cross-sectional area normal to the flow
A_r	Total regenerator frontal area
A_s	Matrix total heat transfer area
A_{wl}	Wall thermal conduction heat transfer area
C_c	Cold fluid heat capacity rate
C_h	Warm fluid heat capacity rate
C_m	Matrix heat capacity rate
C_{max}	The larger of C_c and C_h
C_{min}	The smaller of C_c and C_h
c_p	Specific heat at constant pressure (isobaric)
c_v	Specific heat at constant volume (isochoric)
D_d	Displacer diameter
D_h	Hydraulic diameter ($D_h = 4r_h$)
D_r	Regenerator diameter
d	Screen wire diameter or sphere diameter
E	Modulus of elasticity
e	Specific enthalpy
f	Fluid coefficient of friction
fr	Frequency
G	Mass flow rate per unit free flow area
h	Heat transfer coefficient
h_f	Energy loss related to friction
I_e	Regenerator thermal inefficiency
K_f	Fluid thermal conductivity
K_m	Matrix thermal conductivity
K_{wl}	Regenerator wall thermal conductivity

k	Adiabatic process constant
L	Regenerator length
M	Molecular weight
M_f	Mass of the fluid
M_m	Mass of the matrix material
\dot{m}	Mass flow rate
\bar{m}_c	Mean flow rate into and out of the expansion space
N_s	Number of screens in a regenerator packing
n	Screen mesh size
P	Thermodynamic state pressure
\bar{P}	Average cycle pressure
P_c	Compression space pressure
P_e	Expansion space pressure
P_{\max}	Maximum cycle pressure
P_{mean}	Mean cycle pressure
P_{\min}	Minimum cycle pressure
P_s	System pressure
Pa	Pressure ratio
p	Fluid pressure; a function of time and position
p_{so}	System pressure amplitude
Q	Heat flow rate
Q_c	Heat conduction
Q_f	Heat transported by the fluid
Q_h	Heat transfer between the fluid and matrix material
Q_l	Thermal losses
Q_{net}	Net cryocooler refrigeration, also denoted as Q_r and Q_{ref}
Q_r	Cryocooler available refrigeration, equivalent to Q_{net} and Q_{ref}
Q_{ref}	Available refrigeration
Q_{reg}	Regenerator thermal loss
Q_{rej}	Rejected heat
Q_{η}	Frictional heating
R_m	Matrix thermal resistance
R_t	Total regenerator thermal resistance
R_{wl}	Regenerator wall thermal resistance
r	Radial coordinate
r_h	Hydraulic radius
S	Expander stroke
T_a	Ambient room temperature
T_c	Regenerator cold-end temperature
T_c	Counterflow heat exchanger cold stream temperature
T_{cr}	Critical temperature
T_{cs}	Compression space temperature

T_e	Expansion space temperature
T_f	Regenerator fluid temperature
$(T_f)_c$	Regenerator fluid temperature during cooling period
$(T_f)_h$	Regenerator fluid temperature during heating period
$(T_f)_{in}$	Fluid inlet temperature
$(T_f)_{out}$	Fluid outlet (exhaust) temperature
T_h	Counterflow heat exchanger hot stream temperature
T_m	Regenerator matrix temperature
$(T_m)_c$	Regenerator matrix temperature during cooling period
$(T_m)_h$	Regenerator matrix temperature during heating period
$(T_m)_0$	Initial matrix temperature at the start of the heating and cooling periods
T_w	Regenerator warm-end temperature
T_{wl}	Regenerator wall temperature
t	Time
t_s	Individual screen thickness in a packed screen matrix
U	Overall heat transfer coefficient
V_{cs}	Compression space volume
V_e	Expansion space volume
V_{hx}	Heat exchanger volume
V_m	Volume occupied by the matrix material
V_r	Regenerator total volume
V_{rv}	Regenerator void volume
v	Specific volume
v_{eo}	Expansion space volume amplitude
W	Heat transfer area per unit length for a counterflow heat exchanger = (A_s/L) for a regenerator
\dot{W}	Mechanical power
\bar{W}	Averaged axial flow velocity
W_p	Weight of the packed matrix material
W_{pv}	Gross mechanical refrigeration produced by a cryocooler
$W_{\Delta v}$	Refrigeration loss related to pressure drop
\mathbf{w}	Velocity vector
X_d	Displacer position
X_p	Compressor piston position
z	Axial coordinate

GREEK SYMBOLS

α	Matrix porosity
β	Area density
Γ	Regenerator matrix geometric factor

γ	Fluid specific weight
Δp_f	Friction pressure drop
Δp_{rv}	Regenerator void volume pressure drop
ΔT	Temperature difference
$\Delta \bar{T}$	Average period temperature difference
ε	Efficiency (effectiveness) for a recuperative heat exchanger
ε_r	Regenerator thermal efficiency
η	Reduced time
Θ_f	Reduced fluid temperature
$(\Theta_f)_0$	Constant fluid inlet reduced temperature
Θ_m	Reduced matrix temperature
$(\Theta_m)_0$	Constant initial matrix reduced temperature
θ	Angular cylindrical coordinate
κ	Thermal conduction parameter; also compressibility coefficient
Λ'	Heating number of heat transfer units, NTU_h
Λ''	Cooling number of heat transfer units, NTU_c
λ_c	Cooling flow period
λ_h	Heating flow period
μ	Fluid dynamic viscosity
ξ	Reduced length
Π	Reduced period
ρ	Density
ρ_f	Fluid density
ρ_f	Mean fluid density through the regenerator
$(\rho_f)_e$	Fluid density in the expansion space
ρ_m	Density of the matrix material
σ	Normal stress
σ_r	Ratio of free flow area to regenerator frontal area
τ	Fluid shear stress
ω	Matrix rotational speed for rotary regenerator, or flow reversal rate for stationary regenerator

DIMENSIONLESS PARAMETERS

$$\frac{C_{\min}}{C_{\max}} \quad \text{Fluid heat capacity ratio} \quad \left[\frac{(\dot{m}c_p)_{\min}}{(\dot{m}c_p)_{\max}} \right]$$

$$C_r \quad \text{Matrix capacity ratio} \quad \left[\frac{C_m}{C_{\min}} = \frac{1}{\lambda} \frac{(Mc_p)_m}{(\dot{m}c_p)_{\min}} \right]$$

NTU Total regenerator number of heat transfer units $\left(\frac{A_s U}{C_{\min}}\right)$

$$\text{where } \frac{1}{A_s U} = \left[\frac{1}{(hA_s)_h} + \frac{1}{(hA_s)_c} \right]$$

NTU_c Number of heat transfer units for the cooling period $\left(\frac{hA_s}{\dot{m}c_p}\right)_c$

NTU_h Number of heat transfer units for the heating period $\left(\frac{hA_s}{\dot{m}c_p}\right)_h$

Nu Nusselt number $\left(\frac{hD_h}{K_f}\right)$

Pr Prandtl number $\left(\frac{\mu c_p}{K}\right)_f$

Re Reynolds number $\left(\frac{GD_h}{\mu}\right)$

St Stanton number $\left(\frac{h}{Gc_p}\right)_f$

Θ_f Dimensionless fluid temperature ratio $\left[\frac{T_f - T_c}{T_w - T_c}\right]$

Θ_m Dimensionless matrix temperature ratio $\left[\frac{T_m - T_c}{T_m - T_c}\right]$

Π Hausen's reduced period $\left[\frac{hA_s}{(Mc_p)_m} \lambda\right]$

Contents

List of Symbols	xiii
Chapter 1 Introduction	1
1.1. Historical Review of Regenerative Heat Exchangers	2
1.2. Cryogenic Applications	6
1.3. Regenerator Performance in Cryogenic Applications	9
1.4. Summary	11
References	12
Chapter 2 Regenerative Heat Exchanger Theory	15
2.1. Regenerator Designs	15
2.2. Regenerator Theory	17
2.2.1. Basic Principles	18
2.2.2. Equation of State	19
2.2.3. Compressibility	20
2.2.4. Flow Energy	22
2.3. Equation of Continuity	23
2.4. The Energy Equation	25
2.4.1. The Matrix Energy Equation	26
2.4.2. The Fluid Energy Equation	28
2.5. The Ideal Regenerator.	30
2.6. Regenerator Thermal Design Parameters	37
2.6.1. Exchanger Heat Transfer Effectiveness	37
2.6.2. Number of Exchanger Heat Transfer Units	38
2.6.3. Fluid Capacity Ratio	39
2.6.4. Matrix Capacity Ratio	39
2.6.5. Nusselt Number	39

	2.7. Heat Exchanger Pressure Drop	40
	2.8. Regenerator Flow Design Parameters.	46
	2.8.1. Hydraulic Radius	47
	2.8.2. Reynolds Number.	47
	2.9. Regenerator Material Selection and Design.	47
	2.9.1. Regenerator Packing Geometries	48
	2.9.2. Regenerator Materials	55
	<i>References</i>	58
Chapter 3	Regenerator Performance Analysis	61
	3.1. Analytical Solutions for the Ideal Regenerator	61
	3.2. Ideal Regenerator Performance Characteristics	75
	3.3. Nonidealized Regenerator Performance	78
	3.3.1. The Effect of Longitudinal Heat Conduction on Regenerator Performance	83
	3.3.2. The Effect of Variable Matrix Specific Heat on Regenerator Performance	90
	3.3.3. High-Specific-Heat Materials	95
	<i>References</i>	100
Chapter 4	Regenerator Performance Data	103
	4.1. Regenerator Test Apparatus	103
	4.2. Regenerator Test Data	107
	4.2.1. Warm Temperature Data	107
	4.2.2. Cryogenic Test Data	120
	4.2.3. Regenerator Test Data below 80 K	138
	4.2.4. Summary of Test Results	143
	<i>References</i>	144
Chapter 5	Numerical Methods for Evaluating Regenerator Performance	147
	5.1. Numerical Solution for the Ideal Regenerator Thermal Equations	148
	5.1.1. Open Model Convergence Criteria.	152
	5.1.2. Open Model Accuracy.	154
	5.1.3. Numerical Parallel-Flow Model.	155
	5.2. Numerical Solutions for the Nonideal Regenerator Thermal Equations	160
	5.3. Regenerator Optimization	167

5.3.1. Optimization Analysis 170
 5.3.2. Example of the Optimization Procedure 183
References 190

Chapter 6 Regenerative Cryogenic Refrigerators 193

6.1. Stirling Cycle Cryocooler 193
 6.1.1. Regenerator Flow in a Stirling Cycle
 Cryocooler 196
 6.1.2. Regenerator Optimization for Stirling Cycle
 Refrigerators 198
 6.2. Gifford–McMahon Cycle Cryocooler. 198
 6.2.1. Regenerator Flow in a Gifford–McMahon
 Cycle Cryocooler 202
 6.2.2. Influence of Regenerator Effectiveness on
 the Gifford–McMahon Cryocooler
 Performance 205
 6.3. Pulse Tube Refrigeration 207
 6.4. Magnetic Refrigeration 212
 6.5. Vuilleumier Refrigerator 216
 6.6. Multistage Refrigerators 218
References 221

***Index* 223**