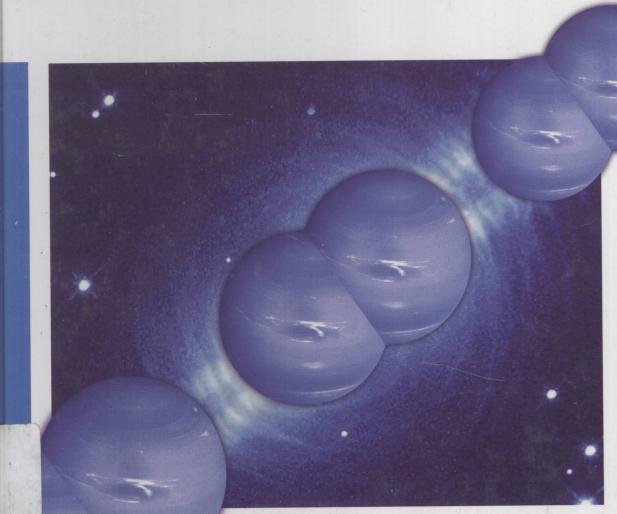
# Modern Oxidation Methods



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### **Modern Oxidation Methods**

Edited by Jan-Erling Bäckvall







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#### **Modern Oxidation Methods**

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#### **Preface**

Oxidation reactions play an important role in organic chemistry and there is an increasing demand for selective and mild oxidation methods in modern organic synthesis. During the last two decades there has been a spectacular development in the field and a large number of novel and useful oxidation reactions have been discovered. Significant progress has been achieved within the area of catalytic oxidations, which has led to a range of selective and mild processes. These reactions may be based on organocatalysis, metal catalysis or biocatalysis. In this regard enantioselective catalytic oxidation reactions are of particular interest.

Due to the rich development of oxidation reactions in recent years there was a need for a book covering the area. The purpose of this book on "Modern Oxidation Methods" is to fill this need and provide the chemistry community with an overview of some recent developments in the field. In particular some general and synthetically useful oxidation methods that are frequently used by organic chemists are covered. These methods include catalytic as well as non-catalytic oxidation reactions in the science frontier of the field. Today there is an emphasis on the use of environmentally friendly oxidants ("green" oxidants) that lead to a minimum amount of waste. Examples of such oxidants are molecular oxygen and hydrogen peroxide. Many of the oxidation methods discussed and reviewed in this book are based on the use of "green" oxidants.

In this multi-authored book selected authors in the field of oxidation provide the reader with an up to date of a number of important fields of modern oxidation methodology. Chapter 1 summarizes recent advances on the use of "green oxidants" such as  $H_2O_2$  and  $O_2$  in the osmium-catalyzed dihydroxylation of olefins. Immobilization of osmium is also discussed and with these recent achievements industrial applications seem to be near. Another important transformation of olefins is epoxidation. In Chapter 2 transition metal-catalyzed epoxidations are reviewed and in Chapter 3 recent advances in organocatalytic ketone-catalyzed epoxidations are covered. Catalytic oxidations of alcohols with the use of environmentally benign oxidants have developed tremendously during the last decade and in Chapter 4 this area is reviewed. Aerobic oxidations catalyzed by *N*-hydroxyphtalimides (NHPI) are reviewed in Chapter 5. In particular oxidation of hydrocarbons via C–H activation are treated but also oxidations of alkenes and alcohols are covered.

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In Chapter 6 ruthenium-catalyzed oxidation of various substrates are reviewed including alkenes, alcohols, amines, amides,  $\beta$ -lactams, phenols, and hydrocarbons. Many of these oxidations involve oxidations by "green oxidants" such as molecular oxygen and alkyl hydroperoxides. Chapter 7 deals with heteroatom oxidation and selective oxidations of sulfides (thioethers) to sulfoxides and tertiary amines to amine oxides are discussed. The chapter covers stoichiometric and catalytic reactions including biocatalytic reactions. Oxidations catalyzed by polyoxymetalates have increased in use during the last decade and this area is covered in Chapter 8. Oxidations with various monooxygen donors, peroxides (including hydrogen peroxide) and molecular oxygen are reviewed. Also, recent attempts to heterogenize homogeneous polyoxymetalate catalysts are discussed. Chapter 9 comprises an extensive review on oxidation of ketones with some focus on recent advances in Baeyer-Villiger oxidations. Catalytic as well as stoichiometic reactions are covered. Finally, in Chapter 10 manganese-catalyzed hydrogen peroxide oxidations are reviewed. The chapter includes epoxidation, dihydroxylation of olefins, oxidation of alcohols and sulfoxidation.

I hope that this book will be of value to chemists involved in oxidation reactions in both academic and industrial research and that it will stimulate further development in this important field.

Stockholm, July 2004

Jan-E. Bäckvall

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#### 1

# Recent Developments in the Osmium-catalyzed Dihydroxylation of Olefins

Uta Sundermeier, Christian Döbler, and Matthias Beller

#### 1.1 Introduction

The oxidative functionalization of olefins is of major importance for both organic synthesis and the industrial production of bulk and fine chemicals [1]. Among the different oxidation products of olefins, 1,2-diols are used in a wide variety of applications. Ethylene- and propylene-glycol are produced on a multi-million ton scale per annum, due to their importance as polyester monomers and anti-freeze agents [2]. A number of 1,2-diols such as 2,3-dimethyl-2,3-butanediol, 1,2-octanediol, 1,2-hexanediol, 1,2-pentanediol, 1,2- and 2,3-butanediol are of interest in the fine chemical industry. In addition, chiral 1,2-diols are employed as intermediates for pharmaceuticals and agrochemicals. At present 1,2-diols are manufactured industrially by a two step sequence consisting of epoxidation of an olefin with a hydroperoxide or a peracid followed by hydrolysis of the resulting epoxide [3]. Compared with this process the dihydroxylation of C=C double bonds constitutes a more atom-efficient and shorter route to 1,2-diols. In general the dihydroxylation of olefins is catalyzed by osmium, ruthenium or manganese oxo species. The osmium-catalyzed variant is the most reliable and efficient method for the synthesis of cis-1,2-diols [4]. Using osmium in catalytic amounts together with a secondary oxidant in stoichiometric amounts various olefins, including mono-, di-, and trisubstituted unfunctionalized, as well as many functionalized olefins, can be converted into the corresponding diols. OsO4 as an electrophilic reagent reacts only slowly with electron-deficient olefins, and therefore higher amounts of catalyst and ligand are necessary in these cases. Recent studies have revealed that these substrates react much more efficiently when the pH of the reaction medium is maintained on the acidic side [5]. Here, citric acid appears to be superior for maintaining the pH in the desired range. On the other hand, in another study it was found that providing a constant pH value of 12.0 leads to improved reaction rates for internal olefins [6].

Since its discovery by Sharpless and coworkers, catalytic asymmetric dihydroxylation (AD) has significantly enhanced the utility of osmium-catalyzed dihydroxylation (Scheme 1.1) [7]. Numerous applications in organic synthesis have appeared in recent years [8].

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Scheme 1.1 Osmylation of olefins

While the problem of enantioselectivity has largely been solved through extensive synthesis and screening of cinchona alkaloid ligands by the Sharpless group, some features of this general method remain problematic for larger scale applications. Firstly, the use of the expensive osmium catalyst must be minimized and an efficient recycling of the metal should be developed. Secondly, the applied reoxidants for Os<sup>VI</sup> species are expensive and lead to overstoichiometric amounts of waste.

In the past several reoxidation processes for osmium(VI) glycolates or other osmium(VI) species have been developed. Historically, chlorates [9] and hydrogen peroxide [10] were first applied as stoichiometric oxidants, however in both cases the dihydroxylation often proceeds with low chemoselectivity. Other reoxidants for osmium(VI) are *tert*-butyl hydroperoxide in the presence of  $Et_4NOH$  [11] and a range of N-oxides, such as N-methylmorpholine N-oxide (NMO) [12] (the Upjohn process) and trimethylamine N-oxide.  $K_3[Fe(CN)_6]$  gave a substantial improvement in the enantioselectivities in asymmetric dihydroxylations when it was introduced as a reoxidant for osmium(VI) species in 1990 [13]. However, even as early on as 1975 it was already being described as an oxidant for Os-catalyzed oxidation reactions [14]. Today the "ADmix", containing the catalyst precursor  $K_2[OsO_2(OH)_4]$ , the co-oxidant  $K_3[Fe(CN)_6]$ , the base  $K_2CO_3$ , and the chiral ligand, is commercially available and the dihydroxylation reaction is easy to carry out. However, the production of overstoichiometric amounts of waste remains as a significant disadvantage of the reaction protocol.

This chapter will summarize the recent developments in the area of osmium-catalyzed dihydroxylations, which bring this transformation closer to a "green reaction". Hence, special emphasis is given to the use of new reoxidants and recycling of the osmium catalyst.

## 1.2 Environmentally Friendly Terminal Oxidants

#### 1.2.1

#### Hydrogen Peroxide

Ever since the Upjohn procedure was published in 1976 the *N*-methylmorpholine *N*-oxide-based procedure has become one of the standard methods for osmium-catalyzed dihydroxylations. However, in the asymmetric dihydroxylation NMO has not

been fully appreciated since it was difficult to obtain high *ee* with this oxidant. Some years ago it was demonstrated that NMO could be employed as the oxidant in the AD reaction to give high *ee* in aqueous *tert*-BuOH with slow addition of the olefin [15].

In spite of the fact that hydrogen peroxide was one of the first stoichiometric oxidants to be introduced for the osmium-catalyzed dihydroxylation it was not actually used until recently. When using hydrogen peroxide as the reoxidant for transition metal catalysts, very often there is the big disadvantage that a large excess of  $\rm H_2O_2$  is required, implying that the unproductive peroxide decomposition is the major process.

Recently Bäckvall and coworkers were able to improve the  $H_2O_2$  reoxidation process significantly by using N-methylmorpholine together with flavin as co-catalysts in the presence of hydrogen peroxide [16]. Thus a renaissance of both NMO and  $H_2O_2$  was induced. The mechanism of the triple catalytic  $H_2O_2$  oxidation is shown in Scheme 1.2.

$$\begin{array}{c|c} & & & & & & \\ & & & & & \\ & & & & \\ & & & \\ & & & \\$$

Scheme 1.2 Osmium-catalyzed dihydroxylation of olefins using  $H_2O_2$  as the terminal oxidant

The flavin hydroperoxide generated from flavin and  $H_2O_2$  recycles the *N*-methylmorpholine (NMM) to *N*-methylmorpholine *N*-oxide (NMO), which in turn reoxidizes the Os<sup>VI</sup> to Os<sup>VIII</sup>. While the use of hydrogen peroxide as the oxidant without the electron-transfer mediators (NMM, flavin) is inefficient and nonselective, various olefins were oxidized to diols in good to excellent yields employing this mild triple catalytic system (Scheme 1.3).

Scheme 1.3 Osmium-catalyzed dihydroxylation of  $\alpha$ -methylstyrene using  $H_2O_2$ 

By using a chiral Sharpless ligand high enantioselectivities were obtained. Here, an increase in the addition time for olefin and  $H_2O_2$  can have a positive effect on the enantioselectivity.