Mohinder Jankiraman

# space-time codes and MIMO systems

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# **Space-Time Codes and MIMO Systems**

Mohinder Jankiraman







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# **Space-Time Codes and MIMO Systems**

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Dedicated to my late father

Kuppuswamy Janakiraman

and to my mother

Gnanambal Janakiraman

Their extraordinary sacrifices made it possible for me to come this far

## **Preface**

This book is intended to introduce space-time coding and multiantenna systems. The endeavor is to impart a working knowledge of the subject not just for students and researchers but for the entire wireless community.

The birth of multiantenna systems is the direct result of the long-standing struggle to achieve data rates without compromising the quality of the reception. Indeed this has been the case since the inception of wireless communications. A binding constraint in the evolution of high data rate systems is the stringent limitation imposed on the available spectrum. This, in turn, has given rise to more efficient signaling techniques. Recent study has shown that multiple antennas yield substantial increases in channel capacity. Toward this end, multiple-input multiple-output (MIMO) systems have been constructed comprising multiple antenna arrays at both ends of the wireless link. Space-time coding, as the name suggests, involves coding across space and time and is aimed at approaching the capacity limits of MIMO channels. Today space-time coding and MIMO systems are widely regarded as the most likely candidates for futuristic high data rate systems and are already being designed by many companies for the high data rate market.

This book is intended for postgraduate students, practicing engineers, and researchers. It is assumed that the reader is familiar with basic digital communications, linear algebra, and probability theory.

In view of the fact that space-time coding theory has become such a widely discussed and researched topic in recent times, it is not possible to cover all aspects in any detail. Therefore, an effort has been made to impart to the reader a "flavor" of the subject just enough to whet his/her appetite, prompting further detailed study of areas of particular interest. Toward this end, the style of writing has been kept as simple as possible and technical clichés and jargon have been kept to a minimum. All effort has been made to explain the basics in a cogent and conversational manner.

The reader is also introduced to a new technique of interfacing CDMA to OFDM systems called "Hybrid OFDM/CDMA." This approach is different from the popular OFDM/CDMA systems proposed by Fazel et al [1], wherein an MC-CDMA system transmits each bit using OFDM modulation. In the approach suggested by the author a CDMA system is directly interfaced to an OFDM system. This approach yields a CDMA system capable of handling high throughputs, bandwidth permitting, along with the added bonus of user separation based on CDMA codes. It is, to the best of the author's knowledge and belief, the first time such a concept has been discussed anywhere and had formed part of the author's Ph.D. thesis [2]. This concept has been recently proved in the field by NTT DoCoMo

of Japan, wherein the company tested such a system for outdoor use using a bandwidth of 100 MHz to achieve a throughput of 100 Mbps [3] in the downlink. Further details are not known at the time of going to press. The company plans to introduce this, as part of its 4G effort, by 2010.

The teaching effort in this book is aided by a set of accompanying software. This software has been divided into a set of two broad classes—narrowband and wideband. The narrowband software is distributed on the basis of chapters and directly pertains to topics discussed in those chapters. The wideband software is orthogonal frequency division multiplexing (OFDM) based and is included as part of Chapters 7, 8, and 9. The entire coding has been kept simple and sometimes very unprofessional to enable readers to clearly understand the various steps involved in the implementation of the program. If the reader finds this annoying, the error is mine and is deeply regretted! The entire coding has been implemented at baseband and the radio frequency (RF) aspects of coding have been avoided for similar reasons. Because the software is basically intended as a "skeleton," the user is encouraged to modify it in any manner or means by adding to its RF capability and so on to suit one's convenience. This is an excellent method to learn the subject. The software presupposes a sound understanding of MATLAB® and SIMULINK® and has been tested on MATLAB® Version 6.0 (with Signal Processing and Communication Toolboxes) and above with the SIMULINK® option with DSP and Communication Blocksets. It is important to note that in a technology of this nature the best way to assimilate the subject is by programming. Coding an operation forces the user to look at all aspects of the subject. This is similar to learning mathematics through solving problems.

A consistent set of notations has been used throughout the book and excessive mathematics has been avoided. Emphasis is placed on imparting to the reader a physical understanding of the subject so that the reader has a clear grasp of the processes involved.

There will be errors even though every effort has been made to detect and eliminate them. Any inconvenience to the readers as a result is deeply regretted.

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