

“以器官系统为中心” 原版英文教材
SYSTEMS OF THE BODY

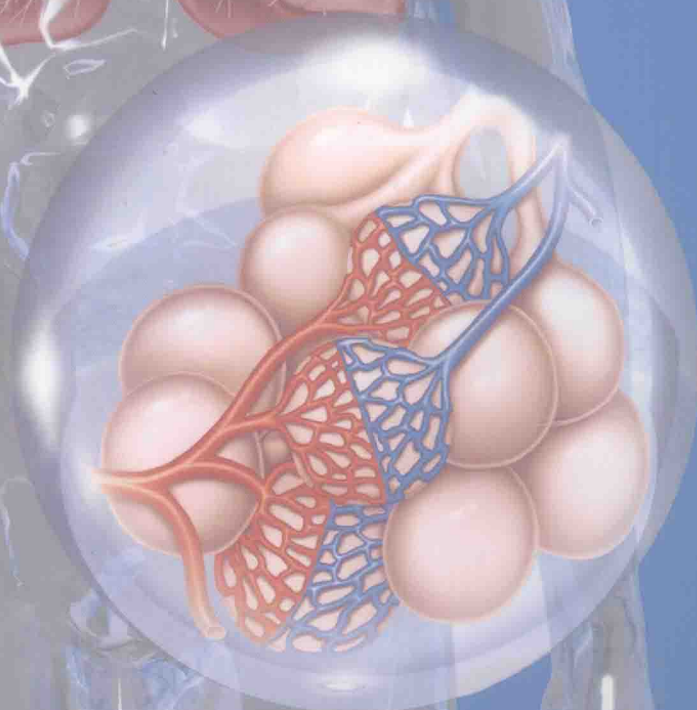
呼吸系统 · 第2版

The Respiratory System

SECOND EDITION

BASIC SCIENCE AND CLINICAL CONDITIONS

Andrew Davies
Carl Moores



北京大学医学出版社

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The Respiratory System **SECOND EDITION**

BASIC SCIENCE AND CLINICAL CONDITIONS

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呼 吸 系 统

The Respiratory System

Notice

Knowledge and best practice in this field are constantly changing. As new research and experience broaden our knowledge, changes in practice, treatment and drug therapy may become necessary or appropriate. Readers are advised to check the most current information provided (i) on procedures featured or (ii) by the manufacturer of each product to be administered, to verify the recommended dose or formula, the method and duration of administration, and contraindications. It is the responsibility of the practitioner, relying on their own experience and knowledge of the patient, to make diagnoses, to determine dosages and the best treatment for each individual patient, and to take all appropriate safety precautions. To the fullest extent of the law, neither the Publisher nor the Authors assume any liability for any injury and/or damage to persons or property arising out of or related to any use of the material contained in this book.

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出版说明

“以器官系统为中心”的医学教学模式是国际医学教育的趋势。本系列书是世界著名医药卫生出版集团爱思唯尔公司出版的一套“以器官系统为中心”的医学基础课程教材，共分为骨骼肌肉系统、心血管系统、呼吸系统、消化系统、泌尿系统、神经系统、内分泌系统七个分册。该套教材第1版出版后受到世界各地许多医学院校的欢迎，并被多家进行“以器官系统为中心”教学的医学院校选定为教材。第2版根据第1版出版后教师和学生的反馈意见，结合医学知识的更新进行了全新修订。在编写内容上，该系列教材强调基础与临床的整合。每一章节都是围绕着一个临床病例展开，通过对病人问题的呈现以及解决过程引出对相关知识的探究，从而使与器官系统结构、功能以及疾病相关的重要的基础医学知识得到了完善的整合。在版式安排上，图框中的病例资料与正文中的医学知识完美匹配，一步一步地激起读者的求知欲望。

当前，我国很多医学院校都在进行“以器官系统为中心”的医学课程教学改革，为了借鉴国外教材的经验，北京大学医学出版社通过版权引进影印出版了这套“SYSTEMS OF THE BODY”原版英文教材。该系列书可以作为医学院校“以器官系统为中心”教学的教材和教学参考书，也可以作为医学院校进行英语授课的教材或供学生自学使用。

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Students of medicine and related vocations frequently have difficulty in seeing the relevance of preclinical subjects to their final goal of becoming a practitioner. They also have difficulty in integrating these subjects to form a coherent picture of normal function, which is essential if the effects of disease are to be understood.

In order to address these problems, this book, like others in the Systems of the Body series, adopts an integrating approach based upon function. Into the description of normal function and its relation to structure, a description of the effects of the disruption caused by disease is integrated.

To enable students to learn effectively they must have a good idea of what is expected of them, and what is to be learned should be broken up into manageable and coherent parts. These aims are fulfilled by including 'Objectives' at the beginning of each chapter and by

providing chapters which the experience of many years of teaching this subject convinces us are organized and essential descriptions of that particular aspect of the respiratory system.

There is no single disease which illustrates all the aspects of the subject covered in any chapter and so, rather than choosing a general condition which loosely relates to the subject, we have selected clinical cases which clearly illustrate an important aspect of the subject of that chapter.

To acknowledge that many students using this book may have had little clinical experience at this early stage of their course, we have highlighted important terms. These are shown in bold in the text on their first occurrence and we have provided a Glossary to define those that can be succinctly explained.

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INTRODUCTION

Chapter objectives

After studying this chapter you should be able to:

1. Define respiration.
2. Explain the role of respiration in human homeostasis and its disorder in specific pathology.
3. Explain the interaction between respiration and the circulation.
4. Describe the pivotal role of diffusion in respiration.
5. Give examples of the importance of named physical phenomena in specific clinical conditions.
6. State the gas laws necessary to measure lung function in specific tests for disease.
7. Explain the rationale of respiratory symbols.

Introduction

The aim of this book is to provide an understanding of the respiratory system: its structure, function, and the diseases and conditions that may affect it. In attempting to do this we are adopting the philosophy of the new curriculum in medicine, which involves bringing to bear on a particular topic all the sciences relevant to that topic. To include in one book all that a student should know about the anatomy, histology, physiology, pharmacology and medicine of the respiratory system would result in a gigantic and intimidating tome. Equally unsatisfactorily, all these subjects could be treated superficially. We have adopted the policy of basing an understanding of the respiratory system on a full description of its physiology and anatomy, with specific topics of particular clinical importance being expanded upon in terms of clinical sciences.

For students to learn effectively, the material they must master should be broken down into manageable portions with a coherent theme: these are the chapters of this book, with each theme being based on a particular function of the respiratory system.

Students must also know what is expected of them, and each chapter is preceded by a list of aims and objective – things you should be able to do when you have mastered the material of that chapter. To provide experience of that bane of student life, **examinations**, each chapter contains questions of the type you might be asked at an undergraduate level.

What is respiration?

That depends on the context in which you use the word. Biochemists use it to describe the energy-producing chemical processes that take place in tissues, cells or even parts of cells. In this book we will use the physiologist's definition, which is 'An interchange of gases between an organism and its environment'. To all intents and purposes, for human beings this means 'breathing' (Latin, *spiro*, 'I breathe'). The movement of air into and out of the lungs, which most people call breathing, is called by physiologists **ventilation**. Breathing is brought about by specific structures of the body, including (but not exclusively) the lungs. A description of these structures at a macroscopic (anatomical) and microscopic (histological) level helps us to understand the processes of the respiratory system and the disruption of these processes and structures (pathology) that brings about disease.

The part of our environment involved in this 'interchange of gases' mentioned above is of course the air around us, and our need for air must have been obvious to even our most distant ancestors. This need is recorded in some very ancient writings. For example, Anaximenes of Miletus (c. 570 BC) observed that air or *pneuma* (Greek, 'breath') was essential to life.

What was not clear to the ancients was what the air was used for. Aristotle, drawing on theories dating from the

5th century BC which noted the rapid and repeated movements of the heart, relegated the function of the lungs to a sort of radiator, and stated with his usual authority:

...as the heart might easily be raised to too high a temperature by hurtful irritation (by its rapid movements) the genii placed the lungs in its neighbourhood, which adhere to it and fill the cavity of the thorax, in order that air vessels might moderate the great heat.

Galen (130–199 AD), probably more by an accident of metaphor rather than on any scientific evidence, came close to describing the true nature of respiration when he compared it to a lamp burning in a gourd:

When an animal inspires it is, I think, similar to a perforated gourd, but when respiration is prevented at the appropriate place on the trachea, you may compare it to a gourd unperforated and everywhere closed.

If Galen had had the benefit of modern gas analysing facilities he would have found even closer parallels between breathing and burning, with oxygen (O₂) being consumed and carbon dioxide (CO₂) being produced in both cases.

The 'bottom line' of an account of the complicated process of respiration begins with a flow of

OXYGEN IN and ends with a flow of CARBON DIOXIDE OUT.

These two flows are the first and final results of the complex metabolism of the body, and this book describes the respiratory system that facilitates these flows.

The need for respiration

One definition of the success of a species of organism, in evolutionary terms, is how well it can maintain constant the composition of the fluid surrounding its individual cells (its internal environment) despite changes in its external environment (surroundings getting dryer, colder, warmer etc.). This process is called **homeostasis** and requires energy. Most of the energy generated by our tissues is the result of oxidation of food substrates, and this is the reason we need a flow of OXYGEN IN. Neophytes in physiology often emphasize the role of the respiratory system in providing this oxygen, and certainly an uninterrupted supply is important, particularly for the nervous system, but of more immediate importance is the removal of CO₂. The word oxygen means 'acid producer' (Greek, *oxy*; acid; *gen*, to produce), and the major product of our oxidative metabolism is the acid gas CO₂. The accumulation of CO₂ would result in acidification of the body fluids. The importance of removing this CO₂ can be demonstrated by rebreathing from a plastic bag for a few minutes. The unpleasant sensation that forces you to stop this rather dangerous experiment is due to over

stimulation of the reflex that controls breathing to get rid of this gas. You will see later (Chapter 8) that CO_2 produces its acidic effect by reacting with water to form carbonic acid.

Ventilation of the lungs would not fulfil the needs of the cells of our bodies if the results of this ventilation did not diffuse into the blood, which is then carried close to the cells of the body by the circulation.

Diffusion in respiration and the circulation

The flows of O_2 and CO_2 into and out of the body take place as a result of one very basic physical phenomenon: **diffusion**, which results in the movement of molecules in liquids and gases from regions of high concentration to regions of lower concentration. Because they are small, microscopic organisms such as the humble amoeba in its pond can rely on this phenomenon alone to carry O_2 to and remove CO_2 from its single cell. Multicellular creatures are too large to rely on diffusion alone: the distances gases would have to diffuse are too great, and the movement of gas therefore too slow to maintain life.

Although in human beings the same passive mechanism of diffusion alone supplies and removes these gases from our bodies (there is no active chemical transport), the phenomenon of diffusion is maximized by complicated respiratory and circulatory systems which accomplish what the pond water does for the amoebae in providing a supply of and a sink for these gases. The lungs promote diffusion by having an enormous surface area, which is very thin, through which diffusion can take place easily. A surface of over 90 m^2 is enclosed in a lung volume of less than 10 L. This functional 90 m^2 is often reduced in disease, by thickening of the membrane, excess fluid in the lungs, or by a reduction in the supply of air or blood. The circulation of the blood forms the transport link between the diffusion site of the lungs and the diffusion site of the capillaries within the tissues. The distances involved in this link are enormous in molecular terms, and diffusion would be totally useless to transport gas over the metre or so between the lungs and distal tissues of our bodies. This transport is accomplished in seconds by the circulation (Fig. 1.1).

Timing in the circulation and respiration

The processes of breathing and the beating of the heart are both cyclic events. One involves the inhalation of air and then its exhalation; the other involves filling of the heart with blood and then its ejection into the circulation. The time courses of these two cycles are very different: at rest you may take 12 breaths in the minute the heart beats 60 times, ejecting 5 L of blood through the lungs (see Fig. 1.2).

The composition of air in the lungs changes as a result of two effects: during inspiration it is altered by the

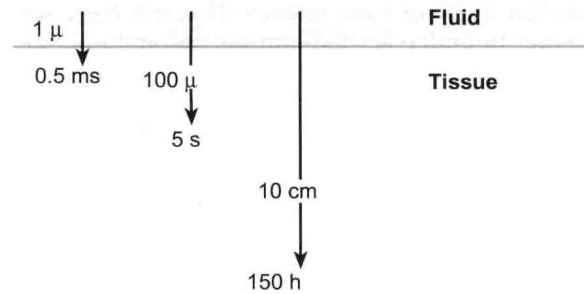


Fig. 1.1 Time course of diffusion over increasing distances. This figure illustrates how the time needed for diffusion to take place increases as the distance involved increases. The absolute times shown in this example would be for a fairly large molecule such as a neurotransmitter.

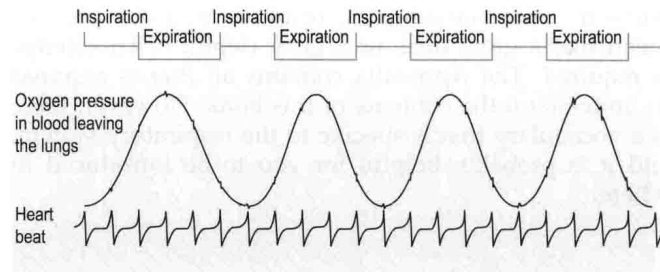


Fig. 1.2 Timing. Because the heart beats so much faster than the respiratory cycle there is effectively a continuous flow of blood through the lungs during inspiration and expiration. Expiration is like breath-holding – no fresh air is added, and the composition of blood leaving the lungs changes accordingly.

addition of fresh air to that already in the lungs and the effects of exchange with the blood passing through the lungs. Expiration in this context is the equivalent of breath-holding, because no new air is added: the only effect is due to exchange of gas in the lungs with that in the blood. These changes in the composition of the air in the lungs are picked up by the blood flowing in the pulmonary circulation, which therefore shows cyclic changes in its composition that coincide with the breathing cycle.

Basic science of respiration

All these changes, just like all the events in respiration, are properly described in terms of the basic sciences physics and chemistry. These are generally not the favourite subjects of students of the basic medical sciences. We have therefore included at the end of the book a short section (Appendix) on the most relevant parts of physics and chemistry that a student should understand in order to understand respiration. That section is not obligatory to students confident with these subjects. The Appendix, which is intended to aid not torment,

will repay scrutiny by students who have any doubts about their grasp of basic science. That this basic science is integral to understanding normal respiration and diseased states is illustrated when we take an overview of human respiration and point out where the phenomena we describe apply (Fig. 1.3).

Taking examples from this list of phenomena we see that solids are elastic, and this elasticity of our respiratory system determines part of our work of breathing. Liquids exert a vapour pressure, a property important in humidifying the air we breathe and in the administration of gaseous anaesthetics. Gases exert a partial pressure, the understanding of which is essential to the monitoring of how well the lungs are working. The volume of a mass of gas is described by laws relating it to temperature and pressure, and the resistance to flow of gases is related to the dimensions of the tube in which it is flowing.

These examples of the importance of the basic sciences in understanding the respiratory system do not mean that a great deal, or a great depth, of knowledge is required. The Appendix contains all that is required to understand the contents of this book. However, there is a vocabulary that is specific to the respiratory system, and it is probably helpful for you to be introduced to it here.

Case 1.1 Introduction: 1

Clinical boxes

Each of the chapters of this book is illustrated with a clinical example of respiratory disease. The respiratory conditions relate to the anatomy and physiology that are discussed in that chapter and are designed to deepen your appreciation of 'normal' physiology as well as illustrating why a sound knowledge of basic science is so important in understanding disease processes.

In this chapter, rather than discussing a particular respiratory condition, we will consider the symptoms of respiratory disease that patients complain of, we will discuss how the respiratory system is examined, and we will look at the features of a normal chest X-ray.

This review is not comprehensive: it is not the place of this book to teach you how to take a history and examine patients; rather, the clinical boxes in this chapter are designed to provide you with enough background information to understand the clinical cases in later chapters.

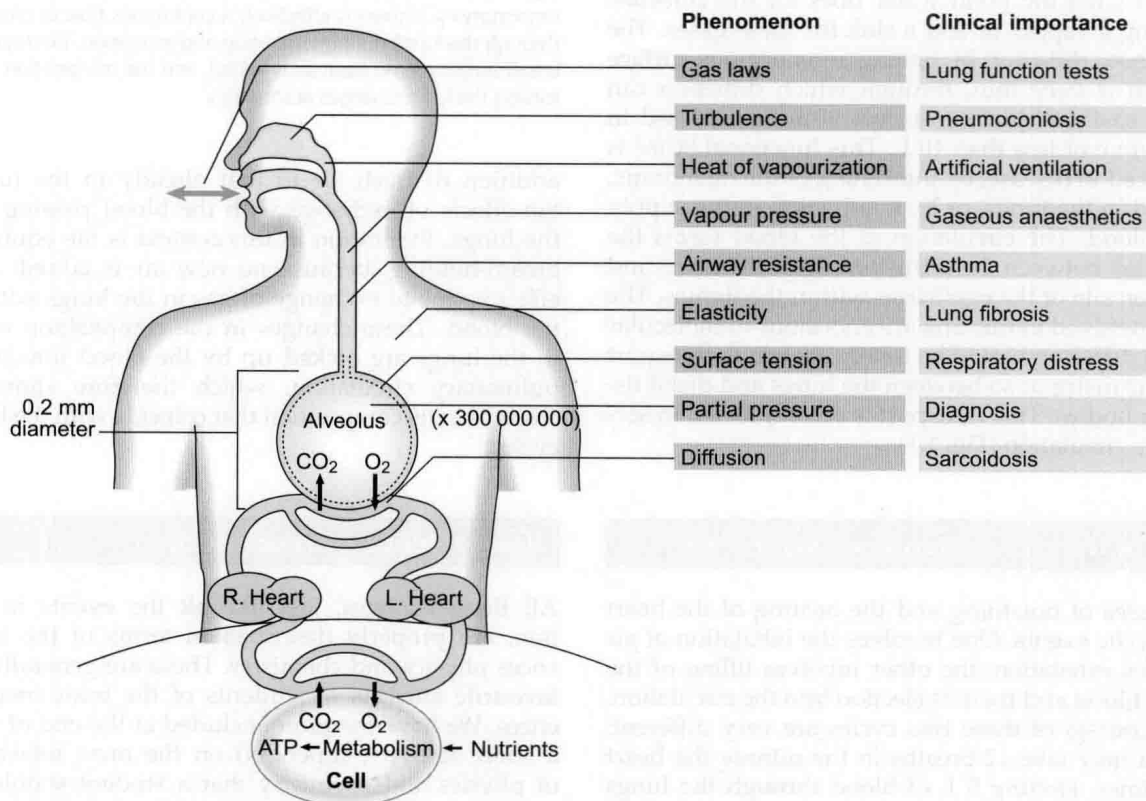


Fig. 1.3 An overview of respiration, showing the physical phenomena that make it up and the clinical situations where understanding these phenomena is important.

Case 1.1 Introduction: 1 (continued)

Symptoms of respiratory disease

Patients with respiratory disease complain of symptoms which fall into a few broad groups.

1. **Cough.** Cough is probably the commonest symptom of respiratory disease and is usually a response to irritation of the respiratory tract. Cough is one of the most important features of chronic bronchitis, and also occurs in patients with chest infections as well as in asthmatics, where it may be particularly common at night.
2. **Sputum.** Sputum is the substance coughed up from the respiratory tract. The colour of sputum may give a clue as to its cause: for example, respiratory infection usually results in thick yellow or green sputum, whereas pink, frothy sputum may indicate pulmonary oedema.
3. **Haemoptysis.** Haemoptysis means coughing up blood. Haemoptysis may indicate a chest infection, but may be a symptom of more serious respiratory disease such as tuberculosis or bronchial carcinoma.
4. **Breathlessness.** Breathlessness is a symptom of a range of respiratory diseases as well as being a symptom of cardiac failure.
5. **Wheezing.** Wheeze is a characteristic musical sound caused by gas flow through narrowed airways. Patients may complain of wheeze and it may be audible on auscultation of the chest. It is characteristic of pulmonary diseases such as asthma, chronic bronchitis and chest infection, all of which can result in airways narrowing.
6. **Chest pain.** In certain respiratory conditions patients may complain of chest pain. Such conditions include infection, pleuritis, pulmonary infarction and pneumothorax.

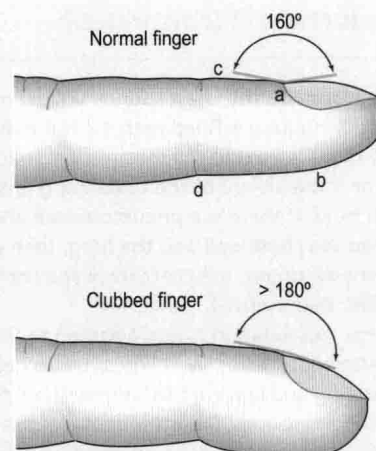


Fig. 1.4 Finger clubbing. In clubbed fingers the angle of the nailbed is lost and there is increased mobility of the nail on the nailbed.

is fluctuant) (Fig. 1.4). It is not known for certain why finger clubbing occurs, but it is present in a number of respiratory diseases, including bronchial carcinoma, bronchiectasis and pulmonary fibrosis. Clubbing is also present in some non-respiratory diseases.

2. **Cyanosis.** Cyanosis means a blue tinge to skin or mucous membranes and indicates the presence of deoxygenated haemoglobin (p. 106). Cyanosis may be either central or peripheral. Central cyanosis means blueness of the lips and tongue. Because these organs are covered in mucosa rather than skin, cyanosis is more evident there than in the face, for example. Blood does not travel far from the heart to reach the tongue and lips, and so if they are cyanosed it suggests that blood leaving the left ventricle is deoxygenated, either because of lung disease or as a result of certain forms of heart abnormality. Peripheral cyanosis means blueness of the extremities and is usually most evident in the fingernails and toenails. In the absence of central cyanosis it usually suggests inadequate circulation to the periphery.
3. **Trachea.** The trachea can be felt in the neck above the sternum and it is examined to assess whether it is lying in the midline or deviated to one side. Tracheal deviation can occur in a number of lung diseases, including pneumonia and pneumothorax.
4. **Inspection of the chest.** Examination of the chest itself starts with inspection. The shape of the chest may be abnormal: for example, in asthmatics the chest is often unusually expanded and rounded – so-called barrel chest. Surgical scars or other abnormalities of the skin on the chest wall may be present. The patient is asked to take a deep breath and the movements of the chest wall are noted. Movements of the chest wall may be limited by abnormalities of the spine or chest wall itself, or by abnormalities of the underlying lung.
5. **Percussion.** Percussion essentially means tapping the patient's chest and listening to the sound that is

Case 1.1 Introduction: 2

Examination of the respiratory system

A clinical examination of the respiratory system includes examination of the hands, tongue, neck and chest wall, as well as percussion (tapping) and auscultation (listening with a stethoscope) of the chest.

These are the important findings in a clinical examination of the respiratory system:

1. **Finger clubbing.** Inspecting the hands is an important part of examining the respiratory system. As well as looking for peripheral cyanosis (see below) it is important to look for finger clubbing. Clubbing is present when the normal angle at the nailbed is lost, the curvature of the nail is increased and there is increased mobility of the nail on the nailbed (the nail

Case
1.1

Introduction: 2 (continued)

produced. Normally, the chest sounds hollow or resonant if the underlying lung is filled with air, but a dull sound is heard if there is fluid in the intrapleural space (pleural effusion) or if the alveoli of the underlying lung are filled with fluid. If there is a pneumothorax and there is air between the chest wall and the lung, then percussion may be hyperresonant, in other words the chest sounds more hollow than normal.

6. **Auscultation.** Auscultation means listening to the lungs with a stethoscope. Normally, it is possible to hear air quietly entering and leaving the lungs without there being any added sounds. Breath sounds like this are called vesicular. Breath sounds may be absent or very quiet if there is a pleural effusion or a pneumothorax. There may also be sounds present in addition to breath sounds. Where gas passes through narrowed airways a sound like a musical note may be produced. These sounds are called wheeze or rhonchi, and are usually heard during expiration and are most likely to be heard in asthma or chronic bronchitis, although if airway narrowing is very severe, no gas flow takes place and there is no wheeze. Crackles or crepitations may also be heard on auscultation. Crackles probably represent the opening of closed airways and are most commonly heard in chronic bronchitis, pulmonary fibrosis and pulmonary oedema.

Case
1.1

Introduction: 3

Looking at a chest X-ray

In the clinical sections of the book, cases are frequently illustrated with chest X-rays demonstrating a range of abnormalities. This section will introduce you to the appearance of a normal chest X-ray so that you can appreciate the abnormal chest X-ray appearances that are associated with some respiratory conditions.

Usually a chest X-ray is taken with the front of the patient's chest against a photographic plate. The patient's elbows are bent forward so that the shoulder blades move round to the side of the ribcage and X-rays pass through the patient. This sort of X-ray is called posteroanterior (PA) because the X-rays themselves travel from behind the patient (posterior) to in front (anterior). X-ray shadows of structures within the chest are cast onto the photographic plate; structures which are nearer to the plate (i.e. those in the front of the chest) appear clearer than those further away from the plate, which may appear distorted or blurred.

If a patient is too unwell to stand in front of the photographic plate – for example if they are too ill to leave his/her bed – the X-ray may be taken with the photographic plate behind the patient and with the X-rays administered from in front of the patient. This is an anteroposterior chest

X-ray; it is also possible to take a lateral chest X-ray from the side (see Fig. 1.6(A)).

It is important to remember the way an X-ray film is developed when you look at one for the first time. The film is equivalent to a black and white photographic negative. The darker areas of the film are the areas that have been exposed to X-rays. Structures such as bone, which block X-rays, appear white on the X-ray film. Structures such as the lungs, blood vessels and so on, which partially block the passage of X-rays, appear grey.

On the chest X-ray of a healthy person in Fig. 1.5 the following structures are visible:

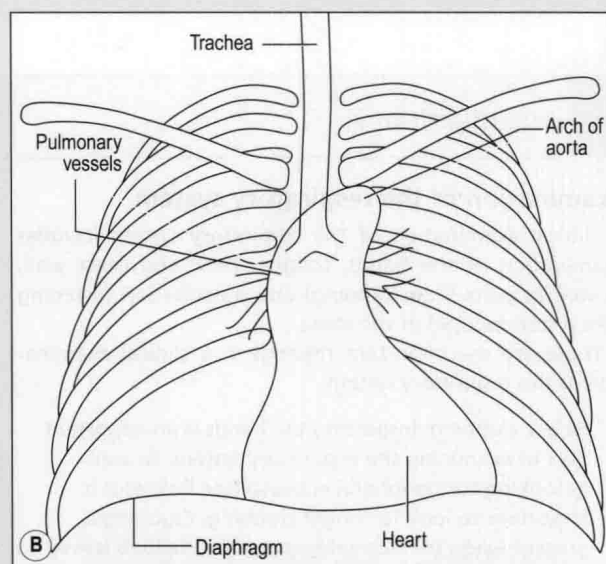
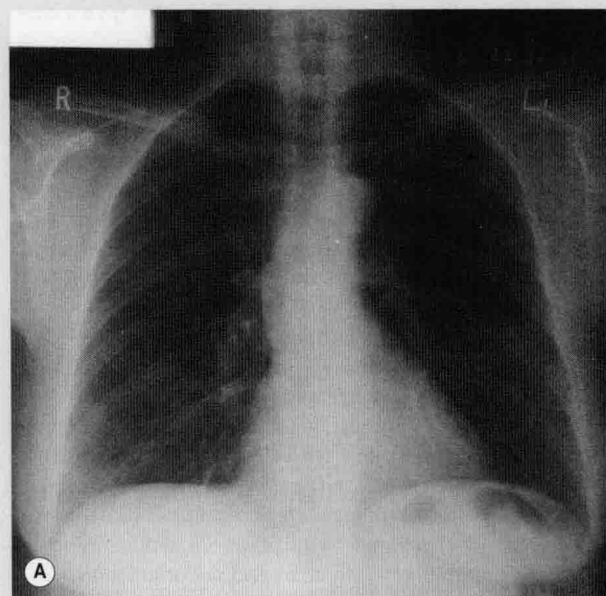


Fig. 1.5 Normal anteroposterior chest X-ray.

Case
1.1

Introduction: 3 (continued)

1. **Bones.** The ribs, sternum and thoracic vertebrae can usually all be seen on a chest X-ray.
2. **Heart.** The outline of the heart is clearly visible. If the heart is enlarged, for example as a result of heart disease, this will be evident. The border of the heart may not appear sharp and distinct if the lung tissue around it is diseased.
3. **Aorta.** The outline of the aorta is usually visible as it arises from the heart and arches round in the thorax.

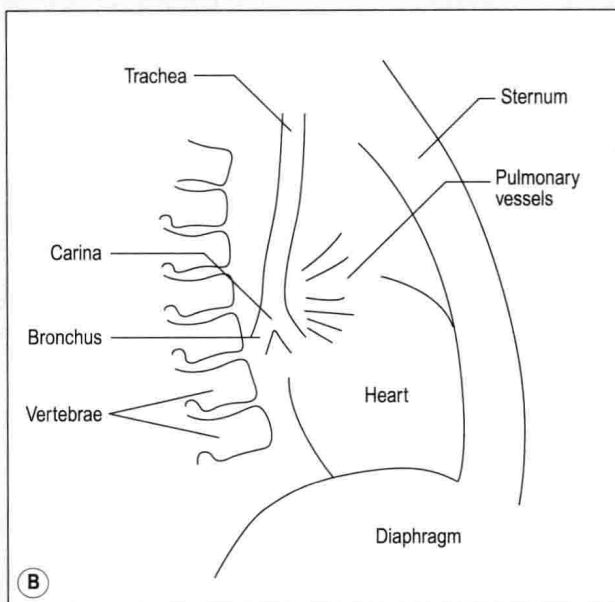
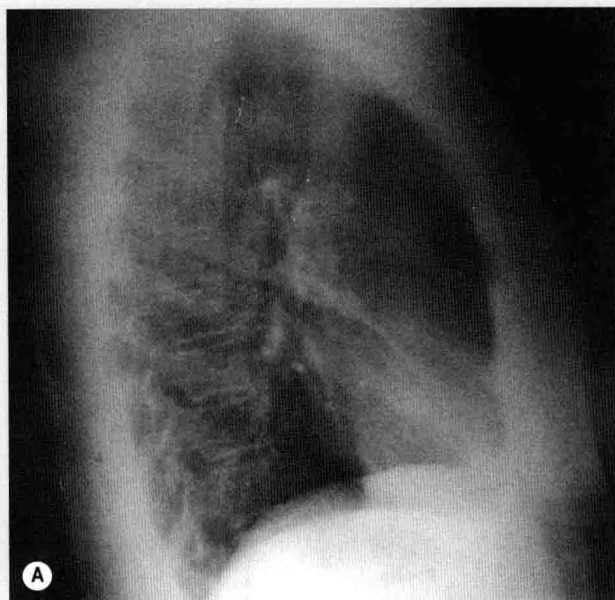


Fig. 1.6 Normal lateral chest X-ray.

4. **Trachea.** Because the trachea is filled with air through which X-rays can pass easily, it appears as a dark structure in the midline. It is usually possible to see the carina, where the trachea divides into the two main bronchi.
5. **Pulmonary vessels.** The pulmonary vessels are visible as they pass from the heart into the lungs.
6. **Diaphragm.** The outline of the diaphragm is usually clearly visible. The right-hand side of the diaphragm is usually higher than the left. Collapse of the lung or damage to the phrenic nerve may cause the diaphragm to be shifted upwards, whereas emphysema and other diseases that increase lung volume may cause the diaphragm to be shifted downwards. If the outline of the diaphragm is not sharp, particularly where the shadows of the diaphragm and the ribs intersect (the costophrenic angle) this suggests that there is fluid in the intrapleural space adjacent to the diaphragm.
7. **Lungs.** As the lungs are filled mainly with air, X-rays pass through them easily and they appear relatively dark on a chest X-ray. However, it is generally possible to make out the shadows of large blood vessels as they pass through the lung tissue. If there is fluid in the alveoli, for example as a result of oedema or infection, the lung fields will appear lighter as fewer X-rays will pass through them. If an area within the lungs appears darker than normal, this suggests that there is more air present than usual. This might be as a result of emphysema or as a result of a pneumothorax.

Respiratory symbols – the language of the respiratory system

Respiratory physiology and medicine contain some intimidating symbols which lead students to fear that some unpleasant mathematical exercises are imminent. Not so: the symbols used in respiratory physiology are assigned logically and make the description of processes and the identification of where measurements were made very much easier than using words.

Primary units are given in capital letters (see Table 1.1):

V = volume, P = pressure, partial pressure, $\dot{V}$ = flow.

Locations in the gas phase are also given capital letters but smaller than the primary units:

A = alveolar, B = barometric, E = expired.

Locations in blood are identified by lower-case letters:

a = arterial, v = venous, c = capillary.

The primary symbol is written first, followed by the qualifying symbol at a lower level.