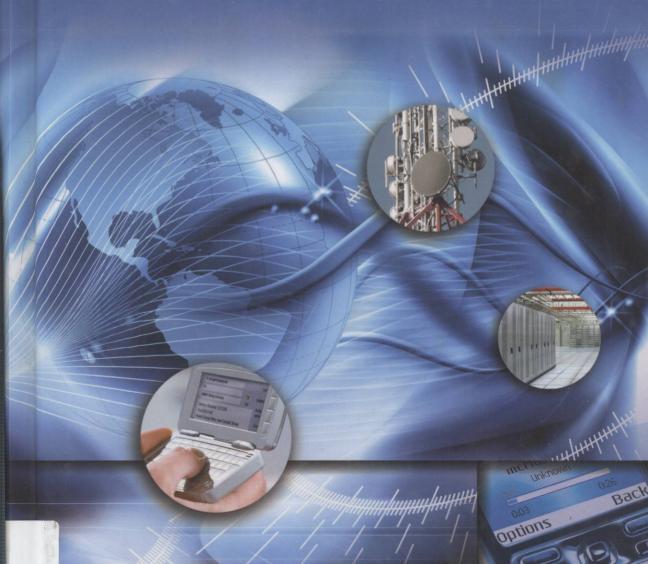
WIRELESS COMMUNICATIONS - RESOURCE - MANAGEMENT

Byeong Gi Lee | Daeyoung Park | Hanbyul Seo



TN92 L477

WIRELESS COMMUNICATIONS RESOURCE MANAGEMENT

Byeong Gi Lee

Seoul National University, Republic of Korea

Daeyoung Park

Inha University, Republic of Korea

Hanbyul Seo

LG Electronics, Republic of Korea









John Wiley & Sons (Asia) Pte Ltd

Visit our Home Page on www.wiley.com

All Rights Reserved. No part of this publication may be reproduced, stored in a retrieval system or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, scanning, or otherwise, except as expressly permitted by law, without either the prior written permission of the Publisher, or authorization through payment of the appropriate photocopy fee to the Copyright Clearance Center. Requests for permission should be addressed to the Publisher, John Wiley & Sons (Asia) Pte Ltd, 2 Clementi Loop, #02-01, Singapore 129809, tel: 65-64632400, fax: 65-64646912, email: enquiry@wiley.com.sg

Designations used by companies to distinguish their products are often claimed as trademarks. All brand names and product names used in this book are trade names, service marks, trademarks or registered trademarks of their respective owners. The Publisher is not associated with any product or vendor mentioned in this book. All trademarks referred to in the text of this publication are the property of their respective owners.

This publication is designed to provide accurate and authoritative information in regard to the subject matter covered. It is sold on the understanding that the Publisher is not engaged in rendering professional services. If professional advice or other expert assistance is required, the services of a competent professional should be sought.

Other Wiley Editorial Offices

John Wiley & Sons, Ltd, The Atrium, Southern Gate, Chichester, West Sussex, PO19 8SO, UK

John Wiley & Sons Inc., 111 River Street, Hoboken, NJ 07030, USA

Jossey-Bass, 989 Market Street, San Francisco, CA 94103-1741, USA

Wiley-VCH Verlag GmbH, Boschstr. 12, D-69469 Weinheim, Germany

John Wiley & Sons Australia Ltd, 42 McDougall Street, Milton, Queensland 4064, Australia

John Wiley & Sons Canada Ltd, 6045 Freemont Blvd, Mississauga, ONT, L5R 4J3, Canada

Wiley also publishes its books in a variety of electronic formats. Some content that appears in print may not be available in electronic books.

Library of Congress Cataloging-in-Publication Data

Lee, Byeong Gi.

Wireless communications resource management / Byeong Gi Lee, Daeyoung Park, Hanbyul Seo.

Includes bibliographical references and index.

ISBN 978-0-470-82356-9 (cloth)

1. Wireless communication systems. 2. Radio resource management (Wireless communications) I. Park, Daeyoung. II. Seo, Hanbyul. III. Title.

TK5103.4873.L44 2009

621.384-dc22

2008029107

ISBN 978-0-470-82356-9 (HB)

Typeset in 10/12pt Times by Thomson Digital, Noida, India.

Printed and bound in Singapore by Markono Print Media Pte Ltd, Singapore.

This book is printed on acid-free paper responsibly manufactured from sustainable forestry in which at least two trees are planted for each one used for paper production.

WIRELESS COMMUNICATIONS RESOURCE MANAGEMENT

To our wives

Hyeon Soon Kang Joo Yeon Choi Jong Soon Lee

此为试读,需要完整PDF请访问: www.ertongbook.com

Preface

Wireless communications, especially in its mobile form, has brought us the freedom of mobility and has changed the lifestyles of modern people. Waiting at a fixed location to receive or make a phone call, or sitting in front of a personal computer to send an e-mail or download a video program, has become an old story. Nowadays it is commonplace for people to talk over a cell phone while walking on the street, or to download and watch a movie while traveling on a train. This is the benefit made available to us by the successful evolution of wireless communications over three generations, with the fourth generation being under way.

Throughout the evolution of wireless communications, enabling technologies have continued to mature and have strived to keep up with satisfying ever increasing customer service expectations. As an example, the multiple-access technique which started from the analog frequency-division multiple-access (FDMA) has evolved to digital time-division multiple-access (TDMA) and code-division multiple-access (CDMA) and has advanced to the sophisticated orthogonal frequency-division multiple-access (OFDMA). This in turn has made possible affordable and ubiquitous multimedia services for a large majority of mobile users in both consumer and business sectors.

Notwithstanding the precipitous development of wireless technologies, customers' insatiable desire continues for faster response, larger bandwidth, and more reliable transmission. Unfortunately the desire cannot be easily satiated due to limitations in the wireless communications channels. First of all, the frequency spectrum available for wireless communications is absolutely limited. In addition, the wireless channel characteristics fluctuate due to channel loss, shadowing, and multipath fading phenomena. Furthermore, battery power is a key limitation in mobile communications.

To overcome those limitations in wireless communications and thereby enhance the spectral efficiency, significant research efforts have been exerted on the enabling technologies for the past several decades. Such technologies include *hybrid automatic repeat request* (HARQ) which combines ARQ and *forward error-correction* (FEC) techniques, *adaptive modulation and coding* (AMC) which combines modulation and coding techniques, and various diversity techniques in the time, frequency and space domains. In particular, the *multi-input multi-output* (MIMO) technique is an important multiple antenna technology that takes advantage of space diversity. OFDMA also uses the diversity effect to introduce resilience to multipath fading.

Every time a new generation of wireless communications systems has emerged, it was accompanied by the adoption of more advanced physical layer technologies to achieve enhanced system performance. In the cases of the most recent wireless systems such as Mobile WiMAX and other Advanced International Mobile Telecommunication (IMT)

xii Preface

systems, all the above state-of-the-art communication technologies are incorporated as their air interface technologies. With that, we have consumed all the affordable physical layer technologies that communication engineers have discovered/developed to date. For further enhancement of system performance, we can only resort to intelligent and optimal utilization of the available wireless resources. Here comes the need for "wireless communications resource management." In essence, a next-generation wireless communications system can maximize overall system performance only when it adopts the most advanced physical layer technologies and optimizes the wireless resource management on top of those technologies.

Basically, wireless resource management determines the optimal use of wireless resources according to the wireless channel information and the *quality-of-service* (QoS) requirements of each user. Specifically, it refers to a series of processes that determine the time, order, procedure, and the amount of wireless resources to allocate to each user in the wireless communications system.

In the context of resource management, the most fundamental three wireless resources are bandwidth, transmission power, and antennas: the available frequency spectrum (and associated channel bandwidth) is limited by both nature and license fees for operators acquiring bandwidth to provision service. Battery power is limited for each mobile device and cost can be an important issue for higher power transmission. Mobile devices are limited in size for placing multiple antennas and need complicated space—time signal processing to operate.

Each available wireless resource is self-limited and the incremental improvement of a wireless resource does not necessarily increase overall system performance. The problem becomes more complicated in the multiuser environment since increasing the transmission power of one user will usually increase interference to other users or to other cells. Thus it is most desirable for wireless resource management to optimally use limited wireless resources to maximize resource efficiency and overall system performance. In effect, optimally managed wireless resources can significantly improve a channel's data rate even with low bandwidth, and also flexibly operate the wireless system, adapting to the channel characteristics and QoS requirements.

This book is intended to provide comprehensive and in-depth discussions on wireless resource management, covering a broad scope, from the provision of preliminary concepts and mathematical tools to the detailed descriptions of all the resource management techniques. It first provides preliminary discussions on the characteristics of wireless channels, basic concepts for wireless resource management problem formulation, and basic mathematical tools such as convex optimization theory useful for solving the wireless resource management problems. Then, it deals with the four different types of resource management in each chapter, namely, bandwidth management, transmission power management, antenna management, and inter-cell resource management. The topics discussed in this book include scheduling, admission control, power control, transmission power allocation, MIMO transmissions, inter-cell interference mitigation, and handoff management, and so on.

The authors believe that the book will help readers gain a thorough understanding of the overall and detailed picture of the wireless communications resource management and deepen their insight into the mechanisms of performance maximization geared by wireless resource management in next-generation wireless communication systems.

An important and useful supplement is available on the book's companion website at the following URL: www.wiley.com/go/bglee.

xiii

The authors would like to thank Seoul National University and the Institute of New Media and Communications Research for providing a comfortable environment to write the book, and the students in the Telecommunications and Signal Processing (TSP) laboratory, namely, Soomin Ko, Junho Lim, Seonwook Kim, Sunghan Ryu, Seungmin Lee, and Youngjun Ryu for assisting the writing work in various ways. In addition, the authors would like to deeply thank their wives, Hyeon Soon Kang, Joo Yeon Choi, and Jong Soon Lee, whose love and support enabled the successful completion of this year-long writing project.

About the Authors

Byeong Gi Lee

Byeong Gi Lee received the B.S. and M.E. degrees from Seoul National University, Seoul, Korea, and Kyungpook National University, Daegu, Korea, both in electronics engineering, and the Ph.D. degree in electrical engineering from the University of California, Los Angeles. He was with the Electronics Engineering Department of ROK Naval Academy as an Instructor and Naval Officer in active service from 1974 to 1979, and worked for Granger Associates, Santa Clara, CA, as a Senior Engineer responsible for applications of digital signal processing to digital transmission from 1982 to 1984, and for AT&T Bell Laboratories, North Andover, MA, as a Member of Technical Staff responsible for optical transmission system development along with the related standards works from 1984 to 1986. He joined the faculty of Seoul National University in 1986 and served as the Director of the Institute of New Media and Communications in 2000 and the Vice Chancellor for Research Affairs from 2000 to 2002.

Dr Lee was the founding chair of the Joint Conference of Communications and Information (JCCI), the chair of the Steering Committee of the Asia Pacific Conference on Communications (APCC), and the chair of the founding committee of the Accreditation Board for Engineering Education of Korea (ABEEK). He served as the TPC Chair of IEEE International Conference on Communications (ICC) 2005 and the President of Korea Society of Engineering Education (KSEE). He was the editor of the IEEE Global Communications Newsletter, an associate editor of the IEEE Transactions on Circuits and Systems for Video Technology, and the founding Associate Editor-in-Chief and the Second Editor-in-Chief of the Journal of Communications and Networks (JCN). He served for the IEEE Communications Society (ComSoc) as the Director of Asia Pacific Region, as the Director of Membership Programs Development, as the Director of Magazines, as a Member-at-Large to the Board of Governors, and as the Vice President for Membership Development. He served a member of the Presidential Advisory Committee of Policy Planning, the Presidential Advisory Council on Science and Technology, and the Policy Committee of the Ministry of Justice of the Korean Government. He served a Vice President of the ABEEK, the President of Korea Information and Communication Society (KICS), and the first President of the Citizens' Coalition for Scientific Society (CCSS), a nongovernment organization for the advancement of science and technology in Korea. He currently serves as a Commissioner of the Korea Communications Commission (KCC) and the Vice President for Member Relations of the IEEE ComSoc.

Dr Lee is a co-author of *Broadband Telecommunication Technology*, first and second editions, (Artech House: Norwood, MA, 1993 and 1996), *Scrambling Techniques for Digital Transmission* (Springer Verlag: New York, 1994), *Scrambling Techniques for CDMA Communications* (Kluwer: Norwell, MA, 2001), *Integrated Broadband Networks* (Artech House:

xvi About the Authors

Norwood, MA, April 2002), and *Broadband Wireless Access and Local Networks: Mobile WiMAX and WiBro* (Artech House: Norwood, MA, 2008). He holds thirteen US patents with four more patents pending. His current fields of interest include broadband networks, wireless networks, communication systems, and signal processing.

He received the 1984 Myril B. Reed Best Paper Award from the Midwest Symposium on Circuits and Systems, Exceptional Contribution Awards from AT&T Bell Laboratories, a Distinguished Achievement Award from KICS, the 2001 National Academy of Science (of Korea) Award and the 2005 Kyung-am Academic Award. He is a Member of the National Academy of Engineering of Korea, a Member of Sigma Xi, and a Fellow of the IEEE.

Daeyoung Park

Daeyoung Park received the B.S. and M.E. degrees in electrical engineering and the Ph.D. degree in electrical engineering and computer science, all from Seoul National University, Seoul, Korea, in 1998, 2000, and 2004, respectively. He was with Samsung Electronics as a Senior Engineer from 2004 to 2007, contributing to the development of next-generation wireless systems based on the MIMO–OFDM technology. From 2007 to 2008, he was with the University of Southern California, Los Angeles, CA, as a Postdoctoral Researcher. In March 2008, he joined the faculty of the School of Information and Communication Engineering, Inha University, Korea. He received a silver award from Samsung Technical Paper Contest 2005. His research interests include communication systems, wireless networks, multiuser information theory, and resource allocation.

Hanbyul Seo

Hanbyul Seo received the B.S. degree in electrical engineering, and the M.E. and Ph.D. degrees in electrical engineering and computer science, from Seoul National University, Seoul, Korea, in 2001, 2003, and 2008, respectively. He is currently with LG Electronics and his research interests include wireless resource management, wireless MAC protocol, and wireless sensor networks.

Abbreviations

1G First Generation
2G Second Generation
3G Third Generation
4G Fourth Generation
ACK ACKnowledgment
ALP Active Link Protection

AMC Adaptive Modulation and Coding
AMPS Advanced Mobile Phone System
ARP Autonomous Reuse Partitioning
ARQ Automatic Repeat reQuest
AWGN Additive White Gaussian Noise

BC Broadcast Channel

BDCL Borrowing with Directional Channel Locking

BER Bite Error Rate

BLAST Bell Labs lAyered Space-Time
BPSK Binary Phase-Shift Keying
BR Borrowing from the Richest

BS Base Station
CBR Constant Bit Rate

CDF Cumulative Distribution Function
CDMA Code-Division Multiple Access

CIF-Q Channel-condition Independent packet Fair Queueing

CINR Carrier-to-Interference-and-Noise Ratio

CP Cyclic Prefix

CRC Cyclic Redundancy Check

CSDPS Channel State-Dependent Packet Scheduling

CSI Channel State Information
CSIT CSI available at Transmitter
CSM Collaborative Spatial Multiplexing
DCA Dynamic Channel Allocation
DFT Discrete Fourier Transform

DL DownLink

DPC Distributed Power Control
DPC Dirty-Paper Coding

DRC Data Rate Control

D-STTD Double Space—Time Transmit Diversity

DTR Dual-Threshold Reservation EGC Equal-Gain Combining

EV-DO Enhanced Version for Data Optimization EV-DV Enhanced Version for Data and Voice

EXP EXP-Q and EXP-W

EXP-Q EXPonential Queue-length rule EXP-W EXPonential Waiting-time rule

FA First Available

xviii

FCA Fixed Channel Allocation

FCC Federal Communications Commission

FCH Frame Control Header

FDMA Frequency-Division Multiple Access

FEC Forward Error Correction

FER Frame Error Rate
FFT Fast Fourier Transform
FGC Fractional Guard Channel

FIFO First-In First-Out FRU Flexible ReUse GC Guard Channel

GPS Generalized Processor Sharing

GRP Greedy Rate Packing
GSM Global System for Mobile

HARQ Hybrid ARQ HOL Head-Of-Line

i.i.d. Independent and Identically Distributed

IC Indifference Curve

IDFT Inverse DFT

IETF Internet Engineering Task Force

IFFT Inverse FFT

IIR Infinite-Impulse Response
IR Incremental Redundancy
IS-95 Interim Standard 95
ISI Inter-Symbol Interference

ISM Industrial, Scientific and Medical ITU International Telecommunication Union IWFO Idealized Wireless Fair Queueing

KKT Karush–Kuhn–Tucker LDPC Low-Density Parity Check

LOS Line-Of-Sight LPF Low-Pass Filter

LWDF Largest-Weighted-Delay-First
MAC Medium-Access Control
MAC Multiple-Access Channel
MAI Multiple-Access Interference
MCS Modulation and Coding Scheme

MDP Markov Decision Process
MIMO Multiple-Input Multiple-Output
MISO Multiple-Input Single-Output

ML Maximum Likelihood

M-LWDF Modified Largest-Weighted-Delay-First

M-LWWF Modified Largest-Weighted-(unfinished)-Work-First

MMSE Minimum Mean Square Error

MR Maximal Rate

MRC Maximal-Ratio Combining
MRS Marginal Rate of Substitution

MS Mobile Station
MSIR Maximum SINR
MSQ Mean SQuare
MU Marginal Utility

NAK Negative AcKnowledgment

NN Nearest Neighbor

OFDM Orthogonal Frequency-Division Multiplexing
OFDMA Orthogonal Frequency-Division Multiple-Access

OSI Open System Interconnection

OSIC Ordered SIC
PDU Protocol Data

PDU Protocol Data Unit PF Proportional Fairness

PFPA Proportional-Fair Power Allocation

PG Processing Gain

PPF Production Possibility Frontier

PSK Phase-Shift Keying

QAM Quadrature Amplitude Modulation

QoS Quality of Service

QPSK Quadrature Phase-Shift Keying RPA Retransmission Power Adjustment

RT Real Time

RTG Receiver/transmitter Transition Gap SDMA Space-Division Multiple Access

SDU Service Data Unit SE Spectral Efficiency SF Spreading Factor

SIC Successive Interference Cancellation

SIMO Single-Input Multiple-Output

SINR Signal-to-Interference-and-Noise Ratio

SISO Single-Input Single-Output SM Spatial Multiplexing SNR Signal-to-Noise Ratio SSS Static Service Split

STTD Space-Time Transmit Diversity
SVD Singular-Value Decomposition
TCP Transport Control Protocol

TDMA Time-Division Multiple-Access
TTG Transmitter/receiver Transition Gap

UCC User-Created Contents

UL UpLink

UMTS Universal Mobile Telecommunications System

VoD Video-on-Demand WCDMA Wideband CDMA

WFQ Weighted Fair Queueing

WiMAX Worldwide Interoperability for Microwave Access

WMAN Wireless Metropolitan Area Network WRM Wireless Resource Management

ZF Zero-Forcing

Contents

Pı	xi			
Al	bout t	XV		
A l	bbrev	xvii		
PA	ART I	—CON	NCEPTS AND BACKGROUND	
1	Intr	oductio	3	
	1.1	Evolu	ation of Wireless Communications	3
	1.2	Wirele	ess Resource Management	5
		1.2.1	Bandwidth Management	5
		1.2.2	Transmission Power Management	6
			Antenna Management	6
			Inter-cell Resource Management	6
	1.3	Organ	nization of the Book	7
2	Cha	racteri	9	
	2.1	Chann	nel Gain	9
	2.2	Large-	e-scale Fading	11
		2.2.1	Path Loss	12
			Shadowing	16
	2.3	Small	17	
			Fading in the Time Domain	20
			Fading in the Frequency Domain	24
	2.4		nologies against Channel Fading	28
			Diversity	29
			Hybrid ARQ	32
		2.4.3	Adaptive Modulation and Coding	36
3	Basi	41		
	3.1	Defini	ition of Resource Management	42
		3.1.1	Wireless Resources	42
		312	Problem Formulation	47

viii Contents

	3.2	Multiple-access Methods	51
		3.2.1 Frequency-division Multiple Access	52
		3.2.2 Time-division Multiple Access	53
		3.2.3 Code-division Multiple Access	55
		3.2.4 Orthogonal Frequency-division Multiple Access	59
	3.3	Quality of Services	63
		3.3.1 QoS Classification	65
		3.3.2 Prioritization and Fairness	66
	3.4	Resource Management in Protocol Layers	67
		3.4.1 Classical Protocol Layering	68
		3.4.2 Cross-layer Design in Wireless Resource Management	69
4	Mat	thematical Tools for Resource Management	73
	4.1	Convex Optimization	74
		4.1.1 Basic Concepts	74
		4.1.2 Constrained Optimization	76
		4.1.3 Lagrange Dual Function	77
		4.1.4 Karush–Kuhn–Tucker Optimality Condition	82
		4.1.5 Application of Convex Optimization	83
	4.2	Dynamic Programming	86
		4.2.1 Sequential Optimization	86
		4.2.2 Markov Decision Process	93
	4.3	Analogy of Economics and Wireless Resource Management	98
		4.3.1 Economics Model	98
		4.3.2 Example of Wireless Resource Allocation	102
PA	RT I	I—WIRELESS RESOURCE MANAGEMENT TECHNOLOGIES	
5	Ban	dwidth Management	109
	5.1	Differences between Wired and Wireless Communications	110
		5.1.1 Statistical Multiplexing in a Wired Network	110
		5.1.2 Multiuser Diversity in a Wireless Network	110
	5.2	Schedulers based on Generalized Processor Sharing	113
		5.2.1 Generalized Processor Sharing	113
		5.2.2 Modifications of GPS for Wireless Channels	118
	5.3	Schedulers for Throughput Maximization	122
		5.3.1 Maximal-rate Scheduling	123
		5.3.2 Proportional Fairness Scheduling	124
		5.3.3 Temporal Fairness Scheduling	127
		5.3.4 Utilitarian Fairness Scheduling	129
		5.3.5 Scheduling based on Cumulative Distribution Function	132
		5.3.6 Comparison of Scheduling Algorithms	135
	5.4	Delay Performance of Wireless Schedulers	140
		5.4.1 Throughput Optimality	140

		5.4.2	Modified Largest-Weight-Delay-First (LWDF) Scheduling	143		
		5.4.3	Exponential Rule Scheduling	148		
	5.5	QoS i	n Wireless Scheduling and Admission Control	149		
		5.5.1	Effective Bandwidth and Effective Capacity	151		
		5.5.2	QoS Provision	154		
6	Trai	nsmissi	on Power Management	161		
	6.1	Transi	mission Power Management for Interference Regulation	162		
		6.1.1	Power Control with Strict SINR Requirement	165		
			Utility-based Power Control	173		
			Power Control along with Rate Control	184		
			Power Control for Hybrid ARQ	195		
	6.2		mission Power Management for Multiple Parallel Subchannels	198		
		6.2.1	Single-user Case	199		
			Multiuser Case I: Throughput Maximization	202		
			Multiuser Case II: Utility Maximization	207		
		6.2.4	Multiuser Case III: With Time Diversity	211		
	6.3		mission Power Adaptation to Time-varying Environments	215		
		6.3.1	Capacity of Time-varying Channels	216		
		6.3.2		224		
		6.3.3	Power Adaptation Based on Buffer and Channel States	237		
7	Anto	Antenna Management				
	7.1		city of MIMO Channels	245		
			Capacity of a Deterministic Channel	246		
			Ergodic Capacity	248		
			Outage Capacity	249		
	7.2		O Transmission	251		
			Diversity Transmission	251		
			Spatial Multiplexing	257		
		7.2.3	Diversity/Multiplexing Tradeoff	262		
	7.3	Multiuser MIMO		266		
		7.3.1	Uplink Channel	267		
			Dirty-paper Coding	274		
			Downlink Channel	276		
			Downlink-Uplink Duality	281		
		1.3.3	Downlink Precoding Schemes	284		
8		Inter-cell Resource Management				
	8.1		cell Interference Management	290		
		8.1.1	Fixed Channel Allocation	290		
		8.1.2	Dynamic Channel Allocation (DCA)	296		
		8.1.3	Channel Allocation based on SINR Measurement	302		
		8.1.4	Channel Allocation with Inter-cell Power Control	306		