FOUNDATIONS of MOBILE RADIO ENGINEERING



Michel Daoud Yacoub

TN916.9

FOUNDATIONS of **MOBILE RADIO ENGINEERING**

Michel Daoud Yacoub

University of Campinas Sao Paulo, Brazil





CRC Press Boca Raton Ann Arbor London Tokyo

Library of Congress Cataloging-in-Publication Data

Yacoub, Michel Daoud.

Foundations of mobile radio engineering / Michel Daoud Yacoub.

p. cm.

Includes bibliographical references and index.

ISBN 0-8493-8677-2

1. Mobile radio stations. 2. Mobile communication systems.

I. Title

TK6570.M6Y33 1993

621.3845—dc20

92-38563

CIP

This book represents information obtained from authentic and highly regarded sources. Reprinted material is quoted with permission, and sources are indicated. A wide variety of references are listed. Every reasonable effort has been made to give reliable data and information, but the authors and the publisher cannot assume responsibility for the validity of all materials or for the consequences of their use.

Neither this book nor any part may be reproduced or transmitted in any form or by any means, electronic or mechanical, including photocopying, microfilming, and recording, or by any information storage and retrieval system, without permission in writing from the publisher.

Direct all inquiries to CRC Press, Inc., 2000 Corporate Blvd., N.W., Boca Raton, Florida 33431.

© 1993 by CRC Press, Inc.

International Standard Book Number 0-8493-8677-2

Library of Congress Card Number 92-38563

Printed in the United States of America 1 2 3 4 5 6 7 8 9 0

Printed on acid-free paper

Preface

In the past few years that I have been involved with mobile radio communications I have felt the need for a textbook or a reference that would treat the various topics related to this challenging area in an accessible and comprehensive way. However, mobile radio engineering involves virtually all the areas of telecommunications, and a book covering the subject thoroughly would require many volumes and several authors.

Some of the phenomena of mobile radio communications have already been explored in various classic textbooks, where mobile radio would appear just as an advanced application case. Moreover, many techniques, initially used in other applications, are found to be perfectly suited to mobile radio needs. Therefore, writing a specialized book on this area would require a careful compilation of the various materials in the well-established literature.

This is only partially true. Since the emergence of the first cellular systems, a great deal of research has been undertaken and a significant amount of interesting results obtained. What initially used to belong to a very restricted area of research has now become the main part of most of the investigations. It seems to me that all the communications research and development activities are steering toward mobile radio applications.

To compile both the basic and the more advanced materials in a one-volume-one-author book is literally impossible. In this book I have endeavored to address what I consider to be a useful and broad subset of this vast field.

Intended for use by advanced students and professional engineers involved in mobile communications research, the book includes both basic and advanced materials covered in considerable depth. The chapters are ordered so that knowledge is acquired in a logical and progressive way. The reader will find, in sequence, an introduction to the subject, the description and analysis of the basic phenomena, some practical solutions to the problems, and more advanced materials. Each chapter starts with a preamble, abstracting the topics to be found in it, and ends with a section of summary and conclusions, where the main results and general comments are included. The mathematical derivations are meant to be clear, logical, and thorough; whenever necessary, additional information is appended at the end of the corresponding chapter, providing a self-contained book.

The book covers both analog and digital systems, making use of analytical as well as Monte Carlo simulation solutions to the various problems. The problem of cell coverage area is tackled on a deterministic and on a statistical

basis, whereas adjacent-channel and cochannel interference problems take into account the system traffic load. Digital techniques include speech coding and modulation schemes, with emphasis given to those used by the American and European systems. Multiple-access architectures, such as FDMA, TDMA, and CDMA, are investigated and the ALOHA access protocol is analyzed in a mobile radio environment. The book also explores the traffic engineering aspects, studying several channel allocation techniques where some new algorithms are included.

The book is divided into six parts.

Part I Introduction comprises Chapters 1 and 2.

Chapter 1 traces a brief history of mobile radio communications engineering, bringing up the main events that have contributed to its development. It also describes the various mobile radio services and explores the spectrum allocation problems.

Chapter 2 addresses the basic principles of cellular mobile radio systems, giving an overview of the main points to be considered in a system design.

Part II Mobile Radio Channel comprises Chapters 3 and 4.

Chapter 3 analyzes the mobile radio propagation phenomena, such as path loss, shadowing, and multipath propagation, and their statistics. The problem of cell coverage area is tackled on a deterministic and statistical basis. The boundaries between cells are also investigated, with the aim of determining the proportion of overlapped service areas.

Chapter 4 is entirely devoted to the various topics related to multipath propagation phenomena, such as time delay, delay spread, Doppler effect, coherence bandwidth, random FM, etc. It outlines the main points to be considered in field measurements. Finally, it describes some analog and digital mobile radio channel simulators.

Part III Diversity-Combining Methods comprises Chapters 5 and 6.

Chapter 5 analyzes the various diversity schemes used to combat fading. The problem is first approached from the macroscopic side and then from the microscopic side. The chapter also investigates envelope-combining methods, where they are assessed by a measure of the SNR obtained at the output of the combiners.

Chapter 6 examines the performance of digital transmission over a fading environment where several diversity techniques are used and compared. The chapter also includes an appendix on channel coding.

Part IV Noise, Interference, and Modulation comprises Chapters 7, 8, and 9.

Chapter 7 is concerned with noise and with cochannel and adjacent-channel interference problems. It investigates the influence of the traffic load on the adjacent-channel and cochannel interferences. Monte Carlo simulation is used to approach the problem of cochannel interference in a more realistic way.

Chapter 8 examines the analog modulation schemes, such as AM, SSB, and FM, in a mobile radio environment.

Chapter 9 describes and analyzes some speech-coding and digital modulation techniques in a mobile radio environment. Emphasis is given to those schemes used by the American and European mobile digital systems.

Part V Multiple Assess comprises Chapters 10 and 11.

Chapter 10 describes multiple-access architectures such as FDMA, TDMA, and CDMA. In particular, CDMA systems are investigated in the light of the information of spread-spectrum technology.

Chapter 11 analyzes the various multiple-access protocols such as CSMA, slotted ALOHA, and PRMA. In particular, the performance of the slotted ALOHA scheme is investigated in a mobile radio environment.

Part VI Traffic comprises Chapter 12.

Chapter 12 examines some of the main channel allocation techniques that can be used in a mobile radio system. More specifically, it analyzes one global approach by means of Monte Carlo simulation and a local approach by means of analytical methods, using some well-known tools from queueing theory.

The tutorial value of this book would make it suitable for use both in the classroom and as a reference. The book can be used as a textbook for a two-term graduate course, with the first six chapters and the remaining six comprising each term, respectively.

Acknowledgments

I am grateful to Professor Attílio J. Giarola for his encouragement and patient review of the manuscript. I am indebted to my colleagues of the Department of Communications, School of Electrical Engineering, at The State University of Campinas (UNICAMP) for their assistance and helpful inputs.

I have been privileged to share the wisdom and experience of the many engineers attending my courses who stimulated discussions and gave me valuable suggestions. In the same way, I have had the honor of supervising the research projects of many students who have contributed with original results, some of them partially included in this book. In particular, I thank J. C. E. Mencia, O. C. Branquinho, J. L. A. D'Annibale, G. Fernandes, E. J. Leonardo, N. F. Keffer, A. A. Shinoda, A. F. Victória, and E. Nisembaum.

I acknowledge the support of the School of Electrical Engineering at UNICAMP, the R & D Center of Telebrás (CPqD-Telebrás), Serifa Editoração e Informática, CNPq, Capcs, and Fapcsp.

Among those who have lent their help in different ways I would like to mention Professor K. W. Cattermole, Professor C. J. Hughes, Dr. J. Szajner, Dr. B. S. Ramos, Dr. C. D. Yacoub, Mr. J. Claypool, Ms. Sarah W. Roesser, and Ms. Janete S. Toma.

Finally, my wholehearted gratitude to my wife, Maria Nídia, and my children, Alexandre, Helena, Carolina, Ricardo, and Vinícius, for enduring the apparently endless writing period of this book.



Table of Contents

Preface

Part	l Int	roduction
1	Mob	ile Radio System
	1.1	Introduction
	1.2	Conventional Mobile Systems
	1.3	Technological Evolution
	1.4	Mobile Radio Services
	1.5	System Design Considerations
	1.6	Frequency Planning and Spectrum Allocation
	1.7	Summary and Conclusions
	Refe	rences
2	Cell	ular Mobile Radio
	2.1	Introduction
	2.3	The Cellular Jargon
	2.3	Essential Characteristics of the Cellular Systems
	2.4	Basic Components of a Cellular Mobile System
	2.5	System Architecture
	2.6	The Theory of Cellular Patterns
	2.7	System Expansion Techniques
	2.8	Performance Measures and Efficiency
	2.9	Traffic Engineering
		Data and Control Signalling
		Cellular System Requirements and Engineering
		Alternative Traffic Performance Enhancement Techniques 52
		Summary and Conclusions
	Refe	erences
Part	i II N	Mobile Radio Channel
3	Mot	oile Radio Propagation Model
	3.1	Introduction
	3.2	Antenna Fundamentals
	3.3	Propagation Path Loss

x CONTENTS

	3.4	Statistical Distributions of the Mobile Radio Signal	88
	3.5	Signal Coverage Area (Cell Area)	98
	3.6	Boundaries Between Cells	103
	3.7	Summary and Conclusions	121
	Refe	erences	123
4	NA	tinath Brangation Efforts	10F
4	4.1	tipath Propagation Effects	
	4.1	Velocities of Wave Propagation	
	4.3	Doppler Frequency	
	4.4	Delay Spread	
	4.5	Coherence Bandwidth	
	4.6	Level Crossing Rate	
	4.7	Average Duration of Fades	
	4.8	Random Frequency Modulation	
	4.9	Power Spectra of the Received Signal	
		Field Measurement	
		Radio Channel Simulation	
		Summary and Conclusions	
		pendix 4A Mean Distances Between Fadings	
		pendix 4B Digital Low-Pass Filter	
		pendix 4C Sampling Distributions	
		erences	
	1101		107
Part	: 111	Diversity-Combining Methods	169
_		Para Caracteria III	
5		ling Counteractions	
	5.1	Introduction	
	5.2	Long-Term Fading Counteraction	
	5.3	Short-Term (Fast) Fading Counteraction	
	5.4	Diversity Schemes	
	5.5	Combining Schemes	
	5.6	Statistical Properties and Performance Measure	
	5.7	Comparative Performance of Combining Techniques	
	5.8	Other Relevant Points	
	5.9	Summary and Conclusions	202
	App	pendix 5A Correlation Factor of Two Signals at the	20.4
	Á		
		Base Station	204
	App	Base Station	
		Base Station	206
	App	Base Station	206
	App	Base Station	206
6	App Ref	Base Station	206 209 211
6	App Ref	Base Station	206 209 211

			CONTENTS	Xi
	6.3	Error Ra	tes for Binary Systems	215
	6.4		ty of Errors in a Data Stream	
	6.5		g the Performance of Digital Transmission	
	6.6		and Digital Transmission	
	6.7		tecting and Correcting Codes	
	6.8		Transmission	
	6.9		ing	
			c Repeat Request	
			Equalization	
			tive Performance and Combined Techniques	
			f Code	
	6.14	Summary	and Conclusions	229
	App	endix 6A	Channel Coding: A Basic	
			Introduction	230
	Refe	erences	*******************************	249
Part			erference,	
	;	and Modu	llation	.251
7	NI.			
•			erference	
	7.1		ion	
	7.2			
	7.3		Noise	
	7.4		ative Noise	
	7.5		nce	
	7.6	Adjacent	-Channel Interference	263
	7.7	Cochanne	el Interference	271
	7.8	Noise and	d Interference	
		Countera	ctions	283
	7.9		and Conclusions	
	App	endix 7A		
		endix 7B	Shot Noise	
		endix 7C	Signal-to-Noise Ratio at the Output	
		onen , c	of a Receiver	293
	Ann	endix 7D	Probability of Adjacent-Channel	293
	ripp	clidix /D	Interference	204
	A nn	endix 7E	Distribution of the SNR in a Lognormal	294
	App	belluix /E		200
		l' an	Fading Environment	299
	App	endix 7F	Outage Probability for the "Interference-Only"	
			Case	
	Ref	erences	• • • • • • • • • • • • • • • • • • • •	301
8	Δna	log Modu	lation for Mobile Radio	202
•	8.1		tion	
	8.2			
			ance Measures of Modulation Techniques	
	8.3	Amplitud	le Modulation	305

xii CONTENTS

	8.4 Single-Sideband Modulation	315
	8.5 Frequency Modulation	321
	8.6 Summary and Conclusions	340
	Appendix 8A Power Spectral Density of a Narrowband	
	Noise	341
	Appendix 8B Signal-to-Signal-Suppression Noise Ratio	
	of AM System	
	Appendix 8C Single-Tone Modulation	
	References	344
_		
9	Digital Techniques for Mobile Radio	
	9.1 Introduction	
	9.2 Speech Coding for Mobile Radio	
	9.3 Linear Predictive Coding	
	9.4 Vector Quantization	
	9.5 Some LPC Techniques	
	9.6 Digital Modulation for Mobile Radio	
	9.7 QPSK and λ-Shifted DQPSK Modulation Schemes	
	9.8 MSK and GMSK Modulation Schemes	367
	9.9 Combined Techniques	
	9.10 Summary and Conclusions	378
	Appendix 9a Power Spectral Density	379
	References	382
Part	V Multiple Access	885
10	Multiple Access Avabitacture	
10	Multiple-Access Architecture	
	10.1 Introduction	38 /
	10.2 Narrowband and Wideband	• • • •
	Architectures	
	10.3 Frequency-Division Multiple Access	
	10.4 Time-Division Multiple Access	
	10.5 Code-Division Multiple Access	
	10.6 Two-Way Communication	
	10.7 Summary and Conclusions	
	References	413
11	Access Protocols	115
	11.1 Introduction	
	11.2 Protocol Categories	
	11.3 Performance Evaluation	
	11.4 Access Protocols	
	11.5 Some Comments on the Protocols	
	11.6 Slotted Aloha in a Mobile Radio Environment	
	11.7 Summary and Conclusions	428

	Appendix 11A Slotted Aloha in Mobile Radio Environment	29
	References	
Part	VI Traffic	5
12	Traffic Aspects in Mobile Radio	
	Systems	7
	12.1 Introduction	
	12.2 Queueing and Traffic Theory	
	Fundamentals	38
	12.3 Traffic Performance Enhancement Techniques44	16
	12.4 Hybrid Channel Allocation	
	12.5 Blocking Threshold Variation	51
	12.6 Summary and Conclusions	59
	Appendix 12A Three-Level Logic46	51
	Appendix 12B Approximate Mean Blocking	
	Probability for the BTV	
	Strategy	
	Appendix 12C Blocking Probability Table46	
	References	7(

xiii

CONTENTS

PART I Introduction



CHAPTER 1

Mobile Radio System

This chapter traces a brief history of mobile radio communications, bringing up the main events that have contributed to the development of such an efficient and successful means of communication. Spectrum allocation problems and technological evolution—the latter addressing equipment packaging, modulation schemes, and system architecture aspects—are outlined. Some mobile radio services are then briefly described and system design considerations are examined. It is also shown that, because radio propagation does not recognize geopolitical boundaries, effective use of the spectrum is possible only if international agreement is achieved. Under such circumstances the International Telecommunication Union (ITU) has emerged to provide "worldwide harmony". The international frequency allocation for some radio services is then presented in tabular form.

1.1 INTRODUCTION

The first successful use of mobile radio dates from the late 1800s, when M. G. Marconi established a radio link between a land-based station and a tugboat, over an 18-mile path. Since then mobile systems have developed and spread considerably. The usefulness of mobile radio services was first recognized by the public safety services (police and fire departments and the forestry conservation, highway maintenance, and local government services), followed by the private sector (power, oil, motion picture, telephone maintenance, and transportation services, as well as taxis and lorry fleets). The growth rate of these services in the United States by the 1950s (when the number of subscribers was just a few thousands) was greater than 20% per year. Consequently, by 1963 the number of users exceeded 1.3 million although only a few channels (about 12) were available.

These early systems (conventional mobile systems [CMS]) consisted of a base station whose transmitter and receiver were assembled on a hilltop. The coverage area was chosen to be large, in a way similar to the radio or

4 MOBILE RADIO SYSTEM

television broadcast services. The transmitter usually operated with high power (200 or 250 W [Reference 3]), assuring a large coverage area (25-mi radius).

Conventional mobile systems are usually isolated from each other, with only a few of them accessing the public switched telephone network. Those having connection with the telephone network are named *mobile telephone systems*, where the communication unit is assigned to the subscriber and not to a physical location.

1.2 CONVENTIONAL MOBILE SYSTEMS

By the end of the nineteenth century H. G. Hertz, a German scientist, demonstrated that radio waves could propagate in a wireless medium, in fact, over a path of a few yards, between transmitter and receiver. Still before the twentieth century, Marconi showed the first wireless communication "on the move" between a land-based station and a tugboat. Thereafter, many maritime mobile services were established and operated successfully.

On land, the Detroit Police Department started its experiments with mobile radio in 1921, first operating as a dispatching system. Initially, only the base station could transmit. Later the mobile unit was also able to communicate to the base station. The frequency used was around 2 MHz. Soon, other police departments installed their own systems. As a consequence, the available frequency spectrum became congested. By the middle of the 1930s the Federal Communications Commission (FCC) authorized four more channels between 30 and 40 MHz. In 1946, six channels near 150 MHz were available for use. In fact, due to technological restraints, out of those six channels only three could be utilized because of adjacent-channel interference problems. These radio frequencies were first used by the mobile telephone services. Starting in St. Louis, this system quickly spread throughout the United States. Shortly after, frequencies around 40 MHz were available for use on highways. Again the highway mobile services grew rapidly, although this did not seem to have worked out well due to radio interference. By the middle of the 1950s, due to the reduction of the channel spacing from 60 kHz to 30 kHz, a total of 11 channels could be used at 150 MHz. Almost at the same time, the FCC released 12 channels at 450 MHz. Up to that time the mobile telephone systems were manually operated, with each call having to be handled through an operator. It was only in the 1960s that the automatic systems appeared, allowing the subscribers themselves to do the direct dialling. Automatic mobile telephone systems operated initially with frequencies around 150 MHz, later moving to 450 MHz.

Already in 1975, after a long period of negotiations involving the mobile industries and the FCC, a 40-MHz band between 800 and 900 MHz was released. The year 1978 was marked by the beginning of a new era in mobile

communications history, when the first cellular system was sent into the field testing.²²

1.3 TECHNOLOGICAL EVOLUTION

Before the Second World War, mobile radio systems were largely dominated by military (and paramilitary) users. Consequently, the evolution and development of such systems were supported by and closely linked to military needs, requirements, and standards.

Recently, however, this tendency has been reversing, as more and more mobile services are steering toward civil applications. Consequently, as far as system design and technology are concerned, commercial mobile systems have taken the lead.¹⁴

1.3.1 Equipment Package Evolution

The early mobile radio systems were equipped with vacuum-tube equipment requiring powerful batteries. In fact, before 1930 only the receivers were mobile, implying one-way communication. Mobile transmitters soon appeared, but they were still bulky and heavy, requiring special power supplies. The total apparatus could occupy most of a vehicle's trunk space.

By the 1950s equipment was already small enough to be man-transportable, although the volume was still quite considerable and the main application was for military purposes.

Transistorization of mobile radio products started in 1957 with the power supplies. Soon after, the vacuum tubes in receivers and in some parts of transmitters were replaced by transistors, contributing to a 50% reduction of the volume, lower power consumption, and higher reliability. The packages could then be mounted in a car's dashboard or even on motorcycles. Maintenance costs diminished because of the reduced number of spare parts required and also because of the very rapid decrease of transistor prices. By the 1960s the mobile products were all being built with solid-state components. The design of the equipment introduced some new components, such as printed circuit boards, sockets, and heat sinks.

The first hand-held portable radios appeared in the 1960s, initially for commercial and later for military systems. At this point, mobile civil applications started to take the lead.

The change from transistors to integrated circuits was an obvious and natural step, occurring by the middle of the 1970s. By this time cordless telephones were already available. Today the equipment includes LSI and VLSI circuits, rendering the products smaller, lighter, and less costly.