



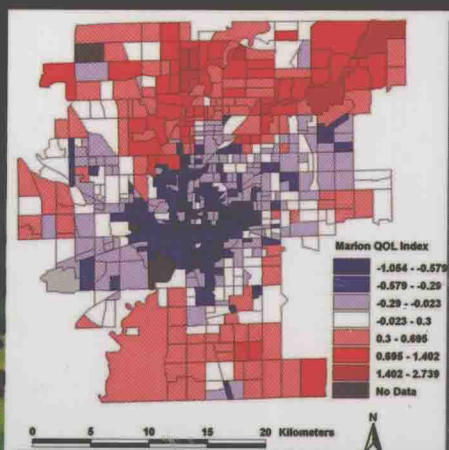
CRC Press
Taylor & Francis Group



Urban

Remote Sensing

Edited by
Qihao Weng
Dale A. Quattrochi



Urban Remote Sensing

Edited by

*Qihao Weng
Dale A. Quattrochi*



CRC Press

Taylor & Francis Group

Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an informa business

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2007 by Taylor & Francis Group, LLC
CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works
Printed in the United States of America on acid-free paper
10 9 8 7 6 5 4 3 2 1

International Standard Book Number-10: 0-8493-9199-7 (Hardcover)
International Standard Book Number-13: 978-0-8493-9199-6 (Hardcover)

This book contains information obtained from authentic and highly regarded sources. Reprinted material is quoted with permission, and sources are indicated. A wide variety of references are listed. Reasonable efforts have been made to publish reliable data and information, but the author and the publisher cannot assume responsibility for the validity of all materials or for the consequences of their use.

No part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com/>) or contact the Copyright Clearance Center, Inc. (CCC) 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Weng, Qihao.

Urban remote sensing / Qihao Weng and Dale A. Quattrochi.

p. cm.

Includes bibliographical references and index.

ISBN 0-8493-9199-7 (978-0-8493-9199-6)

1. City planning--Remote sensing. 2. Land use, Urban--Remote sensing. 3. Urban geography--Remote sensing. I. Quattrochi, Dale A. II. Title.

HT166.W46 2006

307.1'216--dc22

2006013706

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

Urban Remote Sensing

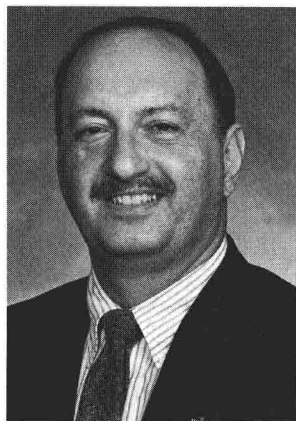
Editors

Qihao Weng was born in Fuzhou, China in 1964. He received a B.A. in geography from Minjiang University in 1984, an M.S. in physical geography from South China Normal University in 1990, an M.A. in geography from the University of Arizona in 1996, and a Ph.D. in geography from the University of Georgia in 1999. He is currently an associate professor of geography and director of the Center for Urban and Environmental Change at Indiana State University. His research focuses on remote sensing and GIS analysis of urban ecological and environmental systems, land-use and land-cover change, urbanization impacts, and human–environment interactions.



Dr. Weng is the author of more than 50 peer-reviewed journal articles and book chapters. He has been the recipient of the Robert E. Altenhofen Memorial Scholarship Award by the American Society for Photogrammetry and Remote Sensing (1999) and the Best Student-Authored Paper Award from the International Geographic Information Foundation (1998). In 2006, he received the Theodore Dreiser Distinguished Research Award by Indiana State University, the university's highest research honor bestowed to faculty. He has worked extensively with optical and thermal remote sensing data, primarily for urban heat island study, land-cover and impervious surface mapping, urban growth detection, spectral mixture analysis, and socio-economic characteristics derivation.

Dale Quattrochi is a senior research scientist with the NASA Marshall Space Flight Center in Huntsville, Alabama, and has over 26 years of experience in the field of Earth science remote sensing research and applications. Dr. Quattrochi's research interests focus on application of thermal remote sensing data for analysis of heating and cooling patterns across the diverse urban landscape as they impact overall local and regional environments. He is also conducting research on applications of geospatial statistical techniques, such as fractal analysis and multiscale remote sensing data.



Dr. Quattrochi is the recipient of numerous awards, including the NASA Exceptional Scientific Achievement Medal, NASA's highest science award, which he received for his research

on urban heat islands and remote sensing. He is also a recipient of the Ohio University College of Arts and Sciences Distinguished Alumni Award. Dr. Quattrochi is the coeditor of two books: *Scale in Remote Sensing and GIS* (with Michael Goodchild), published in 1997 by CRC/Lewis Publishers, and *Thermal Remote Sensing in Land Surface Processes* (with Jeffrey Luvall), published in 2004 by CRC Press. He received his Ph.D. degree from the University of Utah, his M.S. degree from the University of Tennessee, and his B.S. degree from Ohio University, all in geography.

Contributors

Sharolyn Anderson

University of Denver
Denver, Colorado

Keith C. Clarke

University of California, Santa Barbara
Santa Barbara, California

Daniel Comarazamy

University of Puerto Rico
Mayagüez, Puerto Rico

Fabio Dell'Acqua

University of Pavia
Pavia, Italy

Manfred Ehlers

University of Osnabrück
Osnabrück, Germany

Christopher D. Elvidge

National Geophysical Data Center
of NOAA
Boulder, Colorado

Paolo Gamba

University of Pavia
Pavia, Italy

Jorge E. González

Santa Clara University
Santa Clara, California

Jeff Hemphill

University of California, Santa Barbara
Santa Barbara, California

Geoffrey M. Henebry

South Dakota State University
Brookings, South Dakota

Martin Herold

Friedrich Schiller University
Jena, Germany

Patrick Hostert

Humboldt University of Berlin
Berlin, Germany

John A. Kelmelis

U.S. Department of State
Washington, DC

Guiying M. Li

Indiana State University
Terra Haute, Indiana

Weiguo Liu

The University of Toledo
Toledo, Ohio

Xiaohang Liu

San Francisco State University
San Francisco, California

Dengsheng Lu

Indiana University
Bloomington, Indiana

Jeffrey C. Luvall

NASA, Marshall Space Flight Center
Huntsville, Alabama

Soe W. Myint

Arizona State University
Tempe, Arizona

Janet Elizabeth Nichol

The Hong Kong Polytechnic University
Kowloon, Hong Kong

Ana J. Picón

University of Puerto Rico
Mayagüez, Puerto Rico

Douglas L. Rickman

NASA, Marshall Space
Flight Center
Huntsville, Alabama

Dar A. Roberts

University of California, Santa Barbara
Santa Barbara, California

Aparajithan Sampath

Purdue University
West Lafayette, Indiana

Sebastian Schiefer

Humboldt University of Berlin
Berlin, Germany

Karen C. Seto

Stanford University
Stanford, California

Jie Shan

Purdue University
West Lafayette, Indiana

Uwe Soergel

University of Hanover
Munich, Germany

Conghe Song

University of North Carolina at
Chapel Hill
Chapel Hill, North Carolina

Uwe Stilla

Technical University of Munich
Munich, Germany

Zhanli Sun

University of Illinois at
Urbana-Champaign
Champaign, Illinois

Paul C. Sutton

University of Denver
Denver, Colorado

Matthew J. Taylor

University of Denver
Denver, Colorado

Yong Tian

University of Massachusetts
Boston, Massachusetts

Qihao Weng

Indiana State University
Terre Haute, Indiana

Man Sing Wong Charles

The Hong Kong Polytechnic University
Kowloon, Hong Kong

George Xian

U.S. Geological Survey Center
Sioux Falls, South Dakota

Guoqing Zhou

Old Dominion University
Norfolk, Virginia

Acknowledgments

We wish to extend our most sincere thanks to all the contributors to this book for making this endeavor possible. Moreover, we offer our deepest appreciation to all the reviewers, who have taken precious time from their busy schedules to review the chapters in this book: Toby Carlson, Giles Foody, Tung Fung, Paolo Gamba, Ayman Habib, Jack Harvey, Geoffrey Henebry, Martin Herold, Guiying Li, Weiguo Liu, Xiaohang Liu, Dengsheng Lu, David Martin, Soe W. Myint, Janet Nichol, Ruiliang Pu, Fang Qiu, Jeffery Shan, Uwe Soergel, Conghe Song, Uwe Stilla, David Streutker, Paul Sutton, Changshan Wu, Fulong Wu, George Xian, Yichun Xie, and Guoqing Zhou. Finally, we are indebted to our families for their enduring love and support. It is our hope that the publication of this book will provide stimulation to students and researchers to perform more in-depth work and analysis on the applications of remote sensing to urban and suburban areas.

An Introduction to Urban Remote Sensing

Qihao Weng and Dale A. Quattrochi

The twenty-first century is the first “urban century,” according to the United Nations Development Program. The focus on cities reflects awareness of the growing percentage of the world’s population that lives in urban areas. In environmental terms, as has been pointed out at the U.N. Conference on Human Settlement, cities and towns are the original producers of many of the global problems related to waste disposal and air and water pollution. The need for technologies that will enable monitoring the world’s natural resources and urban assets and managing exposure to natural and man-made risks is growing rapidly.

This need is driven by continued urbanization. In 2000, about 3 billion people, representing about 40% of the world’s population, lived in urban areas. Urban population will continue to rise substantially over the next several decades according to the United Nations, and most of this growth will be in developing countries. The number of megacities (i.e., cities with populations of more than 10 million) will increase to 100 by 2025. Thus, there is critical need to understand urban areas to help improve and foster environmental and human sustainability of cities around the world.

Over the past decades, the majority of remote sensing work has been focused on natural environments. Applying remote sensing technology to urban areas is relatively new. With the advent of high-resolution imagery and more capable techniques, urban remote sensing is rapidly gaining interest within the remote sensing community. Driven by advances in technology and societal needs, biannual international symposia on remote sensing of urban areas (since 1997) and remote sensing and data fusion (since 2001) have been very successful. Recently, several journals have published special issues on remote sensing of urban areas, including: *Remote Sensing of Environment*, 2003, vol. 86, issue 3; *IEEE Transactions on Geoscience and Remote Sensing*, 2003, vol. 41, issue 9; *Photogrammetric Engineering and Remote Sensing*, 2003, vol. 69, issue 9; and the *Remote Sensing of Environment* special issue on urban thermal remote sensing published in 2006. It appears that increasing numbers of universities in the United States and other countries have started offering courses on remote sensing of urban and suburban areas.

To meet the growing interest in applications of remote sensing technology to urban and suburban areas, we have assembled a team of experts to write a book on

urban remote sensing. For the first time, this book systematically examines all aspects of the field. Each chapter follows a similar prototype, including such elements as literature review of basic concepts and methodologies, case studies, methods for applying up-to-date techniques, and analysis of results. This book may be used as a textbook for upper-division undergraduate and graduate students; however, it can also serve as a reference book for researchers or individuals in academia and governmental and commercial sectors who are interested in remote sensing of cities.

This book consists of five parts. Part I focuses on data, sensors, and system considerations and algorithms for urban feature extraction. Part II analyzes urban landscapes in terms of composition and structure, using subpixel analysis techniques particularly. Part III presents methods for monitoring, analyzing, and modeling urban growth. Part IV illustrates various approaches to urban planning and socioeconomic applications of urban remote sensing. Part V assesses progress made to date, identifies existing problems and challenges, and demonstrates new developments and trends in urban remote sensing.

The three chapters in Part I are concerned with extracting urban buildings and other features. These researchers utilize an electro-optical sensor and two range sensors — LIDAR and interferometric SAR, respectively. Chapter 1 describes algorithms and methods for large-scale urban orthoimage generation. The experiment conducted by these contributors in Denver, Colorado, demonstrates that buildings and bridges can be placed with accurate upright, planimetric locations and that sidewalks and roads can be completely visible. LIDAR (light detection and ranging) technology provides a unique and promising solution to extracting urban features (Ackermann, 1999). Chapter 2 presents an approach to building extraction from nonground LIDAR points, with three sequential steps: building segmentation, boundary tracing, and regulation. The approach was tested with success in urban areas in Baltimore, Maryland, Osaka, Japan, and Toronto, Canada. Chapter 3 focuses on acquisition and segmentation of interferometric SAR data for reconstruction of buildings with a model-based approach.

One of the most widely used applications of remote sensing technology in urban areas focuses on the characterization, identification, classification, and quantification of urban construction materials, composition, and structure. Chapter 4 applies the vegetation–impervious surface–soil concept (Ridd, 1995) and spectral mixture analysis technique for a subpixel analysis of the urban landscape structure and dynamics in Indianapolis, Indiana. The potentials and limitations of spectral mixture analysis for characterizing urban landscapes are also examined. Chapter 5 introduces a new approach, the Bayesian spectral mixture analysis, in which endmember spectral signatures are no longer assumed as constants. Instead, they are represented by probability density functions and thus can incorporate the natural variability of endmember spectral signatures. Because of the complexity of urban landscapes, lack of spatial consideration in traditional per-pixel classifiers, and inconsistencies between scale of observation (i.e., pixel resolution) and spatial characteristics of the target (Mather, 1999), traditional image classification approaches such as the maximum-likelihood classifier are ineffective in classifying urban land use and land cover. Chapter 6 examines how various geospatial approaches can be used to extract textures of land-use or land-cover classes to improve classification accuracy. Urban

areas are characterized by a large diversity of materials, such as impervious surfaces, vegetation, soils, water, and so on. Chapter 7 applies imaging spectrometry to urban areas, especially for characterization of artificial and man-made surfaces. The authors provide a summary of the current state of knowledge of imaging spectrometry and, through case studies, also show how this technology can support urban applications.

Part III focuses on urban land-change detection, growth monitoring, modeling, and prediction. Chapter 8 applies a neural network-based spatiotemporal data mining method to simulate and predict urban expansion in St. Louis, Missouri. Chapter 9 uses subpixel impervious surfaces derived from satellite remote sensing data in conjunction with digital orthophotography to analyze urban expansion in the Las Vegas, Nevada, metropolitan area from 1984 to 2002 and in the Tampa Bay, Florida, area from 1991 to 2002. Subpixel impervious surfaces were found to be capable of providing quantitative measurements of the spatial extents, development densities, and temporal changes of urban land. Chapter 10 examines the potential of remote sensing as it may contribute to urban growth theory and modeling. By citing examples of urban dynamics analysis, this chapter has outlined a general framework for urban growth and developed the basis for combining remotely sensed data and spatial measurements (metrics) to aid in development and validation of new urban growth theory assessments.

Remote sensing data and research results have been applied to many environmental and socioeconomic applications, such as urban heat islands (Quattrochi et al., 2000; Weng, 2001), urban environmental quality (Nichol and Wong, 2005), and estimation of demographic and socioeconomic variables (Lo and Faber, 1997; Thomson and Hardin, 2000; Li and Weng, 2005). The five chapters in Part IV illustrate the current state of these applications. Chapter 11 investigates the impact of urbanization on land-surface temperatures and urban heat island phenomenon in San Juan, Puerto Rico, using remote sensing, *in situ* field measurement, and numerical modeling techniques. Chapter 12 investigates integration of environmental data sets derived from remotely sensed images with other environmental variables for assessment of urban environmental quality in Hong Kong. Urban environmental quality index maps were generated at the levels of pixels and administrative regions, with principal component analysis and GIS overlay as the methods of data integration. Chapter 13 examines various methods for population estimation and interpolation and illustrates them with specific examples. The examples in this chapter particularly highlight the use of recently available high spatial-resolution satellite data to study intraurban population characteristics. Chapter 14 provides a summary of ways in which nighttime imagery has been used to study socioeconomic variables and urban environments and suggests potential improvements on these methods if finer resolution sensors become available. In particular, the Defense Meteorological Satellite Program's Operational Linescan System (DMSP OLS) data products are explored for use in understanding urban and exurban areas. Chapter 15 develops a methodology for assessing urban quality of life based on integration of Landsat Enhanced Thematic Mapper Plus (ETM+) imagery and Census 2000 data within a GIS framework. The model developed for Marion County, Indiana, was applied to Monroe and Vigo Counties in the same state for validation.

The last part of the book is concerned with the current state of urban remote sensing, problems encountered in the past, and trends for future development.

Remote sensing of urban areas has relied primarily on three spectral regions: visible through near infrared, thermal infrared, and microwave. Chapter 16 explores strengths and weaknesses of using the middle infrared (3 to 5 μm) spectral region for characterization of urban and suburban environments and makes suggestions for future direction of development. Chapter 17 discusses recent development of very high- and ultrahigh-resolution satellite, digital airborne, and LIDAR sensors and their impacts on processing techniques. The final chapter, Chapter 18, compares the capacities and trade-offs of very high spatial resolution and very high spectral resolution sensors for urban mapping. A case study of land-cover classification around the area of the castle of Pavia, Italy suggests that when high-spectral and high-spatial resolutions are not available at the same time, the former seems to be more valuable than the latter, provided that some minimum requirements are met for both.

REFERENCES

- Ackermann, F., 1999. Airborne laser scanning — present status and future expectations, *ISPRS J. Photogrammetry Remote Sensing*, 54(2–3), July, 64–67.
- Li, G. and Weng, Q., 2005. Using Landsat ETM+ imagery to measure population density in Indianapolis, Indiana, *Photogrammetric Eng. Remote Sensing*, 71, 947–958.
- Lo, C.P. and Faber, B.J., 1997. Integration of Landsat Thematic Mapper and census data for quality-of-life assessment, *Remote Sensing Environ.*, 62, 143–157.
- Mather, P., 1999. Land-cover classification revisited, in Atkinson, P.M. and N.J. Tate (Eds.), *Advances in Remote Sensing and GIS Analysis*, John Wiley & Sons, New York, 7–16.
- Nichol, J. and Wong, M.S., 2005. Modeling urban environmental quality in a tropical city, *Landscape Urban Plann.*, 73, 49–58.
- Quattrochi, D.A., Luvall, J.C., Rickman, D.L., Estes, M.G., Laymon, C.A., and Howell, B.F., 2000. A decision support information system for urban landscape management using thermal infrared data, *Photogrammetric Eng. Remote Sensing*, 66, 1195–1207.
- Ridd, M.K., 1995. Exploring a V-I-S (vegetation–impervious surface–soil) model for urban ecosystem analysis through remote sensing: comparative anatomy for cities, *Int. J. Remote Sensing*, 16, 2165–2185.
- Thomson, C.N. and Hardin, P., 2000. Remote sensing/GIS integration to identify potential low-income housing sites, *Cities*, 17, 97–109.
- Weng, Q., 2001. A remote-sensing GIS evaluation of urban expansion and its impact on surface temperature in the Zhujiang Delta, China, *Int. J. Remote Sensing*, 22, 1999–2014.

Table of Contents

An Introduction to Urban Remote Sensing

Part I

| | |
|--------------------------------|---|
| Urban Feature Extraction | 1 |
|--------------------------------|---|

| | |
|--|---|
| Chapter 1 True Orthoimage Generation for Urban Areas with Very High Buildings | 3 |
|--|---|

Guoqing Zhou and John A. Kelmelis

| | |
|---|----|
| Chapter 2 Urban Terrain and Building Extraction from Airborne LIDAR Data | 21 |
|---|----|

Jie Shan and Aparajithan Sampath

| | |
|--|----|
| Chapter 3 Reconstruction of Buildings in SAR Imagery of Urban Areas | 47 |
|--|----|

Uwe Stilla and Uwe Soergel

Part II

| | |
|---------------------------------------|----|
| Urban Composition and Structure | 69 |
|---------------------------------------|----|

| | |
|--|----|
| Chapter 4 Subpixel Analysis of Urban Landscapes | 71 |
|--|----|

Qihao Weng and Dengsheng Lu

| | |
|--|----|
| Chapter 5 Bayesian Spectral Mixture Analysis for Urban Vegetation | 91 |
|--|----|

Conghe Song

| | |
|---|-----|
| Chapter 6 Urban Mapping with Geospatial Algorithms | 109 |
|---|-----|

Soe W. Myint

| | |
|---|-----|
| Chapter 7 Applying Imaging Spectrometry in Urban Areas | 137 |
|---|-----|

Martin Herold, Sebastian Schiefer, Patrick Hostert, and Dar A. Roberts

Part III

| | |
|---------------------------|-----|
| Urban Land Dynamics | 163 |
|---------------------------|-----|

| | |
|--|-----|
| Chapter 8 Urban Land Use Prediction Model with Spatiotemporal Data Mining and GIS | 165 |
|--|-----|

Weiguo Liu, Karen C. Seto, Zhanli Sun, and Yong Tian

| | |
|---|-----|
| Chapter 9 Assessing Urban Growth with Subpixel Impervious Surface Coverage | 179 |
|---|-----|

George Xian

| | | |
|-------------------|--|-----|
| Chapter 10 | Remote Sensing and Urban Growth Theory | 201 |
|-------------------|--|-----|

Martin Herold, Jeff Hemphill, and Keith C. Clarke

Part IV

| | |
|--|-----|
| Urban Planning and Socioeconomic Applications | 221 |
|--|-----|

| | | |
|-------------------|---|-----|
| Chapter 11 | Urban Heat Island Identification and Climatologic Analysis in a Coastal, Tropical City: San Juan, Puerto Rico | 223 |
|-------------------|---|-----|

Jorge E. González, Jeffrey C. Luvall, Douglas L. Rickman, Daniel Comarazamy, and Ana J. Picón

| | | |
|-------------------|--|-----|
| Chapter 12 | Assessing Urban Environmental Quality with Multiple Parameters | 253 |
|-------------------|--|-----|

Janet Elizabeth Nichol and Man Sing Wong

| | | |
|-------------------|--|-----|
| Chapter 13 | Population Estimation and Interpolation Using Remote Sensing | 269 |
|-------------------|--|-----|

Xiaohang Liu and Martin Herold

| | | |
|-------------------|---|-----|
| Chapter 14 | Sociodemographic Characterization of Urban Areas Using Nighttime Imagery, Google Earth, Landsat, and “Social” Ground Truthing | 291 |
|-------------------|---|-----|

Paul C. Sutton, Matthew J. Taylor, Sharolyn Anderson, and Christopher D. Elvidge

| | | |
|-------------------|---|-----|
| Chapter 15 | Integration of Remote Sensing and Census Data for Assessing Urban Quality of Life: Model Development and Validation | 311 |
|-------------------|---|-----|

Guiying M. Li and Qihao Weng

Part V

| | |
|--|-----|
| Progress, Problems, and Prospects | 337 |
|--|-----|

| | | |
|-------------------|--|-----|
| Chapter 16 | Mapping Human Settlements Using the Middle Infrared (3–5 μm): Advantages, Prospects, and Limitations | 339 |
|-------------------|--|-----|

Geoffrey M. Henebry

| | | |
|-------------------|--|-----|
| Chapter 17 | New Developments and Trends for Urban Remote Sensing | 357 |
|-------------------|--|-----|

Manfred Ehlers

| | | |
|-------------------|---|-----|
| Chapter 18 | Spectral Resolution in the Context of Very High Resolution Urban Remote Sensing | 377 |
|-------------------|---|-----|

Paolo Gamba and Fabio Dell’Acqua

| | |
|-------------------------------------|-----|
| About the Contributors | 393 |
|-------------------------------------|-----|

| | |
|--------------------|-----|
| Index | 405 |
|--------------------|-----|

Part I

Urban Feature Extraction

