

INTERNATIONAL ENCYCLOPEDIA OF ROBOTICS

Applications and Automation

Volume 1

RICHARD C. DORF

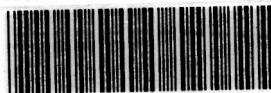
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VOLUME 1

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FOREWORD

Looking Ahead

In 1939, when I was 19 years old, I began to write a series of science fiction stories about robots. At the time, the word *robot* had been in existence for only 18 years; Karel Capek's play, *R.U.R.*, in which the word had been coined, having been performed for the first time in Europe in 1921. The concept, however, that of machines that could perform tasks with the apparent "intelligence" of human beings, had been in existence for thousands of years.

Through all those years, however, robots in myth, legend, and literature had been designed only to point a moral. Generally, they were treated as examples of overweening pride on the part of the human designer; an effort to accomplish something that was reserved to God alone. And, inevitably, this overweening pride was overtaken by Nemesis (as it always is in morality tales), so that the designer was destroyed, usually by that which he had created.

I grew tired of these myriad-told tales, and decided I would tell of robots that were carefully designed to perform certain tasks, but with *safeguards built in*; robots that might conceivably be dangerous, as any machine might be, but no more so.

In telling these tales, I worked out, perforce, certain rules of conduct that guided the robots; rules that I dealt with in a more and more refined manner over the next 44 years (my most recent robot novel, *The Robots of Dawn*, was published in October 1983). These rules were first put into words in a story called "Runaround," which appeared in the March 1942, issue of *Astounding Science Fiction*.

In that issue, on page 100, one of my characters says, "Now, look, let's start with the three fundamental Rules of Robotics . . ." and he proceeds to recite them. (In later stories, I took to referring to them as "the Three Laws of Robotics" and other people generally say "Asimov's Three Laws of Robotics.")

I am carefully specific about this point because that line on that page in that story was, as far as I know, the very first time and place that the word *robotics* had ever appeared in print.

I did not deliberately make up the word. Since *physics* and most of its subdivisions routinely have the "-ics" suffix, I assumed that "robotics" was the proper scientific term for the

systematic study of robots, of their construction, maintenance, and behavior, and that it was used as such. It was only decades later that I became aware of the fact that the word was in no dictionary, general or scientific, and that I had coined it.

Possibly every person has a chance at good fortune in his life, but there can't be very many people who have had the incredible luck to live to see their fantasies begin to turn into reality.

I think sadly, for instance, of a good friend of mine who did not. He was Willy Ley who, for all his adult life was wedded to rocketry and to the dream of reaching the moon; who in his early twenties helped found rocket research in Germany; who, year after year wrote popular books on the subject; who, in 1969, was preparing to witness the launch of the first rocket intended to land on the moon; and who then died six weeks before that launch took place.

Such a tragedy did not overtake me. I lived to see the transistor invented, and solid-state devices undergo rapid development until the microchip became a reality. I lived to see Joseph Engelberger (with his interest sparked by my stories, actually) found Unimation, Inc., and then keep it going, with determination and foresight, until it actually constructed and installed industrial robots and grew enormously profitable. His devices were not quite the humanoid robots of my stories, but in many respects they were far more sophisticated than anything I had ever been equipped to imagine. Nor is there any doubt that the development of robots more like mine, with the capacities to see and to talk, for instance, are very far off.

I lived to see my Three Laws of Robotics taken seriously and routinely referred to in articles on robotics, written by real roboticists, as in a couple of cases in this volume. I lived to see them referred to familiarly, even in the popular press, and identified with my name, so that I can see I have secured for myself (all unknowingly, I must admit) a secure footnote in the history of science.

I even lived to see myself regarded with a certain amount of esteem by legitimate people in the field of robotics, as a kind of grandfather of them all, even though, in actual fact, I am merely a chemist by training and a science-fiction writer by choice—and know virtually nothing about the nuts and bolts of robotics; or of computers, for that matter.

But even after I thought I had grown accustomed to all of

this, and had ceased marveling over this amazing turn of the wheel of fortune, and was certain that there was nothing left in this situation that had the capacity to surprise me, I found I was wrong. Let me explain . . .

In 1950 nine of my stories of robots were put together into a volume entitled *I, Robot* (the volume, as it happens, that was to inspire Mr. Engelberger).

On the page before the table of contents, there are inscribed, in lonely splendor *The Three Laws of Robotics*:

1. *A robot may not injure a human being, or, through inaction, allow a human being to come to harm.*
2. *A robot may obey the orders given it by human beings except where such orders would conflict with the First Law.*
3. *A robot must protect its own existence as long as such protection does not conflict with First or Second Law.*

Never, until it actually happened, did I ever believe that I would really live to see robots, really live to see my three laws quoted everywhere.

Nor did it ever occur to me that I would live to see a vast three-volume *International Encyclopedia of Robotics* in which there would be enormous quantities of data and to which I

would write the foreword (one that has already appeared in essence in the *Handbook of Industrial Robotics*, edited by Shimon Y. Nof).

It takes no great imagination to see that the *Encyclopedia* will increase in length and detail from edition to edition.

I see the world, and the human outposts on other worlds and in space, filled with cousin-intelligences of two entirely different types. I see silicon-intelligence (robots) that can manipulate numbers with incredible speed and precision and that can perform operations tirelessly and with perfect reproducibility; and I see carbon-intelligence (human beings) that can apply intuition, insight, and imagination to the solution of problems on the basis of what would seem insufficient data to a robot. I see the former building the foundations of a new, and unimaginably better society than any we have ever experienced; and I see the latter building the superstructure, with a creative fantasy we dare not picture now.

I see the two together advancing far more rapidly than either could alone. And though this, alas, I will not live to see, I am confident our children and grandchildren will, and that future editions of this *Encyclopedia* will detail the process.

ISAAC ASIMOV
New York, New York

PREFACE

Robotics and automation are critical ingredients in the world's efforts towards an improved standard of living for all. Automation, the automatic operation of processes, and robotics, which includes the manipulator, controller and associated devices, are all critical to effective operation of our plants, factories, and institutions. In this *Encyclopedia* we have taken both an encyclopedic and international view of this field of robotics. Thus, we include numerous articles written by international experts and have striven to include all the associated theoretical aspects of the field as well as most of the present and future applications of robots in the factory, office, and home.

The *International Encyclopedia of Robotics* defines the discipline and the practice of robotics by bringing together the core of knowledge and practice from the field and all closely related fields. The *Encyclopedia* is written primarily for the professional who seeks to understand and use robots and automation. The *Encyclopedia* has made significant contributions to the literature, not only because it brings many disciplines into one comprehensive reference, but also because it contains many articles that bring new or fresh insights.

The articles and the authors invited to write them were chosen with the cooperation of an editorial advisory board of distinguished authorities. The author of each article is a recognized research expert on the topic. Each article had a bibliography and extensive cross-references to other articles. The reader may start with almost any article and be led by cross-references to almost every other article in the *Encyclopedia*. There are more than 2000 tables and figures. Stressing readability, accuracy, and completeness of facts as well as overall usefulness of material, this great work brings you the result of years of labor and experience.

I became involved in the project to develop this *Encyclopedia* in the fall of 1984 when I was approached by Martin Gray-

son of John Wiley & Sons, Inc., and Professor Shimon Nof of Purdue University. Although I was warned by several people that this would involve a great effort, the opportunity to help create a definitive and comprehensive view of the field, authored by a wide variety of experts, each writing on his or her own area of expertise, and the promise of significant help from Wiley's Encyclopedia Department lured me onward. With the excellent assistance of Shimon Nof, the consulting editor, we put together an outstanding team of writers and reviewers. Michalina Bickford joined us as the managing editor and performed superbly.

Robotics is a relatively young field, and still has controversy about what it is and about what constitutes good and valuable research and application. Some researchers felt that an encyclopedia was premature. There was some controversy about the selection of articles. Nevertheless, I was extremely gratified with the number of people who were willing to take time from their already busy schedules to write and to review articles. Those involved constitute a significant percentage of all active practitioners, from all the different companies and major research institutes and universities.

I am grateful to many people whose efforts have gone into making this *Encyclopedia*: Shimon Nof and Martin Grayson, who started it; the members of the editorial board, who defined it; Michalina Bickford, who managed it all; and the authors and reviewers, who created it.

Finally, my sincere appreciation goes to Joy, my wife who, as a humanist, has questioned and refined my views of the benefits and uses of robots, automation, and machines in the workplace and elsewhere.

RICHARD C. DORF
Davis, California

CONVERSION FACTORS, ABBREVIATIONS AND UNIT SYMBOLS

Selected SI Units (Adopted 1960)

<i>Quantity</i>	<i>Unit</i>	<i>Symbol</i>	<i>Acceptable equivalent</i>
BASE UNITS			
length	meter [†]	m	
mass [‡]	kilogram	kg	
time	second	s	
electric current	ampere	A	
thermodynamic temperature [§]	kelvin	K	

DERIVED UNITS AND OTHER ACCEPTABLE UNITS

* absorbed dose	gray	Gy	J/kg
acceleration	meter per second squared	m/s ²	
* activity (of ionizing radiation source)	becquerel	Bq	1/s
area	square kilometer	km ²	
	square hectometer	hm ²	ha (hectare)
	square meter	m ²	
density, mass density	kilogram per cubic meter	kg/m ³	g/L; mg/cm ³
* electric potential, potential difference, electromotive force	volt	V	W/A
* electric resistance	ohm	Ω	V/A
* energy, work, quantity of heat	megajoule	MJ	
	kilojoule	kJ	
	joule	J	N·m
	electron volt ^x	eV ^x	
	kilowatt hour ^x	kW·h ^x	
* force	kilonewton	kN	
	newton	N	kg·m/s ²
* frequency	megahertz	MHz	
	hertz	Hz	1/s
heat capacity, entropy	joule per kelvin	J/K	
heat capacity (specific), specific entropy	joule per kilogram kelvin	J/(kg·K)	
heat transfer coefficient	watt per square meter		
	kelvin	W/(m ² ·K)	
linear density	kilogram per meter	kg/m	

magnetic field strength	ampere per meter	A/m	
moment of force, torque	newton meter	N·m	
momentum	kilogram meter per second	kg·m/s	
* power, heat flow rate,	kilowatt	kW	
radiant flux	watt	W	J/s
power density, heat flux density, irradiance	watt per square meter	W/m ²	
* pressure, stress	megapascal	MPa	
	kilopascal	kPa	
	pascal	Pa	
sound level	decibel	dB	
specific energy	joule per kilogram	J/kg	
specific volume	cubic meter per kilogram	m ³ /kg	
surface tension	newton per meter	N/m	
thermal conductivity	watt per meter kelvin	W/(m·K)	
velocity	meter per second	m/s	
	kilometer per hour	km/h	
viscosity, dynamic	pascal second	Pa·s	
	millipascal second	mPa·s	
volume	cubic meter	m ³	
	cubic decimeter	dm ³	L(liter)
	cubic centimeter	cm ³	mL

* The asterisk denotes those units having special names and symbols.

† The spellings "metre" and "litre" are preferred by ASTM; however "er-" is used in the Encyclopedia.

‡ "Weight" is the commonly used term for "mass."

§ Wide use is made of "Celsius temperature" (*t*) defined by

$$t = T - T_0$$

where *T* is the thermodynamic temperature, expressed in kelvins, and *T*₀ = 273.15 by definition. A temperature interval may be expressed in degrees Celsius as well as in kelvins.

* This non-SI unit is recognized by the CIPM as having to be retained because of practical importance or use in specialized fields.

In addition, there are 16 prefixes used to indicate order of magnitude, as follows:

<i>Multiplication factor</i>	<i>Prefix</i>	<i>Symbol</i>	<i>Note</i>
10 ¹⁸	exa	E	
10 ¹⁵	peta	P	
10 ¹²	tera	T	
10 ⁹	giga	G	
10 ⁶	mega	M	
10 ³	kilo	k	
10 ²	hecto	h ^a	
10	deka	da ^a	
10 ⁻¹	deci	d ^a	
10 ⁻²	centi	c ^a	
10 ⁻³	milli	m	
10 ⁻⁶	micro	μ	
10 ⁻⁹	nano	n	
10 ⁻¹²	pico	p	
10 ⁻¹⁵	femto	f	
10 ⁻¹⁸	atto	a	

^a Although hecto, deka, deci, and centi are SI prefixes, their use should be avoided except for SI unit-multiples for area and volume and nontechnical use of centimeter, as for body and clothing measurement.

Conversion Factors to SI Units

<i>To convert from</i>	<i>To</i>	<i>Multiply by</i>
acre	square meter (m ²)	4.047×10^3
angstrom	meter (m)	$1.0 \times 10^{-10}^\dagger$
atmosphere	pascal (Pa)	1.013×10^5
bar	pascal (Pa)	$1.0 \times 10^{5\dagger}$
barn	square meter (m ²)	$1.0 \times 10^{-28\dagger}$
barrel (42 U.S. liquid gallons)	cubic meter (m ³)	0.1590
Btu (thermochemical)	joule (J)	1.054×10^3
bushel	cubic meter (m ³)	3.524×10^{-2}
calorie (thermochemical)	joule (J)	4.184^\dagger
centipoise	pascal second (Pa·s)	$1.0 \times 10^{-3\dagger}$
cfm (cubic foot per minute)	cubic meter per second (m ³ /s)	4.72×10^{-4}
cubic inch	cubic meter (m ³)	1.639×10^{-5}
cubic foot	cubic meter (m ³)	2.832×10^{-2}
cubic yard	cubic meter (m ³)	0.7646
dram (apothecaries')	kilogram (kg)	3.888×10^{-3}
dram (avoirdupois)	kilogram (kg)	1.772×10^{-3}
dram (U.S. fluid)	cubic meter (m ³)	3.697×10^{-6}
dyne	newton (N)	$1.0 \times 10^{-5\dagger}$
dyne/cm	newton per meter (N/m)	$1.0 \times 10^{-3\dagger}$
fluid ounce (U.S.)	cubic meter (m ³)	2.957×10^{-5}
foot	meter (m)	0.3048^\dagger
gallon (U.S. dry)	cubic meter (m ³)	4.405×10^{-3}
gallon (U.S. liquid)	cubic meter (m ³)	3.785×10^{-3}
gallon per minute (gpm)	cubic meter per second (m ³ /s)	6.308×10^{-5}
	cubic meter per hour (m ³ /h)	0.2271
grain	kilogram (kg)	6.480×10^{-5}
horsepower (550 ft·lbf/s)	watt (W)	7.457×10^2
inch	meter (m)	$2.54 \times 10^{-2\dagger}$
inch of mercury (32°F)	pascal (Pa)	3.386×10^3
inch of water (39.2°F)	pascal (Pa)	2.491×10^2
kilogram-force	newton (N)	9.807
kilowatt hour	megajoule (MJ)	3.6^\dagger
liter (for fluids only)	cubic meter (m ³)	$1.0 \times 10^{-3\dagger}$
micron	meter (m)	$1.0 \times 10^{-6\dagger}$
mil	meter (m)	$2.54 \times 10^{-5\dagger}$
mile (statute)	meter (m)	1.609×10^3
mile per hour	meter per second (m/s)	0.4470
millimeter of mercury (0°C)	pascal (Pa)	$1.333 \times 10^{2\dagger}$
ounce (avoirdupois)	kilogram (kg)	2.835×10^{-2}
ounce (troy)	kilogram (kg)	3.110×10^{-2}
ounce (U.S. fluid)	cubic meter (m ³)	2.957×10^{-5}
ounce-force	newton (N)	0.2780
peck (U.S.)	cubic meter (m ³)	8.810×10^{-3}
pennyweight	kilogram (kg)	1.555×10^{-3}
pint (U.S. dry)	cubic meter (m ³)	5.506×10^{-4}
pint (U.S. liquid)	cubic meter (m ³)	4.732×10^{-4}
poise (absolute viscosity)	pascal second (Pa·s)	0.10^\dagger
pound (avoirdupois)	kilogram (kg)	0.4536
pound (troy)	kilogram (kg)	0.3732
pound-force	newton (N)	4.448
pound-force per square inch (psi)	pascal (Pa)	6.895×10^3
quart (U.S. dry)	cubic meter (m ³)	1.101×10^{-3}
quart (U.S. liquid)	cubic meter (m ³)	9.464×10^{-4}
quintal	kilogram (kg)	$1.0 \times 10^{2\dagger}$

rad	gray (Gy)	$1.0 \times 10^{-2\dagger}$
square inch	square meter (m ²)	6.452×10^{-4}
square foot	square meter (m ²)	9.290×10^{-2}
square mile	square meter (m ²)	2.590×10^6
square yard	square meter (m ²)	0.8361
ton (long, 2240 pounds)	kilogram (kg)	1.016×10^3
ton (metric)	kilogram (kg)	$1.0 \times 10^{3\dagger}$
ton (short, 2000 pounds)	kilogram (kg)	9.072×10^2
torr	pascal (Pa)	1.333×10^2
yard	meter (m)	0.9144^\dagger

† Exact.

ABBREVIATIONS AND ACRONYMS

A	ampere	DDM	direct drive motor
AACW	active adaptive compliance wrist	DMA	direct memory access
ac	alternating current (<i>noun</i>)	DNC	direct numerical control
a-c	alternating current (<i>adjective</i>)	DOD	Department of Defense (U.S.)
ACI	automatic component insertion	DOF	degree of freedom
AFR	Air Force Regulation	DOT	Department of Transportation (U.S.)
AGV	automated guided vehicle	DOM	design of maintenance
AGVS	automated guided vehicle system		
AI	artificial intelligence	EEC	European Economic Community
AMR	autonomous mobile robot	eg	For example (<i>est gratia</i>)
ANSI	American National Standards Institute	EIA	Electronic Industries Association
ASME	American Society of Mechanical Engineers	EOD	explosive ordnance disposal
ASTM	American Society for Testing and Materials	EPA	Environmental Protection Agency (U.S.)
ATC	automatic tool changes	est	estimated
ATE	automotive test equipment	ESU	emergency service unit
avg	average		
		°F	degrees Fahrenheit
BCD	binary coded decimal	FAA	Federal Aviation Administration (U.S.)
bpa	basic probability assignment	FDM	frequency division multiplexing
bps	bits per second	FMC	flexible manufacturing cell
BWR	boiling water reactor	FMS	flexible manufacturing system
		FOF	factory of the future
°C	degrees Celsius	ft	foot
ca	approximately (<i>circa</i>)	ft-lbf	foot-pound force (1.356 J)
CAD	computer-aided design	FTAM	file, transfer, access, and management
CAE	computer-aided engineering		
CAM	computer-aided manufacturing	g	gravitational acceleration
CAPP	computer-aided production planning	g	gram
CAT	computer-aided testing	gal	gallon (3.785 L in the U.S.)
CFR	Code of Federal Regulations	GC	gas chromatography
CIM	computer-integrated manufacturing	GDB	global data base
CL	control law	gf	gram force (0.0098 N)
CMM	coordinate measuring machine	GNP	Gross National Product
CMU	Carnegie Mellon University	GPS	general problem solver
CNC	computer numerical control	gy	gray (10 ⁻²)
CPPP	computerized production process planning		
CPU	central processing unit; control process unit	h	hour
CRC	computer robot control	hp	horsepower (746 W)
CRT	cathode ray tube	Hz	Hertz (cycles per second)
DAC	digital to analogue converter	IC	integrated circuit
dc	direct current (<i>noun</i>)	ICAO	International Civil Aviation Organization
d-c	direct current (<i>adjective</i>)	IEEE	Institute of Electrical and Electronic Engineers
DCF	discounted cash flow	I/O	integrated circuit

IQR	interquartile range	PCM	pulse code modulation
IRR	internal rate of return	psi	pound (force) per square inch (6.893 kPa)
IRS	Internal Revenue Service (U.S.)	psig	psi pressure gauge
ISO	International Organization for Standardization	PWA	printed wire assembly
IWP	intelligent work in process	PWM	pulse-width modulation
		PWR	pressure water reactor
J	Joule (energy)	qv	which see (<i>quo vide</i>)
JIT	Just-in-time		
JPL	Jet Propulsion Laboratory	RAM	random access memory
K	Kelvin	RCC	remote center compliance
kgf	kilogram-force (9.086 N)	RCMC	resolved motion rate control
kJ	kilojoule	R&D	research and development
km	kilometer	rf	radio frequency (<i>noun</i>)
kPa	kilopascal (0.145 psi)	r-f	radio frequency (<i>adjective</i>)
		RGS	remote guidance system
L	liter (volume)	rh	relative humidity
LAN	local area networks	RIA	Robotics Institute of America
lb	pound (mass) (453.6 g)	RLL	relay ladder language
lbf	pound force (4.448 N)	RM	remote mobile investigator
LCD	liquid crystal display	ROI	return on investment
LED	light-emitting diode	ROM	read only memory
LTD	long term debt	RPS	robot programming system
LTP	local tracking problem	RPV	remotely piloted vehicle
LVDT	linear variable differential transformer	RTM	Robot time and motion
m	meter	s	second
MAP	manufacturing automation protocol	SAT	symmetric axis transform
MARR	minimum attractive rate of return	SCA	sensor-controlled automation
max	maximum	SCARA	selective compliance-assembly robot arm
mg	milligram	SIC	Standard Industrial Classification
MHS	material handling system	SMC	surface mounted components
MICAPP	microcomputer-assisted process planning program	SMD	surface mount devices
min	minute; minimum	SME	Society of Manufacturing Engineers
MLS	master laboratory station	SOC	self organizing control
MMFS	manipulating message format standard	SRBP	synthetic resin bonded paper
MMS	maintenance manipulator system	SRI	Stanford Research Institute
MP	microprocessor	SUNY	State University of New York
ms	millisecond	SUR	speech understanding research
MTBF	mean time between failures	SUS	speech understanding system
MTTR	mean time to repair		
		t	metric ton
N	Newton	T	temperature
na	not available	TDL	task description language
NBS	National Bureau of Standards	TDM	time division multiplexing
NC	numerically controlled	TMI	Three Mile Island
NRC	Nuclear Regulatory Commission (U.S.)	TMV	technical maintenance vehicle
NYPD	New York City Police Department		
		UIMS	user interface management system
OD	outer diameter	uv	ultraviolet
OI	operation interface	UVS	unmanned vehicle system
OLP	off-line program		
OSHA	Occupational Safety and Health Administration (U.S.)	WIP	work in progress
OTA	Office of Technology Assessment (U.S.)	WM	working memory
		V	volt
Pa	Pascal (pressure)	VLSI	very large scale integration
PC	programmable controller; programmable logic controller; personal computer	vs	versus
		yr	year