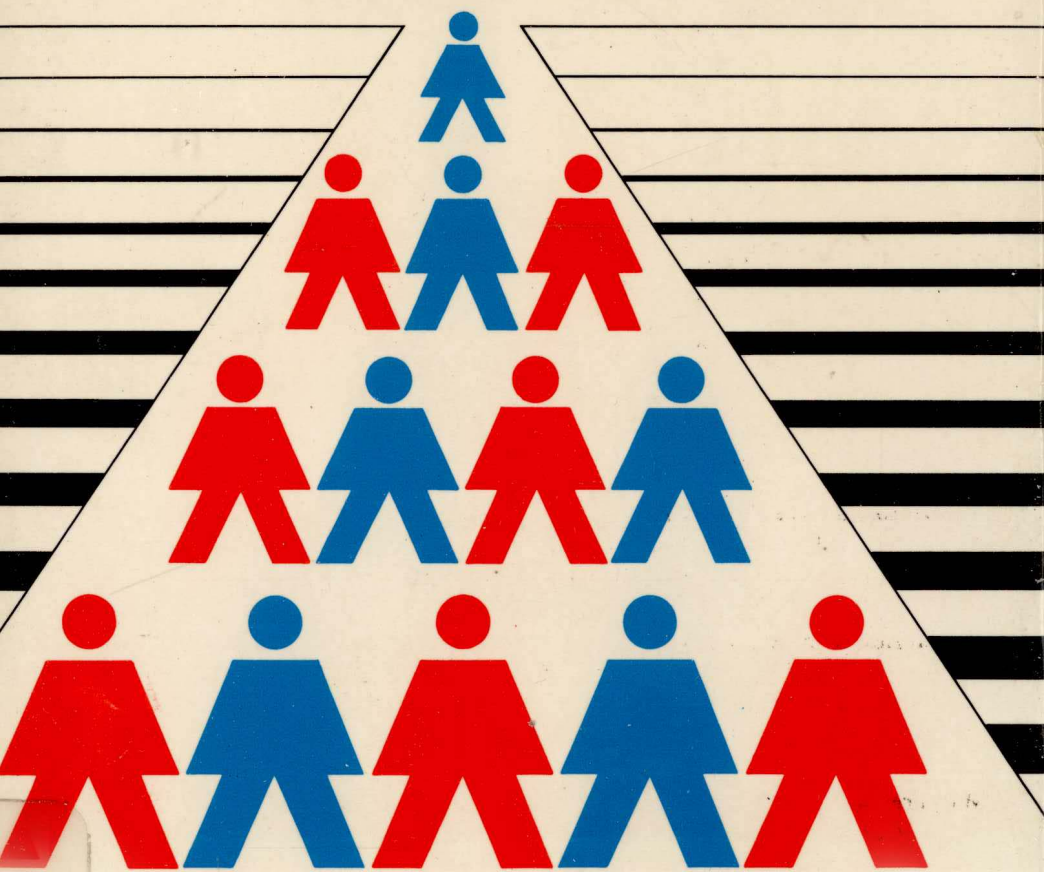




The Falmer Press  
Politics and Education Series

# SOCIETY, STATE AND SCHOOLING



Michael Young  
and Geoff Whitty

# **SOCIETY, STATE AND SCHOOLING**

**Readings on  
the Possibilities for  
Radical Education**

**Edited and introduced by  
Michael Young  
and Geoff Whitty**

 **The Falmer Press**

© This selection and editorial matter copyright M. Young and G. Whitty. All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic mechanical, photocopying, recording or otherwise, without prior permission of the copyright owners.

First published 1977

ISBN 0 905273 02 8 cased

ISBN 0 905273 01 X paper

Printed and bound in Hong Kong for  
The Falmer Press  
Falmer House  
Barcombe, Lewes  
Sussex BN8 5DL  
England

# Contents

|  |     |
|--|-----|
| Introduction: Perspectives on Education and Society<br>Michael Young and Geoff Whitty  | 1   |
| 1.0 Locating the Problem:<br>Introduction  | 16  |
| 1.1 Sociology and the Problem of Radical Educational Change: Notes towards a reconceptualization of the 'new' sociology of education<br>Geoff Whitty | 26  |
| 1.2 Philosophers, Sociologists and Knowledge in Education<br>John Ahier  | 59  |
| 1.3 Education as Reproduction: A critical examination of some aspects of the work of Louis Althusser<br>Michael Erben and Denis Gleeson              | 73  |
| 1.4 Ivan Illich and Deschooling Society: The politics of slogan systems<br>Michael W. Apple  | 93  |
| 2.0 Towards a Critical Theory:<br>Introduction   | 122 |
| 2.1 Technical Intelligence and the Capitalist Division of Labour<br>Andre Gorz   | 131 |
| 2.2 School Knowledge, Evaluation and Alienation<br>Ian Hextall and Madan Sarup   | 151 |
| 2.3 Education and the Social Relations of a Capitalist Society<br>Douglas Holly  | 172 |
| 2.4 Capitalism and Education in the United States<br>Samuel Bowles and Herbert Gintis  | 192 |
| 3.0 Beyond Critiques:<br>Introduction  | 228 |

|   |     |
|---|-----|
| 3.1 Curriculum Change: Limits and Possibilities |     |
| Michael Young                                   | 236 |
| 3.2 The Politics of Education                   |     |
| Simon Frith and Paul Corrigan                   | 253 |
| 4.0 Postscript                                  |     |
| Michael Young and Geoff Whitty                  | 269 |
| Contributors                                    | 273 |
| Author Index                                    | 275 |
| Subject Index                                   | 279 |

# Introduction: Perspectives on Education and Society

Michael Young and Geoff Whitty

FROM ACCESS TO IDEOLOGY

One of the most striking features of the world of education in the 1970s, compared with that of only ten years ago, is the sudden disappearance of the overwhelming assumption that schooling is a 'good thing'. Even more striking is the way in which many of those who consider themselves to be on the Left in politics have begun to take seriously - in larger numbers than at any time since the 1870 Education Act - the suggestion that compulsory schooling for all children may not be a 'good thing', and indeed may be counter-productive for the realization of their political ideals. A hundred years ago there were substantial doubts amongst those on the Right about the wisdom of compulsory education, (on the ground that too much knowledge might breed revolution), but most of these doubts were soon allayed by the recognition of the role schooling could play in 'gentling the masses'. In other words, the Right were rather quicker than the Left in realizing that the so-called 'education' which was granted to the working classes could be as much a process of domestication as of liberation. For many years the reformist wing in British politics, whether liberal, socialist or even Marxist in inclination, has tended to blur any distinctions between education and schooling, and treat the extension of compulsory state education as unambiguously offering the potential for a just society.

The prevailing faith in the school system amongst many who would regard themselves as radicals, resulted partly from a failure to analyse critically the concepts of 'education' and 'society' with which they were operating. In a context where there was so much obviously wrong with the educational system in terms of its unfairness to working-class children, there was little

incentive to look beyond the reform of its surface features for a solution. Thus policy-makers - particularly those in Labour administrations - and their academic advisers, have been obsessed during the past thirty years or so with the problem of working-class failure at school. Deficiencies in the system of education have been seen to lie in its failure to provide 'equality of opportunity' and sufficient paths to upward social mobility. When, during the fifties and sixties, a whole variety of statistical studies made it clear that, in this respect, the 1944 Education Act was not working as 'fairly' as had been hoped, their main concern was (not unnaturally) to discover ways of enabling more working-class children to succeed at school, i.e. to obtain access to grammar school-type education, institutions of higher education and ultimately high-status occupations.

The 'functional' necessity of a society stratified into occupations of different status and rewards was a subject of considerable debate by sociological 'theorists' in the 1960s, though these debates were firmly restricted to a highly general and abstract conception of theory. Sociologists of education remained content to accept the necessity of a hierarchy of occupations, and to concentrate on questions about the extent of social mobility within such stratified societies. The more conservative features of functional analysis were alleviated by the conscience of Fabian socialism, but essentially the quest for 'equality of educational opportunity' seemed designed not to create a more egalitarian society, merely to facilitate the 'rise of the meritocracy'. This 'social democratic' view of equality conceived of the possibility of replacing a society in which parental occupation played a dominant part in a child's educational or occupational destiny, with one in which such destinies were decided on some idea of individual merit and talent. Halsey (1975), a sociologist most of whose work has been concerned with identifying and overcoming inequalities, put it this way:

Institutions of scholarly elitism can only be reconciled to an egalitarian age if teachers and students enter exclusively on the test of academic merit ... selection of the elite would ... be substantively as well as formally open to all the classes, all the races and all the sexes.

It is not, in this view, a question of abolishing classes, but of making access to classes more genuinely 'open' to each generation of competitors.

Few social scientists seem to have considered the suggestion that prevailing definitions of 'merit' were sufficiently class-biased to ensure that 'equality of opportunity' would remain a sham, while even fewer were prepared to entertain any more radical concept of equality. Existing definitions of education were treated equally uncritically - it was both a 'good' in itself, and a means towards that other unquestioned 'good', social mobility. The predominantly Fabian outlook of the researchers found a receptive audience amongst politicians concerned to feed our ailing economy with more skilled manpower. Thus, the primary obsession of educational policy for over twenty years was with finding ways of reorganizing the system in such a way as to provide access and opportunity for upward social mobility for those denied it hitherto. Though it was never expressed as crudely as by the American educationists described by Callahan (1962), talent, from the Crowther Report of 1959 onwards, was viewed as an untapped natural resource to be exploited and converted into a productive force of skilled labour. Social justice and economic growth were seen as inseparable, and education was regarded, by sociologists and politicians alike, as the major route to personal and national salvation.

It nevertheless became increasingly clear that the number of upwardly mobile working-class children remained small, and that the educational success rates of working-class children were not rising significantly. Beginning with Early Leaving (C.A.C.E., 1954), report after report documented the failure of educational reforms to have more than a marginal effect on the distribution of educational success between different social class groups. Not surprisingly, sociologists focused their attention on social class, and it became almost the hallmark of sociology of education in both teaching and research, that social class was treated as the crucial explanatory category. However, class was not seen as a relation, pointing to conflicts and contradictions between classes, which might be expressed, in the context of education, in the varieties of resistance to school by working-class children (non-cooperation, truancy and destruction of material goods). Certainly 'low' social class was rarely treated as a source of desirable cultural identity. Rather, class was seen as a position on a hierarchical scale of attributes, measured in terms of parental occupation, usually simplified into a distinction between manual and non-manual work. The researchers then set out to show which measurable attributes of those lowest on the scale, the children of unskilled manual workers, had a 'detrimental' effect on school



performance. The problem became to identify correlations between cultural features of working-class life and failure at school - factors which then became 'deficiencies' for which educational policy-makers attempted to devise programmes of compensation. Nursery education, language enrichment programmes, comprehensive schooling, ROSLA and non-streaming can all be seen as policies which attempt to remedy deficiencies in home background by exposing children to more education and more 'desirable' influences. The organizational structure of education has been changed in successive attempts to provide more people with the education which reformist researchers and politicians regarded as, without question, a 'good thing'. The weakness of this position has only slowly become apparent as one organizational innovation, along with its inevitable sloganizing, has swiftly followed another. Neither the American experience, nor our own, has so far suggested that any of these innovations have made much impact on the figures which relate school achievement to social class background. The correlations have remained remarkably consistent over time.

The failures of these policies have provided a golden opportunity for the political Right to return to their beloved themes of elitism, standards and tradition. In the USA, the crude and ethnocentric explanations of the failure of educational reform expressed in the work of Jensen, Herrnstein and Shockley (see Kamin, 1974), and paralleled to some extent in Eysenck's work here, have generated renewed support for racist educational policies. Of more significance in this country has been the call for a return to traditional standards of morality and excellence, an emphasis on didactic teaching and public accountability of schools and the preservation of selective and independent schools. This view is best articulated in the Black Paper critiques of contemporary educational trends (e.g. Cox and Dyson, 1971). These arguments are presented, plausibly enough, not as the protecting of the interests of the few (which they are), but as the only basis of a just society for all. Their appeal has a far deeper significance than as an expression of the political rhetoric of the far-Right which has been largely missed by those unable to stand outside the increasingly unreal liberal consensus that characterizes most academic theorizing about education. The plausibility of the 'return to old standards' is easy enough for educational 'theorists' to dismiss with their well intentioned progressiveness. But to teachers and parents confronted with little understood 'new methods' and secondary reorganization, often imposed

without their active cooperation, and without the resources that might make the new arrangements viable, such pleas have the merit of appearing to offer a solution to the gradual breakdown of what they had generally recognized school to be about. Yet, what is surprising, in a way that points to the failure of the Left to develop any coherent critique of education in contemporary capitalist society, is that a number of these views are espoused and defended by those with radically different political commitments. Even for the Marxists of the British Communist Party the problem, as with Halsey's 'egalitarian elitism', is one of access, not ideology. The problem of class in British education is for them that working-class children are denied what 'our best schools offer'. In other words, like the 'social democratic' ideology that goes back to Tawney, class injustice is seen purely in terms of unequal opportunities, and the practical problem of how you abstract out socialist 'elements' from what have historically been created as bourgeois institutions is hardly considered. This view is optimistic about what 'good teaching' (conventionally conceived) can do, and it presents an emphasis on standards, hierarchies and established procedures as politically 'radical' in that it is seen as enhancing the opportunities of working-class children to succeed. Thus, the superficially unlikely alignments between conservative educationalists, such as Rhodes Boyson, and the Communist leadership within the National Union of Teachers become less surprising. For those on the Right, the potential embarrassment of having such allies in their assault on alternative conceptions of education must be far outweighed by their recognition of who will benefit if their campaign succeeds.

It is clear from what has been said so far, that many of those committed to some form of social change, as well as those consciously committed to preserving the status quo, have adopted modes of social analysis and social policy which, by their narrowness of vision, have concentrated on tinkering with what 'is'. The social democratic policy of increasing 'equality of opportunity' by changing the organizational structures of education has, we have suggested, had the support of old-Left communists who envisage that a better 'educated' proletariat would have a better chance of transforming the nature of society. In practice, however, the few working-class pupils who succeed in schools are put under considerable pressure to embrace the cultural and political values of existing elites, while organizational changes, designed to promote more efficient 'schooling', sometimes seem more likely to educate the population

into accepting a class society than to enable the working-class to transform it. Neither the old-Left, nor the Fabian social democrats, seem to take seriously the suggestion that the educational policies they support merely provide more efficient means of maintaining the status quo. Certainly neither group seems to have recognized that we need to examine 'what counts as education', and thus the way in which prevailing definitions of it sustain just that form of society which those on the Left, albeit in varying degrees, wish to change.

This is not just a problem for radical or socialist theorizing about education; it raises, we think, more fundamental questions about the ways in which Marxist and social democratic traditions of theorizing have developed. The adherence of both groups to positivistic modes of analysis has, as Arblaster (1975) has suggested, limited the possibilities for both envisaging and realizing alternatives. He argues that:

It is necessary that ... criticism should be extended even to such apparently neutral, common ground as the concepts of science, fact, objectivity and rationality. The willingness of both revolutionary Marxists and social democrats simply to inherit the bourgeois forms of these concepts and incorporate them into their own thinking has done great damage to socialism. Hence the effort to develop new and radical ways of thought in this area is a political as well as a philosophical necessity.

What is equally urgent is that such criticism should be extended to the concept of 'education', and what is surprising is the extent to which the Left has been uncritical of the ideological import of the schooling to which they have looked for major contributions to social change.

Outside the mainstream organizations of the political Left, this tendency to accept the institutionalized categories of education and science has come in for considerable criticism in recent years. The new-Left and the student movements of the sixties re-emphasized the importance of criticizing the ideological dimensions of education, though their hopes of transforming the cultural climate of the universities and of society at large proved premature. Their ideas and their example nevertheless have had a considerable influence upon the way in which relationships between school and society have been conceptualized, and many theorists, including some whose work is considered in this volume, have come

to look for obstacles to social change not so much in terms of the extent of 'access' to schooling, as in terms of its ideological dimensions. This is not to deny that the inequalities and injustices that the emphasis on 'access' highlight do not persist and remain important. The recent attempts to explore regional differences in the allocation of resources (Byrne and Williamson, 1975) are likely to be more rather than less significant as overall resources are reduced. What we would argue is that to separate out questions of access and distribution from consideration of what access is to, what is distributed, and who are involved in these processes, is to limit both sociological analysis and possible alternative practices to questions of administration. In neglecting the cultural significance of the content of education, such studies lay themselves open to the criticism that there is a sense in which they are not about education at all.

It is interesting that theorists of the political Right in this country have recognized the importance of the cultural content of education for much longer than those of the Left, with the notable exception of Raymond Williams (1961). Thus, in an article otherwise critical of recent work in the sociology of education, Bantock (1973) writes that 'after so much of the organizational nonsense which has been preached during the last fifteen years' it is a relief 'that at long last there seems to be a chance we shall come to see that the basic educational dilemma of our time is a cultural one and affects the nature of the meanings to be transmitted by the school'. Here Bantock is referring to developments within the sociology of education in which the tendency to attribute the class differentials in educational achievement to the 'detrimental' effects of working-class culture has come in for some critical scrutiny. Analysis has shifted away from the supposed deficiencies in children's home backgrounds to a consideration of the very nature of the 'education' as carried out in schools (Keddie, 1973). Studies of what happens to pupils in school and the nature of the curriculum to which they are exposed are beginning to be given more significance than the sort of input-output analyses which until recently constituted the bulk of work within this field. Unfortunately, however, many of these studies about the minutiae of classroom interaction, or analyses of the assumptions underlying prevailing definitions of curricular knowledge, seem to present education as being carried on in a social vacuum, and whilst they often tell us a great deal about 'how' schools perpetuate

social inequalities, their failure to discuss 'why' this may be so helps to obscure the difficulties of change. In other words, while the sociology of education has increasingly focused upon 'cultural' aspects of schooling, it has failed to locate them in their broader historical and political contexts. Thus we can see certain parallels between the theoretical and political 'radicalism' of the 'new' sociologists, and the theoretical and political conservatism of Bantock. Both display the typically 'idealist' educationist's tendency to separate out cultural meanings from their material base, and thereby give them some kind of autonomous life of their own. Whilst agreeing that our educational dilemmas are about culture and meanings, we would argue that these dilemmas are not separable from the political and economic struggles of which such meanings are an expression. It is the failure to recognize this that makes the recent debate concerning cultural 'deficit' and cultural 'difference' theories of educational failure little more than a scholastic exercise - for 'differences' only take on their hierarchical significance in specific historical and political contexts. Nevertheless, this focus on the cultural content of education as part of a more adequate theory of ideology will be a crucial element in any understanding of the relationship between school and society.

#### EDUCATION AS DETERMINED OR DETERMINING?

The recognition that there is an ideological dimension to the way in which schooling helps to reproduce society, important as it is, does not necessarily help us to clarify the nature of the relationship between school and society, or to decide upon strategically appropriate contexts for action. The traditions of radical theorizing discussed in the papers of section one of this book - which (with inevitable over-simplifications) we shall call the 'new' sociology of education, 'deschooling' and Althusserian Marxism - illustrate this clearly. All point valuably to some of the basic weaknesses in the social-democratic or Fabian model of 'access' to which we referred earlier, and all are critical of most contemporary educational theory and practice as, in different ways, ideological and mystifying. At the same time, each of them by emphasizing a particular aspect of the relationship between schooling and society ends up either by obscuring any possibility of alternative educational practice, or by seeming to elevate a particular strategy of change to an absolute.

Thus, Althusser's characterization of schools as part of the Ideological State Apparatus is often taken to suggest that any teacher working for radical change within the school system faces overwhelming odds, whilst a number of exponents of the so-called 'new' sociology of education have argued that a recognition that the curriculum is a social construction could initiate change in schools with wide-ranging social consequences. Illich seems to share some of Althusser's pessimism about the possibilities for change within schools whilst being optimistic about the possibility that education can be deschooled and a non-elitist form of social organization developed. The readings in this volume have been selected for the contribution they make to a critical examination of the common ground between these various perspectives and to the development of theories on which more realistic strategies of educational and social change might be based.

Educational theories of the kind considered in section one have gained considerable influence within the sociology of education as the limitations of earlier traditions of theorizing have been exposed. However, if, as is often argued, the mainstream or traditional sociology of education which we discussed earlier in this introduction bore a close affinity to the concerns of administrators and policy-makers, these more recent developments have remained largely within the confines of the academy. Although 'in theory' often challenging the ideas of policy-makers and classroom teachers (and, in the case of the 'new' sociology, claiming 'relevance' to teachers), these theories have probably been most influential amongst academic sociologists or educationists and their students. It is therefore scarcely surprising that these sociological accounts of the relationship between school and society are often attacked by others as simplistic and irrelevant to the 'real' world of education. Thus, for instance, Max Morris (1975), the Communist ex-President of the NUT, recently attacked 'trendy sociologists' for taking up one of two diametrically opposed positions - either that 'education reflects society' and schools are 'cogs in a capitalist machine' simply confirming the status quo; or, alternatively, that schools could be revolutionary agents, 'main media for changing society'. While it is difficult to agree that sociological theories are quite as simplistic as that, the criticism is a telling one and one which is echoed in the contributions to section one. At the same time, we would argue that, however misconceived, the dichotomous views of the school determined

by society and schools determining society are not just aberrations of sociologists but a pervasive force throughout educational theory and practice.

Discussions of the relationship between schooling and the wider society seem to oscillate violently between positions which characterize education as either 'determined' or 'determining'. In the first of these models, schools are presented as nothing but the reflection of the wider society, responding to the demands of social structure, the economy and its associated technology. Education is the dependent variable and teachers but puppets of the social system. The function of the school is to reproduce individuals in the form most suited to the wider demands of society, and the determining forces in such a model imply that any attempt to deviate from such demands is virtually impossible and certainly bound to be short lived. Though somewhat of an oversimplification this is, in essence, the account of Althusser's theory presented in the paper by Erben and Gleeson.

In the opposite model, in which education is viewed as 'determining', it is suggested that social structure, being made up of people, can only be sustained in a particular form as long as people continue to act in a manner which gives it that form. Social structure is changed by those who make it up, and hence education and the curriculum, as powerful socializing influences on the young, can change the wider society. Rather than educational change being seen as the mere product of wider social changes, changes in school practice, e.g. the introduction of non-competitive modes of working produce changes of consciousness in the young which ultimately have wider social consequences.

Certainly, these dichotomous modes of theorizing have been significant features of recent work in the sociology of education, but they are also a common feature of the way teachers and others think about education. The tendency to theorize in a non-dialectical manner, and the problems of specifying the nature of the linkages between school and society, is perhaps a feature of the non-relational mode of thinking which Hextall and Sarup discuss in their paper, and which Ollman (1971) has identified as a prevailing feature of modern western thought. Thus, although Morris makes an important criticism, his attempt to dismiss the problem as the creation of 'trendy sociologists', which would disappear if teachers were left to get on with their job, is equally simplistic.

An unquestioning faith in the power of education to change society has over many years motivated radicals to enter teaching. On the other hand, few large comprehensive schools can be without their staffroom equivalent of Skates in James Herndon's *The Way It Spozed To Be* who tells Herndon:

You've got to wait, Jim! You got to wait for the revolution! Socialism! This isn't a school! It's a place where those kids can find out once and for all what they're up against, where the ruling class says in no uncertain terms to them, 'Forget you! You ain't going nowhere! Go on and learn to tap-dance or be a jitney girl, because you ain't going nowhere!'

But you, Jim, you got to live. You got your wife - your wife, Jim, who makes that soup on those cold evenings, that beautiful kid. You got to put those paragraphs on the board for them to copy as long as they'll still do it. You've got no real union here, you're alone in there, between the victims and the exploiters ...

While this position - that schools will only be different after the Revolution has wrought changes in the reorganization of society - has an academic equivalent in a crude mechanistic Marxism, it is probably a stance which emerged from the situation in which Skates found himself:

I could never tell if Skates was serious about the revolution or not. In the end I thought he had believed in it once, didn't see any chance for it now, but continued talking in terms of it anyway. It was, in a sense, a useful way of interpreting things...

It seems likely, then, that rather than being merely 'webs' spun by educational theorists, these dichotomous theories are different responses to the contradictions which constitute the social context of schooling. Like other dichotomies, such as that between individual and society, this is not just a feature of academic social theorizing but of the theorizing of teachers and educational policy-makers as well, and therefore of the circumstances those concerned with change must confront. What is needed is an attempt to locate this dichotomous thinking as a form of consciousness which is an expression of particular historical sets of social relations. In the specific case of school and society, we



can view their separation as based in the institutional separation of work from education, a separation the interdependence of which we can only understand in terms of the historical development of monopoly capitalism. Only in society in which 'theory' is institutionalized as separate from practice, and in which thought itself is separated from action, can abstract categories such as 'determined' and 'determining' counterpose each other as opposites. In accepting them as such, rather than trying to formulate them relationally, we accept an ideology, in the sense that they present an appearance (or a particular experience of educationists) as reality. A relational approach would involve seeking the conditions in which such abstract opposites can be transcended as the real separations of the material world are transcended.

Equally, it is in the attempt to analyse and transform the contradictory character of educational practice that we discover both the possibilities of change in schools and the limits to them. As the contributors to section two make clear, the many contradictions which confront teachers and pupils can also provide the 'space' for practical action for change. But change does not just happen as evolutionary (and many revolutionary) theorists suppose, it is made by people and, in relation to the concerns of this book, by teachers and pupils who recognize that their actions can only become radical when they realize the interrelations of what they do with wider political and economic struggles. However, to recognize the dual character of the relationship between school and society is only an advance if it can be exposed in the context of particular concrete struggles. It does not necessarily take us very far to argue that the relationship is a dialectical one unless we explore further the nature of that dialectic. Morris (1975) himself argued that the true relationship was one in which education had to reflect in its structure and content the society of which it was a part. The key point, for Morris, was that social needs were never static and so new demands were constantly being placed by society on the schools. 'There is a constant process of interaction between schools and people in the social context' and 'to deny totally the effect of schooling is to know little about either children or society'. The problem with arguments like Morris's is that beyond a superficial reasonableness, they are little more than a rather naive kind of social Darwinism or evolutionism, in which 'society', which is never specified, has needs which are constantly changing, for no apparent reason, and to which schools harmoniously 'adapt' (though we