牛津应用语言学丛书

English Conversation 英语会话

Amy B. M. Tsui (徐碧美)



外教社

DESCRIBING ENGLISH LANGUAGE

SERIES EDITORS

John Sinclair · Ronald Carter

English Conversation

Amy B. M. Tsui

Oxford University Press

图书在版编目(CIP)数据

英语会话:英文/徐碧美编著.一上海:上海外语教育出版社,2000.6

(牛津应用语言学丛书)

书名原文: English Conversation

ISBN 7-81046-857-X

I. 英… II. 徐… III. 英语-口语 IV. H319.9

中国版本图书馆CIP数据核字(2000)第28392号

图字: 09-2000-068号

出版发行: 上海外语教育出版社

(上海外国语大学内) 邮编: 200083

话: 021-65425300(总机),65422031(发行部)

电子邮箱: ljyjb@sflep.com.cn

网 址: http://www.sflep.com http://www.sflep.com.cn

责任编辑: 王彤福

印 刷:深圳中华商务联合印刷有限公司

经 销:新华书店上海发行所

开 本: 880×1187 1/32 印张 10 字数 387 千字

版 次: 2000年6月第1版 2000年6月第1次印刷

印 数: 5000 册

书 号: ISBN 7-81046-857-X/H • 688

定 价: 22.00 元

本版图书如有印装质量问题,可向本社调换

Oxford University Press Walton Street, Oxford OX2 6DP

Oxford New York Athens Auckland Bangkok Bombay Calcutta Cape Town Dar es Salaam Delhi Florence Hong Kong Istanbul Karachi Kuala Lumpur Madras Madrid Melbourne Mexico City Nairobi Paris Singapore Taipei Tokyo Toronto

and associated companies in Berlin Ibadan

Oxford English and the Oxford English logo are trade marks of Oxford University Press

ISBN 0 19 437143 3

© Amy B. M. Tsui 1994

First published 1994 Second impression 1995

No unauthorized photocopying

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted, in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise, without the prior written permission of Oxford University Press.

This book is sold subject to the condition that it shall not, by way of trade or otherwise, be lent, re-sold, hired out, or otherwise circulated without the publisher's prior consent in any form of binding or cover other than that in which it is published and without a similar condition including this condition being imposed on the subsequent purchaser.

This edition of *English Conversation* is published by arrangement with Oxford University Press.

Licensed for sale in People's Republic of China only.

本书由牛津大学出版社授权上海外语教育出版社出版。 仅供在中华人民共和国境内销售。

出版前言

去年5月本社出版了从牛津大学出版社引进的19种"牛津应用语言学丛书",受到了外语教学界师生的一致好评和欢迎,在短短的一年中,重印了4次。为了向我国的外语教学和研究人员提供更多的学术参考专著,帮助读者了解近年来国外应用语言学和外语教学研究的理论,促进我国外语教学的研究和改革,本社又挑选了10本该系列中的精品,奉献给广大读者。希望本套丛书能够对于借鉴国外研究成果和总结我国自己的外语教学经验,形成具有中国特色的外语教学理论有所帮助。

《英语会话》的作者徐碧美(Amy. B. M. Tsui)博士是香港大学课程设置专业的高级讲师,英语教师培训中心主任。本书出版于1994年,至今已重版3次,深受读者的欢迎。

本书作者以真实的环境中自然产生的言语为语料,提出了一个描述会话语句、会话组织和会话发展趋向的框架,并在应对模式的顺序方面提出了独到的见解。

本书第一部分从总体上提出了会话语言描写框架的四个关键问题:描述和分析会话的单位;交际中的话语结构以及预期反应;会话的组织结构;会话过程。第二部分(2、3章)提出了描述和分析会话的框架。第三部分(4-7章)详细讨论了起始言语动向中言语行为的四个分类。第四部分(8-9章)探讨了会话研究中一向易被忽视的方面:反应及被称为后继行为的话语互换。第五部分(10章)重点研究会话过程。本章中应用了作者提出的描写框架,分析了一则电话会话,并讨论了需进一步研讨的会话句型与话语特征。

本书作者借鉴了许多相关领域的理论,诸如言语行为理论、民族方法学、话语分析等,从中获得启示,增强洞察与分析的能力,从而提出了一整套缜密的理论与分析方法,深化对语言的理解。本书作者的研究有利于推动语篇描写、语言理解的发展、改进口语教学。

本书是一本具有启发性的英语会话分析专著,它适用于英语专业、语言学和应用语言学专业的硕士生和博士生,可以作为他们的教材或参考书,对于专门从事会话研究的专家和学者也具有较高的参考价值。

本社编辑部 2000年5月 In memory of my father, Tsui Wai Ning, and my mother, Wong Sau Ping.

Acknowledgements

I owe my deepest gratitude to my mentor and friend, John Sinclair, who introduced me to discourse analysis and helped me to understand language. If not for his guidance and encouragement, this book would not have materialized. I thank him for being very critical and demanding and yet very caring and supportive.

I also owe a deep gratitude to Ron Carter who patiently went over the various drafts of this manuscript and has been most understanding and supportive. I wish to thank him for his kindness and for his professionalism in editing this volume.

To Malcolm Coulthard I am immensely grateful for his interest in my work and for being very generous with his time. He was a constant source of inspiration when I was formulating the theoretical framework and helped me sharpen my questions with his astute observations of conversational data. To David Brazil I wish to express a deep appreciation for helping me to come to grips with discourse intonation.

Several friends and colleagues have read the various drafts of the manuscript, particularly the first few chapters, and have helped to set the tone of the book. They have given me very useful comments and suggestions to make it more readable and the arguments more watertight. I wish to thank Desmond Allison and Arthur McNeill for going through the first two chapters. I wish to thank Graham Lock not only for reading the drafts I produced but also for spending hours on the telephone debating one piece of discourse data with me, even on Sundays.

My husband, Chi Kin, has been an unfailing source of support and encouragement, especially in times of difficulty and frustration. I wish to thank him for his love, his insights, and for helping me see things in perspective.

I would also like to thank the English Department at the University of Birmingham for allowing me to use the Birmingham Collection of English Texts, held at Cobuild at the University of Birmingham.

Finally, I wish to dedicate this book to the memory of my father, Tsui Wai Ning, and my mother, Wong Sau Ping, who both died while I was still writing it. I thank them for working very hard to give me a good education and for standing behind me whenever I needed them.

The author and publishers would like to thank the following for permission to reproduce material that falls within their copyright:

Acknowledgements

Routledge for extracts from 'A functional description of questions' by Amy B. M. Tsui, in *Advances in Spoken Discourse Analysis* (1992) edited by M. Coulthard.

Cambridge University Press for extracts from 'Beyond the adjacency pair' by Amy B. M. Tsui, in *Language in Society* 18/4 (1989).

Every effort has been made to trace the owners of copyright material in this book, but we should be pleased to hear from any copyright holder whom we have been unable to contact.

The author and series editors

Dr. Amy B. M. Tsui is a Senior Lecturer in the Department of Curriculum Studies and Director of the Teachers of English Language Education Centre (TELEC) at the University of Hong Kong. She obtained her doctorate in linguistics from the University of Birmingham. Her research and publications cover conversational analysis, classroom discourse analysis, speech act theory, systemic linguistics, pragmatics, teacher education, and computer-mediated communications in teacher education. She has also set up a computer network for teacher education (*TeleNex*) at TELEC to enhance the professional development of English language teachers in Hong Kong.

John Sinclair has been Professor of Modern English Language at the University of Birmingham since 1965. His main areas of research are discourse (both spoken and written) and computational linguistics—with particular emphasis on the study of very long texts. He has been consultant/adviser to a number of groups, including, among others, the Bullock Committee, The British Council, and the National Congress for Languages in Education. He holds the title of Adjunct Professor in Jiao Tong University, Shanghai. Professor Sinclair has published extensively, and is currently Editor-in-Chief of the Cobuild project at Birmingham University.

Ronald Carter is Professor of Modern English Language in the Department of English Studies at the University of Nottingham where he has taught since 1979. He is Chairman of the Poetics and Linguistics Association of Great Britain, a member of CNAA panels for Humanities, and a member of the Literature Advisory Committee of The British Council. Dr Carter has published widely in the areas of language and education, applied linguistics, and literary linguistics. He is Director of the Centre for English Language Education at the University of Nottingham and from 1989 to 1992 was National Co-ordinator for Language in the National Curriculum.

Foreword

Describing English Language

The Describing English Language series provides much-needed descriptions of modern English. Analysis of extended naturally-occurring texts, spoken and written, and, in particular, computer processing of texts have revealed quite unsuspected patterns of language. Traditional descriptive frameworks are normally not able to account for or accommodate such phenomena, and new approaches are required. This series aims to meet the challenge of describing linguistic features as they are encountered in real contexts of use in extended stretches of discourse. Accordingly, and taking the revelations of recent research into account, each book in the series will make appropriate reference to corpora of naturally-occurring data.

The series will cover most areas of the continuum between theoretical and applied linguistics, converging around the mid-point suggested by the term 'descriptive'. In this way, we believe the series can be of maximum potential usefulness.

One principal aim of the series is to exploit the relevance to teaching of an increased emphasis on the description of naturally-occurring stretches of language. To this end, the books are illustrated with frequent references to examples of language use. Contributors to the series will consider both the substantial changes taking place in our understanding of the English language and the inevitable effect of such changes upon syllabus specifications, design of materials, and choice of method.

John Sinclair, University of Birmingham Ronald Carter, University of Nottingham

Foreword

English Conversation

In this book Amy Tsui subjects patterns of conversational interaction to detailed scrutiny. Using authentic, naturally-occurring data as a source for examples, she offers refinements and extensions to welldeveloped descriptive frameworks for the analysis of conversational data, and establishes in the process important new insights into the sequencing of patterns of interaction. Dr Tsui is distrustful of traditions in the analysis of conversation which prioritize the negotiable, interpreted, and participant-centred nature of events; instead she is deeply committed to a view of conversation as rule-governed and specifiable. Her study presents a range of carefully-formulated arguments and a wider range still of replicable analyses in support of this view of conversation. It is to her credit that the analyses she provides are sufficiently explicit to encourage further refinement and development in an area of vital importance to the description of longer stretches of text, the teaching of the spoken language, and to linguistic understanding in general.

Ronald Carter

Transcription notations

Data with a label beginning with [B] are telephone conversations and those with a label beginning with [C] are face-to-face conversations. [BCET] stands for Birmingham Collection of English Texts, and the data from this corpus used here consists of face-to-face conversations. [Fieldnotes] consist of conversations which are taken down verbatim after occurrence and texts which are taken from real-life communication such as letters, advertisements, notices, etc. [I] stands for invented data. (Other abbreviations within the square brackets are references to location of the data, and may be ignored by the reader.)

Where the data is taken from published studies, the original notations are retained. Where the data is my own, the following notations are used:

- [Overlapping utterances.
- A single speaker's utterance which is a continuous flow of speech but has been separated graphically in order to accommodate intervening interruption;
 - a second utterance being latched immediately to the first utterance with no overlap.
- Short untimed pause within an utterance.
- ((pause)) Long untimed pause within an utterance.
- ((2 sec)) Timed pause. Pauses are timed only when they illustrate a certain point made.
- (()) Description of non-verbal elements in the conversation, e.g. ((laugh)), ((knock)).
 - (NV) Non-verbal action.
 - () Doubtful transcription or indecipherable part of the conversation.
- capitals Prominence, e.g. I have THIRty at one point.
 - ... Intervening utterances which have been taken out.
- // // Tone unit boundary.
 - p Proclaiming tone.

Transcription notations

r+/r Referring tone. Oblique orientation (i.e. a level or neutral tone). YES Capitals underlined: tonic syllable. YES // High key. //YES// Mid key.

// // Low key. YES

bc Back-channel cues.

< > Interpolated utterance.

{ } Attempted utterance: an utterance which was started but abandoned because of interruption or overlap.

Contents

Acknowledgements			
The author and series editors			
Foreword			
Transcription notations			
•			
PART ONE			
Overview			
1 Overview			
1.1 Introduction	3		
1.2 Questions addressed	4		
1.3 Data	5		
1.4 Units of conversational description	ϵ		
1.4.1 Turn, pair, sequence			
1.4.2 Act, move, exchange	<i>6</i>		
1.5 Conversational organization	11		
1.5.1 Adjacency pair	11		
1.5.2 Three-part exchange	12		
1.5.3 Move structure	13		
1.6 Characterization of conversational utterances	15		
1.6.1 Structural location	15		
1.6.2 Prospective classification	17		
1.6.3 Retrospective classification	17		
1.7 Conversational processes	18		
1.8 Towards a linguistic description of conversation	on 21		
PART TWO			
Analytical framework			
2 The structure of conversation			
	25		
2.1 Introduction			
2.2 Adjacency pair as an organizational unit			
2.3 Three-part exchange as an organizational unit			

Contents

2.5					
2.6		35			
	2.6.1 Classroom exchanges	35			
	2.6.2 Conversational exchanges	36			
2.7	Concluding remarks	41			
3	A taxonomy of discourse acts				
3.1	Introduction	44			
3.2	Multiple functions of utterances				
	3.2.1 Ambiguity and multiple functions	48			
	3.2.2 Multiple functions and retrospective				
	classification	49			
	3.2.3 Nature of categorial labels	51			
3.3	Primary classes of acts	52			
3.4	Subclasses of initiating acts	52			
3.5	Identification of a response	57			
3.6					
3.7	Follow-up acts	59			
3.8	A taxonomy of discourse acts	61			
	RT THREE aracterization of discourse acts: initiating acts				
4]	Elicitations				
4.1	Introduction	65			
4.2	Quirk et al.'s study of questions	65			
	4.2.1 Yes—no questions, tag questions, and declarative questions	66			
	4.2.2 Wh-questions	72			
	4.2.3 Alternative questions	74			
	4.2.4 Exclamatory questions	76			
	4.2.5 Form versus communicative choice	77			
4.3	Question as illocutionary act	77			
4.4					
4.5	Elicitations	79 80			

Contents

4.6	Subcl	asses of elicitations	81
	4.6.1	Elicit:inform	81
	4.6.2	Elicit:confirm	82
	4.6.3	Elicit:agree	86
	4.6.4	Elicit:commit	87
		Elicit:repeat and elicit:clarify	88
4.7		uding remarks	89
5	Request	ives	
5.1	Introd	luction	90
5.2	Reque	estives versus directives	91
5.3		asses of requestives	96
5.4	Reque	estives and politeness strategies	102
	5.4.1	Request for action in the form of request for	
		permission	104
	5.4.2	Request for action in the form of invitation	106
	5.4.3	Request for action in the form of proposal	106
	5.4.4	Invitation in the form of request for action	107
	5.4.5	Offer in the form of request for permission	107
	5.4.6	Directives in the form of requestives and vice	
		versa	108
5.5	Indire	ct speech acts	110
	5.5.1	Indirect requests	110
	5.5.2	Indirect invitations and indirect offers	113
5.6	Concl	uding remarks	115
6 I	Directiv	es	
6.1	Introd	luction	116
6.2	Two r	najor subclasses of directives	117
6.3	Advisi	ives	119
	6.3.1	Warnings	120
	6.3.2	Advice	122
	6.3.3	Advice versus 'advise, suggest, and recommend'	123
	6.3.4	Verb versus act	126
6.4	Mand	atives	127
	6.4.1	Instructions	129
	6.4.2	Threats	131
	6.4.3	Threats and warnings	132
6.5		uding remarks	133