



面向 21 世 纪 课 程 教 材  
Textbook Series for 21st Century

全国高等学校法学专业核心课程教材

# 宪 法

周叶中 主编

高等  
教育  
出版  
社  
北京  
大学  
出版  
社

面向 21 世纪 课 程 教 材  
Textbook Series for 21st Century

全国高等学校法学专业核心课程教材

# 宪 法

周叶中 主 编

撰稿人 (以姓氏笔画为序)

王 磊 司久贵 刘茂林

汪进元 肖北庚 周叶中

胡锦光 秦前红 韩大元

焦洪昌 程 华

高等<sup>教</sup>育出版社  
北京<sup>大</sup>学出版社

### 图书在版编目(CIP)数据

宪法 / 周叶中主编. —北京 : 高等教育出版社,  
2001.1 (2001重印)

ISBN 7-04-008572-0

I . 宪... II . 周... III . 宪法 - 法的理论 - 高等学校 -  
教材 IV . D911.01

中国版本图书馆 CIP 数据核字(2000)第 48304 号

### 宪法

周叶中 主编

---

出版发行 高等教育出版社

社址 北京市东城区沙滩后街 55 号

邮政编码 100009

电话 010—64054588

传真 010—64014048

网址 <http://www.hep.edu.cn>

经 销 新华书店北京发行所

排 版 高等教育出版社照排中心

印 刷 北京民族印刷厂

开 本 787 × 960 1/16

版 次 2000 年 12 月第 1 版

印 张 28.5

印 次 2001 年 5 月第 2 次印刷

字 数 530 000

定 价 23.90 元

---

本书如有缺页、倒页、脱页等质量问题, 请到所购图书销售部门联系调换。

版权所有 侵权必究

2

## 内 容 简 介

本书是教育部“高等教育面向 21 世纪教学内容和课程体系改革计划”的研究成果,是面向 21 世纪课程教材,同时也是全国高等学校法学专业核心课程教材。本书共 3 编 25 章。在认真总结和吸纳 20 多年来我国宪法学研究成果的基础上,大大丰富和更新了宪法学教学内容,显著地调整和完善了宪法学课程体系。它的出版标志着宪法学教材在世纪之交初步完成了更新换代。

本书首创了以宪法基本理论——宪法基本制度——宪法实施为主线的宪法学教学体系。第一编《宪法基本理论》不但详细论述了宪法的概念、历史和基本原则,而且增设七章,分别论述了宪法的制定、宪法形式与宪法结构、宪法规范、宪法关系、宪法的价值与作用、宪法观念与宪法文化、宪法与宪政,从而使宪法基本理论趋于完善。第二编《宪法基本制度》不但具体阐述了国家性质、国家形式、公民的基本权利和义务、选举制度、国家机构、政党制度等方面的基本内容,而且着重对政体和政权组织形式的关系、政党制度与民主宪政的关系等问题进行了理论探究。对宪法实施理论的高度重视是本书的一个突出特点。第三编《宪法实施》以 7 章的篇幅,对宪法实施的概念、原则、条件、过程、宪法解释、宪法修改、宪法实施评价与违宪审查、宪法秩序等问题进行了全面系统地论述,从而廓清了宪法实施理论的基本框架。

本书可作为高等学校法学专业的教科书,也可供其他专业选用和社会读者阅读。

## **ABSTRACT**

As an achievement of “the Reform Plan of Teaching Content and Course System in Higher Education Facing Twenty-First Century”, this book is one of key textbooks of the Science of Law in colleges and universities. It is composed of three parts including twenty-five chapters. On the basis of carefully summing up and absorbing the research achievements of the science of constitution in more than twenty years, this book greatly enriches and renews the teaching content of the science of constitution and remarkably adapts and perfects the course system of the science of constitution. The publication of this book indicates that the textbook of the science of constitution has been primarily renewed at the turn of the centuries.

This book starts the new teaching system of the science of constitution with the clue of fundamental theories of constitution, fundamental systems of constitution and enforcement of constitution. The first part, Fundamental Theories of Constitution, respectively expounds the drawing up of constitution, constitutional forms and structure, constitutional norms, constitutional relations, the value and roles of constitution, constitutional mentalities, besides detailedly expounding the concept, history and fundamental principles of constitution, and civilization, constitution and constitutionalism in seven additional chapters and thus makes the fundamental theories tend to reach perfection. The second part, Fundamental Systems of Constitution, emphasizes the theoretical inquiry into the relations of governmental form and organizational form of political power, system of political party and democratic constitutionalism. The marked feature of this book is to pay great attention to the theories of constitutional enforcement. The third part, Constitutional Enforcement, including seven chapters, completely and systematically expounds the concept, principles, conditions and process of constitutional enforcement, constitutional interpretation, constitutional reform, evaluation of constitutional enforcement and unconstitutional review, constitutional order, etc. and thereby gives the basic frame of the theories of constitutional enforcement.

This book can be used as the coursebook for legal majors of colleges and universities and also for other majors and social readers to use and read.

## 作者简介\*

**周叶中** 武汉大学,教授,博士生导师,法学博士,中国宪法学研究会副会长。代表性著作有:《代议制度比较研究》、《宪法学》(主编);代表性论文有:《宪法至上:中国法治之路的灵魂》、《论宪法权威》。

**刘茂林** 中南政法学院,教授,硕士生导师,中国宪法学研究会理事。代表性著作有:《宪法学》(主编)、《当代中国地方制度》(主编);代表性论文有:《宪法学与宪法秩序》、《改革与中国宪法发展》。

**韩大元** 中国人民大学,教授,博士生导师,法学博士,中国法学会理事、中国宪法学研究会秘书长。代表性著作有:《亚洲立宪主义研究》、《东亚法治理念与历史》;代表性论文有:《当代中国宪法学发展趋势》、《面向 21 世纪的中国宪法学》。

**汪进元** 武汉大学,讲师,法学博士。代表性著作有:《市场经济与社会主义宪政建设》(合著)、《宪法学》(合著);代表性论文有:《司法审查模式论》、《权力制约的理性思考与模式选择》。

**程 华** 中国人民公安大学,讲师,武汉大学宪法学博士生。代表性著作有:《法理学》(合著)、《宪法学教程》(合著);代表性论文有:《人性与法三论》、《私法文化·公法建设·法制现代化》。

**司久贵** 河南省社会科学院,助理研究员,武汉大学宪法学博士生。代表性著作有:《行政法专题讲座》(合著)、《涉外行政法》(合著);代表性论文有:《行政自由裁量权若干问题探讨》、《世纪之交对中国公民权利发展的回顾与展望》。

**胡锦光** 中国人民大学,教授,博士生导师,法学博士,中国宪法学研究会理事。代表性著作有:《中国宪法问题研究》、《当代人权保障制度》(合著);代表

---

\* 以撰写章节前后为序

性论文有:《论宪法监督体制的成因》、《国家行为研究》。

**王 磊** 北京大学,副教授,法学博士,中国宪法学研究会理事。代表性著作有:《宪法的司法化》、《中华人民共和国宪法释义》(合著);代表性论文有:《论我国的宪法解释机构》、《对行政立法权的宪法学思考》。

**焦洪昌** 中国政法大学,副教授,硕士生导师,中国宪法学研究会理事。代表性著作有:《港澳台法制概论》、《宪法学》(主编);代表性论文有:《论依法治国的宪法效力》、《从法院的地方化到法院设置的双轨制》。

**秦前红** 武汉大学,副教授,硕士生导师,法学博士,中国宪法学研究会理事。代表性著作有:《市场经济与社会主义宪政建设》(合著);代表性论文有:《依法治国与宪法至上》。

**肖北庚** 湖南师范大学,副教授,硕士生导师,武汉大学宪法学博士生。代表性论文有:《法学视野中的身份平等》、《法治文明是现代精神文明的最高体现》。

# INTRODUCTION OF THE AUTHORS

**ZHOU YEZHONG** Wuhan University, Professor, Tutor of Doctoral Students, LL.D., Deputy Chairman of the Science of Constitution Branch of China Law Society. Major works include “Comparative Studies on Representative Systems”, “the Science of Constitution” (chief editor); major academic theses include “Constitution Above All, the Soul of Road to Rule of Law in China”, “On Authority of Constitution.”

**LIU MAOLIN** South Central Institute of Politics and Law, Professor, Tutor of Masters, Standing Member of the Science of Constitution Branch of China Law Society. Major works include “the Science of Constitution (chief editor)”, “Contemporary Local Systems of China”; major academic theses include “the Science of Constitution and Constitutional Order”, “Reform and Development of China Constitution”.

**HAN DAYUAN** People’s University of China, Professor, Tutor of Doctoral Students, LL.D., Standing Member of China Law Society. Secretary General of the Science of Constitution Branch of China Law Society. Major works include “Studies on Asian Constitutionalism”, “Ideas and History of Rule of Law in Eastern Asia”; major academic theses include “Development Tendency of Contemporary Science of Constitution in China”, “Science of Constitution of Facing Twenty-First Century”.

**WANG JINYUAN** Wuhan University, Lecturer, LL.D. Major works include “Market Economy and Socialist Constitutionalism Construction (co-author)”, “the Science of Constitution (co-author)”; major academic theses include “On the Patterns of Judicial Review”, “Rational Thinking and Patterns Selection of Powers Restriction.”

**CHENG HUA** People’s Public Security University of China, Lecturer,

Doctoral Student of the Science of Constitution in Wuhan University. Major works include “Jurisprudence (co-author)”, “the Textbook of the Science of Constitution (co-author)”; major academic theses include “Three Strategies of Humanity and Law”, “Civilization of Private Law, Construction of Public Law and Modernization of Legal System”.

**SI JIUGUI** Academy of Social Science of Henan Province, Assistant Researcher, Doctoral Student of the Science of Constitution in Wuhan University. Major works include “Lectures on Special Topics of Administrative Law (co-author)”, “Administrative Law Concerning Foreign Nationals (co-author)”; major academic theses include “Inquiry into Several Problems on the Power of Administrative Discretion”, “Reviews and Prospects of Development of China Civil Rights at this Juncture of Two Centuries Meeting”.

**HU JINGUANG** People’s University of China, Professor, Tutor of Doctoral Students, LL.D., Standing Member of the Science of Constitution Branch of China Law Society. Major works include “Studies on China Constitution Problems”, “Contemporary Safeguard Systems of Humans Rights (co-author)”; major academic theses include “On Formulation Reasons of Constitution Inspection Systems”, “Studies on State Actions”.

**WANG LEI** Beijing University, Associate Professor, LL. D., Standing Member of the Science of Constitution Branch of China Law Society. Major works include “constitutional law applied in courts”, “Constitutional interpretation of People’s Republic of China (co-author)”; major academic theses includes “On Organ of Constitutional Interpretation of China”, “Reflection on the Power of Administrative Legislation from the Angle of the Science of Constitution”.

**JIAO HONGCHANG** China University of Politics and law Associate Professor, Tutor of Masters, Standing Member of the Science of Constitution Branch of China Law Society. Major works include “Introduction to Legal Systems of Hongkong, Macao and Taiwan”, “the Science of Constitution (chief editor)”; major academic theses include “On Constitutional Validity of Rule of Law”, “From the Localization of Courts to Double-Track System of Courts’ Establish-

ment”.

**QIN QIANHONG** Wuhan University, Associate Professor, Tutor of Masters, LL.D., Standing Member of the Science of Constitution Branch of China Law Society. Major works include “Market Economy and Socialist Constitutionalism Construction (co-author)”; major academic theses include “Rule of Law and Constitution Above All”.

**XIAO BEIGENG** Hunan Normal University, Associate Professor, Tutor of Masters, Doctoral Student of the Science of Constitution in Wuhan University. Major theses include “Status Equality in Legal Sight”, “Rule of Law is the Highest Embodiment of Modern Spiritual Civilization”.

# 目 录

<b>绪论</b> .....	1
一、宪法学的研究对象与研究范围 .....	1
二、宪法学的历史发展 .....	5
三、宪法学的学科地位与学科体系 .....	21
四、编写本教材的基本思路 .....	26
五、学习和研究宪法学的意义和方法 .....	27

## 第一编 宪法基本理论

<b>第一章 宪法的概念</b> .....	33
第一节 宪法释义 .....	33
第二节 宪法的本质 .....	40
第三节 宪法的分类 .....	45
<b>第二章 宪法的历史发展</b> .....	52
第一节 宪法的产生和发展 .....	52
第二节 旧中国宪法的产生和演变 .....	64
第三节 新中国宪法的产生和发展 .....	71
<b>第三章 宪法的制定</b> .....	85
第一节 宪法制定权 .....	85
第二节 宪法制定机关 .....	88
第三节 宪法制定程序 .....	90
<b>第四章 宪法的基本原则</b> .....	93
第一节 宪法基本原则的概念和特征 .....	93
第二节 人民主权原则 .....	94
第三节 基本人权原则 .....	97
第四节 权力制约原则 .....	101
第五节 法治原则 .....	105
<b>第五章 宪法形式与宪法结构</b> .....	110
第一节 宪法形式 .....	110
第二节 宪法结构 .....	116
<b>第六章 宪法规范</b> .....	124

---

第一节	宪法规范的概念与特点 .....	124
第二节	宪法规范的逻辑结构与种类 .....	130
第三节	宪法规范效力与宪法规范变动 .....	134
<b>第七章</b>	<b>宪法关系 .....</b>	<b>137</b>
第一节	宪法关系的概念和特征 .....	137
第二节	宪法关系的主体 .....	138
第三节	宪法关系的内容 .....	143
第四节	宪法关系的客体 .....	147
<b>第八章</b>	<b>宪法的价值与作用 .....</b>	<b>152</b>
第一节	宪法的价值 .....	152
第二节	宪法的作用 .....	157
<b>第九章</b>	<b>宪法观念与宪法文化 .....</b>	<b>163</b>
第一节	宪法观念 .....	163
第二节	宪法文化 .....	169
<b>第十章</b>	<b>宪法与宪政 .....</b>	<b>176</b>
第一节	宪政概说 .....	176
第二节	宪法与宪政的关系 .....	180
第三节	建设有中国特色的社会主义宪政 .....	182

## 第二编 宪法基本制度

<b>第十一章</b>	<b>国家性质 .....</b>	<b>189</b>
第一节	国家性质概说 .....	189
第二节	国家政权的阶级归属 .....	193
第三节	国家的基本经济制度 .....	198
第四节	国家的基本文化制度 .....	206
<b>第十二章</b>	<b>国家形式(上) .....</b>	<b>210</b>
第一节	政权组织形式概说 .....	210
第二节	人民代表大会制度 .....	214
第三节	基层群众性自治制度 .....	222
<b>第十三章</b>	<b>国家形式(下) .....</b>	<b>227</b>
第一节	国家结构形式概说 .....	227
第二节	我国是单一制的国家结构形式 .....	229
第三节	民族区域自治 .....	231
第四节	特别行政区 .....	236
第五节	国旗、国徽、国歌和首都 .....	244
<b>第十四章</b>	<b>公民的基本权利和义务(上) .....</b>	<b>249</b>
第一节	公民基本权利和义务概说 .....	249

---

第二节 公民权利与国家权力 .....	256
第三节 公民基本权利和自由的保障及其界限 .....	258
<b>第十五章 公民的基本权利和义务(下) .....</b>	<b>261</b>
第一节 我国公民的基本权利 .....	261
第二节 我国公民的基本义务 .....	278
第三节 我国公民基本权利和义务的特点及其行使原则 .....	283
<b>第十六章 选举制度 .....</b>	<b>286</b>
第一节 选举制度概说 .....	286
第二节 选举制度的基本原则 .....	292
第三节 选举的民主程序 .....	297
第四节 对代表的监督和罢免 .....	301
<b>第十七章 国家机构 .....</b>	<b>303</b>
第一节 国家机构概说 .....	303
第二节 代议机关 .....	306
第三节 国家元首 .....	319
第四节 行政机关 .....	321
第五节 审判机关和检察机关 .....	327
第六节 我国的军事领导机关 .....	332
<b>第十八章 政党制度 .....</b>	<b>334</b>
第一节 政党与政党制度概述 .....	334
第二节 共产党领导下的多党合作制度 .....	343

### 第三编 宪法实施

<b>第十九章 宪法实施概述 .....</b>	<b>349</b>
第一节 宪法实施的概念 .....	349
第二节 宪法实施的原则 .....	354
<b>第二十章 宪法实施的条件 .....</b>	<b>357</b>
第一节 宪法实施条件概说 .....	357
第二节 宪法实施保障 .....	360
<b>第二十一章 宪法实施过程 .....</b>	<b>370</b>
第一节 宪法实施过程的意义 .....	370
第二节 宪法实施过程的主要阶段 .....	371
<b>第二十二章 宪法解释 .....</b>	<b>374</b>
第一节 宪法解释概说 .....	374
第二节 宪法解释的机关 .....	379
第三节 宪法解释的原则和方法 .....	381
<b>第二十三章 宪法修改 .....</b>	<b>384</b>

---

第一节 宪法修改概说 .....	384
第二节 宪法修改的限制 .....	387
第三节 宪法修改的方式 .....	389
第四节 宪法修改的程序 .....	392
<b>第二十四章 宪法实施评价与违宪审查 .....</b>	<b>397</b>
第一节 宪法实施评价 .....	397
第二节 违宪审查的概念和模式 .....	403
第三节 违宪责任 .....	410
<b>第二十五章 宪法秩序 .....</b>	<b>416</b>
第一节 宪法秩序概述 .....	416
第二节 宪法秩序实现的因素分析 .....	420
<b>缩略语 .....</b>	<b>429</b>
<b>参考文献 .....</b>	<b>432</b>
<b>后记 .....</b>	<b>435</b>

# Contents

<b>Introduction</b> .....	1
Section 1 Research objects and scope of the science of constitution .....	1
Section 2 Development history of the science of constitution .....	5
Section 3 Discipline place and system of the science of constitution .....	21
Section 4 Primary train of thought of editting this textbook .....	26
Section 5 Significance and methods of learning and studying the science of constitution .....	27

## **Part One Fundamental theories of constitution**

<b>Chapter one Concept of constitution</b> .....	33
Section 1 Explanation of constitutional meaning .....	33
Section 2 Essence of constitution .....	40
Section 3 Classification of constitution .....	45
<b>Chapter Two Emergence and development of constitution</b> .....	52
Section 1 Emergence and development of constitution .....	52
Section 2 Emergence and evolution of constitution in Old China .....	64
Section 3 Emergence and development of constitution in New China .....	71
<b>Chapter Three Drawing-up of constitution</b> .....	85
Section 1 Power of drawing up constitution .....	85
Section 2 Organ of drawing up constitution .....	88
Section 3 Process of drawing up constitution .....	90
<b>Chapter Four Fundamental principles of constitution</b> .....	93
Section 1 Concept and features of the fundamental principles of constitution .....	93
Section 2 Principle of people's sovereignty .....	94
Section 3 Principle of basic human rights .....	97
Section 4 Principle of power's mutual restriction .....	101
Section 5 Principle of the rule of law .....	105
<b>Chapter Five Constitutional forms and structure</b> .....	110
Section 1 Constitutional forms .....	110
Section 2 Constitutional structure .....	116
<b>Chapter Six Constitutional norms</b> .....	124
Section 1 Concept and features of constitutional norms .....	124

---

Section 2	Logical structure and types of constitutional norms .....	130
Section 3	Validity and alteration of constitutional norms .....	134
<b>Chapter Seven</b>	<b>Constitutional relations .....</b>	<b>137</b>
Section 1	Concept and features of constitutional relations .....	137
Section 2	Subjects of constitutional relations .....	138
Section 3	Substance of constitutional relations .....	143
Section 4	Objects of constitutional relations .....	147
<b>Chapter Eight</b>	<b>Value and roles of constitution .....</b>	<b>152</b>
Section 1	Value of constitution .....	152
Section 2	Roles of constitution .....	157
<b>Chapter Nine</b>	<b>Constitutional mentalities and civilization .....</b>	<b>163</b>
Section 1	Constitutional mentalities .....	163
Section 2	Constitutional civilization .....	169
<b>Chapter Ten</b>	<b>Constitution and constitutionalism .....</b>	<b>176</b>
Section 1	General survey on constitutionalism .....	176
Section 2	Relation between constitution and constitutionalism .....	180
Section 3	Constructing the socialist constitutionalism with Chinese characteristics .....	182

## **Part Two Fundamental systems of constitution**

<b>Chapter Eleven</b>	<b>State Nature .....</b>	<b>189</b>
Section 1	General survey on state nature .....	189
Section 2	State power's belonging to which class .....	193
Section 3	Fundamental economic systems of state .....	198
Section 4	Fundamental civilizational systems of state .....	206
<b>Chapter Twelve</b>	<b>State forms ( I ) .....</b>	<b>210</b>
Section 1	General survey on organizational form of political power .....	210
Section 2	System of People's Congress .....	214
Section 3	System of primary masses' autonomy .....	222
<b>Chapter Thirteen</b>	<b>State forms ( II ) .....</b>	<b>227</b>
Section 1	General survey on structural form of state .....	227
Section 2	China adopts single structural form of state .....	229
Section 3	Regional autonomy of minority nationalities .....	231
Section 4	Special administrative region .....	236
Section 5	National flag, national emblem, national anthem and capital .....	244
<b>Chapter Fourteen</b>	<b>Citizens' basic rights and obligations ( I ) .....</b>	<b>249</b>
Section 1	General survey on citizens' basic rights and obligations .....	249
Section 2	Citizens' rights and state power .....	256

---

Section 3	Guarantee and limits of citizens' basic rights and freedom .....	258
<b>Chapter Fifteen</b>	<b>Citizens' basic rights and obligations ( II ) .....</b>	<b>261</b>
Section 1	Citizens' basic rights in China .....	261
Section 2	citizens' basic obligations in China .....	278
Section 3	Features and exercising principles of citizens' basic rights and obligations .....	283
<b>Chapter Sixteen</b>	<b>Election system .....</b>	<b>286</b>
Section 1	General survey on election system .....	286
Section 2	Fundamental principles of election system .....	292
Section 3	Democratic process of election .....	297
Section 4	Supervision and recall over representative .....	301
<b>Chapter Seventeen</b>	<b>State organizations .....</b>	<b>303</b>
Section 1	General survey on state organizations .....	303
Section 2	Representative organ .....	306
Section 3	Head of state .....	319
Section 4	Administrative organ .....	321
Section 5	Judicial organ and procuratorial organ .....	327
Section 6	Martial leading organ .....	332
<b>Chapter Eighteen</b>	<b>System of political party .....</b>	<b>334</b>
Section 1	General survey on system of political party .....	334
Section 2	System of multiple-party co-operation under the lead of Communist Party .....	343

### **Part Three Constitutional enforcement**

<b>Chapter Nineteen</b>	<b>General survey on constitutional enforcement .....</b>	<b>349</b>
Section 1	Concept of constitutional enforcement .....	349
Section 2	Principles of constitutional enforcement .....	354
<b>Chapter Twenty</b>	<b>Conditions of constitutional enforcement .....</b>	<b>357</b>
Section 1	General survey on conditions of constitutional enforcement .....	357
Section 2	Guarantee of constitutional enforcement .....	360
<b>Chapter Twenty-one</b>	<b>Proceeding of constitutional enforcement .....</b>	<b>370</b>
Section 1	Significance of proceeding of constitutional enforcement .....	370
Section 2	Main stages of proceeding of constitutional enforcement .....	371
<b>Chapter Twenty-two</b>	<b>Constitutional interpretation .....</b>	<b>374</b>
Section 1	General survey on constitutional interpretation .....	374
Section 2	Organs of constitutional interpretation .....	379
Section 3	Principles and methods of constitutional interpretation .....	381