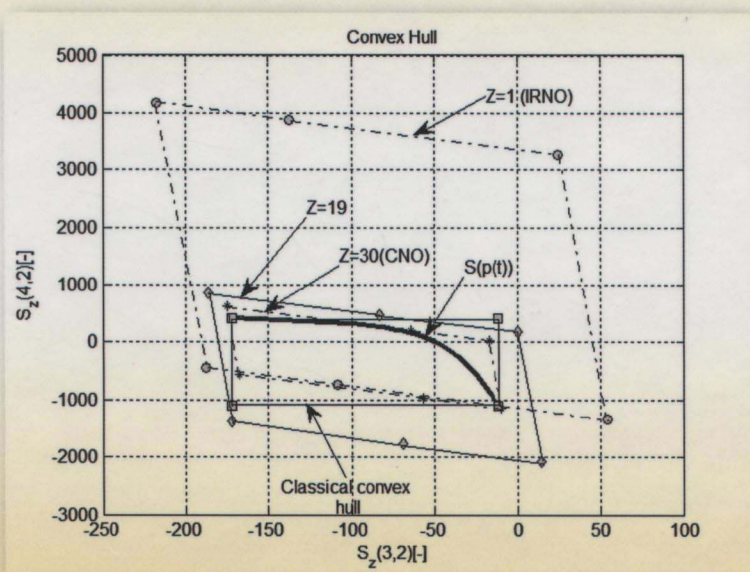


Automation and Control
Engineering Series

Tensor Product Model Transformation in Polytopic Model-Based Control



Péter Baranyi • Yeung Yam
Péter Várlaki



CRC Press
Taylor & Francis Group

Automation and Control Engineering Series

Tensor Product Model Transformation in Polytopic Model-Based Control



CRC Press

Taylor & Francis Group

Boca Raton London New York

CRC Press is an imprint of the
Taylor & Francis Group, an informa business

CRC Press
Taylor & Francis Group
6000 Broken Sound Parkway NW, Suite 300
Boca Raton, FL 33487-2742

© 2014 by Taylor & Francis Group, LLC
CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works

Printed on acid-free paper
Version Date: 20130613

International Standard Book Number-13: 978-1-4398-1816-9 (Hardback)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (<http://www.copyright.com>) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Baranyi, Péter, 1970-

Tensor product model transformation in polytopic model-based control / authors,
Péter Baranyi, Yeung Yam, Péter Várlaki.

pages cm. -- (Automation and control engineering)

Includes bibliographical references and index.

ISBN 978-1-4398-1816-9 (hardback)

1. Intelligent control systems--Mathematical models. 2. Automatic control--Mathematics. 3. Tensor products. I. Yam, Y. (Yeung) II. Várlaki, Péter. III. Title.

TJ217.5.B37 2013

629.8'95630151563--dc23

2013018065

Visit the Taylor & Francis Web site at
<http://www.taylorandfrancis.com>

and the CRC Press Web site at
<http://www.crcpress.com>

**Tensor Product
Model Transformation
in Polytopic
Model-Based Control**

AUTOMATION AND CONTROL ENGINEERING

A Series of Reference Books and Textbooks

Series Editors

**FRANK L. LEWIS, Ph.D.,
Fellow IEEE, Fellow IFAC**

Professor
Automation and Robotics Research Institute
The University of Texas at Arlington

**SHUZHONG SAM GE, Ph.D.,
Fellow IEEE**

Professor
Interactive Digital Media Institute
The National University of Singapore

Tensor Product Model Transformation in Polytopic Model-Based Control,
Péter Baranyi, Yeung Yam, and Péter Várlaki

Fundamentals in Modeling and Control of Mobile Manipulators,
Zhijun Li and Shuzhi Sam Ge

Optimal and Robust Scheduling for Networked Control Systems,
Stefano Longo, Tingli Su, Guido Herrmann, and Phil Barber

Advances in Missile Guidance, Control, and Estimation,
edited by S.N. Balakrishnan, Antonios Tsourdos, and B.A. White

End to End Adaptive Congestion Control in TCP/IP Networks,
Christos N. Houmkozis and George A. Rovithakis

Quantitative Process Control Theory, *Weidong Zhang*

Classical Feedback Control: With MATLAB® and Simulink®, Second Edition,
Boris J. Lurie and Paul J. Enright

Intelligent Diagnosis and Prognosis of Industrial Networked Systems, *Chee Kiang Pang, Frank L. Lewis, Tong Heng Lee, and Zhao Yang Dong*

Synchronization and Control of Multiagent Systems, *Dong Sun*

Subspace Learning of Neural Networks, *Jian Cheng Lv, Zhang Yi, and Jiliu Zhou*

Reliable Control and Filtering of Linear Systems with Adaptive Mechanisms,
Guang-Hong Yang and Dan Ye

Reinforcement Learning and Dynamic Programming Using Function
Approximators, *Lucian Buşoniu, Robert Babuška, Bart De Schutter,
and Damien Ernst*

Modeling and Control of Vibration in Mechanical Systems, *Chunling Du
and Lihua Xie*

Analysis and Synthesis of Fuzzy Control Systems: A Model-Based Approach,
Gang Feng

Lyapunov-Based Control of Robotic Systems, *Aman Behal, Warren Dixon,
Darren M. Dawson, and Bin Xian*

System Modeling and Control with Resource-Oriented Petri Nets, *Naiqi Wu
and Meng Chu Zhou*

Deterministic Learning Theory for Identification, Recognition, and Control,
Cong Wang and David J. Hill

Sliding Mode Control in Electro-Mechanical Systems, Second Edition,
Vadim Utkin, Jürgen Guldner, and Jingxin Shi

Linear Control Theory: Structure, Robustness, and Optimization,
Shankar P. Bhattacharyya, Aniruddha Datta, and Lee H. Keel

Intelligent Systems: Modeling, Optimization, and Control, *Yung C. Shin and Chengying Xu*

Optimal Control: Weakly Coupled Systems and Applications, *Zoran Gajić, Myo-Taeg Lim, Dobrila Skatarić, Wu-Chung Su, and Vojislav Kecman*

Intelligent Freight Transportation, *edited by Petros A. Ioannou*

Modeling and Control of Complex Systems, *edited by Petros A. Ioannou and Andreas Pitsillides*

Optimal and Robust Estimation: With an Introduction to Stochastic Control Theory, Second Edition, *Frank L. Lewis, Lihua Xie, and Dan Popa*

Feedback Control of Dynamic Bipedal Robot Locomotion, *Eric R. Westervelt, Jessy W. Grizzle, Christine Chevallereau, Jun Ho Choi, and Benjamin Morris*

Wireless Ad Hoc and Sensor Networks: Protocols, Performance, and Control, *Jagannathan Sarangapani*

Stochastic Hybrid Systems, *edited by Christos G. Cassandras and John Lygeros*

Hard Disk Drive: Mechatronics and Control, *Abdullah Al Mamun, Guo Xiao Guo, and Chao Bi*

Autonomous Mobile Robots: Sensing, Control, Decision Making and Applications, *edited by Shuzhi Sam Ge and Frank L. Lewis*

Neural Network Control of Nonlinear Discrete-Time Systems, *Jagannathan Sarangapani*

Fuzzy Controller Design: Theory and Applications, *Zdenko Kovacic and Stjepan Bogdan*

Quantitative Feedback Theory: Fundamentals and Applications, Second Edition, *Constantine H. Houpis, Steven J. Rasmussen, and Mario Garcia-Sanz*

Chaos in Automatic Control, *Wilfrid Perruquetti and Jean-Pierre Barbot*

Differentially Flat Systems, *Hebertt Sira-Ramírez and Sunil K. Agrawal*

Robot Manipulator Control: Theory and Practice, *Frank L. Lewis, Darren M. Dawson, and Chaouki T. Abdallah*

Robust Control System Design: Advanced State Space Techniques, *Chia-Chi Tsui*

Linear Control System Analysis and Design: Fifth Edition, Revised and Expanded, *Constantine H. Houpis, Stuart N. Sheldon, John J. D'Azzo, Constantine H. Houpis, and Stuart N. Sheldon*

Nonlinear Control Systems, *Zoran Vukic*

Actuator Saturation Control, *Vikram Kapila and Karolos Grigoriadis*

Sliding Mode Control In Engineering, *Wilfrid Perruquetti and Jean-Pierre Barbot*

Modern Control Engineering, *P.N. Paraskevopoulos*

Advanced Process Identification and Control, *Enso Ikonen and Kaddour Najim*

Optimal Control of Singularly Perturbed Linear Systems and Applications, *Zoran Gajić*

Robust Control and Filtering for Time-Delay Systems, *Magdi S. Mahmoud*

Self-Learning Control of Finite Markov Chains, *A.S. Poznyak, Kaddour Najim, and E. Gomez-Ramirez*



Preface

This book introduces a methodology to numerically generate a class of invariant, canonical, and convex polytopic representations from any quasi-linear parameter-varying models. The class of polytopic representations is then readily executable for linear matrix inequality-based system control design. Our intention is to devise a standard design process that reduces analytical derivations as much as possible, echoing the recent paradigm shift toward the ready acceptance of numerical solutions as a valid form of output from control system problems. Our methodology is based on an extended version of singular value decomposition applicable to hyper-dimensional tensors. The resulting representations may exactly or approximately duplicate the original dynamics. Trade-offs between approximation accuracy and computational complexity can be performed through the singular values retained in the process. The book also proposes to manipulate the convexity of the resulting polytopic representations as a possible way to influence the subsequent linear matrix inequality-based design and control performance. This differs from the prevailing perspective in the literature, which focuses mainly on the proper formulation and conditioning of linear matrix inequalities to facilitate feasible designs. On the practical side, the book provides details about the application of the proposed methodology to design control systems with a number of real-life examples, including the aeroelastic wing section and the heavy vehicle rollover prevention problems. The book also introduces *TP-tool*, a MATLABTM Toolbox which contains the relevant algorithms of the proposed methodology. The Toolbox is available online for free downloading.

This book is not intended to be a textbook, but rather a reference book for graduate students, researchers, engineers, and practitioners who are dealing with nonlinear systems control applications. The book will be a practical tool to systematically generate controller design for a large class of nonlinear systems, especially with the help of the MATLAB Toolbox *TPtool*. More importantly, we sincerely hope that readers will also find the book stimulating and useful as a platform upon which new concepts and MATLAB functions may be defined and explored as needed for analyzing their control problems and carrying out the design processes at hand.

The authors owe the completion of this book to a number of people. We give our appreciation to our young researchers Dr. Béla Takarics, Dr. Stephen C.T. Yang, Patricia Gróf, and Péter Galambos for their help in conducting experimental case studies and developing the MATLAB Toolbox; to Dr. Pauline Lee for her help in English editing; and to our graduate students, Mr. Kerney Wu and Miss Heran Song, for proof-reading parts of the initial drafts. The content presented in this book is based on our works over many years in the areas of fuzzy approximation, multidimensional singular value decomposition, and tensor product polytopic structure and control. We would like to thank our collaborators and graduate students, past and present, for their input and contributions to the various subjects. We also would like to thank the agencies who funded our research projects over the years. They include, especially, the Hungarian National Development Agency (ERC-HU-09-1-2009-0004, MTASZ-TAK) (OMFB-01677/2009) and the Hong Kong Research Grant Council (Project Ref. 2050520, 2150750). Part of the research was also supported by the Janos Bolyai postdoctoral scholarship.

Finally, we thank our families for their support during the writing of this book.

Péter Baranyi, Yeung Yam, and Péter Várlaki

MATLABTM is a registered trademark of The MathWorks, Inc. For product information, please contact:

The MathWorks, Inc.
3 Apple Hill Drive
Natick, MA 01760-2098 USA
Tel: 508 647 7000
Fax: 508-647-7001
E-mail: info@mathworks.com
Web: www.mathworks.com

Acronyms and Abbreviations

CHOSVD	Compact Higher-Order SVD
CNO	Close-to-Normality
DoF	Degrees of Freedom
GEVP	Generalized Eigenvalue Minimization Problem
HOOI	Higher-Order Orthogonal Iteration
HOSVD	Higher-Order Singular Value Decomposition
ICA	Independent Component Analysis
INO	Inverse Normality
IRNO	INO and RNO
LFR	Linear Fractional Representation
LMI	Linear Matrix Inequality
LMIs	Linear Matrix Inequalities
LPV	Linear Parameter-Varying
LTI	Linear Time-Invariant
NN	Nonnegativeness
NO	Normality
PDC	Parallel Distributed Compensation
qLPV	quasi-LPV
qNN	quasi-NN
qSN	quasi-SN
RHOSVD	Reduced Higher-Order SVD
RNO	Relaxed Normality
SN	Sum Normalization
SMC	Sliding Mode Control
SMO	Sliding Mode Observer
SVD	Singular Value Decomposition
TORA	Translational Oscillations with an Eccentric Rotational Proof-Mass Actuator
TP	Tensor Product
TP model	Finite Element TP Type Polytopic Model

Contents

Preface	xi
Acronyms and Abbreviations	xiii
1 Introduction	1
1.1 An overview	1
1.2 TP model	2
1.3 HOSVD-based computation	3
1.4 Convex optimization via LMIs/PDC framework	4
1.5 Model convexity and convex hull manipulation	5
1.6 Significant paradigm changes	6
1.7 Outline of the book	7
I Tensor Product (TP) Model Formulation	9
2 TP Model	11
3 TP Model Transformation	21
3.1 Introduction to HOSVD	22
3.2 Transformation procedures	26
3.3 The extracted model	28
3.4 Addition of sampling grid lines	29
4 TP Canonical Model Form	33
4.1 Definition	33
4.2 Numerical reconstruction	35
4.3 The TORA example	44
4.3.1 Equations of motion	45
4.3.2 TP canonical model	47
5 Approximation and Complexity Trade-Off	51
5.1 TP model form of bounded order	51

5.2	The nowhere dense property	52
5.3	Trade-off examples	57
5.3.1	A mass-spring-damper system	57
5.3.2	A mass-spring-damper system with nonlinear term	59
5.4	Trade-off study on the TORA example	60
6	TP Model Convexity Incorporation	65
6.1	TP model convexity	66
6.2	Incorporation of convexity conditions	69
6.2.1	Incorporating the SN condition	70
6.2.2	Incorporating the NN condition	71
6.2.3	Incorporating the NO condition	72
6.2.4	Incorporating the RNO condition	74
6.3	Alternate method for INO and RNO conditions	75
6.3.1	The partial algorithm	76
6.3.2	The complete algorithm	78
6.4	The TORA example	80
7	Introduction to the <i>TPtool</i> Toolbox	83
7.1	Generating the TP canonical model	83
7.2	Incorporating convexity conditions	86
8	Centralized Model Form	91
8.1	The centralized model	91
8.1.1	Mathematical properties	92
8.1.2	Control properties	93
8.1.3	Computational advantages	93
8.2	Illustrating examples	93
9	Computational Relaxed TP Model Transformation	99
9.1	SVD-based column equivalence	101
9.2	Modified transformation algorithm	104
9.3	Evaluation of computational reduction	109
9.3.1	Discretization complexity	109
9.3.2	HOSVD computation	110
9.3.3	Tensor product computation	111
9.4	Examples	112
9.4.1	A simple numerical example	112
9.4.2	The double inverted pendulum example	112
II	TP Model-Based Control System Design	117
10	Overview of TP Model-Based Design Strategy	119

11 LMI Theorems under the PDC Framework	125
11.1 LMIs for control system design	125
11.1.1 Definition of LMIs	126
11.1.2 Constraints expressed via LMIs	128
11.1.3 Generic problems for LMIs	130
11.2 LMI optimization under the PDC framework	131
11.2.1 Lyapunov stability criteria	132
11.2.2 Control design for stability	133
11.2.3 Multiobjective control optimization	134
11.2.4 Simultaneous observer/controller design	135
11.3 TP model-based control design procedures	137
11.4 LMI-based control design for the TORA example	138
11.4.1 Control specifications	140
11.4.2 State feedback control design	140
11.4.3 Observer-based output feedback control design	142
12 Convex Hull Manipulation	147
12.1 Nonlinear sensitivity of control solutions	148
12.2 Conservativeness of control solutions	150
III Control Design Examples	153
13 Control Design with <i>TPtool</i> Toolbox	155
14 2-D Prototypical Aeroelastic Wing Section with Structural Nonlinearity	157
14.1 Dynamics modeling	158
14.2 The TP model	162
14.3 State feedback control design	164
14.3.1 Controller for asymptotic stabilization	165
14.3.2 Controller for decay rate control	167
14.3.3 Controller for constraint on the control value	167
14.3.4 Comparison to other control solutions	167
14.4 Observer-based output feedback control design	171
14.4.1 An alternative TP model	171
14.4.2 Control system design	172
14.4.3 Control performance	173
14.5 Convex hull manipulation	173
14.6 Convex hull geometry	177
14.6.1 Effects on LMI-based controller performance	177
14.6.2 Effects on LMI-based observer performance	178

15 3-D Prototypical Aeroelastic Wing Section with Structural Nonlinearity	181
15.1 Dynamics modeling	182
15.2 The TP model	185
15.3 LMI-based output feedback control design	187
15.3.1 Controller 1: Asymptotic stabilization	188
15.3.2 Controller 2: Constraint on the control value	190
15.3.3 Control performance	190
16 3-DoF Helicopter with Four Propellers	195
16.1 Dynamics Modeling	195
16.1.1 A simplified model	199
16.1.2 Modeling of uncertainty	199
16.2 The TP model	200
16.3 Control system design	202
16.4 Control performance	204
17 Heavy Vehicle Rollover Prevention Problem	207
17.1 Problem introduction	207
17.2 A qLPV model for heavy vehicles	208
17.3 The TP model	212
17.4 Control system design and performance	212
References	217
Index	229

Chapter 1

Introduction

1.1 An overview

The book deals with two main areas of emerging importance in the field of systems and control, namely, the quasi-linear parameter-varying (qLPV) models and the linear matrix inequality (LMI) design method. The qLPV models efficiently treat nonlinear plants as linear time-invariant (LTI) systems with varying parameters that are functions of the state variables. Such formulation is applicable to a wide range of problems, for example, in the areas of aerospace control and gain scheduling. The LMI, on the other hand, is a very efficient computation-based method for multiobjective control system design. The integration of the two has been the subject of many ongoing works in recent years. This book, however, deviates from past approaches by bringing forth a number of novel concepts and perspectives.

Instead of using the given qLPV model directly, the book calls for the derivation and utilization of its finite element tensor product (TP) type polytopic model, or TP model for short, for design. Moreover, given that analytically deriving such representation will be a tedious or even impossible task, particularly for more complex systems, the book introduces a higher-order singular value decomposition (HOSVD)-based computational technique to numerically generate from any given qLPV model a TP model that is readily executable for the LMI control design. The TP model as generated may exactly or approximately duplicate the original dynamics, depending on the singular values retained in the process. In turn, the singular values serve as a measure to trade off the accuracy and complexity of the resulting model. For the subsequent LMI design process, the book adopts the parallel distributed compensation (PDC) framework, which uses the same TP form for the controller to readily incorporate multiple design objectives. The book also focuses on the generation of

advantageous TP models with proper convexity properties as a means to facilitate controller designs. This so-called convex hull manipulation for design is a new concept introduced in this book.

The book also introduces a MATLAB Toolbox called *TPtool* specifically developed for the present TP model generation, convexity manipulations, and LMI-based controller design. The book also includes a number of practical examples to demonstrate the application of the proposed computational-based modeling and design approach.

The following sections contain more detailed descriptions of the salient features of the book.

1.2 TP model

In the Paris Conference of the International Congress of Mathematicians in 1900, D. Hilbert gave a famous speech culminating in the publication of 23 conjectures on unsolved problems, which he believed would provide the biggest challenge in the 20th century. Specifically, he hypothesized in the 13th conjecture that there exist continuous multivariable functions which cannot be decomposed as a finite superposition of continuous functions of a lesser number of variables [GG00], [Gra00], [Hil00], [Kap77]. This hypothesis was disproved by Arnold in 1957 [Arn57]. In the same year, Kolmogorov [Kol57] formulated a general representation theorem, along with constructive proof, to express continuous multivariable functions in terms of one dimensional functions. This proof justified the existence of *universal approximators*. Kolmogorov's representation theorem was further improved by several authors, including Sprecher [Spr65] and Lorentz [Lor66]. With these results, it has been proved from the 1980s that the approximation tools of biologically inspired neural networks and genetic algorithms are all valid universal approximators, and the same is true for the inference-based fuzzy logic mappings [BL91], [Cyb89], [HSW89], [Cas95], [Kos92], [Wan92], [NK92], [EHR94]. As such, these approximation tools have been widely adopted in the identification of system models. They turned out to be particularly useful for approximating systems that may not be describable by analytical equations.

The above approximation tools thus add to the number of effective identification techniques that we have today. However, the identified models they produced are quite different in form and description to the usual models derived from analytically closed formulas via physical considerations of a given system. For instance, the neural network model would be a graph with a huge numerical array of connection weights, or the fuzzy logic mapping would be a set of linguistic rules. Furthermore, some identification techniques would represent the model in the form of