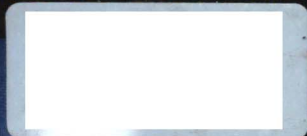


ROUTLEDGE STUDIES IN SUSTAINABILITY



Energy and Transport in Green Transition

Perspectives on ecomodernity

Edited by
Atle Midttun and Nina Witoszek

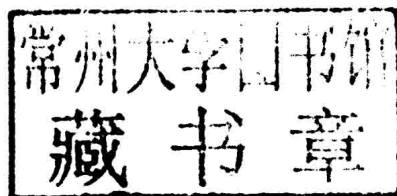
earthscan
from Routledge

ROUTLEDGE

Energy and Transport in Green Transition

Perspectives on ecomodernity

Edited by
Atle Midttun and
Nina Witoszek



 **Routledge**
Taylor & Francis Group
LONDON AND NEW YORK

earthscan
from Routledge

First published 2016
by Routledge
2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

and by Routledge
711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 2016 Atle Midttun and Nina Witoszek

The right of the editors to be identified as the authors of the editorial material, and of the authors for their individual chapters, has been asserted in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilized in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing-in-Publication Data

A catalogue record for this book is available from the British Library

Library of Congress Cataloguing-in-Publication Data

Energy and transport in green transition : perspectives on ecomodernity /

edited by Atle Midttun and Nina Witoszek.

pages cm. -- (Routledge studies in sustainability)

Includes bibliographical references and index.

1. Renewable energy sources. 2. Transportation--Environmental aspects.

3. Energy industries--Government policy. 4. Sustainable development.

I. Midttun, Atle, 1952- II. Witoszek, Nina.

TJ808.E58155 2015

333.79'4--dc23

2015001808

ISBN: 978-1-138-79343-9 (hbk)

ISBN: 978-1-315-76113-8 (ebk)

Typeset in Sabon
by GreenGate Publishing Services, Tonbridge, Kent



Printed and bound by CPI Group (UK) Ltd, Croydon, CR0 4YY

Energy and Transport in Green Transition

This book breaks new ground in the studies of green transition. It frames the ongoing transformation in terms of a “battle of modernities” with the emerging vision of ecomodernity as the final destination. It also offers a systematic exploration of the potential for extensive transformation of carbon-intensive sectors—with a focus on energy and transport—towards a low- or post-carbon economy. The book does so in a comparative perspective, by pointing to a diversity of techno-economic and institutional solutions in the mature Western economies, and in the rapidly growing East and developing South. The contributors highlight a broad spectrum of available alternatives as well as illuminate conflicting interests involved. They also demonstrate how solutions to the climate challenge require parallel technological and governance innovation. The book advocates a new, overarching vision and agenda of ecomodernity—based on a synergistic paradigm-shift in industry, politics, and culture—to trigger and sustain the ecological innovation necessary to tip development in a green direction. This vision cannot be monolithic; rather, it should reflect the diverse interests and conditions of the global population.

This book is aimed at researchers and postgraduate students of energy, transport, environmental and climate policies, as well as development, environment, innovation, and sustainability.

Atle Midttun is Professor at the Norwegian School of Management, Institute of Innovation and Economic Organisation, Director of the Centre for Corporate Responsibility, and Co-director of the Centre for Energy and Environment.

Nina Witoszek is Research Professor at the Centre for Development and the Environment at the University of Oslo. Prior to joining the University of Oslo she lectured at the University of Oxford, the National University of Ireland in Galway, and the European University in Florence, Italy.

Routledge Studies in Sustainability

Critiquing Sustainability, Changing Philosophy

Jenneth Parker

Transdisciplinary Sustainability Studies

A heuristic approach

Katri Huutoniemi and Petri Tapio

Challenging Consumption

Pathways to a more sustainable future

Edited by Anna R. Davies, Frances Fahy and Henrike Rau

Democratic Sustainability in a New Era of Localism

John Stanton

Social Practices, Interventions and Sustainability

Beyond behaviour change

Edited by Yolande Strengers and Cecily Maller

The Politics of Sustainability

Philosophical perspectives

Edited by Dieter Birnbacher and May Thorseth

Promoting Sustainable Living

Sustainability as an object of desire

Justyna Karakiewicz, with contributions from Audrey Yue and Angela Paladino

Rethinking the Green State

Environmental governance towards climate and sustainability transitions

Edited by Karin Bäckstrand and Annica Kronsell

Energy and Transport in Green Transition

Perspectives on ecomodernity

Edited by Atle Midttun and Nina Witoszek

Biographies

Editors

Atle Midttun is Professor at the Norwegian Business School, Department of Innovation and Economic Organisation, where he also is a Co-director of the Centre for Energy and Environment, and The Centre for Corporate Responsibility. He has been widely engaged in international research, and has been a visiting professor at Stanford University, Université Paris Sud, the University of Michigan, and a visiting Scholar at the University of California, Berkeley, and the Max Planck Institute for Social Research. His publications include: *Frontiers of Sustainability*, a special issue of *Energy Policy*; *Rethinking Governance for Sustainability*, a special issue of *Corporate Governance*; *CSR and Beyond—A Nordic Perspective*; *Reshaping of European Electricity and Gas Industry Regulation*; and *Approaches to and Dilemmas of Economic Regulation*.

Nina Witoszek is Research Professor at the Center for Development and the Environment (SUM) at the University of Oslo, Norway. Her previous scholarly engagements and awards include fellowships at the Swedish Collegium for the Advanced Studies in the Social Sciences in Uppsala (1993); Robinson College, Cambridge (1995); Mansfield College, Oxford (2001); and Woods Institute in Stanford (2010). Her publications include, among others, *Philosophical Dialogues: Arne Naess and the Progress of Ecophilosophy* (2003), *Civil Society in the Age of Monitory Democracy* (2012), and *The Origins of the Regime of Goodness: Remapping the Norwegian Cultural History* (2013).

Contributors

Karl Gerth is Professor of History and Hwei-chih and Julia Hsiu Endowed Chair in Chinese Studies at the University of California, San Diego. His latest book, *As China Goes, So Goes the World: How Chinese Consumers are Transforming Everything* explores whether Chinese consumers can rescue the economy without creating even deeper global problems. He is also the author of *China Made: Consumer Culture and the Creation of*

the Nation. Currently, he is writing a book that investigates the survival of market practices in China's urban centers following the establishment of Communist rule in 1949.

Amadu Mahama is an independent Energy and Development Consultant with over 20 years of experience in the Energy Sector in Ghana. He spent over a decade as a Senior Manager with the Volta River Authority, one of the largest electric utilities in Africa. He is the founder of NewEnergy, an Energy Research and Development organization with Energy Access programs targeting rural areas and underserved urban communities. Recently he played a key role in the preparation of the Master Plan for Rural Electrification in Ghana using Renewable Energy. Amadu is a graduate of the Universities of Ghana, and the Norwegian Business School where he holds a BSc degree in Finance and MSc degree in Energy Management respectively. His publications include: *International Year for Sustainable Energy for All: African Frontrunnership in Rural Electrification*, a special issue of *Energy Policy*.

Elin Staurem holds a Master's degree from the Norwegian University of Science and Technology in the field of Industrial Ecology. She has been a research assistant at the Norwegian Business School, where she participated in research on sustainability, corporate responsibility and innovation. She currently holds a position as Senior Environmental Adviser for the Norwegian National Rail Administration.

Jin Wang is Professor and Chair of Sociology at Sun Yat-Sen University in Guangzhou, China. He received his PhD in Sociology from the University of Iowa and previously taught at Wuhan University, China. His research areas include social stratification, political sociology, and artificial society studies using agent-based modelling methods.

Jan-Olaf Willums teaches entrepreneurship at the Norwegian Business School. He has started or been seed investor in a number of high-tech companies, ranging from artificial intelligence to photovoltaic solar and battery technology. He has been active in the automotive industry as a director at Swedish Volvo Corporation, and as president of Think Global, Europe's first highway certified electric vehicle. D. Willums was head of the ICC World Industry Council for the Environment, and later became a founding director of the World Business Council of Sustainable Development. He has an MSc in Mechanical Engineering from the Swiss Institute of Technology (ETH) and a DSc from the Massachusetts Institute of technology (ETH).

Joseph Awetori Yaro is Associate Professor of Human Geography at the Department of Geography and Resource Development, University of Ghana. He combines a rich background in development studies and rural geography with extensive rural research experience in northern

Ghana. His specific research interests are in: sustainable development in rural areas; rural livelihoods; food security; climate change adaptation; land tenure and transnational land deals/grabs. He edited a book entitled *Rural Development in Northern Ghana* (2013) during his sabbatical leave in Carleton University, Ottawa, Canada.

Foreword

This book takes stock of trends, developments and opposing forces at play in the process of transition to a greener world. The shift from carbon economy to ecological modernity is, arguably, one of the major transformations of our time, and raises broad questions about reimagining society both at the level of technology, politics and cultural values.

We have chosen to study green transformation through the lenses of two major sectors of the economy—energy and transport—both with climate footprints of such dimensions that they are a *sine qua non* part of any adequate strategy for climate stabilization. In order to better highlight pivotal contrasts between mature, rapid growth and developing economies, we have also undertaken a comparison of varying responses to climate change on four continents.

The scale and scope of this undertaking has only been possible thanks to generous funding from the Norwegian Research Council, which has allowed us to stage collaborative research in Europe, the USA, China and Africa.

The case studies in our chapters synthesize insights from a large number of sources, which allows us to offer relatively broad descriptions of main economic, technological, political and cultural trends. Although informed by a range of interdisciplinary perspectives, our goal has been primarily descriptive, orientated towards presenting holistic summaries of the main patterns of development. To ensure the maximum accessibility of our volume, we have chosen to limit detailed theoretical discussion within narrow disciplinary boundaries. Instead, we have prioritized conceptual contributions that offer novel approaches across techno-economic, institutional and cultural realms.

We are grateful to our research partners for their inspiration and generous comments which have enriched this volume—in particular the intellectual partners from the CERES21 (Creative Responses to Sustainability) project (Tom Burns, Paddy Coulter, Audrey Gadzekpo, Karl Gerth, Alberto Martinelli, James Miller, James Painter, Philippe Schmitter, Sverker Sörlin, Jin Wang and Joseph Yaro), and our Stanford colleagues from the MAHB (Millennium Alliance for Humanity and the Biosphere) programme—especially Paul Ehrlich and the late Steve Schneider. In addition, we wish to

thank Auberge Akelyira, Fengshi Wu, John Keane, Jan Olaf Willlums and Adriaan Kamp for their insights and suggestions. Our work ran smoothly thanks to our valiant assistants: Elin Staurem, Hilde Nordbo, Elzbieta Toporowska, Tiina Rouhonen and Nina Brochmann. Last but not least, we are indebted to Tran Le Vu for his invaluable help with statistics and figures and to Armando Lamadrid for his editorial assistance.

Contents

<i>List of figures</i>	vii
<i>List of tables</i>	ix
<i>Biographies</i>	x
<i>Foreword</i>	xiii
1 A Battle of Modernities	1
ATLE MIDTTUN AND NINA WITOSZEK	
2 Green Energy Transition in the EU and the United States	18
ATLE MIDTTUN	
3 Energy Frontiers in China	61
JIN WANG	
4 Growth versus Green Transition: Energy in Sub-Saharan Africa	81
AMADU MAHAMA	
5 The Automotive Industry: Meandering Towards Green Transition in the European Union and the United States	103
JAN-OLAF WILLUMS, ATLE MIDTTUN AND ELIN STAUREM	
6 Driven to Change: The Chinese State-Led Development of a Car Culture and Economy	130
KARL GERTH	
7 Squaring Growth with Green Transition in Africa's Automobile Sector	152
JOSEPH AWETORI YARO	

vi	<i>Contents</i>	
8	Towards Ecomodernity	174
	ATLE MIDTTUN AND NINA WITOSZEK	
	<i>Index</i>	199

Figures

1.1	Levels and Radicality of Innovation	7
1.2	The Visionary, Product and Institutional Cycles	9
1.3	Essentials of Experience Curve and Technology Deployment	10
1.4	The Relay Model in Open Game Form	11
2.1	Electricity Net Generation in the US, 1970–2011	20
2.2	Electricity Gross Generation in OECD Europe, 1973–2012	21
2.3	Carbon Modernity: Generation by Source in OECD Europe, 1973–2012	25
2.4	Carbon Modernity: Generation by Source in the US, 1970–2011	26
2.5	Nuclear Modernity in OECD Europe, 1973–2012	29
2.6	Nuclear Modernity in the US, 1970–2011	30
2.7	Number of Reactors Connected to Grid, 1954–2013	32
2.8	Electricity from Renewable Sources in OECD Europe, 1973–2012	34
2.9	Electricity from Renewable Sources in the US, 1970–2011	35
2.10	US and EU Cumulative Wind Power Capacity Installation, 2001–2013	37
2.11	Evolution of Global PV Cumulative Installed Capacity, 2000–2013	39
2.12	Electricity from Global Bioenergy Sources, 2000–2010	41
2.13	Global GHG Abatement Cost Curve Beyond Business-as-Usual, 2030	44
2.14	Smart Grid	47
3.1	Installed Electricity Capacity in China, 2012	62
3.2	China's Primary Energy Consumption, 1965–2013	65
4.1	African Power Plant Capacity Shares, 2011	83
5.1	Commercial and Passenger Cars in the US	105
5.2	Commercial and Passenger Cars in the EU	106
5.3	Roads Sector, Passenger Carried Intensity	107
5.4	Roads-Energy Consumption	109
5.5	Passenger Cars—Grams of Tailpipe CO ₂ Emission per Kilometer Normalized to NEDC Test Cycle	110

viii *Figures*

5.6	Annual Miles Driven by Car and Licensed Driver in the US	111
5.7	US Cumulative Electric Vehicle Sales	119
5.8	The Green Transformation	126
7.1	Vehicles in Use in Africa	153
8.1	CO ₂ Emissions from Electricity and Heat Production, 1992–2012	175
8.2	Evolution of Global Wind and Solar Cumulative Installed, 2000–2013	176
8.3	Total Vehicles in Use by Region	177
8.4	The Growth of the Electric Vehicle Segment	179
8.5	Trends of Solar Cell Production in Japan, 1976–2005	182
8.6	Annual PV Installations in Germany and Japan	183
8.7	Annual PV Installations in China and Germany	184
8.8	Learning Curve with Sequential Lead Markets for PV	186
8.9	Cognitive Assumptions of Carbon Modernity	187
8.10	Cognitive Assumptions of Ecomodernity	190
8.11	Projecting CO ₂ Trends for Electricity and Heat Production	192
8.12	Projecting Trends for Greening of EU Electricity	193

Tables

3.1	China’s Growing Hegemony in Installed Coal-Fired Plants	69
4.1	Ghana Generation Forecast by Fuel Type, 2013–2020	87
7.1	Number of Vehicles—Top 6 Countries in Africa	153
7.2	Motor Vehicle Production in Africa, 2007–2013	166

1 A Battle of Modernities

Atle Midttun and Nina Witoszek

Introduction

It is diabolically difficult to interpret a crisis and predict possible scenarios of exit from peril. Even the most stringent selection of data to assess the status quo is bound to be biased, and the future is always qualified by an “if.” Available literature on human responses to climate change—from the IPCC reports to studies of green innovation—shows a fuzzy landscape, where almost everything is possible: an apocalypse, a muddling-through, a glorious future, even a Brave New World with a global green hegemon. Suffice it to compare two diagnoses. First:

The world has turned green. Sustainability is more than just a business trend; it is not just a buzzword for business to find new ways of selling old products in new guises. We are experiencing a revolution, perhaps as profound as industrial revolution, which has altered every facet of life as it was known and understood. This time around, belching smoke-stacks are not part of the mix, but windmills, battery-run cars, energy-efficient appliances, and recycling systems are.

(Berger 2011: 1)

And second:

Very little has been achieved in addressing climate change in the last two decades ... Coal power stations continued to be built on an enormous scale in China and India. Indeed, Europe is back in the new coal power stations business. The European Union’s Emissions Trading Scheme ... came perilously close to collapse. The Kyoto-driven international negotiations keep lots of bureaucrats busy but still offer no hope of progress until [the] next decade at the earliest. ... Politicians are ... scrambling to cut subsidies for renewables.

(Helm 2012: ix)

How to square these opposing scenarios? Topical analyses generated in 2014 reinforce, respectively, Cassandra or Pollyanna trends. To start on the bright side, *The New Climate Economy* (UN 2014) assures us that, irrespective of income level and standard of living, all countries have the possibility to continue with economic growth, while at the same time reducing the risk of climate change. Similarly, Stefan Heck et al. in *Resource Revolution* (2014) play a techno-optimistic tune and insist that the climate crisis will be solved within the existing capitalist framework through a shift to a sustainable production alone: the deployment of biomass, machines and infrastructure, and new uses of information technology. Naomi Klein, in contrast, gives no chance to the current form of neoliberal capitalist production, which in her view, by its very nature is “at war with the planetary system” (Klein 2014). In Klein’s view, the situation is so dramatic that only a new “Marshall Plan for the Earth” can save us—in other words, a massive shift to the renewable economy whose main actors are no longer greedy corporations but environmental social movements and local communities.

Interestingly enough, there is one thing that these conflicting diagnoses and solutions share: an advocacy of environmental ideas which point less to a return to a Spartan, pre-modern nature-utopia, and more to the mobilization of *modernity’s innovative potential* to get the planet out of its current predicament.

This book is an attempt to go beyond both the extremism of the anti-capitalist critique and the radical enthusiasm of techno-economic positivism in current perceptions of the climate challenge. Instead, it focuses on exploring political, economic and technological entanglements involved in the proliferation of climate problems and the ways they can be resolved to boost a greener economy and culture. To capture the nature of these entanglements, the central concept we deploy in our analysis is that of the *battle of modernities*¹—a clash of techno-economic scenarios existing side-by-side, each clamoring for dominance. First, there is “carbon modernity,” which—it has to be stressed—is far from stagnant, but stubbornly attempts to reinvent itself in the green direction. There are remnants of “nuclear modernity” which, despite the disasters of the past decades, is still considered by many to be the fastest way to produce clean energy—accepted on ethical and moral grounds only after improvements of safety standards. And finally, there is the sluggish dawn of “green modernity,” which flaunts new agendas ranging from “natural capitalism” (Hawken et al. 1999), the “Factor Five” economy (von Weizsäcker et al. 2009) to “green growth” (UNESCAP 2012).

Behind these colliding economic modernities, there are more encompassing, value-charged myths—with their respective icons, images and heroes—which have shaped both the relationship between techno-science and nature and a vision of a better future. There have been three such pivotal stories. The first one states that there are no limits to human dreams