Melvin A. Shiffman *Editor*

Advanced Cosmetic Otoplasty

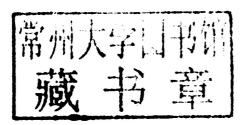
Art, Science, and New Clinical Techniques



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Editor Melvin A. Shiffman, M.D., J.D. Tustin, California USA

ISBN 978-3-642-35430-4 ISBN 978-3-642-35431-1 (eBook) DOI 10.1007/978-3-642-35431-1 Springer Heidelberg New York Dordrecht London

Library of Congress Control Number: 2013941900

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Printed on acid-free paper

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Advanced Cosmetic Otoplasty

Foreword

It gives me great pleasure and privilege to write a foreword to this book titled Advanced Cosmetic Otoplasty: Art, Science, and New Clinical Techniques. Having been involved with cosmetic surgery for over 30 years, I find that very little has been focused on the aesthetic otoplasty in facial rejuvenation, and details of various techniques are lacking. The ears are a vital part in the beauty and symmetry of the face, and it is often forgotten or neglected. Over the years, children and adults can have a huge complex about their ears. It also varies with trends, as adults sometimes wear their hair short, or with career moves, for instance, if a person goes into the army. Also children are often teased at school because of their ears.

Otoplasty (Greek ōtoûs, ear + plassein, to shape) denotes the surgical and nonsurgical procedures for correcting the deformities and defects of the pinna (external ear).

All those who practice otoplasty surgery are very aware that this seemingly simple surgery is far from straightforward. In fact, it is very difficult to achieve consistently good results. Any text to improve the knowledge of otoplasty is welcomed. If you as a surgeon sometimes feel overwhelmed to organize the fundamental concepts of certain fields, it is great to have one book at hand, as an invaluable resource to enlarge your knowledge and practice.

Very little advances have been noted over the years since otoplasty was developed in ancient India, in the fifth century BC by Sushruta, the ayurvedic physician. He developed otoplastic and other plastic surgical techniques and procedures at that time. Then in the nineteenth century Johann Friedrich Dieffenbach (1794–1847) reported the first surgical approach for the correction of prominent ears. This was a combination otoplasty procedure that featured the simple excision (cutting) of the problematic excess cartilage from the posterior sulcus (back groove) of the ear and the subsequent affixing, with sutures, of the corrected pinna to the mastoid periosteum, the membrane covering the mastoid process at the underside of the mastoid portion of the temporal bone, at the back of the head.

In 1920, Harold D. Gillies (1882–1960) first reproduced the pinna by burying an "external-ear support framework," made of autologous rib cartilage, under the skin of the mastoid region of the head, which reconstructed the pinna. He then separated this from the skin of the mastoid area by means of a cervical flap. In 1937, Dr. Gillies also attempted a similar pediatric ear reconstruction with a pinna support framework fabricated with maternal cartilage. That otoplasty correction technique proved inadequate, because of the problems inherent

to the biochemical breakdown and elimination (resorption) of the cartilage tissue by the patient's body. After this came the Mustardé technique for creating an antihelical fold, the Merck method technique, and the incisionless Fritsch otoplasty. As you can see, after that period very little advancements have been made in this field, hence the importance of this book.

The trend of the last century is to look younger. This is due to many factors: improved technology and emphasis on beauty – we have become a society of "appearance."

This book is aimed at all types and ages of surgeons, to help them to choose the best technique that works for them, and the preoperative and postoperative management.

A big thank you to Dr. Shiffman on this book for imparting his time and knowledge in this field.

The format is splendid. The heading and the text are richly articulate and it is a pleasure to read. Dr. Shiffman has helped to create a beautiful surgical text book, for all surgeons interested to learn about otoplasty, at all levels of expertise. This book delivers to the reader basic principles, advanced techniques, and innovations. This book is an essential tool for the contemporary surgeon. It defines what we do, how we do it, and, most importantly, why we do it.

Congratulations to Dr. Shiffman on such hard work. I wish the book every success.

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Preface

There are only a few books on rhinoplasty and most are limited to the editor's techniques or those of a few contributors. These give restricted information on the variety of procedures that are available and are mainly for teaching the inexperienced and somewhat experienced surgeons how to do rhinoplasty and possibly stay out of trouble.

This book on Advanced Aesthetic Otoplasty: Art, Science, and New Clinical Techniques gives a history of otoplasty and a detailed analysis of the newer techniques that are available in otoplasty. National and international experts of newer procedures report on subjects covering ear embryology and anatomy, surgical techniques of otoplasty, the possible risks and complications of otoplasty, and outcomes in otoplasty. This concept of gathering new techniques from international experts is not present in any other book on otoplasty, and the information is extensive and quite different from even recent books on otoplasty. The book is for the inexperienced, experienced, and the very experienced surgeon doing rhinoplasties.

The editor wishes to present advanced technology and clinical techniques in otoplasty from unique contributors experienced with these procedures that are modified or original procedures. The contributors are inventive and eloquent in presenting to the reader a way to progress from inexperienced or experienced surgeons in rhinoplasty to better understanding that there is more than following simplified techniques as a template to performing a procedure that is fraught with possible risks and complications.

All cosmetic surgeries are potential problems if the patient is not properly evaluated preoperatively, not only physically but also mentally. This is most true with the patient considering otoplasty. However, the satisfaction of the patient who has a good result is inestimable.

Tustin, CA, USA

Melvin A. Shiffman, M.D., J.D.

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Part I Anatomy

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Introduction

A basic knowledge of normal ear development allows for an understanding of the full spectrum of congenital auricular abnormalities. The embryology of the ear provides insight into the fundamentals of surgical reconstruction and support to the concepts that guide our approaches to complete excision of redundant elements. The external ear develops from ectodermal and mesodermal elements of the first and second branchial arches. Anomalies of the ear may therefore reflect abnormal development of either first or second arch structures. Accurate recognition of syndromic ear anomalies also allows for the potential detection of other systemic conditions.

Embryology

Overview

The external ear is divided broadly into three components: auricle, cartilaginous external auditory canal (EAC), and bony EAC. These structures are formed over the course of fetal development, predominantly in weeks 5 through 20. The structures of the external and middle ear are made up of endodermal, mesodermal, and ectodermal elements of the first and second branchial arches. The external auditory canal is itself the physiologic remnant of the first branchial cleft.

In brief, inner ear structures develop from the otic placode. This ectodermal structure is first

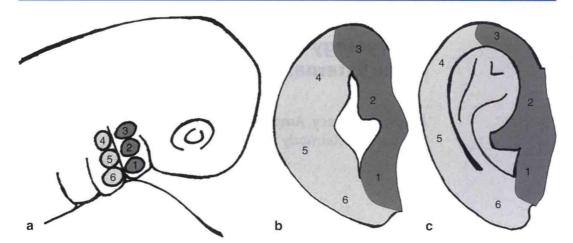


Fig. 1.1 Auricular development. (a) Hillocks 1–3 are derived from the first branchial arch and 4–6 from the second. (b) Fusion of the hillocks begins to form the auricle

in the seventh week of gestation. (c) Contributions of the hillocks of His to the fully formed ear

visible in the embryo at week 3, and as development proceeds, it invaginates medially. By week 4, this structure has lost its connection with the ectodermal surface and has migrated medially, forming what is termed the otocyst. This structure will give rise to all inner ear structures with the significant exception of the stapes footplate [1].

It is therefore notable that inner ear structures develop independent of middle ear and outer ear elements and that critical periods of development occur at different times. This is why children with inner ear malformations may have normal external anatomy and children with markedly abnormal surface anatomy may in fact have perfectly normal inner ear structures.

The development of the external ear is generally complete by 20 weeks' gestation and always complete by birth. Through childhood, the external ear and EAC will grow in size but in relative proportion to the scale present at birth. In contrast, the middle and inner ear are fully formed and of adult size at birth and do not grow significantly with the child.

Auricle

Development of the external ear begins at about the fifth week of fetal growth. The first stages of auricular development manifest in the form of small mesenchymal prominences around the first branchial cleft, an ectodermal groove that will eventually develop into the external auditory canal. There are six discrete prominences, which are termed the hillocks of His. It is generally accepted that hillocks 1–3 develop from first branchial arch mesoderm (rostral to the first branchial cleft) and hillocks 4–6 develop from the second branchial arch, just caudal to the cleft. As development proceeds, the relative contribution of cells from the first arch decreases, such that second branchial arch derivatives contribute about 85 % of the mature external ear (Fig. 1.1) [2–5].

Some recent studies have questioned this classic division of the hillocks of His, indicating that only the first hillock is derived from the first branchial arch and that hillocks 2–5 are second branchial arch derivatives [6].

The hillocks of His achieve maximal prominence by the end of week 6. In the seventh week of fetal development, the hillocks undergo directional growth such that they fuse and begin to form the shape of the auricle. Hillock 1 gives rise to the tragus. Hillocks 2 and 3 form the crus of the helix and the helix proper. Cells of hillock 4 and 5 constitute the anti-helix; and the anti-tragus and lobule are formed from hillock 6 [3]. These assignments are general and there almost certainly is overlap in terms of the primordial contributions to the developed ear (Fig. 1.1).

The formation of the auricle centers on the growth and migration of the hillocks of His.

Fig. 1.2 Auricular appendages representing duplications of the hillocks of His. (a) Three preauricular appendages likely representing a duplication of the 1–3 hillocks. (b) Auricular appendage at the root of the helix likely repre-

senting a duplication anomaly versus failure of incorporation of the second hillock into the mature auricle. (c) An auricular appendage at the lobule likely representing a duplication anomaly of the sixth hillock

Failure of fusion, or duplications of the hillocks can be observed in the form of auricular appendages (Fig. 1.2). The earliest event is the fusion of hillocks 2 and 3 and the growth of these tissues in a caudal direction, eventually creating the auricular helix. The fusion of hillocks 4 and 5 begins shortly thereafter, and then growth of the antihelix continues concurrently with that of the helix. The overall migration of the developing auricle is cephalad relative to other first and second branchial arch derivatives. This is reflected in the sensory innervation of the mature auricle, which is predominantly from branches of the cervical plexus, with only minor contributions from cranial nerves V, VII, IX, and X. Additionally, syndromic children with first arch anomalies will often exhibit posteriorly rotated, low-set ears.

The dimensions of the external ear are well characterized, and knowledge of the appropriate proportions is essential to the clinician. The height of the adult ear is 5.5-6.5 cm. On average the width of the ear is 55% that of the height. The overall angle of the auricle seen laterally is about 25-30% from the vertical. Normal protrusion from the mastoid is about 2 cm (or an angle of $15-25^\circ$). The ear at birth is about 66% of the total size of the adult ear, although, as noted above, the proportions at birth remain unchanged throughout life. Adult ear size is generally achieved in adolescence at about 13-15 years of age, though the majority of growth is complete by 5-7 years of age.

External Auditory Canal

The development of the cartilaginous and bony EAC is not so well-defined. The EAC itself is the remnant of the first branchial cleft, and mesenchymal elements of the canal are derived from the first branchial arch. The cartilaginous canal is in continuity with the cartilage of the auricle and likely develops and fuses with the cartilaginous elements of the hillocks of His at the time of auricle formation.

The canal itself forms as the first branchial cleft extends medially. Eventually, the endodermal lining of the first branchial pouch is in close proximity to the ectoderm of the cleft. In this location the mesoderm thins to a single translucent layer, contributing the fibrous middle layer of the mature tympanic membrane. At this point in development of the EAC, the entire canal is cartilaginous. At about week 12 of development, ossification of the medial-most aspect of the canal begins, forming the bony tympanic annulus and (more laterally) the bony canal [3, 7].

Dysmorphogenesis of the Auricle

Congenital deformities of the ear may be secondary to genetic abnormalities or through sporadic developmental anomalies. The second category